



STATE OF TENNESSEE
BUREAU OF WORKERS' COMPENSATION
220 FRENCH LANDING DRIVE
NASHVILLE, TN 37243-1002
WC.EDI@TN.GOV

AN Annual Report

The SROI AN will be required each year that payments occur and is due by September 1st each year. The AN should be submitted for all claims open for 12 months or longer on June 30th each year. Open means that a FN, SD1 or Court Order has not been filed with the Bureau.

The AN should also be filed on closed claims where additional payments are being made such as medical payments. If no payments were made on the claim during the prior Fiscal Year, then the AN is not required.

The AN Annual Report is a transaction similar to the FN Final Report in that it is a report of all payments and payment types. The FN will close a claim but the AN will not close the claim. It is simply a 'snapshot' of the payment amounts on the claim.

The first step is to identify the claims that should be reported on the Annual Report.

Logic:

- 1. Open Claims: If June 30th minus the date of injury is greater than 12 months (365 days), and the claim status is OPEN, and payments have been made, then AN is required.**
- 2. Closed Claims: If additional payments are being made such as Medical Payments, the AN is required. If no payments were made on a closed claim during the prior Fiscal Year, the AN is not required.**

Then, use the same logic for totaling all payment types that you use for the Final Report.

For example:

\$1500 for TTD; \$700 for TPD; \$1,000 for Physician payments, etc.

Note: If a claim has been reported and no medical and/or indemnity has been paid, the AN is not required for that year.

The annual report should:

Be submitted for all claims open for 12 months or longer on June 30th each year.

Contain a cumulative total of each payment type for the life of the claim.

Be a cumulative total for all payments paid to date through the date the AN is extracted to be sent to the Bureau.

Contain a grand total for each payment type.

Be sent on medical-only claims where medicals are incurred

Be filed on all claims **open** for greater than 12 months, even if the date of injury is prior to EDI implementation.

Be filed by Sept 1st of each year.

Filing sequence for SROI AN – FROI 00 or Form C20 must exist before the AN will be accepted. No other SROI transaction is required to be filed before the AN will be accepted.

SROI AN can be filed mixed in with daily SROI filings, they do not have to be sent in a separate batch.

MTC CODE DATE- The MTC Code Date should be the date the transaction was flagged for transmission.

Examples

REQUIRED If the date of injury is June 1, 2010 and on June 30, 2011, the claim has not been closed, then the AN should be sent by Sept 1st. because the claim is over 12 months old.

Logic: 6/1/2010 to 6/30/2011 is 395 days.

NOT REQUIRED If the date of injury is June 1, 2011 and on June 30, 2011, the claim has not been closed, then the AN is not required because the claim is not 12 months old.

Logic: 6/1/2011 to 6/30/2011 is 29 days.

NOT REQUIRED If the date of injury is August 1, 2010 and on June 30, 2011 the claim has not been closed, then the AN is not required because the claim is not 12 months old. Logic: 8/1/2010 to 6/30/2011 is 333 days.