

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance for the State of Tennessee met Monday, July 14, 1997, at 1614 Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Attending were: Herman Reviere, Karen Dunavant, George Harding, Alan Hubbard and Samella Junior-Spence. Gail Ashworth attended via teleconferencing.

The minutes of the June 9th meeting were approved on motion by Harding and seconded by Junior-Spence.

Sworn Statements:

97-24 - **Lockheed Martin Employees PAC**, for failure to file 1st quarter 1997 PAC report. A sworn statement was submitted by the Director of Government Affairs explaining that the person responsible for preparing and filing the financial disclosure report had left his employment and his replacement was unaware of the deadline. The report has now been filed.

Harding made a motion to assess a penalty of \$500 which failed due to lack of a second. On motion by Ashworth, seconded by Hubbard, the board voted to assess a \$100 class two (2) penalty.

Reconsideration Requests:

97-20 - **Rep. Tommie Brown**. At the May 12th meeting, the board assessed Rep. Brown a \$100 class two (2) civil penalty for failure to timely file her corrected post-general report. Rep. Brown requested that the board reconsider the assessment. She did not personally appear at the meeting, nor did she submit a sworn statement. On motion by Junior-Spence, seconded by Ashworth, the board voted to deny her request for reconsideration.

97-12 - **V.H. Sonnye Dixon**. At the May 12th meeting, the board voted to assess Mr. Dixon a \$1,000 class two (2) civil penalty for failure to file his post primary report. Mr. Dixon sent a letter to the Registry requesting that the board reconsider the assessment. Motion by Harding, seconded by Junior-Spence, the board voted to reconsider. On motion by Harding, seconded by Junior-Spence, the board then voted to rescind the penalty. Ashworth made a motion to assess a \$50 penalty, with Dunavant offering an amendment to assess a \$25 penalty, seconded by Harding. The board voted unanimously to assess a \$25 class two (2) penalty.

Approval of Civil Penalty Orders:

97-26 - **Roscoe Dixon**, for failure to file an annual statement of interests. Class two (2), maximum civil penalty \$10,000. Senator Dixon filed his statement on the morning of the meeting but otherwise failed to respond to the show cause notice. On motion by Hubbard,

seconded by Junior-Spence, the board voted to assess a \$100 penalty, with Mr. Harding voting no.

97-25 - **Tennessee Conservative Union Campaign Fund**, for failure to file 1st Quarter campaign financial disclosure report. Class two (2), maximum civil penalty \$10,000. TCU did not respond to the show cause notice. On motion by Ashworth, seconded by Harding, the board voted unanimously to assess a \$10,000 penalty.

Issuance of Show Cause Notices:

Charles (Pete) Drew, for failure to file a corrected 1996 post general campaign disclosure report. Class two (2), maximum civil penalty \$10,000. On motion by Hubbard, seconded by Dunavant, the board voted to issue a show cause notice.

Campaign Contribution Limits:

The board once again considered Rule 0530-1-2-.02-(1) (prohibition of anonymous contributions) and Rule 0530-1-1.05(1) (attribution of contributions made by written instruments). After discussing a draft of a rewrite of Rule 0530-1-1.05(1), the board delayed finalizing the rules until its September meeting, and requested that staff present another draft of this rule to the board for consideration in September.

Budget Report:

May budget statistics were presented to the board for approval. Motion by Harding, seconded by Junior-Spence, the board voted to accept the budget as presented.

Executive Director's Report:

Director Williams presented information to the board concerning the COGEL seminar to be held in Alberta, Canada on September 14 - 17. The board voted to authorize two staff members and two board members to attend the seminar on motion by Harding, seconded by Ashworth.

Director Williams reported on the status of her D.U.I. cases. Williams pled guilty to 2 D.U.I. charges in Williamson County and received a five day sentence. She was able to serve the sentence during the July 4th holiday and missed only one day and 1 ½ hours from work. Her Davidson county case is still pending. Ms. Williams is continuing to see Dr. Spickard as part of her recovery program.

Other Business:

Barbara Sechler, Executive Secretary, resigned her position on July 9, 1997. The board approved Director Williams' request to fill the vacant position at a salary range of \$20,000 to \$23,000.

Director Williams recommended to the board that Belinda Bannister's annual salary be increased from \$21,000 to \$24,000. Williams reported to the board that Belinda has done an excellent job as secretary during her six months at the Registry and that she will be taking on some of Barbara's computer duties. On motion by Junior-Spence, seconded by Harding, the board voted to accept Director Williams' recommendation.

Director Williams presented pricing information on the purchase of tape recorders to be used to record phone calls made to the Registry involving advisory opinion requests. Motion by Harding, seconded by Junior-Spence, the board voted to purchase two tape recorders for Director Williams and Drew Rawlins telephones. Ashworth voted against the motion.

On motion by Ashworth, seconded by Harding the board voted not to meet in August. The next meeting is scheduled for September 8, 1997 at 10:30 a.m.