

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, November 14, 2001, at Suite 1614 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Attending the meeting were board members George Harding, Michael McCroskey, and Henry Fincher.

On motion by Fincher, seconded by McCroskey, the minutes from the October 10, 2001 meeting were approved unanimously.

The Registry had three members present for this meeting and as a result: Requests for reconsideration, Sworn statements and Cases subject to approval for civil penalty orders were put over until the December meeting.

Cases Considered for Issuance of Show Cause Notices

Donald W. Evans, for failure to file a 2001 mid-year lobbying activities report. Class one (1), maximum seven hundred fifty (\$750) civil penalty. Mr. Evans has had no previous matters before the board.

On motion by McCroskey, seconded by Fincher, the board voted unanimously to issue a show cause notice.

Gayle Williams, for failure to file a 2001 mid-year lobbying activities report. Class one (1), maximum seven hundred fifty (\$750) civil penalty. Ms. Williams has had no previous matters before the board.

On motion by Fincher, seconded by McCroskey, the board voted unanimously to issue a show cause notice.

Hamilton County PAC for Education, for failure to timely file 2001 3rd quarter campaign financial disclosure report. Class one (1), maximum fifty (\$50) civil penalty. The organization has had two previous matters before the board.

On motion by Harding, seconded by Fincher, the board voted unanimously to take no action in this matter.

Lockheed Martin Employees PAC, for failure to file 2001 2nd quarter campaign financial disclosure report. Class two (2), maximum ten thousand (\$10,000) civil penalty. The organization has had two previous matters before the board.

On motion by McCroskey, seconded by Fincher, the board voted unanimously to issue a show cause notice.

West Tennessee Republicans, for failure to timely file 2001 3rd quarter campaign financial disclosure report. Class one (1), maximum fifty (\$50) civil penalty. The organization has had no previous matters before the board.

On motion by Fincher, seconded by McCroskey, the board voted unanimously to take no action in this matter.

Tennesseans for Tomorrow, for failure to timely file 2001 3rd quarter campaign financial disclosure report. Class one (1), maximum one hundred fifty (\$150) civil penalty. The organization has had no previous matters before the board.

On motion by McCroskey, seconded by Fincher, the board voted unanimously to issue a show cause notice.

Reported Financial Expenses

Director Rawlins presented the expenditure reports for the 2000/2001 fiscal year through September 30, 2001.

Additionally, Director Rawlins provided the board members with a list of civil penalties that have been paid year to date.

On motion by Fincher, seconded by McCroskey, the board voted unanimously to accept the financial expenditure report as presented.

Executive Director's Report

Director Rawlins provided the board with an opinion from the Attorney General's office about limits on loans from candidate's personal funds to candidate's campaign.

The Attorney General opined that the Registry could not constitutionally enforce the contribution limits to the extent it limits a candidate's right to lend personal funds to his or her campaign. The opinion explained that the term "contribution" as defined under current campaign finance laws includes a loan.

Other Business

Director Rawlins informed the board of the following matters:

- Hotel reservations have been made for the annual COGEL conference scheduled for December 2-5, 2001 in Lexington, Kentucky.
- Mr. John J. Hooker has filed a lawsuit that would prevent candidates from carrying over excess campaign funds from one election to another.

A motion was made by McCroskey, seconded by Fincher, to adjourn the meeting until the next regularly scheduled meeting. The motion passed unanimously.

The board's next regularly scheduled meeting will be Wednesday, December 12, 2001 at 10:30 am. At 1614 Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.