

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, September 13, 2000, at Suite 1614 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Attending the meeting were board members George Harding, Alan Hubbard, Samella Junior-Spence, Michael McCroskey, and Karen Dunavant participating via conference call.

On motion by Junior-Spence, seconded by Hubbard, the minutes from the August 16, 2000 meeting were approved unanimously with McCroskey making a request to have all cases brought before the Registry reflect whether or not they have a previous matter before the board.

Request for Reconsideration

00-01 – Charles Hawk, for failure to file 1999 annual supplemental campaign financial disclosure report. Class two (2), maximum civil penalty \$10,000. Mr. Hawk has had no matters before the board.

The board voted at its February 8 meeting to assess Mr. Hawk a \$5,000 civil penalty after he failed to file his original disclosure report. Mr. Hawk requested a reconsideration of the assessment.

A sworn statement was submitted to the Registry for the board's consideration on August 16. Mr. Hawk explained that because of medical circumstances he has been unable to comply with Registry law. In addition, Mr. Hawk stated that he is willing to do whatever necessary to ensure his compliance.

At the August 16, 2000 meeting, the board voted to continue the matter to allow Mr. Hawk time to file his annual supplemental campaign financial disclosure report.

Director Rawlins informed the board that Mr. Hawk had complied and filed his Campaign Financial Disclosure Report with the Registry, closing out his campaign account.

On motion by McCroskey, seconded by Hubbard, the board voted unanimously to reconsider this matter. A seconded motion was made by McCroskey and seconded by Hubbard to reduce the original civil penalty from \$5000 to \$100, passing unanimously.

Cases Subject to Approval for Civil Penalty

00-38 –Anderson County Democratic Party, for failure to timely file a second quarter 2000 campaign financial disclosure report. Class one (1), maximum civil penalty \$175. The Anderson County Democratic Party was assessed a civil penalty of \$25 in 1996 for failure to timely file a quarterly campaign financial disclosure report.

Director Rawlins informed the board that there had not been a response to the show cause filed by the Anderson County Democratic Party.

On motion by McCroskey, seconded by Dunavant, the board voted 4 to 1 with Junior-Spence voting “no” to assess the committee a \$175 civil penalty.

Cases Considered for Issuance of Show Cause Notices

Robert E. Lee Harris, for failure to timely file a 2000 pre-primary campaign financial disclosure report. Mr. Harris is a candidate for State Representative in the 93rd district. Class one (1), maximum civil penalty \$125. Mr. Harris has had no matter before the board.

A motion was made by Hubbard, seconded by Junior-Spence, the board voted unanimously to take no action in the matter.

Ray Mitchell, for failure to timely file a 2000 pre-primary campaign financial disclosure report. Mr. Mitchell is a candidate for State Representative in the 87th district. Class one (1), maximum civil penalty \$400. Mr. Mitchell has had no matter before the board.

On motion by Hubbard, seconded by Junior-Spence, the board voted unanimously to take no action in this matter.

Dereck Osborne, for failure to timely file a 2000 pre-primary campaign financial disclosure report. Mr. Osborne is a candidate for State Representative in the 50th district. Class one (1), maximum civil penalty \$250. Mr. Osborne has had no matter before the board.

On motion by McCroskey, seconded by Hubbard, the board voted unanimously to issue a show cause in this matter.

Joseph Ellis Saad, for failure to timely file a 2000 pre-primary campaign financial disclosure report. Mr. Saad is a candidate for State Representative in the 56th district. Class one (1), maximum civil penalty \$25. Mr. Saad has had no matter before the board.

On motion by McCroskey, seconded by Junior-Spence, the board voted unanimously to take no action in this matter.

Rodney Curtis, for failure to file a 2000 pre-primary campaign financial disclosure statement. Mr. Mathias is a candidate for State Senate in the 25th district. Class one (1), maximum civil penalty \$25. Mr. Curtis has had no matter before the board.

On motion by McCroskey, seconded by Junior-Spence, the board voted unanimously to issue a show cause in this matter.

Alonzo Grant, for failure to file a 2000 pre-primary campaign financial disclosure statement. Mr. Grant is a candidate for State Representative in the 87th district. Class two (2), maximum ten thousand (\$10,000) civil penalty. Mr. Grant has had no matter before the board.

On motion by McCroskey, seconded by Junior-Spence, the board voted unanimously to issue a show cause in this matter.

Rick Williams, for failure to file a 2000 pre-primary campaign financial disclosure report. Mr. Williams is a candidate for State Representative in the 51st district. Class two (2), maximum ten thousand (\$10,000) civil penalty. Mr. Williams has been before the board on two previous matters.

On motion by Dunavant, seconded by McCroskey, the board voted unanimously to issue a show cause in this matter.

Brenda Woods, for failure to file an appointment of political treasurer. Ms. Woods is a candidate for State Representative in the 80th district. The Registry received a copy of an advertisement placed by the candidate. The Registry sent Ms. Woods a certified letter notifying her of the need for her to file an appointment of political treasurer. Ms. Woods has been before the Registry on two occasions. Class two (2), maximum ten thousand (\$10,000) civil penalty.

On motion by McCroskey, seconded by Dunavant, the board voted unanimously to issue a show cause in this matter.

