CHECKLIST (To be completed prior to completing Form 1-701)

Completion of Form 1-701, "Analysis of Officers Feloniously Killed and Assaulted," is requested in reference to any officer assault reported to the FBI Law Enforcement Officers Killed and Assaulted (LEOKA) Program as being an **assault with injury** with a firearm or a knife/other cutting instrument.

Age	ency name:
Age	ency address:
Con	ntact person:
	ntact's email:
	ephone number:
Inci	dent number:
A.	Select all applicable.
	 □ Officer was on duty; if off duty, officer was acting in an official capacity at time of incident. □ Officer's injury was sustained directly from an offender's firearm or knife/other cutting instrument. • If both boxes in "A" are selected, continue to the next page to complete Form 1-701. (NOTE: Do not select the SUBMIT button at the bottom of this page. Once Form 1-701 is completed, a SUBMIT button will appear at the end of that report.)
	• If both boxes in "A" <u>are not</u> selected, continue to "B."
B.	Select all applicable.
	 □ Officer was on duty; if off duty, officer was acting in an official capacity at time of incident. □ A firearm or knife/other cutting instrument was used by the offender(s) to threaten the officer; however, the officer received injury from another type of weapon.
	• If both boxes in "B" <u>are</u> selected, submit this completed Checklist to the LEOKA Program by selecting the "SUBMIT" button at the bottom of this page.
	• If both boxes in "B" <u>are not</u> selected, continue to "C."
C.	Select all applicable.
	 □ Officer was off duty and was not acting in an official capacity. □ Officer was not assaulted. □ Officer was assaulted, but not injured. □ Officer was injured by other officer's gunfire. □ Victim was not an officer. □ Firearm or knife/other cutting instrument was incorrectly reported as the weapon. □ Other circumstance (specify)
	• If any box in "C" is selected, amend your agency's LEOKA data submission appropriately and resubmit the amendment to the FBI Uniform Crime Reporting (UCR) Program through your agency's UCR reporting process. In addition, submit this completed Checklist to the LEOKA Program by selecting the "SUBMIT"

button at the bottom of this page.

GUIDELINES FOR COMPLETING FORM 1-701

It is important to understand officer assault data forwarded to the national Uniform Crime Reporting (UCR) Program should only be received for the following:

Normally, officers are employed by local, state, tribal, or federal entities in occupations such as municipal or county police, constables, state police, highway patrol officers, sheriffs and deputies, marshals, and special agents. Their primary duties include responding to routine calls for police service, emergency situations, or crime scenes; performing routine patrol; rendering emergency services; enforcing criminal laws and traffic regulations; and investigating violations of criminal laws and traffic accidents. Individuals employed in local, state, tribal, or federal law enforcement agencies but involved in protective, prosecutorial, or confinement activities, such as federal judges, U.S. attorneys, probations officers, corrections officers, jailers, and prison officials, are not included.

Monthly Law Enforcement Officers Killed or Assaulted (LEOKA) data submissions to the Uniform Crime Reporting (UCR) Program:

Line-of-duty felonious killings, accidental deaths, and assaults on an agency's officers are submitted monthly to the UCR Program utilizing Form 1-705, "Law Enforcement Officers Killed or Assaulted", or by electronic submission. NOTE: Officer killed statistics should <u>not</u> be included in the assault section. (Please refer to pages 109 and 110 of the *Uniform Crime Reporting Handbook*, 2004.)

Form 1-701, "Analysis of Officers Feloniously Killed and Assaulted:"

Completed when the monthly LEOKA data submissions indicate an officer(s) sustained injury with a firearm or a knife/other cutting instrument and the injury was received directly from the firearm or knife/other cutting instrument. NOTE: The LEOKA Program's staff forwards Form 1-701 to the appropriate state UCR Program/individual agency. (Please refer to page 110 of the *Uniform Crime Reporting Handbook*, 2004.)

Criteria for inclusion in the LEOKA Program:

For the FBI LEOKA Program to include a law enforcement officer's assault/death in the statistical data, certain criteria must be met. The officer must:

- ordinarily wear/carry a badge,
- ordinarily carry a firearm,
- have full-arrest powers,
- be paid from government funds set aside specifically for payment of sworn law enforcement representatives,
- have been assaulted/killed in the line of duty while acting in an <u>official capacity</u>, whether on or off duty, and
- for a line-of-duty officer death, the death must be directly related to the injuries received from the incident which occurred.

(Please refer to page 109 of the *Uniform Crime Reporting Handbook*, 2004.)

The LEOKA Program does not include assaults/killings of the following:

(Please refer to page 109 of the *Uniform Crime Reporting Handbook*, 2004.)

- Federal judges
- U.S. attorneys
- Probation officers
- Corrections/Correctional officers
- Jailers
- Prison officials
- Military officers

Definition of "Firearm" for LEOKA purposes:

Weapons which fire a shot by the force of an explosion, e.g., all handguns, rifles, shotguns, and other such devices commonly referred to as firearms. Notable exceptions to this category are BB, pellet, air, or gas-powered guns. (Please refer to page 88 of the *Uniform Crime Reporting Handbook*, 2004.)

Definition of "Knife" or "Other cutting instrument" for LEOKA purposes:

Weapons which are used as cutting or stabbing objects, e.g., knives, razors, hatchets, axes, cleavers, scissors, glass, broken bottles, ice picks, and other such instruments. A ring, key, ball-point pen, etc., should be classified as an "other cutting instrument" only if it was used as a cutting or stabbing object. (Please refer to page 24 of the *Uniform Crime Reporting Handbook*, 2004.)

