



## **Filing Reports against Health Care Professionals**

**\*\*IMPORTANT – PLEASE READ\*\***

### **Board Authority**

The **Tennessee Department of Health** has the authority for various licensing Health Related Boards whose responsibilities are to protect the public interest. This is accomplished through the enforcement of the particular Statutes of each Health Related Board, which examines, licenses, and oversees the practice of the individual licensees. Reports are received from various sources (i.e. the general public, law enforcement, hospitals and other health care facilities, health professionals, and the news media).

**Boards cannot assist with civil or criminal matters and do not represent individual patients.** The Statutes allow the Licensure Boards to act on behalf of the people of Tennessee at large. When a Board determines that disciplinary action against a practitioner is necessary, the action focuses on prevention of further problems with the practitioner and the protection of future patients.

The Board has the power to control a practitioner's ability to practice in the future. Any person seeking to recover fees or monetary remedies for injuries, or to resolve child custody issues, employment disputes, or disability claims, should consult a private attorney regarding those matters.

### **Report Processing and Evaluation**

Complete the attached ***Allegations Report*** form, voluntary ***Release of Information*** form, and include attachments. All materials received become property of the State of Tennessee and cannot be returned.

The information provided will be reviewed by an appropriate Board Consultant and an attorney assigned by the Tennessee Department of Health to determine if there has been a violation of the statutes or rules governing the profession in question. If not, the file is closed, and you will be notified in writing of their final decision.

If a statutory violation exists, the matter(s) will be investigated. You will be notified in writing as to the outcome of the investigation. This is a final decision reached by the Board Consultant and an Attorney based on the finding of the investigation and their application of the law to the findings.

While State law does not allow the staff of the Office of Investigations to give details of any investigation, you may contact the Office to inquire about the general status of the complaint. Please be aware that the reports and/or investigations may take several months to process and complete, depending on the complexity of the issues.

***TN Board of Pharmacy  
Attn: Lead Investigator or Director  
665 Mainstream Drive, Second Floor  
Nashville, TN 37243***

**Telephone Number 615-253-1299 or Fax Number 615-741-2722**



**State of Tennessee  
Office of Investigations**

Tennessee Board of Pharmacy  
Attn: Lead Investigator or Director  
665 Mainstream Drive, 2<sup>nd</sup> Floor  
Nashville, TN 37243

Phone (615) 253-1299  
Fax Number (615) 741-2722

**ALLEGATIONS REPORT**

<b>COMPLAINANT</b>	NAME (FIRST, MIDDLE, LAST)		HOME PHONE ( )
	BUSINESS NAME (IF APPLICABLE)		WORK PHONE ( )
	STREET ADDRESS		CELL PHONE ( )
	CITY/COUNTY	STATE	ZIP
	EMAIL ADDRESS		
<b>NAME OF PATIENT (if other than yourself)</b>	NAME (FIRST, MIDDLE, LAST)		HOME PHONE ( )
	BUSINESS NAME (IF APPLICABLE)		WORK PHONE ( )
	STREET ADDRESS		CELL PHONE ( )
	CITY/COUNTY	STATE	ZIP
<b>RELATIONSHIP TO PATIENT</b>	<input type="checkbox"/> PATIENT <input type="checkbox"/> FAMILY MEMBER Specify: <input type="checkbox"/> LEGAL GUARDIAN <input type="checkbox"/> FRIEND		
	<input type="checkbox"/> EMPLOYER (attach copies of internal investigation and drug screens) <input type="checkbox"/> OTHER Specify:		

<b>SUBJECT OF REPORT</b>	NAME (FIRST, MIDDLE, LAST)		PROFESSION: (Dr., Pharmacist, RN, etc.)
	BUSINESS NAME (IF APPLICABLE)		LICENSE NUMBER, IF KNOWN
	STREET ADDRESS		WORK PHONE ( )
	CITY/COUNTY	STATE	ZIP

<b>DETAILS OF COMPLAINT</b>	<b>DETAILS OF COMPLAINT</b>	Please use a separate report form for each individual practitioner. Provide pertinent information such as: the sequence of events surrounding your concern in chronological order (by date), the names of witnesses, and copies of documents regarding your report (contracts, reports, or photographs). <b>Note: All materials received in connection with this report become the property of the Department of Health and cannot be returned.</b>

<b>WITNESSES</b>	<b>NAME</b>	<b>ADDRESS</b>	<b>PHONE NUMBER</b>
			(   )
			(   )
			(   )

**PLEASE RETURN TO:**  
Tennessee Board of Pharmacy  
Attn: Lead Investigator or Director  
665 Mainstream Drive, 2<sup>nd</sup> Floor  
Nashville, TN 37243

I have read the above and it is true to the best of my knowledge.

**SIGNATURE** \_\_\_\_\_ **DATE** \_\_\_\_\_



**State of Tennessee**  
**Office of Investigations for Health Related Boards**  
**HIPAA COMPLIANT AUTHORIZATION FOR RELEASE OF PATIENT INFORMATION**

Patient Name	Date of Birth	Social Security Number
Patient Address		

**TO: Any and all treating physicians and facilities**

I, or my authorized legal representative, hereby authorize any and all licensed health care practitioners who have participated in providing any care or service to me to discuss any communication, whether confidential or privileged, and to provide **full and complete** patient reports and records, including but not limited to patient histories, x-rays, examination and test results, reports, or information prepared by other persons that may be in your possession, and all financial records to **the Tennessee Department of Health, Office of Investigations** (or any official representative of that Office) for investigation, reproduction, or other use. I understand that the information being disclosed may include diagnosis and treatment related to physical/mental illness, acquired immunodeficiency syndrome (AIDS), human immunodeficiency virus (HIV), communicable diseases, and/or alcohol and drug abuse. The communications and/or documents may also include information protected by a legal privilege and, if so, my signature constitutes the knowing and voluntary consent for the disclosure.

I, or my authorized representative, knowingly and intentionally waive any privacy right I might have pursuant to either the common law or statutory psychiatrist-patient privilege and the psychotherapist-patient privilege. I expressly consent to any and all healthcare providers providing my health information to the Tennessee Department of Health regardless of any privilege that might otherwise attach to that information or any privacy right granted me pursuant to 42 C.F.R. part 2. I, or my authorized representative, hereby grant the Tennessee Department of Health permission to gather, use and maintain information which otherwise would be privileged or protected pursuant to the psychiatrist-patient privilege, the psychotherapist-patient privilege, or the protections set forth in 42 C.F.R. part 2.

I understand that a photocopy or facsimile of this Authorization shall have the same legal effect as the original.

This Authorization will expire at the conclusion of the investigation and/or any disciplinary proceeding which arises from the filing of the allegations. I have the right to revoke this Authorization at any time by writing to the Office of Investigations, ATTN: PRIVACY OFFICER, 665 Mainstream Drive, 2<sup>nd</sup> Floor, Nashville, TN 37243, except to the extent that information has already been released and/or action taken based on this Authorization.

Information disclosed and/or released under this Authorization may be re-disclosed to organizations and/or persons, and I understand that such disclosures may no longer be protected by state or federal law.

I understand that signing this authorization is a voluntary act. My treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization for this disclosure.

\_\_\_\_\_  
 Signature of Patient

\_\_\_\_\_  
 Date

**If patient is a minor and/or otherwise lacks the capacity to sign:**

\_\_\_\_\_  
 Signature of parent or authorized legal representative

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Relationship to patient

\_\_\_\_\_  
 Type of legal document granting authority  
 Copy must be attached