



**Charter School Authorizer Evaluation
Evaluation Narrative Form
2021**

Authorizer:

Contact: (email)

Submission Date:

About this Document: This narrative form affords the authorizer an opportunity to rate and explain their authorizing processes. The form should include explanations of ratings and the names of referenced documents cited in **BOLD**. The narrative is limited to this form, saved as a PDF and uploaded with your evidence during the document submission window. For authorizers that were required to complete a corrective action plan during their non-evaluation year, include explanations of changes found in the corrective action plan.

When rating processes:

- Include a rating of 0-4 for each substandard.
- Refer to the ratings key included in the below when determining substandard ratings.
- Calculate the Standard Rating as the average of the substandard ratings.

When explaining processes:

- Include explanations (limit 500 words).
- Identify how it supports the rating you selected.
- **BOLD** the names of documents referenced in explanation.
- If a corrective action plan was in place, identify the specific applicable page numbers or page ranges for documents referenced the in explanation.

Ratings Key

0	1	2	3	4
No explanation or documentation	Narrative and/or documentation addresses and satisfies less than 50% of the standard	Documentation addresses most but satisfies less than 50% of the standard	Documentation addresses and satisfies more than 50% of the standard	Documentation addresses and satisfies 100% of the standard

Standard 1a - Planning and Commitment to Excellence					
<i>Sub-standards</i>	0	1	2	3	4
i. Supports and advances the purposes of charter school law.					
ii. Ensures that the authorizer’s local board of education or authorizing board, leadership, and staff understand and are committed to the quality charter authorizing principles and standards and developing as authorizing professionals.					
iii. Defines external relationships and lines of authority to protect its authorizing functions from conflicts of interest and political influence.					
iv. Implements policies, processes, and practices that streamline and systematize its work toward stated goals, and executes its duties efficiently while minimizing administrative burdens on schools.					
v. Evaluates its work regularly against national and state standards for quality authorizing and recognized effective practices, and develops and implements timely plans for improvement when necessary.					
vi. States a clear mission for quality authorizing.					
vii. Makes authorizing decisions that will result in positive student outcomes, in accordance with state law.					
<i>Standard Rating</i>					
Explanation (limited to 500 words):					

Standard 1b. - Human Resources

Sub-standards

0 1 2 3 4

i. Through intra- or inter-agency collaborations, contractual relationships and/or staff, enlists expertise and competent leadership for all areas essential to charter school oversight—including, but not limited to, education leadership; instruction; assessment; local community needs; special education, English learners, and other diverse learning needs; performance management and accountability; law; finance; facilities; and nonprofit governance and management.					
ii. Employs competent personnel at a staffing level appropriate and sufficient to carry out all authorizing responsibilities in accordance with national and state standards, and commensurate with the scale of the charter school portfolio.					
iii. Demonstrates an on-going commitment to developing and retaining authorizing staff members and provides regular professional development for the agency’s leadership and local board of education or authorizing board to achieve and maintain high standards of professional authorizing practice and to enable continual agency improvement.					

<p style="text-align: right;"><i>Standard Rating</i></p>	
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Explanation (limited to 500 words):

Standard 1c – Financial Resources**Sub-standards****0****1****2****3****4**

i. Determines the financial needs of the authorizing office and devotes financial resources to fulfill its authorizing responsibilities in accordance with national and state standards, commensurate with the scale of the charter school portfolio, and in accordance with Tennessee statute, including all relevant requirements for use of the authorizer fee.					
ii. Structures its funding in a manner that avoids conflicts of interest, inducements, incentives, or disincentives that might compromise its judgment in charter approval and accountability decision making.					
iii. Deploys funds effectively, transparently, and efficiently with the public and student interests in mind.					
iv. Annually reports the authorizing obligations fulfilled using the authorizer fee in accordance with state law.					

