

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Tuesday, December 14, 1999, at Suite 1614 of the Parkway Towers building, 404 James Robertson Parkway, Nashville, Tennessee.

Attending the meeting were board members Karen Dunavant, George Harding, Alan Hubbard and Michael McCroskey.

The minutes of the November 9, 1999 meeting were approved unanimously on motion by Harding, seconded by Hubbard.

Sworn statements submitted for consideration

99-57 - Doug Morris, for failure to file a 1999 supplemental annual campaign financial disclosure report. Class two (2), maximum civil penalty \$10,000.

Mr. Morris sent a statement in which he informed the board that he no longer resides at the last address that he provided to the Registry. Along with his statement, he sent a copy of a utility bill as proof of his address change. The Registry received Mr. Morris' report December 13, 1999 and he has now closed the campaign account.

Mr. Morris' balance of contributions prior to his final report was \$20.17 and outstanding obligations were \$5,388.99. In zeroing out his account, Mr. Morris stated in his final report that the entire obligation had been paid for by his father and that Mr. Morris himself was not going to repay the obligation. However, the obligation is now a contribution from Mr. Morris' father that exceeds the campaign contribution limits. (Mr. Morris was a candidate for state representative in the 1996 primary election, and the maximum contribution that his father could provide to his campaign was \$1,000.)

On motion by McCroskey, seconded by Dunavant, the board voted unanimously to postpone this matter until the January meeting pending further information from Mr. Morris concerning the obligation paid by his father.

Cases subject to approval for civil penalty

99-55 – Donnie Hereford, for failure to file a 1999 pre-general campaign financial disclosure report with the Davidson County Election Commission as a candidate for councilman in the August 5, 1999 Metro General Election. Class two (2), maximum civil penalty \$10,000.

Director Williams informed the Registry members that Mr. Hereford filed the report with Davidson County on December 7, 1999. (The report was originally due July 26, 1999.) The Registry had postponed consideration of this matter at its November meeting to provide Mr. Hereford an additional opportunity to file his report with the county election commission.

On motion by Harding, seconded by Hubbard, the board voted unanimously to assess Mr. Hereford a \$100 civil penalty.

99-60 – Greg Brannick, for failure to file a 1999 supplemental annual campaign financial disclosure report. Mr. Brannick was a candidate for state representative in the August 1996 primary election in Memphis. Class two (2), maximum civil penalty \$10,000.

Director Williams informed the members that Mr. Brannick has still not filed his supplemental annual report, nor has he responded to the show cause hearing notice.

On motion by McCroskey, seconded by Hubbard, the board voted unanimously to assess Mr. Brannick a \$2,500 civil penalty.

99-59 – Jerry Burgess, for failure to timely file a 1999 supplemental annual campaign financial disclosure report. Mr. Burgess was a candidate for district attorney general in Cookeville in the 1998 elections. Class two (2), maximum civil penalty \$10,000.

Director Williams reported that Mr. Burgess filed his disclosure report after the Registry's show cause hearing notice was issued. However, Mr. Burgess did not file a sworn statement for the board's consideration of his case, nor did he request a personal appearance at the December meeting.

On motion by Harding, seconded by McCroskey, the members voted unanimously to assess Mr. Burgess a \$1,000 penalty.

99-56 – Jerral Parris, for failure to timely file a 1999 supplemental annual campaign disclosure report. Mr. Parris was a candidate for Governor in the August 1998 Democratic primary election. Class one (1), maximum civil penalty \$550.

Mr. Parris failed to respond to the Registry's show cause hearing notice issued to him at the November meeting.

On motion by McCroskey, seconded by Hubbard, the board voted unanimously to assess Mr. Parris a \$550 civil penalty.

Cases Considered for Issuance of Show Cause Notices:

Concerned Executives for Healthcare PAC, for failure to timely file a 1999 3rd quarter campaign financial disclosure report. Class one (1), maximum civil penalty \$425.

On motion by Harding, seconded by Hubbard, the board voted unanimously to issue a show cause hearing notice in this matter.

Beale Street Merchants Association PAC, for failure to timely file a 1999 3rd quarter campaign financial disclosure report. Class one (1), maximum civil penalty \$225.

On motion by Hubbard, seconded by Harding, the board voted unanimously to issue a show cause hearing notice.

Home Builders Association of Chattanooga PAC, for failure to timely file a 1999 3rd quarter campaign financial disclosure report. Class one (1), maximum civil penalty \$225.

On motion by Hubbard, seconded by Harding, the board voted unanimously to issue a show cause hearing notice in this matter.

Tennesseans for Hemp and Cannabis, for failure to timely file a 1999 3rd quarter campaign financial disclosure report. Class one (1), maximum civil penalty \$700.

On motion by Harding, seconded by Hubbard, the board voted unanimously to issue a show cause in this matter.

Scott McCleun, for failure to timely file a 1999 supplemental annual campaign disclosure report. Class one (1), maximum civil penalty \$100.

On motion by Harding, seconded by Hubbard, the board voted unanimously to issue a show cause hearing notice in this matter.

Yarboro Sallee, for failure to file a 1999 supplemental annual campaign disclosure report. Class two (2), maximum civil penalty \$10,000.

On motion by Hubbard, seconded by Harding, the board voted unanimously to issue a show cause hearing notice in this matter.

Lawrence Welch, for failure to file a 1999 supplemental annual campaign disclosure report. Class two (2), maximum civil penalty \$10,000.

On motion by Hubbard, seconded by Harding, the board voted unanimously to issue a show cause hearing notice.

Gary Creasy, for failure to file a 1999 supplemental annual campaign disclosure report with the Decatur County Election Commission. (This matter was forwarded to the Registry by Decatur County.) Class two (2), maximum civil penalty \$10,000.

On motion by Harding, seconded by Hubbard, the board voted unanimously to issue a show cause hearing notice in this matter.

Budget Report:

Assistant Director Rawlins presented the board a budget report for July 1, 1999 through October 31, 1999. Rawlins also provided the members a list of individuals and organizations who paid outstanding civil penalties during November.

On motion by Harding, seconded by Hubbard, the board voted unanimously to approve the budget as presented.

Executive Director's Report

Director Williams informed the board that Jim Holcomb has now filed his 1999 lobbyist registration for King Pharmaceutical Co.

Harding made a motion to take no further action in this matter. Director Williams suggested putting off any further consideration or investigation of Mr. Holcomb's failure to timely file a lobbyist registration until the January meeting when all board members should be present.

Harding then amended his motion to delay any action until the next meeting. That motion was seconded by Dunavant, and passed by the board, with Hubbard abstaining.

Director Williams informed the board of the upcoming Campaign Financial Disclosure Seminars that staff will be conducting for 2000 candidates and their campaign treasurers. She explained that all of the county election commission offices, legislators and other candidates who have filed appointment of treasurer statements for the 2000 elections will be notified of the upcoming dates of the seminars. These seminars will be held at the following locations on the following dates:

Knoxville	January 14
Chattanooga	January 21
Memphis	February 11
Nashville	February 19

Other Business

The board voted to delay the election of new officers for 2000 until the January meeting when all Registry members should be present.

The December meeting was adjourned until the next meeting date, which was scheduled for January 11, 1999, at 10:30 a.m. Hubbard will be participating in that meeting by phone.