

Section Title: Financial Policies

Policy Title: Operating Appropriations

Policy Number: F3.0

- 3.0.10 **Scope and Purpose.** The Commission's enabling legislation requires the Commission to develop policies and formulae for the fair and equitable distribution of public funds among the state's institutions of higher education and to make operating appropriation recommendations to the Governor and General Assembly.
- 3.0.20 **Authority.** The Commission is empowered to develop a comprehensive strategic financial plan for higher education and to develop funding recommendations.
- 3.0.30A **Procedures.** The Commission shall develop, review, approve, and transmit operating appropriation recommendations on an annual basis consistent with the practices and timelines developed in consultation with the Department of Finance and Administration.
- 3.0.30B When developing operating appropriation recommendations, Commission staff shall utilize, but not be limited to, the outcomes-based funding formula model.
- 3.0.30C The Commission shall not recommend operating appropriation levels for individual colleges of applied technology (TCATs) or assert authority over the transfer of funds between TCATs or community colleges deemed necessary by the Board of Regents.
- 3.0.30D When considering recommendations, the Commission may be guided by, but not limited to, the statewide priorities established in the Master Plan and an overall goal to provide a level of operating support such that each institution may fulfill its role and mission with distinction. Funding recommendations may provide recognition of differences in institutional role and mission and may promote access, quality, and increased performance in efficiency and effectiveness.
- 3.0.30E In recognition of limited resources, the Commission may annually establish funding priorities which address the relative importance of all major funding areas, both operational and physical. Such areas may include, but not be limited to, basic operating funds, capital maintenance, qualitative initiatives, equipment, capital outlay, and special programs.

3.0.30F The Commission shall review, approve, and transmit requests for appropriations to support programs of the Tennessee Student Assistance Corporation.

Approved: April 22, 1988

Revised: May 11, 2017

Applicable Statute

T.C.A. § 49-4-207, Tennessee student assistance corporation appropriations

T.C.A. § 49-7-202, state appropriations

T.C.A. § 49-7-1002, higher education funding requests

Section Title: Financial Policies
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- 3.0.10 **Appropriations Formula Scope and Purpose.** The Commission’s enabling legislation requires the Commission to develop policies and formulae for the fair and equitable distribution of public funds among the state’s institutions of higher ~~learning~~ education and to make operating appropriation recommendations to the Governor and General Assembly, taking into account enrollment projections, and recognizing institutional differences as well as similarities in functions, services, academic programs, and levels of instruction. ~~The Commission shall review and adopt formula guidelines and priorities and operating appropriations recommendations on an annual basis.~~
- ~~3.0.10A20~~ **Authority.** ~~The Commission is empowered to develop a comprehensive strategic financial plan for higher education and to develop funding recommendations. The overall goal of the Commission’s funding policies and recommendations shall be to provide a level of operating support such that each institution may fulfill its role and mission with distinction. The funding recommendations should provide recognition of differences in institutional role and mission and should promote access, desegregation, quality, and evaluation of performance.~~
- ~~3.0.10B30A~~ **Procedures.** ~~The Commission shall review~~ develop, review, approve, and adopt ~~transmit formula guidelines and priorities and operating appropriations recommendations on an annual basis~~ consistent with the practices and timelines developed in consultation with the Department of Finance and Administration.
- ~~3.0.30B~~ When developing operating appropriation recommendations, Commission staff shall utilize, but not be limited to, the outcomes-based funding formula model.
- ~~3.0.30C~~ The Commission shall not recommend operating appropriation levels for individual colleges of applied technology (TCATs) or assert authority over the transfer of funds between TCATs or community colleges deemed necessary by the Board of Regents.
- ~~3.0.30D~~ When considering recommendations, the Commission may be guided by, but not limited to, the statewide priorities established in the Master Plan and an ~~The overall goal of the Commission’s funding policies and recommendations shall be to provide a level of operating support such that each institution may fulfill its role and mission with distinction. The funding recommendations should~~ may ~~provide recognition of differences in institutional role and mission and should~~ may ~~promote~~

Comment [T01]: TCA 49-7-202 (f, k, and m)
TCA 49-7-1002

Comment [T02]: TCA 49-7-202 (f and k)

Comment [T03]: TCA 49-7-202 (l)

Comment [T04]: Language changed from “should” and “shall” to “may.”

~~access, desegregation, quality, and evaluation~~ increased of performance in efficiency and effectiveness.

3.0.30E In recognition of limited resources, the Commission ~~shall~~ may annually establish funding priorities which address the relative importance of all major funding areas, both ~~operating-operational~~ and ~~capital~~ physical. Such areas ~~shall~~ may include, but not be limited to, basic operating funds, capital maintenance, qualitative initiatives, equipment, capital outlay, and special programs.

3.0.30F The Commission shall review, approve, and transmit requests for appropriations to support programs of the Tennessee Student Assistance Corporation.

Comment [T05]: TCA 49-4-207

Approved: April 22, 1988

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Applicable Statute

T.C.A. § 49-4-207, Tennessee student assistance corporation appropriations

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