*Standard Rating***Explanation (limited to 500 words):**

Standard 2a - Proposal Information, Questions, and Guidance		0	1	2	3	4
<i>Sub-standards</i>						
i.	Issues a charter application information packet or request for proposals (RFP) that: a) States any chartering priorities the authorizer may have established; b) Articulates comprehensive application questions to elicit the information needed for rigorous evaluation of applicants' plans and capacities; and c) Provides clear guidance and requirements regarding application content and format, while explaining evaluation criteria.					
ii.	Welcomes proposals from first-time charter applicants as well as existing school operators/replicators, while appropriately distinguishing between the two kinds of applicants in proposal requirements and evaluation criteria.					
iii.	Encourages expansion of charter schools that demonstrate academic success, financial viability, organizational health, and capacity for growth.					
iv.	Encourages replication of charter schools that demonstrate academic success, financial viability, organizational health, and capacity for growth.					
v.	Considers diverse educational philosophies and approaches.					
vi.	Requires applicants to demonstrate capacity to serve students with diverse needs, such as students with disabilities or learning exceptionalities and English learners.					
<i>Standard Rating</i>						
Explanation (limited to 500 words):						

Standard 2b - Fair, Transparent, Quality-focused Procedures.

Sub-standards

	0	1	2	3	4
i. Implements a charter application process that is open, well-publicized, and transparent, and is organized around clear, realistic timelines.					
ii. Allows sufficient time for each stage of the application and school pre-opening process to be carried out with quality and integrity.					
iii. Explains how each stage of the application process is conducted and evaluated.					
iv. Communicates the education needs of the district, the charter authorizing processes, approval criteria, and decisions clearly to the public.					
v. Informs applicants of their rights and responsibilities and promptly notifies applicants of approval or denial, while explaining the factors that determined the decision so that applicants can decide if they wish to revise their plans based in part on that information and resubmit in the future.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 2c - Rigorous Approval Criteria.

Sub-standards

	0	1	2	3	4
i. Requires all applicants to present a clear and compelling mission, a quality educational program, a demonstration of community support, a solvent and sustainable budget and contingency financial plans, a clear demonstration of the effectiveness of the model for the target student population, effective governance and management structures and systems, founding team members demonstrating diverse and necessary capabilities in all phases of the school’s development, and clear evidence of the applicant’s capacity to execute its plan successfully.					
ii. Establishes distinct requirements and criteria for applicants who are existing school operators or replicators.					
iii. Establishes distinct requirements and criteria for applicants proposing to contract with educational service providers (ESPs), including charter management organizations.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 2d - Rigorous Decision Making.					
<i>Sub-standards</i>	0	1	2	3	4
i. Grants charters only to applicants that have demonstrated competence and capacity to succeed in all aspects of their particular charter school model, consistent with the stated approval criteria.					
ii. Rigorously evaluates each application through thorough review of the written proposal, a substantive in-person interview with each qualified applicant, and all appropriate due diligence to examine the applicant’s experience and capacity, conducted by knowledgeable and competent evaluators.					
iii. Engages, for both written application reviews and applicant interviews, highly competent teams of internal and external evaluators with relevant educational, organizational (governance and management), financial, and legal expertise, as well as thorough understanding of the essential principles of charter school autonomy and accountability.					
iv. Provides orientation or training to application evaluators (including interviewers) to ensure consistent evaluation standards and practices, the elimination of real or perceived conflicts of interest, the observance of essential protocols, and the fair, unbiased treatment of all applicants.					
v. Ensures that the application-review process and decision making are free of conflicts of interest, and requires full disclosure of any potential or perceived conflicts of interest between reviewers or decision makers and applicants.					
vi. Approves applications that are comprised of a detailed plan for charter school opening, operation, and fiscal stability, with little substantive work left for later development.					
<i>Standard Rating</i>					
Explanation (limited to 500 words):					

Standard 2e - Elements for Existing School Operators or Applications (if applicable).

