

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance, for the State of Tennessee, met Tuesday, May 11, 1999, at 1614 Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Attending were: Samella Junior-Spence (Chair), Greg Duckett (via telephone), George Harding, Alan Hubbard and Elizabeth Tarpy.

The minutes of the April 13, 1999 meeting were approved on motion by Harding, seconded by Hubbard.

Show cause hearing:

99-25 - In the Matter of Henry E. Hildebrand, III, for his failure to timely file his 1998 year-end lobbying activities report. Class one (1) civil penalty, maximum civil penalty \$625.

In addition to submitting a sworn statement to the Registry, Mr. Hildebrand personally appeared before the board. Mr. Hildebrand explained to the board that his failure to file his year-end lobbying activities report was a clerical error within his office.

On motion by Harding, and seconded by , the board voted unanimously to assess Mr. Hildebrand a fifty dollars (\$50) civil penalty.

Approval of civil penalty orders:

99-27 – Wade Farmer, for failure to file his post-general campaign financial disclosure report. Class two (2) civil penalty, maximum \$10,000. Civil penalty.

Mr. Farmer Federal Expressed his report the the Registry on the morning of May 10, 1999, however, he failed to include a sworn statement, or any explanation for his untimely filing.

On motion by Hubbard, and seconded by Tarpy, the board voted unanimously to assess Mr. Farmer a one thousand dollar (\$1000) civil penalty.

99-29 - Artie Smith, for his failure to timely file his 1998 post-general campaign financial disclosure report. Class one (1) civil penalty, maximum \$550 civil penalty.

Director Williams asked the board to continue this matter as there was a typographical error in addressing the envelope, therefore resulting in the Show Cause notice being returned to the Registry. Director Williams did not feel that there was sufficient time to resend the letter and provide adequate time for a response from Mr. Smith.

On motion by Harding, and seconded by Hubbard, the board voted unanimously to continue this matter until the Registry's next meeting of Tuesday, June 8, 1999.

99-30 - Gary Creasy, for his failure to file a 1998 supplemental annual campaign financial disclosure report. Class two (2) civil penalty, maximum \$10,000 civil penalty.

On motion by Hubbard, and seconded by Tarpy, the board voted unanimously to assess Mr. Creasy a one thousand dollar (\$1000) civil penalty.

99-32 - Dean Dickey, for his failure to timely file a 1998 supplemental annual campaign financial disclosure report. Class one (1) civil penalty, maximum \$450 civil penalty.

On motion by Hubbard, and seconded by Harding, the board voted unanimously to assess Mr. Dickey a one hundred dollar (\$100) civil penalty.

99-31 - James Yancy, for his failure to file a 1998 post general election campaign financial disclosure report with the Shelby County Election Commission. Class two (2) civil penalty, maximum \$10,000 civil penalty.

On motion by Hubbard, and seconded by Tarpy, the board voted unanimously to assess Mr. Yancy a five hundred dollar (\$500) civil penalty.

99-24 - John Deberry, for his failure to timely file his 1999 annual statement of interests. Class one(1) civil penalty, maximum \$225 civil penalty.

On motion by Hubbard, and seconded by Harding, the board voted unanimously to assess Rep. Deberry a two hundred twenty-five dollar (\$225) civil penalty.

99-26 - George Burger, for his failure to file his 1998 year-end lobbying activities report. Class one (1) civil penalty, maximum \$400 civil penalty.

On motion by Harding, and seconded by Tarpy, the board voted unanimously to assess Mr. Burger a one hundred (\$100) civil penalty.

Issuance of show cause notices:

Rod Wolfe - for accepting excess campaign contributions for the 1998 General Election. After a letter was sent to Mr. Wolfe, he then responded and amended his report.

On motion by Hubbard, and seconded by Duckett, the board voted unanimously to take no action.

GTE Cellular One PAC - for failure to timely file their 1st quarter campaign financial disclosure report. Class one (1) civil penalty, maximum \$25 civil penalty.

On motion by Tarpy, seconded by Hubbard, the board voted unanimously to take no action.

Hickman County Democratic Party - for failure to timely file their 1st quarter campaign financial disclosure report. Class one (1) civil penalty, maximum \$75 civil penalty.

On motion by Harding, and seconded by Tarpy, the board voted unanimously to take no action.

Nashville Firefighters Local 763 - for failure to timely file their 1st quarter campaign financial disclosure report. Class one (1) civil penalty, maximum \$25 civil penalty.

On motion by Harding, and seconded by Hubbard, the board voted unanimously to take no action.

Unicoi County Republican Party - for their failure to timely file a 1st quarter campaign financial disclosure report. Class one (1) civil penalty, maximum \$50 civil penalty.

On motion by Harding, and seconded by Tarpy, the board voted unanimously to take no action.

Piedmont Natural Gas - for failure to timely file their 1st quarter campaign financial disclosure report. Class one (1) civil penalty, maximum \$150 civil penalty.

On motion by Tarpy, and seconded by Hubbard, the board voted unanimously to take no action.

Pan American Electric Inc. PAC - for failure to timely file their 1st quarter campaign financial disclosure report. Class one (1) civil penalty, maximum \$75 civil penalty.

On motion by Hubbard, and seconded by Tarpy, the board voted unanimously to take no action.

Rep. John Deberry - for his failure to file a 1998 post-general campaign financial disclosure report. Class two (2) civil penalty, maximum \$10,000 civil penalty.

On motion by Hubbard, and seconded by Tarpy, the board voted unanimously to issue a show cause in this matter.

Rep. Joe Towns - for his failure to file a corrected 1999 annual statement of interests. Class two (2) civil penalty, maximum \$10,000 civil penalty.

On motion by Hubbard, and seconded by Tarpy, the board voted unanimously to issue a show cause in this matter.

Budget report:

Assistant Director Rawlins presented the board with a budget report for the 1998-99 fiscal year through April, 1999. Rawlins reported to the members that the Registry is spending on the average of about \$24,000 a month, and that if spending remains at the same level for the next four months for this year's budget that there should be around \$25,000 in surplus funds by the end of the fiscal year.

On motion by Harding, and seconded by Tarpy, the board voted to approve the budget report.

Executive Director's Report:

Director Williams passed around an update to the board members advising them of the status of the bills currently before Legislature.

Additionally, Director Williams advised the board of the sunset review hearing.

Other business:

The next meeting was scheduled for Tuesday, June 8, 1999, to begin at 10:30 a.m.