Completion of Form 1-701:

When notification is received indicating an officer(s) sustained injury with a firearm or a knife/other cutting instrument, the LEOKA Program's staff will request completion of Form 1-701. Some agencies or state UCR Programs may opt to monitor monthly LEOKA data to identify qualifying assaults prior to notification by the LEOKA Program. In these instances, additional copies of Form 1-701 are provided upon request. Upon completion, please forward Form 1-701 to the FBI LEOKA Program via email <leoka.statistics@ic.fbi.gov>, facsimile (304) 625-3566, or mail: LEOKA Program, Crime Statistics Management Unit, CJIS Division, FBI, Module E3, 1000 Custer Hollow Road, Clarksburg, West Virginia 26306-0159. Any questions may be directed to Frankie L. Kelley or Kristi M. Wolford at (304) 625-3521.

When completing Form 1-701, please keep in mind the following:

- Form 1-701 is to be completed in its entirety. If information is unobtainable, please mark as "Unknown."
- "Originating Agency Identifier" refers to the agency's ORI number.
- "Part IX Narrative of Incident" requests a summary concerning the events surrounding the victim officer's assault/death. The narrative should include the following: 1) the events leading to the incident, 2) what occurred during the incident, and 3) details concerning the offender's apprehension. NOTE: If Part IX is incomplete, the details cannot be cross-referenced with other items on Form 1-701.

• If Form 1-701 is not completed in its entirety, the LEOKA Program's staff will correspond with your agency and provide a listing of all incomplete items and/or inconsistencies existing between the completed items on the document and the details provided within Part IX - Narrative of Incident.

Monthly LEOKA data incorrectly reported to the UCR Program:

Completed Form 1-701 is analyzed by the LEOKA Program's staff and determination is made whether the monthly LEOKA data previously submitted to the UCR Program was incorrectly reported. (NOTE: In these instances, Form 1-701 did not require completion and the monthly LEOKA data should be amended to reflect the necessary adjustment(s). The amended report should be forwarded to the state program, if applicable, or to the FBI LEOKA Program.)

- LEOKA Program's criteria are not met. (The agency should amend the monthly LEOKA data by deleting the victim from the LEOKA submission.)
- The victim was functioning in a law enforcement status which is not included in LEOKA statistics, e.g., jailer, corrections officer, etc. (The agency should amend the monthly LEOKA data by deleting the victim from the LEOKA submission.)
- The weapon used by the offender did not meet UCR definitions of a firearm or a knife/other cutting instrument, and/or the weapon type was incorrectly classified. (The agency should appropriately amend the monthly LEOKA data to change the classification of the weapon type.)

A listing of common scenarios when it is necessary to amend the monthly LEOKA data:

- Victim was assaulted, but did not receive injury.
- Victim was not assaulted.
- Victim was injured by another officer's gunfire.
- Victim was functioning in a law enforcement status which is not included in LEOKA statistics, e.g., jailer, corrections officer, etc.
- A firearm or a knife/other cutting instrument was not at the scene of incident.
- A firearm or a knife/other cutting instrument was at the scene, but was not used to threaten the officer, e.g., the officer received injury while searching the subject. (In this instance, the subject did not use the weapon to threaten or injure the victim officer.)
- Weapon was a BB gun or pellet gun. (In this instance, the correct weapon classification would be "Other dangerous weapon.")

Monthly LEOKA data is reported correctly to the UCR Program; however, Form 1-701 does not require completion:

A firearm or a knife/other cutting instrument was used at the scene to threaten the officer, but the officer was injured with another type of weapon.

Law Enforcement Officers Killed and Assaulted Program ANALYSIS OF OFFICERS FELONIOUSLY KILLED AND ASSAULTED

Form 1-701

(OMB NO. 1110-0009)

Version 1.0 Document Date: 01/27/2014

Prepared by:
Law Enforcement Support Section (LESS)
Crime Statistics Management Unit (CSMU)
Law Enforcement Officers Killed and Assaulted (LEOKA) Program

Definitions

Racial categories:

White

A person having origins in any of the original peoples of Europe, the Middle East, or North Africa

O Black or African American

A person having origins in any of the black racial groups of Africa

American Indian or Alaska Native

A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment

Asian

A person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam

o Native Hawaiian or Other Pacific Islander

A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands, e.g., individuals who are Carolinian, Fijian, Kosraean, Melanesian, Micronesian, Northern Mariana Islander, Palauan, Papua New Guinean, Ponapean (Pohnpelan), Polynesian, Solomon Islander, Tahitian, Tarawa Islander, Tokelauan, Tongan, Trukese (Chuukese), and Yapese. (NOTE: The term "Native Hawaiian" does not include individuals who are native to the state of Hawaii simply by virtue of being born there.)

Ethnicity category:

O Hispanic or Latino

A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term "Spanish origin," can be used in addition to "Hispanic or Latino."

Form Instructions

- All applicable items on Form 1-701 should be completed appropriately. If necessary, refer to one or more of the following when completing Form 1-701: victim officer's personnel file, victim officer's death certificate or coroner's report, agency's incident report, and/or agency's policy files.
- For items with options, mark the most appropriate response in regard to the incident.
- For items requiring a "fill in the blank" answer, complete appropriately; however, if the information is not available, complete with "Unknown" rather than leaving the item blank.
- ❖ For items regarding distance, complete as accurate as possible. These items should not be completed with a range of distance.
- ❖ Definitions for race and ethnicity categories are available on Page ii.
- This form should be completed by the person(s) most knowledgeable about the incident.
- ❖ Keep a copy of the completed form for your records.