<i>Sub-standards</i>	0	1	2	3	4
i. Provide clear evidence of their capacity to operate new schools successfully while maintaining quality in existing schools;					
ii. Document their educational, organizational, and financial performance records based on all existing schools;					
iii. Explain any never-opened, terminated, or non-renewed schools (including terminated or non-renewed third-party contracts to operate schools);					
iv. Present their growth plan, business plan, and most recent financial audits;					
v. Meet high standards of academic, organizational, and financial success to earn approval for replication; and					
vi. Document any current or past litigation and the resolution of such litigation.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 2f - Elements for Applicants Proposing to Contract with Educational Service Providers, including Charter Management Organizations (if applicable).

0 1 2 3 4

Sub-standards

i. Evidence of the service provider’s educational and management success;					
ii. A description of the process for selecting the ESP;					
iii. A draft (or existing) service/management contract that sets forth proposed key terms, including roles and responsibilities of the school governing board, the school staff, and the service provider; the services and resources to be provided; performance-evaluation measures and mechanisms; detailed explanation of compensation to be paid to the provider; financial controls and oversight; investment disclosure; methods of contract oversight and enforcement; and conditions for contract renewal and termination; and					
iv. Disclosure and explanation of any existing or potential conflicts of interest between the school governing board and proposed service provider or any affiliated business entities.					

<i>Standard Rating</i>	
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Explanation (limited to 500 words):

Standard 3a - Charter Agreement Term, Negotiation, and Execution.

Sub-standards

	0	1	2	3	4
i. Executes a charter agreement with a legally incorporated governing board independent of the authorizer.					
ii. Grants charter agreements for an initial term of ten operating years with a high-stakes review every five years, in addition to annual performance reports.					
iii. Defines material and non-material terms of the charter agreement.					
iv. Ensures mutual understanding and acceptance of the terms of the charter agreement by the school’s governing board.					
v. Allows—and requires charter agreement amendments for—occasional material changes to a school’s plans, but does not require amending the charter agreement for non-material modifications.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 3b. - Rights and Responsibilities.

Sub-standards

0 1 2 3 4

<p>i. Executes charter agreements that clearly:</p> <ul style="list-style-type: none"> a. State the rights and responsibilities of the school and the authorizer; b. State and respect the autonomies to which schools are entitled—based on statute, waiver, or authorizer policy—including those relating to the school’s authority over educational programming, staffing, budgeting, and scheduling; c. Define performance standards, criteria, and conditions for renewal, intervention, revocation, and non-renewal, while establishing the consequences for meeting or not meeting standards or conditions; d. State the statutory, regulatory, and procedural terms and conditions for the school’s operation; e. State reasonable pre-opening requirements or conditions for new schools to ensure that they meet all health, safety, and other legal requirements prior to opening and are prepared to open smoothly; f. State the responsibility and commitment of the school to adhere to essential public-education obligations, including admitting and serving all eligible students so long as space is available, and not expelling or counseling out students except pursuant to a legal discipline policy approved by the authorizer; and g. State the responsibilities of the school and the authorizer in the event of school closures. 					
<p>ii. Ensures that any fee-based services that the authorizer provides are set forth in a services agreement that respects charter school autonomy and treats the charter school equitably compared to district schools, if applicable; and ensures that purchasing such services is explicitly not a condition of charter approval, continuation, or renewal.</p>					

	<p><i>Standard Rating</i></p>
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Explanation (limited to 500 words):

