INSTRUCTIONS FOR WHOLESALER/DISTRIBUTOR LICENSE

Pursuant to Rule 1140-09-.01(1): Every manufacturer, outsourcing facility, oxygen supplier or wholesaler/distributor, before engaging in the manufacture, sale or distribution of prescription drugs and prescription devices in this state, must be licensed by the Board in accordance with this chapter. (2): An applicant with physical facilities in this state must obtain and display prominently a separate license for each principal place of business where the applicant manufactures or distributes prescription drugs and prescription devices.

Pursuant to Rule 1140-09-.02 (2): Applicants seeking to register as manufacturers or outsourcing facilities shall provide the following materials to the Board of Pharmacy: (a) Proof of registration with the Food and Drug Administration as a manufacturer or outsourcing facility and the most current inspection by that agency, or correspondence or other written proof from the Food and Drug Administration which states that registration with that agency is unnecessary.

Pursuant to Public Chapter 430, signed into law by Governor Haslam on May 16, 2013, all Tennessee-licensed manufacturers, wholesalers and distributors of controlled substances, including tramadol, must regularly submit a report in Automation of Reports and Consolidated Order System *(ARCOS) format the Board of Pharmacy of all CII-CV controlled substance distributions to a Tennessee licensee.


NOTE: A new application must be submitted to the Tennessee Board of Pharmacy, along with the required application fee(s), anytime there is a Name, Location, or Ownership change.

ALL APPLICANTS

All documentation required to be submitted by you must be mailed directly to:

Tennessee Board of Pharmacy
665 Mainstream Drive
Nashville, TN 37243
(zip code 37228 for courier service only)

All application fees are Non-Refundable. Attach a check or money order made payable to the Tennessee Board of Pharmacy. NOTE: Please see the rules below to determine if the facility is required to also register for controlled substances and/or sterile compounding.

<table>
<thead>
<tr>
<th>Description</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registration Fee (required)</td>
<td>$525.00</td>
</tr>
<tr>
<td>State Regulatory Fee (required)</td>
<td>$10.00</td>
</tr>
<tr>
<td>*Controlled Substance Fee</td>
<td>$40.00</td>
</tr>
<tr>
<td>**Sterile Compounding Fee</td>
<td>$250.00</td>
</tr>
</tbody>
</table>
Pursuant to Rule 1140-01-.11: No licensee may obtain, possess, administer, dispense, distribute, or manufacture any controlled substance in this state, and no representative of a manufacturer or wholesaler/distributor may distribute any controlled substance in this state, without obtaining a controlled substance registration from the board.

Pursuant to Rule 1140-01-.12 (1): No licensee may compound, manufacture, prepare, propagate, or process any sterile product to be dispensed, sold, traded, or otherwise distributed in or from this state without first obtaining a sterile compounding modifier registration from the Board of Pharmacy.

- Submit a list of owners, partners, board of directors or corporate officer.
- Criminal background check is required for the owner or manager of the facility seeking licensure. You may register at [https://www.tn.gov/health/health-professionals/criminal-background-check/cbc-instructions.html](https://www.tn.gov/health/health-professionals/criminal-background-check/cbc-instructions.html)
- Copy of registration and current inspection report issued by the Food and Drug Administration (FDA) or a written statement from the FDA stating that registration with the agency is unnecessary
- Complete the attached survey

NOTE: If you are a virtual manufacturer and utilizes a Third Party Logistic Provider (3PL) for manufacture, sale or distribution of its product(s), the application must be completed to reflect the 3PL business name and address under the section for “Facility Address”. The business name and address on all supporting documents must correspond with the information provided on the application.

Please allow ten (10) business days for information mailed to the board’s office to be received. Special courier services will not appreciably reduce the time it takes to process an application. It takes approximately eight (8) weeks for a license to be issued.

Upon receipt of the application, an administrative member of the Board of Pharmacy will conduct a preliminary review of the application. If additional information is required, notification will be provided via regular mail or electronic mail.