If there are any questions, please contact the FBI, Criminal Justice Information Services Division, Attention: LEOKA Program, Module E-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0159; telephone (304) 625-3521, or email LEOKA Program staff at <leoka.statistics@ic.fbi.gov>. Under the Paperwork Reduction Act, Form 1-701 is not required to be completed unless it contains a valid OMB control number. Form 1-701 takes approximately one hour to complete.

Law Enforcement Officers Killed and Assaulted Program ANALYSIS OF OFFICERS FELONIOUSLY KILLED AND ASSAULTED

This report is authorized by law Title 28, Section 534, U.S. Code. Please use this form to report circumstances and other details regarding law enforcement officers from your department who were feloniously killed or assaulted and injured with a firearm or a knife/other cutting instrument. The information you submit will assist the FBI in the compilation of the annual publication, *Law Enforcement Officers Killed and Assaulted*, and will also provide valuable data for law enforcement purposes, including officer training. The anticipated release date of the annual publication is October of the year following the year of death or assault. Previously released annual publications may be accessed on the Internet at http://www.fbi.gov/about-us/cjis/ucr/leoka. Your cooperation, time, and effort are appreciated.

DATA PERTAINING TO VICT	M OFFICER'S AGENCY			
Agency				
Originating Agency Identifi	ier (ORI)			
Head of agency				
Rank/Titl	e First	Middle (If no middle r	name, indicate 'NMN.')	Last
Agency address	ailing address	City	State	Zip code
		- 3		1
Victim officer's assigned off Precinct, district, troop	fice (Do not complete if in			
Head of assigned office				
	Rank/Title		(If no middle name, indicate 'NMN.')	Last
Address of assigned off	ice			
	Mailing address	City	7 State	Zip code
Гуре of agency				
☐ City		_	Federal	1.
☐ County ☐ State			Tribal (non-federal or Other (specify)	
General Data Pertainin	C TO INCIDENT		(1)	
	G TO INCIDENT			
Type of incident			DO NOT W	RITE HERE
☐ Felonious killing			File Number	
☐ Assault with injury☐ Firearm			Incident Number	
Knife/other cuttOther dangerous			Group	
	ns (hands, fists, feet, etc.))	Region	
			Division	
			Received	
			Entered	

Agency incident or case number		
Date of incident / /		
Time of incident(Military hhmm)		
Number of hours on duty prior to incident		
Location of incident City		
County		
State Country		
Type and description of location of incident	Location of initial contact with offender(s)	Location of attack on victim officer
Type of location		
Residential		
Commercial		
Government		
Public space		
Highway/road/alley/sidewalk		
Lakes/rivers/parks		
Other public space (specify)		
Other (specify)		
Description of location		
Inside of structure		
Outside		
PART I – PERSONAL DATA PERTAINING TO VICTI	M OFFICER	
1.1 Name First M	fiddle (If no middle name, indicate 'NMN.')	Last
		Lust
1.3 Date of birth / /	_	
1.4 Height /		
1.5 Weight lbs		

1-701 (Rev. 01-27-2014) OMB NO. 1110-0009 Expires 08-31-2017 1.6 Sex ☐ Male ☐ Female 1.7 Race ☐ White ☐ Black or African American ☐ American Indian or Alaska Native □ Asian ☐ Native Hawaiian or Other Pacific Islander 1.8 **Ethnicity** ☐ Hispanic or Latino ☐ Not Hispanic or Latino Total law enforcement experience at time of incident _____ / __ 1.9 1.10 Was victim officer certified/licensed by federal, regional, state, local, or POST (Police Officer Standard Training) academy? ☐ Yes ■ No 1.11 Number of months since officer's last firearm training _____ (Enter 0 if no training received.) 1.12 Number of months since officer's last driver training _____ (Enter 0 if no training received.) 1.13 Number of months since officer's last street survival training _____ (Enter 0 if no training received.) 1.14 In the 48 hours immediately preceding incident, how many hours did victim officer work in a law **enforcement capacity?** _____ hours 1.15 In the 48 hours immediately preceding incident, how many hours did victim officer work in a nonlaw enforcement capacity? ____ hours PART II - CIRCUMSTANCES SURROUNDING INCIDENT 2.1 Death or injury occurred while victim officer was ☐ Conducting self-initiated activity ☐ Answering call for service Type of assignment 2.2 ■ Undercover ☐ One-officer patrol ☐ Two-officer patrol ☐ Court/prisoner security ☐ Investigative/detective ☐ Overtime/extra duty activity ☐ Tactical assignment (uniformed) ☐ Off duty, but acting in an official capacity ☐ Plainclothes assignment ☐ Other (specify) _____ ☐ Special assignment (specify) _____ 2.3 Victim officer's mode of transportation ☐ Car/truck/SUV ■ Watercraft ☐ Motorcycle ☐ Undercover vehicle ☐ Foot ☐ Personal vehicle □ Bicycle ☐ Aircraft