Bristol Republican Women's Club, for failure to timely file a 2000 2nd quarter campaign financial disclosure report. Class one (1), maximum two hundred seventy five (\$275) civil penalty. The Bristol Republican Women's Club has had no previous matters before the board.

On motion by Hubbard, seconded by Junior-Spence, the board voted unanimously to issue a show cause in this matter.

Joe Six PAC, for failure to file a 2nd quarter 2000 campaign financial disclosure report. Class two (2), maximum ten thousand (\$10,000) civil penalty. This committee has been before the board on two previous matters.

On motion by Junior-Spence, seconded by McCroskey, the board voted unanimously to issue a show cause in this matter.

Service Employees Local 205 COPE Account, for failure to file a 2nd quarter 2000 campaign financial disclosure report. Class two (2), maximum ten thousand (\$10,000) civil penalty. The Service Employees Local committee has had no previous matters before the board.

On motion by McCroskey, seconded by Junior-Spence, the board voted unanimously to issue a show cause in this matter.

Tennesseans for Common Sense, Accountability and Reform, for to timely failure to file a 2nd quarter 2000 campaign financial disclosure report. Class two (2), maximum ten thousand (\$10,000) civil penalty. Director Rawlins advised the board that the committee filed their report on September 6, 2000, closing out the campaign account. This committee has been before the board on one previous matter.

On motion by McCroskey, seconded by Junior-Spence, the board voted unanimously to issue a show cause in this matter.

Tennesseans for Hemp and Cannabis, for failure to file a 2nd quarter 2000 campaign financial disclosure report. Class two (2), maximum ten thousand (\$10,000) civil penalty. This committee has been before the board and assessed civil penalties on two separate occasions.

On motion by McCroskey, seconded by Junior Spence, the board voted unanimously to issue a show cause in this matter.

Urban Agenda PAC, for failure to file a 2nd quarter 2000 campaign financial disclosure report. Class two (2), maximum ten thousand (\$10,000) civil penalty. The Urban Agenda PAC has been before the board, and assessed penalties on a previous matter.

On motion by McCroskey, seconded by Hubbard, the board voted unanimously to issue a show cause in this matter.

Milton Ashford, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum fifty (\$50) civil penalty. Mr. Ashford has no previous history with the board.

On motion by McCroskey, seconded by Junior-Spence, the board voted unanimously to take no action in this matter. A motion to reconsider this matter was then made by McCroskey, seconded by Harding, failed with a 4 to 1 vote with McCroskey voting “no”.

Lorrie Brouse, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum twenty-five (\$25) civil penalty. Ms. Brouse has had no previous matters before the board.

On motion by Dunavant, seconded by Junior-Spence, the board voted unanimously to take no action in this matter.

David Cocke, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum fifty (\$50) civil penalty. Mr. Cocke has had no previous matters before the board.

On motion by Hubbard, seconded by Junior-Spence, the board voted unanimously to take no action in this matter.

Patty Cornwell, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum twenty-five (\$25) civil penalty. Ms. Cornwell has no previous history before the board.

On motion by Junior-Spence, seconded by Hubbard, the board voted unanimously to take no action in this matter.

William B. Gemmill, III, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum two hundred (\$200) civil penalty. Mr. Gemmill has had no previous matters before the board.

On motion by Dunavant, seconded by Junior-Spence, the board voted unanimously to issue a show cause in this matter.

Jerry Johnson, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum twenty-five (\$25) civil penalty. Mr. Johnson has had no matters before the board.

On motion by Junior-Spence, seconded by Hubbard, the board voted unanimously to take no action in this matter.

Carl Lincoln, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum one hundred (\$100) civil penalty. Mr. Lincoln has had no matters previously before the board.

On motion by McCroskey, seconded by Junior-Spence, the board voted unanimously to take no action in this matter.

William Young, for failure to timely file a 2000 mid-year lobbying activities report. Class one (1), maximum seventy-five (\$75) civil penalty. Mr. Young has no previous history with the board.

On motion by Junior-Spence, seconded by Hubbard, the board voted unanimously to take no action in this matter.

Reported Financial Expenses

Director Rawlins presented the expenditure report for the 2000 fiscal year through July 31, 2000.

Additionally, Director Rawlins reported to the board the civil penalties that were paid during the month of July, 2000.

On motion by Harding, Junior-Spence seconding, the board voted unanimously to approve the expenditure report as presented.

Campaign Finance Rules

Director Rawlins informed the board that the proposed campaign finance rules had not been returned by the Attorney General's office, therefore this matter would be taken up at the Registry's next regularly scheduled meeting.

Executive Director's Report

Director Rawlins informed the board of the following matters:

- Board member C. Coulter "Bud" Gilbert resigned from the board, therefore leaving an opening for Michael McCroskey to attend the COGEL conference in Tampa, Florida.

Other Business

Upon Chairperson Dunavant's request, Director Rawlins disseminated copies of salaries in comparable positions to the Executive Director. Harding made a motion based on the fact that the Director Rawlins temporary annual salary of \$82,900 falls in line with comparable positions, to make his temporary salary permanently \$82,900 annually. This motion was seconded by Junior-Spence, and passed with a 4 to 1 vote with Hubbard voting "no".

The board's next regularly scheduled meeting will be Wednesday, October 11, 2000 at 10:30 am. At 1614 Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee. The board members decided that all regularly scheduled board meetings will take place the second Wednesday of every month on a permanent basis.