Application for resident facility will be forwarded to a Board of Pharmacy investigator for an inspection. Upon receipt of a satisfactory inspection report, the application will undergo a final review and a licensee will be issued.

Upon receipt of all required documents, applications for non-resident facility will undergo a final review and a license will be issued.

Once an application has been approved, please allow 7-14 business days for receipt of the license certificate.

Please limit phone calls and/or emails to the board office regarding the status of an application. You may verify the license status here: [https://apps.health.tn.gov/facilityListings/](https://apps.health.tn.gov/facilityListings/)

OUT OF STATE APPLICANTS

In addition to the items required for all applicants, out of state applicants must also provide the following:

- Copy of the license issued by the state which the facility is located
- Copy of the inspection report completed within the last 12 months or a statement from the resident state licensing agency explaining its inspection policy (IF NOT REGISTERED WITH THE FDA)
- Copy of the DEA certificate
### APPLICATION FOR WHOLESALER/DISTRIBUTOR LICENSE

**APPLICATION FOR:**
- □ New License
- □ Name Change

**TN License Number (if applicable):** ______________
- □ Location Change
- □ Ownership Change

### CORPORATE MAILING ADDRESS:

<table>
<thead>
<tr>
<th>Company Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address Line 1</td>
</tr>
<tr>
<td>Address Line 2</td>
</tr>
<tr>
<td>City</td>
</tr>
<tr>
<td>Corporate Contact Person</td>
</tr>
</tbody>
</table>

### FACILITY ADDRESS:

<table>
<thead>
<tr>
<th>Company Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of 3PL if applicable</td>
</tr>
<tr>
<td>Address Line</td>
</tr>
<tr>
<td>City</td>
</tr>
<tr>
<td>Manager at Facility</td>
</tr>
</tbody>
</table>

**Please complete if applying for a Name, Location, or Ownership change:**

| Previous Company Name |
| Previous Address Line 1 |
| Previous Address Line 2 |
| City | State | Zip Code |

Do you wish to receive notifications, including renewal notification, from Department of Health via email? Please note, by opting in, all correspondence from the Department of Health will be delivered to the email address on file for you. You will no longer receive physical mail from our office. _____ Yes _____ No
CONTROLLED SUBSTANCES: Yes □ No □ DEA Number: ________________________________

STERILE COMPOUNDING: Yes □ No □ If no, you are required to immediately report to the board any changes in the manufacturer/wholesaler/distributor business model.

SELL/DISTRIBUTE DRUGS OR DEVICES TO: □ Wholesalers □ Distributors □ Community Pharmacies □ Hospital Pharmacies □ Long-Term Care Facilities □ Veterinarians □ Researchers □ Prescribing Practitioners □ Other: ____________________________________________________________

Is this wholesaler/distributor a repackager? Yes □ No □

If the Manufacturer, Wholesaler/Distributor or Outsourcer has an NPI number, please provide: _______________

CORPORATIONS: Must attach a list of your Board of Directors with the address of the corporation. If not a corporation, please provide a list of owner(s), partner(s), or officer(s), including addresses and phone numbers.

TYPE OF OWNERSHIP:
- Sole Proprietorship
- Partnership
- Corporation
- LLC
- Other: ___________

This application is completed by: (Check one) □ OWNER □ OFFICER OF CORP. □ ADMINISTRATOR

Does the Owner, Officer of Corporation or Administrator have any charges involving moral turpitude or violation of pharmacy law, or any other laws pending against them? □ Yes □ No (If yes, please explain such charges or violations in detail; even to reporting minor infractions of pharmacy laws, liquor or narcotic laws regulations, including dates.)

________________________________________________________________________________________________________________________________________________________

Does the facility possess a printed or electronic version of the TN Law Book? □ Yes □ No

Note: Every business licensed by the Tennessee Board of Pharmacy must possess a copy of the board publication which contains Pharmacy Law and Regulations; the Tennessee Drug Control Act; and the Tennessee Food, Drug & Cosmetic Act (applicable parts only).