☐ Mounted

☐ Other (specify) _____

	2.3.1	Mode of transportation ☐ Marked ☐ Unmarked ☐ Not applicable		
2.4	Involve	ment of other officers at time of incident		
		Alone, no assistance requested		
		Alone, assistance requested		
		Assisted by other officer(s)		
2.5	Did vict	im officer contact radio dispatch at any point dur	ing i	ncident?
		Yes		
	<u> </u>	At what point during attack did victim officer ini Before During After Unknown No Unknown	<u>tially</u>	<u>v</u> contact dispatch?
2.6		imately how much time elapsed from initial conta	ct be	tween victim officer and offender until
	actual a		_	W.1. 4.40
		Sudden/blitz		Within 1-10 minutes
	_	Within 10-30 seconds		Longer than 10 minutes
		Within 30-60 seconds		Unknown
2.7		imate distance (in feet) between victim officer and attacked Unknown	offe	nder(s) at time victim officer was

2.8 Select one from each column.

	Call for service or reason for involvement	Circumstance encountered upon arrival at scene of incident	Specific activity being performed at time of attack
Citizen complaint			
Animal bite			
Animal disturbance (barking dog, unleashed dog, etc.)			
Verbal complaints of non-criminal violations			
Check on welfare of citizen			
Drug complaint			
Business check			
Traffic complaint			
Respond to crime in progress			
Assault			
Robbery			
Burglary			
Larceny-theft			
Motor vehicle theft			
Person with firearm (no shots fired)			
Shooting/shots fired			
Tampering with vehicle			
Other crime against person			
Other crime against property			
(Continued on next page)			

	Call for	Circumstance	Cnocific
	service or	encountered	Specific activity being
	reason for	upon arrival	performed at
	involvement	at scene of	time of attack
	myorvement	incident	time of attack
Respond to report of crime		meracii	
Homicide			
Assault			
Robbery			
Burglary			
Larceny-theft			
Motor vehicle theft			
Person with firearm (no shots fired)			
Shooting/shots fired			
Tampering with vehicle			
Other crime against person			
Other crime against person Other crime against property			
Other errine against property			
Assist another law enforcement officer in	+		
Officer down (requiring emergency assistance)			
Officer requires emergency assistance (not pursuit)	 		
Vehicular pursuit	 		
Foot pursuit	 		
Other emergency circumstances	 		
Providing/deploying equipment (traffic cones,			
flares, etc.)			
Other non-emergency circumstances			
Other non-emergency encumstances			
Respond to alarm			
Burglary			
Robbery	 		
Robbery	_	_	_
Disorder/disturbance			
Civil disorder (mass disobedience, riot, etc.)			
Disturbance call (disorderly subjects, fights, etc.)	 		
Domestic disturbance (family quarrels, no assault)	 		
Domestic violence			
Domestic violence			
Investigative/enforcement			
Investigative activity			
Investigate suspicious persons or circumstances			
Investigate possible DUI/DWI suspect (operating a	_		_
vehicle)			
Felony traffic stop			
Traffic violation stop			
Investigate motor vehicle crash	 		
Wanted person	 		
Handling persons with mental illness			
Tactical situation			
Undercover situation			
Drug-related matter (drug busts, buys, etc.)			
(Continued on next page)	_	–	

	Call for service or reason for involvement	Circumstance encountered upon arrival at scene of incident	Specific activity being performed at time of attack
Arrest situation			
Verbal advisement only			
Attempting to restrain, control, or handcuff offender			
Pursuit			
Vehicular			
Foot			
Ambush (entrapment/premeditation)			
Unprovoked attack			
Encounter or assist an emotionally disturbed person			
Traffic control (crash scene, directing traffic, etc.)			
Assist motorist			
Administrative assignment			
Prisoner transport			
Other administrative assignment			
Other (specify)			
Complete following items if incident was related to prisoner if not applicable.)	r transport circu	mstance. (Skip to	Item 2.10

2.9

2.9.1	Prisone	er was being transported in
		Prisoner transport wagon
		Prisoner transport bus
		Patrol vehicle
		Unmarked vehicle
		Other (specify)
2.9.2	Was tra	ansporting vehicle equipped with prisoner partition?

☐ Yes ☐ No ☐ Unknown

Was prisoner searched by transporting officer prior to being put in vehicle? 2.9.3

☐ Yes ☐ No ☐ Unknown

2.10 Complete following items if activity being performed at time of attack was related to traffic stop (including investigation of DUI). (Skip to Part III if not applicable.)

2.10.1	Was traffic stop videotaped?			
	□ Yes			
	□ No			

2.10.2 **Location of offender(s)** at time of attack (Complete additional sheets if more than two offenders.)

	Offender's name	e	Location of offender(s) at time of attack
			Seated in
			☐ Suspect vehicle
First	Middle	Last	☐ Victim officer's vehicle
			Seated outside in vicinity of suspect vehicle
			☐ Front driver's side
			☐ Front passenger's side
			☐ Rear driver's side
			☐ Rear passenger's side
			Seated outside in vicinity of victim officer's vehicle
			☐ Front driver's side
			☐ Front passenger's side
			☐ Rear driver's side
			☐ Rear passenger's side
			Standing in vicinity of suspect vehicle
			☐ Front driver's side
			☐ Front passenger's side
			☐ Rear driver's side
			☐ Rear passenger's side
			Standing in vicinity of victim officer's vehicle
			☐ Front driver's side
			☐ Front passenger's side
			Rear driver's side
			☐ Rear passenger's side
			Unrestricted movement outside of
			☐ Suspect vehicle
			☐ Victim officer's vehicle
			Prone
			On ground
			On vehicle/object
			Other (specify)
			☐ Unknown

