



**STATE OF TENNESSEE**

**DEPARTMENT OF HEALTH**

ANDREW JOHNSON TOWER, 5<sup>TH</sup> FLOOR  
710 JAMES ROBERTSON PARKWAY  
NASHVILLE, TN 37243

**BILL LEE**  
GOVERNOR

**RALPH ALVARADO, MD, FACP**  
COMMISSIONER

November 4, 2024

Mr. Alan Levine  
Chairman, President and Chief Executive Officer  
Ballad Health  
303 Med Tech Parkway, Suite 300  
Johnson City, TN 37604

**Re: Ballad Health's (Ballad) Request to delay reporting after Material Adverse Event**

Mr. Levine,

We have received the electronic mail dated Friday, October 11, 2024, from Karen Seiden, COPA Compliance Officer for Ballad, requesting a delay in the due date for the submission of certain reports required under the Fourth Amended and Restated Terms of Certification, dated July, 2023 ("TOC") due to the impact of Hurricane Helene on Ballad's geographic service area as set forth in the October 2, 2024 Notification of Force Majeure Event resulting in a Material Adverse Event letter from Ballad to Virginia State Health Commissioner Karen Shelton and me (the "Material Adverse Event letter").

I acknowledged the Material Adverse Event letter by return letter dated October 7, 2024, stating there may be certain requirements of the TOC from which Ballad may need to seek temporary relief. The delays in reporting dates do not hinder my ability to actively supervise Ballad under its Certificate of Public Advantage and may give Ballad additional capacity to focus on responding to current demands caused by the material adverse event. I am also aware of the October 11, 2024 letter of Virginia Department of Health granting the reporting delay.

Therefore, **I find that the reporting delay is reasonable, and the reporting deadlines listed below are granted:**

**FY24 COPA/CA Annual Report**

Due Date	10/28/24
Ballad Proposal	11/27/24

**FY24 Addendum 1 Annual Report**

Due Date	11/30/24
Ballad Proposal	12/30/24

**FY25 COPA/CA Q1 Quarterly Report**

Due Date	11/14/24
Ballad Proposal	12/14/24

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As you continue to assess the impact of the material adverse event, I encourage Ballad to communicate promptly and fully if there are other ways in which you need assistance in addressing the events described in the Material Adverse Event letter.

Thank you for your efforts to serve the needs of your community, patients and workforce during this difficult time.

Please let my staff know if you have any additional questions or need further clarification.

Sincerely,

A handwritten signature in black ink, appearing to read 'R. Alvarado', with a long horizontal flourish extending to the right.

Ralph Alvarado, MD, FACP  
Commissioner

cc: Julie Bennett, Chief Legal Officer  
Ballad Health

Marvin Eichorn, EVP, Chief Administrative Officer  
Ballad Health

Karen Seiden, COPA Compliance Officer  
Ballad Health

Karen Shelton, MD, Commissioner  
Virginia Department of Health

Joe Hilbert, Deputy Commissioner for Governmental and regulatory Affairs  
Virginia Department of Health

Erik Bodin, Office of Licensure and Certification  
Virginia Department of Health

Kevin Meyer  
COPA Analyst, Virginia Department of Health

Allyson Tysinger  
Virginia Senior Assistant Attorney General

Joe R. Landsman  
COPA Monitor

Kevin M. Kreutz, Deputy Attorney General  
State of Tennessee

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JW Randolph, MPH, Deputy Commissioner Health Strategy and Regulation  
Tennessee Department of Health

Elizabeth Jones, Director, Health Planning  
Tennessee Department of Health

Jim Mathis, COPA Director  
Tennessee Department of Health

Judi Knecht, COPA Assistant Director  
Tennessee Department of Health

Claire C. Haltom  
Baker Donelson PC