Attach a list of the owners, officers or directors to this application.
AFFIDAVIT AND RELEASE

I, ____________________________, of ____________________________, affirm that I am the owner, manager, and/or administrative staff for this wholesaler or distributor listed in this application.

I affirm that I am the owner, manager, and/or administrative staff and accountable to the Board of Pharmacy for this practice site's compliance with all state statutes and regulations governing the practice of being a licensed wholesale/distributor in Tennessee.

I affirm that before engaging in the manufacture, sale or distribution of prescription drugs and prescription devices in this state, that this practice site must be licensed by the Tennessee Board of Pharmacy.

THIS CERTIFIES THAT THE INFORMATION SUBMITTED BY ME IN THIS APPLICATION IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

______________________________  ______________________________
SIGNATURE                           DATE

RDA 10137
To ensure regulatory compliance and promote product safety, the Tennessee Board of Pharmacy is surveying all entities seeking licensure in Tennessee as a Wholesaler/Distributor. Please answer the questions below and return to the Board office. You may respond by mail to Tennessee Board of Pharmacy 665 Mainstream Drive, Nashville, TN 37243; by fax to 615-741-2722; or by scanning and e-mailing to: pharmacy.health@tn.gov

Pursuant to Tennessee Code Annotated (T.C.A.) §63-10-305 (8), the request to complete and return this survey is considered a lawful order of the Board of Pharmacy. Response is required before a license will be issued. Please retain a copy of your response at the firm’s location.

NAME OF FACILITY: ______________________________________________________________

ADDRESS OF FACILITY: ___________________________________________________________

CITY, STATE, ZIP: _________________________________________________________________

PHONE NUMBER: ________________________________________________________________

NAME OF PERSON RESPONSIBLE FOR RESPONDING: _________________________________

WHOLESALE

T.C.A. §63-10-204 (49) "Wholesaler" or "wholesale distributor" means a person primarily engaged in the wholesale distribution of drugs or devices; provided, that "wholesaler" or "wholesale distributor" does not include licensed third-party logistics providers. For the purposes of this part, transfers and sales of drugs or devices from one licensed pharmacy to another shall not constitute wholesale distribution of drugs or devices.

1. By this definition, is this firm a wholesaler? _____Yes  _____No

If “no”, please provide a description of the business and the reason you do not feel it meets this definition:
If “yes”, please answer the following questions:

a. Is the firm licensed or registered with FDA? ____Yes  ____No

If “no”, please provide a brief explanation why: ________________________________

If “yes”, please attach proof of the FDA license or registration to your response to this survey.

b. How many different products has the firm wholesaled in the past 12 months? ______

c. Are any sterile products wholesaled? ____Yes  ____No

d. Does the firm ship products into other states? ____Yes  ____No

If “yes”, please attach a list of all other states into which products are shipped.

e. Is the firm licensed by all other states into which the firm ships? ____Yes  ____No

If “yes”, please attach proof of licensure.

If “no”, please describe why not: ________________________________

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**DISTRIBUTOR**

**T.C.A. § 63-10-204 (16)** "Distributor" means a person engaged in the distribution of drugs or devices; provided, that “distributor” does not include licensed wholesale distributors or licensed third-party logistics providers;

1. By this definition, does this firm “distribute” legend drugs or devices? ____Yes  ____No

If “no”, please provide a description of the business and the reason you do not feel it meets this definition:

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If “yes”, please answer the following questions:

a. Is the firm licensed or registered with FDA? ____Yes  ____No

If “no”, please provide a brief explanation why not: ________________________________
If “yes”, please attach proof of the FDA license or registration to your response to this survey.

b. How many different products does the firm distribute? ____________

c. Are any sterile products distributed? _____Yes  _____No

d. Does the firm ship product into other states? _____Yes  _____No

   If “yes”, please attach a list of all other states into which products are distributed.

e. Is the firm licensed by all other states into which the firm ships? _____Yes  _____No

   If “yes” please attach proof of licensure.

   If “no”, please describe why not: __________________________________________________

   __________________________________________________

   __________________________________________________