Additional offender's name			Location of offender(s) at time of attack
			Seated in
			☐ Suspect vehicle
First	Middle	Last	☐ Victim officer's vehicle
			Seated outside in vicinity of suspect vehicle
			☐ Front driver's side
			☐ Front passenger's side
			☐ Rear driver's side
			☐ Rear passenger's side
			Seated outside in vicinity of victim officer's vehicle
			☐ Front driver's side
			☐ Front passenger's side
			☐ Rear driver's side
			☐ Rear passenger's side
			Standing in vicinity of suspect vehicle
			☐ Front driver's side
			☐ Front passenger's side
			☐ Rear driver's side
			☐ Rear passenger's side
			Standing in vicinity of victim officer's vehicle
			☐ Front driver's side
			☐ Front passenger's side
			☐ Rear driver's side
			☐ Rear passenger's side
			Unrestricted movement outside of
			☐ Suspect vehicle
			☐ Victim officer's vehicle
			Prone
			☐ On ground
			☐ On vehicle/object
			Other (specify)
			Unknown

2.10.3 Location of victim officer at time of attack

Seated in	n victim officer's vehicle
	Prior to approaching suspect vehicle
	After obtaining contact with offender(s)
Approac	ching suspect vehicle on
	Driver's side
	Passenger's side
Standing	g in vicinity of suspect vehicle
	Front driver's side
	Front passenger's side
	Rear driver's side
	Rear passenger's side
Standing	g in vicinity of victim officer's vehicle
	Front driver's side
	Front passenger's side
	Rear driver's side
	Rear passenger's side
Other	
	Approaching offender
	Returning to victim officer's vehicle

PART III – ENVIRONMENTAL FACTORS

3.1	Weathe	er conditions at time of incident		
		Clear		Severe crosswinds
		Cloudy		Hurricane
		Fog, smoke, smog		Tornado
		Rain		Blowing sand, soil, dirt
		Flooding		Other (specify)
		Sleet, hail		Unknown
		Snow		Not applicable (indoors)
		Blizzard	_	Not applicable (fildoors)
	_	Blizzard		
3.2	Lightin	g conditions at location of incident		
3.2	_	Dawn		Dark
		Daylight		Artificial
		Dusk		Unknown
	_	Dusk	_	Chillown
	3.2.1	Would lighting conditions have been considered of	lim (or noor?
	3.2.1	☐ Yes		poor.
		□ No		
		☐ Unknown		
3.3	Were ta	ake down lights utilized?		
		Yes		
		No		
		Unknown		
3.4	Was co	ver available to victim officer?		
		Yes		
		Was it used?		
		Yes, description of actual cover used		
		□ No		
		☐ Unknown		
		No		
3.5	Was co	ver used by offender(s)?		
		Yes, description of actual cover used		
		No		
		Unknown		
PART I	V – INJ	URIES CONNECTED TO INCIDENT		
4.1	Locatio	on of injuries inflicted upon victim officer (select all	appl	licable)
		Front head		Rear lower torso/back
		Rear head		Front below waist/groin area
		Side head		Rear below waist/buttocks
		Neck/throat		Arms/hands
				Front legs/feet
		Front upper torso/chest		Front legs/reet
		Rear upper torso/back		Rear legs

4.2		ctim officer killed in incident?
		Yes Indicate one ground location calcuted in Item 4.1 or fatal injury
		Indicate one wound location selected in Item 4.1 as fatal injury Unable to determine
		Date of victim officer's death /
	_	
		No
		How severe were victim officer's injuries? Superficial (treated at scene of incident)
		☐ Minor (treated at hospital and released)
		☐ Moderate (admitted to hospital)
		☐ Severe (admitted to hospital with critical injuries)
		Has victim officer returned to duty?
		□ Yes □ No
		Is victim officer expected to be permanently disabled?
		☐ Yes
		□ No
		☐ Unknown
PART	V – Pro	DTECTIVE/SAFETY EQUIPMENT
5.1		ctim officer <u>required</u> to wear protective body armor at time of incident? Yes No
	_	
5.2		ctim officer wearing protective body armor at time of incident?
		Yes No
		What was indicated as possible reason why victim officer was not wearing protective body
		armor? (Select one then skip to 5.3.)
		☐ Excessive heat or humidity ☐ Off duty
		☐ General discomfort ☐ Other (specify)
		☐ Undercover assignment ☐ Unknown
	5.2.1	What was classification of protective body armor? (If two or more different levels of
		protection, indicate minimum ballistic protection.)
		□ Type III
		☐ Type IIA ☐ Type IV ☐ Special type (specify)
		☐ Type IIIA ☐ Unknown
		**