Standard 3c. - Performance Standards

Sub-standards

	0	1	2	3	4
<p>i. Executes charter agreements that plainly:</p> <ul style="list-style-type: none"> a. Establish the performance standards under which schools will be evaluated, using objective and verifiable measures of student achievement as the primary measure of school quality, in accordance with state law; b. Define clear, measurable, and attainable academic, financial, and organizational performance standards and targets that the school must meet as a condition of renewal, including but not limited to state and federal measures; (See Paragraph ii., Performance standards detailed information.) c. Include expectations for appropriate access, education, support services, and outcomes for students with disabilities; d. Define the sources of academic data that will form the evidence base for ongoing and renewal evaluation, including state-mandated and other standardized assessments, student academic growth measures, internal assessments, qualitative reviews, and performance comparisons with other public schools in the district and state; e. Define the sources of financial data that will form the evidence base for ongoing and renewal evaluation, grounded in professional standards for sound financial operations and sustainability; f. Define the sources of organizational data that will form the evidence base for ongoing and renewal evaluation, focusing on fulfillment of legal obligations, fiduciary duties, and sound public stewardship; and g. Include clear, measurable performance standards to judge the effectiveness of alternative model schools, as defined by state law—requiring and appropriately weighting rigorous mission-specific performance measures and metrics that credibly demonstrate each school’s success in fulfilling its mission and serving its special population. 					
<p>ii. Sets detailed performance standards. Performance standards enable schools and authorizers to know the outcomes for which authorizers will hold schools accountable. They are the basis for school evaluation and should be incorporated in the charter agreement, commonly as an attachment. Academic, financial, and organizational performance standards should include clearly defined and measurable indicators, measures, metrics, and targets that:</p> <ul style="list-style-type: none"> a) Academic Performance <ul style="list-style-type: none"> 1. Set expectations for student academic achievement status or proficiency, including comparative proficiency; 					

<ul style="list-style-type: none"> 2. Set expectations for student academic growth, including adequacy of growth toward state standards; 3. Incorporate state and federal accountability systems, including state grading and/or rating systems; 4. Set expectations for postsecondary readiness, including graduation rates (for high schools); and 5. Provide schools an option to incorporate mission-specific performance measures for which the school has presented valid, reliable, and rigorous means of assessment approved by the authorizer. <p>b) Financial Performance</p> <ul style="list-style-type: none"> 1. Enable the authorizer to monitor and evaluate the school’s financial stability and viability based on short-term performance; and 2. Enable the authorizer to monitor and evaluate the school’s long-term financial sustainability. <p>c) Organizational Performance</p> <ul style="list-style-type: none"> 1. Define the essential elements of the educational program for which the authorizer will hold the school accountable; 2. Define financial management and oversight standards based on generally accepted accounting principles; 3. Hold school governing boards accountable for meeting statutory and board-established operating and reporting requirements; 4. Ensure school compliance with student and employee rights and obligations; and 5. Establish expectations related to the school environment, including health and safety, transportation, facilities, and appropriate handling of records. 					
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<i>Standard Rating</i>	
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Explanation (limited to 500 words):

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Standard 3d. - Provisions for Educational Service Provider (ESP) Within Charter Agreement (if applicable).

0 1 2 3 4

Sub-standards

<p>i. Includes, for any school that contracts with an ESP provider for the management of its educational program, finances, or school operations, contractual provisions that:¹</p> <ul style="list-style-type: none"> a. Clearly establish the primacy of the charter agreement over the ESP contract; b. Clearly identify the school governing board as the party ultimately responsible for the success or failure of the school, and clearly define the ESP as a vendor of services; c. Prohibit the ESP from selecting, approving, employing, compensating, or serving as school governing board members; d. Provide for sufficient transparency around the spending of public monies; and e. Require all instructional materials, furnishings, and equipment purchased or developed with public funds to be the property of the school, not the ESP, in compliance with state law. 					
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<i>Standard Rating</i>	
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Explanation (limited to 500 words):

¹ The standard does not apply to contracts with ESPs that do not have substantial responsibility for education, operational, and financial operations such as for payroll, textbooks, curriculum, etc.

Standard 3e - Provisions for ESP Contract					
<i>Sub-standards</i>	0	1	2	3	4
i. The roles and responsibilities of the school governing board and the ESP, including all services to be provided under the contract;					
ii. The performance measures, consequences, and mechanisms by which the school governing board will hold the ESP accountable for performance, aligned with the performance measures in the charter agreement;					
iii. All compensation to be paid to the ESP including all fees, bonuses, and what such compensation includes or requires;					
iv. Terms of any facility agreement that may be part of the relationship;					
v. Financial reporting requirements and provisions for the school governing board's financial oversight;					
vi. All other financial terms of the contract, including disclosure and documentation of all loans or investments by the ESP to the school, and provision for the disposition of assets in accordance with law;					
vii. Assurances that the school governing board, at all times, maintains independent fiduciary oversight and authority over the school budget and ultimate responsibility for the school's performance;					
viii. Provisions for contract termination; and					
ix. Respective responsibilities of the governing board and ESP in the event of school closure, including transparency in the school's revenues and expenditures, as well as those managed by the ESP.					
<i>Standard Rating</i>					
Explanation (limited to 500 words):					

Standard 4a - Performance Evaluation and Compliance Monitoring.

