



Appendix

1. DOR Pre-Test Criteria: The file submitted in SFTP test has no issues that would result in a fatal error** occurring during the DOR Data Validation Process and it follows the DOR file naming convention as described in the DOR Manual

2. DOR Test Criteria: A TP has completed the following requirements:

a. Reliability

i. At least 6 consecutive weeks of successful submissions with NO errors (either fatal or non-fatal errors)

ii. Contains at least 1 zero submission record

iii. Contains at least 3 files with patient records

b. For TPs with more than one facility, all facilities need to have records for the 6 consecutive weeks

c. Successful Patient Record (with no fatal or non-fatal errors)

i. If TP does not have three files containing patient records in the testing process dummy records will be provided by TDH. Dummy records must be submitted with all fields complete as if they were real records

ii. Valid diagnosis codes represented in patient records

d. TP has demonstrated ability to correct and resubmit records during testing process

3. DOR Backlog Criteria: The TP's submitted backlog has met the following criteria:

a. There are no errors (fatal or non-fatal) in the backlog

b. All submission weeks in the backlog for each facility have been submitted to TDH

c. DOR diagnosis codes submitted reflect the submitted period's required DOR diagnosis codes

4. DOR Validation Criteria: Each record has no error, fatal or non-fatal

*Public Key is TDH's preferred authentication type

**Fatal errors occur when a file is unable to be processed. For more information on fatal and non-fatal errors please reference the DOR Error Handling Guide