-	stective body armor prevent round(s) or cutting instrument(s) from entering victim
	s body? Yes
_	Could the round(s) or cutting instrument(s) have caused a mortal wound?
	Yes
	□ No
	Did victim officer receive injuries due to blunt force trauma?
	Yes
	□ No
	No
	How did round(s) or cutting instrument(s) circumvent protective body armor?
	☐ Entered between side panels of vest
	☐ Entered through armhole or shoulder area of vest
	☐ Entered above vest (front or back of neck, collarbone area, etc.)
	☐ Entered below vest (abdominal or lower back area)
	☐ Penetrated through vest (round more powerful than vest's
	capabilities/specifications)
	☐ Penetrated through vest (protective body armor failure)
	Number of times protective body armor was circumvented
5.3 Was victim offic	cer wearing uniform at time of incident?
☐ Yes	······································
Type of	f uniform
· -	Patrol
	Utility
	Tactical
	Other (specify)
	here obvious markings on uniform that would have identified victim officer as law
enforce	
	Yes
	No
	Unknown
	y color of uniform
□ No	
	tim officer verbally identify him/herself as law enforcement?
	Yes
	No Unknown
	her identification evident?
_	Yes
-	Description of other identification
	☐ Badge displayed
	☐ Vest with law enforcement markings
	Raid jacket with law enforcement markings
	Armband
	Other (specify)
	No
ā	Unknown
_	

5.4				OMB NO. 1110-000 Expires 08-31-20
	Did victim officer use ballistic shield during Yes	incident?		
	Did ballistic shield successfully deflet	n)		
	☐ Not applicable (not equipped with bal	listic shield)		
The foll	I – WEAPON USE DURING INCIDENT owing items refer to weapons brought to scene weapons during incident.	of incident by victim offi	cer and victim officer'	s use of
6.1	Indicate all that apply for each column.	Weapon(s) victim officer had upon arrival at scene of incident	Weapon(s) victim officer <u>used</u> during incident	Weapon(s) victir officer <u>attempte</u> <u>to use</u> during incident
	Service weapon (firearm)			
	Backup firearm			
	Additional firearm			
	Baton			
	Knife			
	(blade length in inches) Other cutting instrument			
	(specify)	<u> </u>		
	Bean bag gun			
	Conductive energy device (Taser, etc.)			
	Chemical spray (Mace, pepper spray, etc.)			
	Other			
	(specify)			
	None			

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Was victim officer wearing holster at time of incident?

6.2

If yes, type of ho Ser	vice weapon (firearm)	Backup	firearm		
☐ Duty/tactica		□ Duty/tactical	in cur m		
☐ Cro		☐ Crossdraw			
☐ Sidedraw		☐ Sidedraw			
☐ Thi		☐ Thigh			
Concealment		☐ Concealment			
	de waist band (IWB)		☐ Inside waist band (IWB)		
☐ Sho	0.000	☐ Shoulder	-!		
☐ Belly band/waistpack☐ Ankle		☐ Belly band/wa ☐ Ankle	aistpack		
☐ Gro		Groin			
□ Poc		□ Pocket			
	ify)				
☐ No holster	•	☐ Did not have backup			
		□ No holster			
Retention level	classification	Retention level classificat	ion		
)		(e.g., 1,2,3, etc.)		
Description	Handgun	Backup firearm Handgun	Additional firear Handgun		
Description					
	☐ Rifle	☐ Rifle	☐ Rifle		
	☐ Rifle☐ Shotgun	☐ Rifle ☐ Shotgun	☐ Rifle☐ Shotgun		
Make					
Model					
Model Cartridge type					
Model Cartridge type (include					
Model Cartridge type (include caliber)					
Model Cartridge type (include caliber) Barrel length					
Model Cartridge type (include caliber) Barrel length (in inches)	Shotgun	Shotgun ———————————————————————————————————	Shotgun		
Model Cartridge type (include caliber) Barrel length					
Model Cartridge type (include caliber) Barrel length (in inches)	□ Shotgun □ Automatic	Shotgun Automatic	□ Shotgun □ Automatic		
Model Cartridge type (include caliber) Barrel length (in inches)	□ Shotgun □ Automatic □ Semiautomatic	□ Shotgun □ Automatic □ Semiautomatic	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump		
Model Cartridge type (include caliber) Barrel length (in inches)	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action		
Model Cartridge type (include caliber) Barrel length (in inches) Type	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action		
Model Cartridge type (include caliber) Barrel length (in inches) Type Location of	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person		
Model Cartridge type (include caliber) Barrel length (in inches) Type Location of weapon at time	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered		
Model Cartridge type (include caliber) Barrel length (in inches) Type Location of	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered □ Not holstered	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered □ Not holstered	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered □ Not holstered		
Model Cartridge type (include caliber) Barrel length (in inches) Type Location of weapon at time	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered	□ Shotgun □ Automatic □ Semiautomatic □ Revolver □ Pump □ Bolt action □ Lever action □ On person □ Holstered		