Sub-standards

	0	1	2	3	4
i. Implements a comprehensive performance accountability and compliance monitoring system that is defined by the charter agreement and provides the information necessary to make rigorous and standards-based renewal, revocation, and intervention decisions.					
ii. Defines and communicates to schools the process, methods, and timing of gathering and reporting school performance and compliance data.					
iii. Implements an accountability system that effectively streamlines federal, state, and local performance expectations and compliance requirements while protecting schools' legally entitled autonomy and minimizing schools' administrative and reporting burdens.					
iv. Provides clear technical guidance to schools as needed to ensure timely compliance with applicable rules and regulations.					
v. Visits each school as appropriate and necessary for collecting data that cannot be obtained otherwise and in accordance with the contract, while ensuring that the frequency, purposes, and methods of such visits respect school autonomy, minimize administrative burdens, and avoid operational interference.					
vi. Evaluates each school annually on its performance and progress toward meeting the standards and targets stated in the charter agreement, including essential compliance requirements, and clearly communicates evaluation results to the school's governing board and leadership.					
vii. Requires and reviews annual financial audits of schools, conducted by a qualified independent auditor.					
viii. Communicates regularly with schools as needed, including both the school leaders and governing boards, and provides timely notice of contract violations or performance deficiencies.					
ix. Provides an annual written report to each school, summarizing its performance and compliance to date and identifying areas of strength and areas needing improvement.					
x. Articulates and enforces stated consequences for failing to meet performance expectations or compliance requirements.					
Standard Rating					

Explanation (limited to 500 words):

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Standard 4b - Respecting School Autonomy.

Sub-standards

	0	1	2	3	4
i. Respects the school’s authority over its day-to-day operations.					
ii. Collects information from the school in a manner that minimizes administrative burdens on the school, while ensuring that performance and compliance information is collected with sufficient detail and timeliness to protect student and public interests.					
iii. Periodically reviews compliance requirements and evaluates the potential to increase school autonomy based on flexibility in the law, streamlining requirements, demonstrated school performance, or other considerations.					
iv. Refrains from directing or participating in educational decisions or choices that are appropriately within a school’s purview under the charter law or contract.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 4c - Protecting Student Rights.

Sub-standards

	0	1	2	3	4
i. Ensures that schools admit students through a random selection process that is open to all students, is publicly verifiable, and does not establish undue barriers to application (such as mandatory information meetings, mandated volunteer service, or parent contracts) that exclude students as provided by federal, state, and local law.					
ii. Ensures that schools provide equitable access and inclusive services to all students as required by applicable federal and state law, including, but not limited to, students with disabilities, English learners, homeless students, students in foster care, migrant students, and gifted students.					
iii. Ensures clarity in the roles and responsibilities of all parties involved in serving students with disabilities.					
iv. Ensures that schools' student discipline policies and actions are legal, fair, and equitable and that no student is suspended, expelled, or counseled out of a school outside of that process, and that schools have a clear process for addressing parent/ community grievances.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 4d - School Intervention					
<i>Sub-standards</i>	0	1	2	3	4
i. Establishes and clearly communicates to schools at the outset an intervention and problem-solving policy that states the general conditions that may trigger intervention and the types of actions and consequences that may ensue.					
ii. Gives schools clear, adequate, evidence-based, and timely notice of contract violations or performance deficiencies.					
iii. Allows schools reasonable time and opportunity for remediation in non-emergency situations.					
iv. Applies professional discretion when intervention is needed and considers context and a range of effective solutions.					
v. Where intervention is needed, engages in intervention strategies that clearly preserve school autonomy and responsibility (identifying what the school must remedy without prescribing solutions) while clearly stating possible consequences for noncompliance.					
<i>Standard Rating</i>					
Explanation (limited to 500 words):					

Standard 4e - Public Reporting.

