Tennessee
Sports Wagering Council

JOB POSTING

Position Title: SWC Senior Auditor

Location: Nashville, Tennessee

Summary:

The Sports Wagering Council ("SWC") is the regulatory body responsible for regulating sports wagering and fantasy sports contests in Tennessee. The mission of the SWC is to enforce the Sports Gaming Act, the Fantasy Sports Act, and all related rules in a fair, transparent, and consistent manner; to ensure the integrity of sports wagering in the state; to collect accurate privilege taxes, and to protect the public interest of Tennesseans.

The SWC Senior Auditor reports to the Chief Compliance Officer. The primary responsibility of the SWC Senior Auditor is to execute complex audits related to the SWC's operational effectiveness and internal controls. The SWC Senior Auditor may complete advisory engagements for SWC management to help improve processes, manage risks, and build strong governance. The SWC Senior Auditor will also perform audits on the SWC's licensees and registrants to confirm regulatory compliance. When required, the position supports the SWC Audit Committee and Chief Compliance Officer in any fraud, waste, and abuse investigations. Minimal travel may be required.

Duties of the SWC Senior Auditor include:

1. Develop tailored audit programs and effective substantive testing methods to ensure operational effectiveness and efficient internal controls.
2. Prepare audit narrative reports, work papers and other supporting documentation in order to identify discrepancies and recommend methods for improvement.
3. Lead and perform operational and compliance audits, including first-time and emerging risk engagements.
4. Evaluate agency internal controls for adequacy and effectiveness. Identify gaps and provide recommendations on the agency's standard operating procedures.
5. Evaluate licensees and registrants for compliance with regulatory requirements.
6. Investigate fraud, waste, and abuse allegations in coordination with the SWC Audit Committee and Chief Compliance Officer.
7. Assess financial reporting and transactional controls.
8. Assess cybersecurity controls, data governance, and other technology-related risks.

9. Effectively comprehend and evaluate organizational structure, practices, policies, procedures, and regulations in order to plan and execute audits.
10. Ensure conformance with IIA Global Internal Audit Standards and Green Book professional standards.
11. Support the Financial Integrity Act and ERM guidance. Integrate ERM with internal control systems based on COSO and "Green Book" frameworks.
12. Prepare clear and concise reporting for senior leadership and, when required, the SWC Audit Committee, presenting review remarks and recommendations.
13. Work cooperatively and effectively with internal and external stakeholders.
14. Other duties as assigned.

Competencies:

1. Exceptional critical and analytical thinking.
2. Exhibit curiosity and active learning skills.
3. Ability to apply rigorous logic to solve complex problems with effective solutions.
4. Advanced deductive reasoning ability.
5. Advanced verbal communication skills, including the ability to deliver clear presentations on complex topics.
6. Advanced written communication skills, including the ability to produce clear, well-structured reports with grammatical accuracy.
7. Advanced documentation and organization skills.
8. Knowledge of auditing, including financial and IT audits, and related tools.
9. Good time management skills.
10. Strong attention to detail.
11. Proficient in use of the Microsoft Office software suite (especially Microsoft Excel).
12. Proactive in researching and proposing solutions to obstacles.
13. Ability to remain focused, efficient, effective, and work independently in remote or hybrid environments.
14. Consistently demonstrates unwavering ethical judgment and integrity.

Qualifications:

1. Graduation from an accredited four-year institution with a bachelor's degree in accounting or related field.
2. Minimum five years of progressively responsible internal auditing experience, including managerial or supervisory experience.

3. Deep understanding of internal audit principles and practices, with the ability to design and tailor work programs and procedures for uniquely difficult, new, or emerging risk areas.
4. Preferred Certifications: CGFM, CIA, or CPA certification.

Compensation range: $125,500 – $134,500

Other:

Final consideration for this position is contingent upon the successful completion of a background check as part of our standard hiring process.

This position works in the office 3 days per week and works remotely 2 days per week, subject to change dependent upon business needs.

Send resume to:

Email: Teri.Crow@tn.gov

Pursuant to the SWC's Workplace Discrimination and Harassment policy, the SWC is firmly committed to the principle of fair and equal employment opportunities for its citizens and strives to protect the rights and opportunities of all people to seek, obtain, and hold employment without being subjected to illegal discrimination and harassment in the workplace. It is the SWC's policy to provide an environment free of discrimination and harassment of an individual because of that person's race, color, national origin, age (40 and over), sex, pregnancy, religion, creed, disability, veteran's status or any other category protected by state and/or federal civil rights laws.