

ACLF and RHA TOP TEN

- ACLF deficiencies cited from January 1, 2008 to May 2009 from 'old' regulations
- ACLF deficiencies cited from May 2009 to July 13, 2009 from 'new' regulations
- RHA deficiencies cited from January 1, 2008 to July 13, 2009

ACLF Deficiencies

- Top Ten 'Old' Deficiencies found in the following areas:
 - Life Safety
 - Building Standards
 - Personal Services
 - Admission, Discharges, and Transfers
- Top Ten 'New' Deficiencies found in the following areas:
 - Building Standards
 - Life Safety
 - Services Provided
 - Admission, Discharges, and Transfers
 - Administration

ACLF 'New' Top Ten

1. **1200-08-25-.09(1)**, "An ACLF shall construct, arrange, and maintain the condition of the physical plant and the overall ACLF living facility environment in such a manner that the safety and well-being of residents are assured.
2. **1200-08-25-.10(1)**, "The department will consider any ACLF that complies with the required applicable building and fire safety regulations at the time the Board adopts new codes or regulations, so long as such compliance is maintained (either with or without waivers of specific provisions) to be in compliance with the requirements of the new codes or regulations."

'New' Top Ten cont.

3. **1200-08-25-.07(6)(c)5**, "An ACLF shall maintain a clean and sanitary kitchen."
4. **1200-08-25-.10(5)(c)**, "Maintain all electrical equipment in good repair and safe operating condition;"
5. **1200-08-25-.10(8)(a)**, "The ACLF maintains all safety equipment in good repair and in a safe operating condition;"
6. **1200-08-25-.10(2)(n)**, "Provide and mount fire extinguishers, complying with NFPA 10, so they are accessible to all residents in the kitchen, laundries and at all exits. Extinguishers in the kitchen and laundries shall be a minimum of 2-A: 10-BC and an extinguisher with a rating of 20-A shall be adjacent to every hazardous area. The minimum travel distance shall not exceed fifty (50) feet between the extinguishers."

'New' Top Ten cont.

7. **1200-08-25-.07(4)(b)**, "Ensure that all drugs and biologicals shall be administered by a licensed professional operating within the scope of the professional license and according to the resident's plan of care; and"
8. **1200-08-25-.08(9)(b)**, "Ongoing and up-to-date documentation that each resident's interdisciplinary team has performed a quarterly review as to the appropriateness of placement in the secured unit;"
9. **1200-08-25-.10(2)(m)**, "Ensure that there are electrically-operated smoke detectors with battery back-up power operating at all times in, at least, resident sleeping units, day rooms, corridors, laundry rooms, and any other hazardous areas; and"

'New' Top Ten cont.

10. **1200-08-25-.07(6)(a)3**, "Daily awareness of the individual's whereabouts;"
11. **1200-08-25-.07(6)(c)6**, "Employees shall wash and sanitize equipment, utensils and dishes after each use."
12. **1200-08-25-.10(3)(a)**, "Fire drills shall be held for each ACLF work shift in each separate ACLF building at least quarterly;"
13. **1200-08-25-.06(1)(b)3**, "An ACLF shall develop a written policy, plan or procedure concerning a subject and adhere to its provisions whenever required to do so by these rules. A licensee that violates its own policy established as required by these rules and regulations also violates the rules and regulations establishing the requirement."

Conclusion of 'New' Top Ten

14. **1200-08-25-.09(16)(a)(b)(c)(d)**, "The licensed contractor shall ensure that through the submission of plans and specifications that in each ACLF:
- A negative air pressure shall be maintained in the soiled utility room,...
 - A minimum of eighty (80) square feet of bedroom space must be provided each resident.....
 - Living room and dining areas capable of accommodating all residents shall be provided, with a minimum of fifteen (15) square feet per resident per dining area;...
 - Each toilet, lavatory, bath or shower shall serve no more than six (6) persons...."

RHA Deficiencies

- Top ten deficiencies found in the following areas:
 - Life Safety
 - Building Standards
 - Admissions, Discharges, and Transfers
 - Administration

RHA Top Ten

1. **1200-08-11-.08(21)**, "All safety equipment shall be maintained in good repair and in a safe operating condition."
2. **1200-08-11-.07(1)**, "The residential home for the aged must be constructed, arranged, and maintained to ensure the safety of the residents."
3. **1200-08-11-.05(7)**, "A home for the aged shall not admit or retain residents who pose a clearly documented danger to themselves or to other residents in the home. Persons in the early stages of Alzheimer's Disease and Related Disorders may be admitted only after it has been determined by an interdisciplinary team that care can appropriately and safely be given in the facility. The interdisciplinary team must review such persons at least quarterly as to the appropriateness of placement in the facility. The interdisciplinary team shall consist of, at a minimum, a physician experienced in the treatment of Alzheimer's Disease and Related Disorders, a social worker, a registered nurse, and a family member (or patient care advocate)."

Top Ten cont.

4. **1200-08-11-.04(6)**, "No occupant or employee who has a reportable communicable disease, as stipulated by the department, is permitted to reside or work in a home unless the home has a written protocol approved by the department."
5. **1200-08-11-.08(2)**, "The residential home for the aged shall provide fire protection by the elimination of fire hazards, by the installation of necessary fire fighting equipment and by the adoption of a written fire control plan. Fire drills shall be held at least quarterly for each work shift for residential home for the aged personnel in each separate building. There shall be one fire drill per quarter during sleeping hours. There shall be a written report documenting the evaluation of each drill and the action recommended or taken for any deficiencies found...."

Top Ten cont.

6. **1200-08-11-.08(17)**, "Fire extinguishers, complying with NFPA 10, shall be provided and mounted so they are accessible to all residents in the kitchen, laundries and at all exits. Extinguishers in the kitchen and laundries shall be a minimum of 2-A: 10_BC and an extinguisher with a rating of 20-A shall be adjacent to every hazardous area. The minimum travel distance shall not exceed fifty (50) feet between the extinguishers."
7. **1200-08-11-.08(13)**, "All electrical equipment shall be maintained in good repair and in safe operating condition."

Conclusion of Top Ten

8. **1200-08-11-.08(1)**, "Any residential home for the aged which complies with the required applicable building and fire safety regulations at the time the board adopts new does or regulations will, so long as such compliance is maintained (either with or without waivers of specific provisions), be considered to be in compliance with the requirements of the new codes or regulations."
9. **1200-08-11-.05(3)(f)**, "The Facility shall document evidence of annual vaccination against influenza for each resident,.... The facility shall document evidence of vaccination against pneumococcal disease for all residents who are sixty-five (65) years of age or older,...."
10. **1200-08-11-.04(5)(e)**, "Post whether they have liability insurance, the identity of their primary insurance carrier, and if self-insured, the corporate entity responsible for payment of any claims. It shall be posted on a sign no smaller than eleven inches (11") in width and seventeen inches (17") in height and displayed at the main public entrance."