6.3.1	When did victim officer use or at □ Prior to offender initiating □ About same time as attack □ After offender initiated att □ Unknown	an attack was initiated	uring incident?
6.3.2	Did victim officer experience diff ☐ Yes ☐ No	iculty in retrieving his/her firear	rm(s) during incident?
	If yes, indicate specific reason.		
	Service weapon (firearm)	Backup firearm	Additional firearm
	☐ Disarmed by offender(s)	☐ Disarmed by offender(s)	☐ Disarmed by offender(s)
	☐ Severely injured	☐ Severely injured	☐ Severely injured
	Physically prevented from	☐ Physically prevented from	Physically prevented from
	retrieving weapon	retrieving weapon	retrieving weapon
	☐ Experienced difficulty or	☐ Experienced difficulty or	Experienced difficulty or
	delay in removing weapon	delay in removing weapor	
	from holster	from holster	from holster
	☐ Weapon was not on person	☐ Weapon was not on person	
	(stored in vehicle, briefcase,	,	(stored in vehicle, briefcase,
	or other location)	briefcase, or other location	
	Other (specify)	Other (specify)	
	☐ Unknown	Unknown	
		☐ Did not have backup firearm	Did not have additional firearm
6.3.3	Did victim officer's firearm(s) ma □ Yes □ No If yes, indicate specific reason for		ed use?
	Service weapon (firearm)	Backup firearm	Additional firearm
	☐ Faulty ammunition	☐ Faulty ammunition	☐ Faulty ammunition
	☐ Improper ammunition	☐ Improper ammunition	☐ Improper ammunition
	☐ No round in chamber	☐ No round in chamber	☐ No round in chamber
	☐ Stovepiped	☐ Stovepiped	☐ Stovepiped
	Other (specify)	☐ Other (specify)	Other (specify)
	Unknown	☐ Unknown ☐ Did not have backup firearm	☐ Unknown ☐ Did not have additional firearm
	Did malfunction occur after	Did malfunction occur after	Did malfunction occur after
	initial round was fired?	initial round was fired?	initial round was fired?
	Yes	Yes	☐ Yes
	□ No	□ No	□ No
	☐ Unknown	☐ Unknown	☐ Unknown

6.3.4	Did victim officer fire his/her firearm(s) during incident? — Yes
	At what point did victim officer use firearm(s)?
	☐ Before receiving injury
	☐ About same time as receiving injury
	☐ After receiving injury
	☐ Unknown
	Number of rounds fired by victim officer
	Did rounds hit offender(s)?
	Yes, number of rounds
	□ No
	Unknown
	If rounds struck offender(s), what was effect? ☐ No effect
	☐ Tended to irritate/enrage
	☐ Caused disabling effect
	Other (specify)
	☐ Unknown
	Approximate distance (in feet) between victim officer and offender(s) at time victim
	officer fired his/her firearm(s) □ Unknown
	□ No
6.3.5	Did victim officer carry extra ammunition on his/her person during incident? ☐ Yes ☐ No
6.3.6	Did victim officer reload?
	□ Yes
	Type of reloading device
	☐ Magazine☐ Speed loader
	Loose rounds
	Other (specify)
	No
If victi	m officer used any weapon other than firearm during incident, what was effect on offender(s)?
	No effect Other (specify)
	Tended to irritate/enrage Unknown
_	Caused disabling effect Caused disabling effect Not applicable
If assis	ted at scene of incident, did other officers fire at offender(s) at scene of initial attack? Yes
	Number of rounds fired by assisting officers
	Did any rounds hit offender(s)?
	Yes, number of rounds
	□ No
_	☐ Unknown
	No
	Not applicable

6.4

6.5

The following items refer to weapons carried and/or used by offender(s) during incident.

6.6

U	Yes
	No
	Unknown

Did victim officer have prior knowledge that a weapon might be involved?

6.7 Indicate all that apply for each column for weapons brought to scene of incident by *victim officer* and/or used against victim officer.

	Weapon(s) offender(s) took/disarmed from victim officer during incident	Victim officer's weapon(s) used by offender(s) to kill/assault victim officer	Victim officer's weapon(s) taken from scene of incident by offender(s)
Service weapon (firearm)			
Backup firearm			
Additional firearm			
Baton			
Knife			
(Indicate blade length in inches)			
Other cutting instrument			
(specify)			
Bean bag gun			
Conductive energy device (Taser, etc.)			
Chemical spray (Mace, pepper spray, etc.)			
Other			
(specify)			
None			

6.8 Indicate all that apply for each column in reference to weapons brought to scene of incident by offender(s) and their use against victim officer.

	Weapon(s) offender(s) had upon arrival at scene of incident	Offender's weapon(s) used to kill/assault victim officer
Firearm		
Additional firearm		
Knife		
(indicate blade length in inches)		
Other Cutting Instrument		
(specify)		
Bomb		
Blunt Instrument		
Personal weapons (hands, fists, feet, etc.)		
Vehicle		
Other		
(specify)		
None		

6.8.1 Description of offender's firearm(s) used to kill/assault victim officer Firearm Additional firearm Description ☐ Handgun ☐ Handgun ☐ Rifle ☐ Rifle ☐ Shotgun ☐ Shotgun ☐ Not applicable Make Model Cartridge type (include caliber) Barrel length (in inches) Type ☐ Automatic ☐ Pump ☐ Automatic ☐ Pump ☐ Semiautomatic ☐ Bolt action ☐ Semiautomatic ☐ Bolt action ☐ Lever action ☐ Revolver ☐ Revolver ☐ Lever action Was offender's ☐ Yes ☐ Yes ☐ No weapon altered from ☐ No ☐ Unknown ☐ Unknown its manufactured state? If killed, which firearm caused fatal injury? The following items refer to incidents in which a firearm (the victim officer's or the offender's) was used to kill/assault victim officer. Number of rounds fired by offender(s) _____ ☐ Unknown 6.9 6.10 Number of rounds that struck victim officer _____ ☐ Unknown Approximate distance (in feet) between victim officer and offender(s) at time offender fired weapon 6.11 ☐ Unknown 6.12 Was firearm recovered? ☐ Yes □ No ☐ Unknown 6.13 If rounds were fired by victim officer and offender, who fired first? ☐ Victim officer ■ Offender ☐ Unknown □ Not applicable (victim officer did not fire)