Sub-standards

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i. Produces an annual public report that provides clear, accurate performance data for the charter schools it oversees and reports on individual school and overall portfolio performance according to the framework set forth in the charter agreement in accordance with State law.

Standard Rating

Explanation (limited to 500 words):

Standard 5a - Revocation

Sub-standards

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i. Revokes a charter during the charter term if there is clear evidence of extreme underperformance or violation of law or the public trust that imperils students or public funds, in accordance with state law.					
ii. Does not make revocation decisions on the basis of political or community pressure.					

Standard Rating

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Explanation (limited to 500 words):

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Standard 5b - Renewal Decisions Based on Merit and Inclusive Evidence.

Sub-standards

	0	1	2	3	4
i. Bases the renewal process and renewal decisions on thorough analyses of a comprehensive body of objective evidence defined by the performance framework in the charter agreement.					
ii. Grants renewal only to schools that have achieved the standards and targets stated in the charter agreement, are organizationally and fiscally viable, and have been faithful to the terms of the contract and applicable law.					
iii. Does not make renewal decisions on the basis of political or community pressure or solely on promises of future improvement.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 5c - Cumulative Report and Renewal Application.

Sub-standards

	0	1	2	3	4
i. Provides to each school, in advance of the renewal decision, a cumulative performance report that: <ul style="list-style-type: none"> a. Summarizes the school’s performance record over the charter term; and b. States the authorizer’s summative findings concerning the school’s performance and its prospects for renewal. 					
ii. Requires any school seeking renewal to apply for it through a renewal application, which provides the school a meaningful opportunity and reasonable time to respond to the cumulative report; to correct the record, if needed; and to present additional evidence regarding its performance.					
<i>Standard Rating</i>					

Explanation (limited to 500 words):

Standard 5d - Fair, Transparent Process.

Sub-standards

	0	1	2	3	4
i. Clearly communicates to schools the criteria for charter revocation, renewal, and non-renewal decisions that are consistent with the charter agreement, including any policy changes thereto.					
ii. Promptly notifies each school of its renewal (or, if applicable, revocation) decision, including written explanation of the reasons for the decision.					
iii. Promptly communicates renewal or revocation decisions to the school community and public within a time frame that allows parents and students to exercise choices for the upcoming school year.					
iv. Explains in writing any available rights of legal or administrative appeal through which a school may challenge the authorizer’s decision, including appeal to the Tennessee Public Charter School Commission.					
v. Regularly updates and publishes the process for renewal decision making, including guidance regarding required content and format for renewal applications.					

<i>Standard Rating</i>					
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Explanation (limited to 500 words):

Standard 5e - Closure

Sub-standards

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i. In the event of a school closure, oversees and works with the school governing board and leadership in carrying out a detailed closure protocol that ensures timely notification to parents; orderly transition of students and student records to new schools; and disposition of school funds, property, and assets in accordance with law.

Standard Rating

Explanation (limited to 500 words):

Standard 6 - Advanced Standards (Optional)					
<i>Sub-standards</i>	0	1	2	3	4
i. Ensures authorizing is visible, adequately resourced, and the people responsible for day-to-day authorizing functions have input over decision making.					
ii. Articulates and implements an intentional strategic vision and plan for chartering, including clear priorities, goals, and time frames for achievement.					
iii. Evaluates its work regularly against its chartering mission and strategic plan goals, and implements plans for improvement when falling short of its mission and strategic plan.					
iv. Provides an annual public report on the authorizer’s program and performance in meeting its strategic plan goals.					
v. Broadly invites and solicits charter applications while publicizing the authorizer’s strategic vision and chartering priorities, without restricting or refusing to review applications that propose to fulfill other goals.					
<i>Standard Rating</i>					
Explanation (limited to 500 words):					