

**CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY  
FOR AN INVESTOR OWNED UTILITY**

**INFORMATION TO SUPPORT INITIAL PETITION OF AN  
ENERGY, WATER, OR WASTEWATER COMPANY**

**Reminder:**

The applicant must follow TRA Rule 1220-1-1-.03 in filing its petition for a certificate of public convenience and necessity (CCN) as an energy, water, or wastewater utility or petition for approval of a franchise. The TRA encourages electronic filing. See Rule 1220-1-1-.03(4), (5).

The TRA Staff recommends that an energy, water, or wastewater company filing a petition for a CCN or approval of a franchise file the following information with its petition:

1. A description of the service the applicant proposes to render.
2. A description of the territory to be served and a map showing the territory.
3. A copy of the franchise granted by the city, if applicable.
4. A description of any proposed franchise fee.
5. If applicable, information regarding existing franchises for the same type of utility service in the same municipality or county.
6. A statement of public need for the service, which includes an estimate of the number of customers to be served initially and any estimates for growth.
7. If applicable, documentation indicating that other public utilities, utility districts, or governmental units in or near the affected area are unable or unwilling to provide service to the affected area.
8. If applicable, documentation of notice, to be provided in conjunction with the filing of the petition, to any public utility operating in the municipality or territory affected whose route, plant, line, or system may be in competition with the proposed route, plant, line, or system.
9. Engineering and construction plans.
10. A current financial statement of the company.
11. A detailed estimate of the cost of construction of the system.

12. Pro Forma statement of the cost of operating the system for the first five years.
13. An analysis of projected customer growth for the first five years.
14. Details of any proposed financing.
15. A list of the rates the company proposes to charge its customers and how the rates were determined.
16. A description of the type of entity which will own the system together with proof of the existence of the entity.
17. If the entity is a corporation, partnership, or limited liability corporation, a copy of Certificate of Good Standing from the State of Tennessee.
18. The names and addresses of the owners of the company and the percentage of ownership of each.
19. (Gas companies only) Acknowledgment of requirements with respect to the Gas Pipeline Safety Act.
20. Any other relevant information.

Please contact the Legal Division of Tennessee Regulatory Authority at (615) 741-2904 with any questions regarding the above.