PART VII - OFFENDER(S) INVOLVED IN INCIDENT

7.1	Number of individuals determined by law enforcement investigation to have participated in or aided and abetted the killing/assault of victim officer
	VIII – OFFENDER DATA DE PART VIII FOR EACH OFFENDER)
8.1	Is offender known? ☐ Yes ☐ No (skip to Part IX)
8.2	Offender's name First Middle (If no middle name, indicate 'NMN.') Last
8.3	Offender's date of birth / / (mm/dd/yyyy)
8.4	Offender's height /
8.5	Offender's weight lbs
8.6	Offender's sex Male Female
8.7	Offender's race ☐ White ☐ Black or African American ☐ American Indian or Alaska Native ☐ Asian ☐ Native Hawaiian or Other Pacific Islander ☐ Unknown
8.8	Offender's ethnicity ☐ Hispanic or Latino ☐ Not Hispanic or Latino
8.9	Is offender a United States citizen? ☐ Yes ☐ No, year offender last entered United States ☐ Unknown ☐ Unknown
8.10	Was offender born in United States? ☐ Yes, name of state ☐ No, name of United States Territory or foreign country ☐ Unknown
8.11	Offender's current or last known residence City County State Country

8.12	Offender's current status (select all applicable) ☐ At large
	☐ Arrested Date of arrest /
	Charges placed against offender
	 Wounded Method of receiving wounds □ By victim officer □ By assisting officer(s) □ By officer(s) at other scene of incident □ By civilian(s) □ Other circumstances (specify)
	□ Deceased Method of death □ Justifiably killed by victim officer □ Justifiably killed by assisting officer(s) □ Justifiably killed by officer(s) at other scene of incident □ Killed by civilian(s) □ Committed suicide □ Died under other circumstances (specify) Date of death //
	☐ Other (specify)
8.13	Can this incident be categorized as a suicide by cop? Yes Select one Suicide by cop Suspected suicide by cop Attempted suicide by cop No
8.14	Offender's FBI Number \square None

8.15	Was restraint device on offender prior to attack?					
	□ Yes					
	Type of restraint device (select all applicable)					
	☐ Handcuffs in front					
	☐ Handcuffs in back					
	Leg shackles					
	Other device (specify)					
	Did offender manage to defeat/manipulate restraint device?					
	☐ Yes					
	Maneuvered handcuffs from back to fr	ont				
	☐ Slipped handcuffs					
	Unlocked handcuffs					
	Other (specify)					
	□ No	_				
	□ No					
8.16	Offender's type of judicial supervision at time of incident					
	☐ Conditional release, pending criminal prosecution		Escapee from penal institution			
	☐ Probation		Other (specify)			
	☐ Parole		None			
	☐ Halfway house		Unknown			
	☐ Serving time in penal institution					
8.17	Was offender known to your department at time of incide	ent?				
	☐ Yes					
	Offender was known as (select all applicable)					
	☐ Controlled substance user					
	☐ Controlled substance dealer					
	☐ Controlled substance possessor					
	☐ Known or suspected terrorist (domestic or	interr	national)			
	☐ Known or suspected gang member		,			
	Other (specify)					
	□ No					
8.18	applicable)					
	☐ Alcohol		PCP			
	BAC, if known		Other hallucinogens			
	☐ Crack/Cocaine		Amphetamines/methamphetamines			
	☐ Cocaine (all forms except Crack)		Other stimulants			
	☐ Hashish		Barbiturates			
	☐ Heroin		Other depressants			
	☐ Marijuana		Other dangerous drug/substance			
	☐ Morphine		(specify)			
	☐ Opium		None			
	Other Narcotics		Unknown			
	☐ LSD					
8.19	Relationship between victim officer and offender at time	of inc	cident (select one)			
	☐ Prior relationship through law enforcement (arrest, i					
	☐ Non-law enforcement relationship (neighbor, acqua	intan	ce, relative, etc.)			
	☐ No known relationship					

Expires 08-31-2017 8.20 Offender's judicial history prior to incident (select all applicable) ☐ Previously arrested House arrest ☐ Conviction as juvenile Incarceration in penal institution ☐ Conviction as adult ☐ Other (specify) ___ Probation None □ Parole ☐ Unknown ☐ Halfway house 8.21 **Offender's prior arrests** (select all applicable) ☐ Murder ☐ Drug law violation ☐ Assault on law enforcement officer Other (specify) ☐ Resisting arrest None ☐ Other crime of violence ☐ Unknown ■ Weapons violation 8.22 Was offender known by your department to have prior mental illness? □ No Page 21 of 22

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PART IX – NARRATIVE OF INCIDENT

Please provide a detailed description of the circumstances surrounding the felonious assault or death of the victim officer or attach a copy of the written summation of the initial incident report. Also, if the offender(s) was located or apprehended later, please provide additional details, such as, when, where, and by whom was the offender located. This narrative can provide pertinent details that may be incorporated into officer training programs and are often difficult to capture in a "question and answer" format. The success of our endeavors to prevent further line-of-duty deaths/assaults depends largely on the quality of data obtained from the victim officer's agency.

Check if incident form is attached

E-mail address of preparer:

Prepared by: (mm/dd/yyyy)

NOTE: If there are any questions on how to complete this form or where to forward the form upon completion, please contact the FBI, Criminal Justice Information Services Division, Attention: LEOKA program, Module E-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0159; telephone (304) 625-3521, or facsimile to (304) 625-3566. Under the Paperwork Reduction Act, you are not required to complete this form unless it contains a valid OMB control number. The form takes approximately one hour to complete.