# Board of Respiratory Care Minutes

Date:	May 28, 2015
Time:	9:00 a.m. C.S.T.
Location:	Iris Conference Room Ground Floor 665 Mainstream Drive Nashville, TN 37243
Members Present:	Anna Ambrose, RRT, Chair Delmar Mack, RRT, Ed. D, Secretary Jeffrey P. McCartney, MD Troy Hamm, RRT Lisa Caldwell, RRT Winston A. Granville, RRT
Members Absent:	John Schario, Hospital Administrator
Staff Present:	Michael Sobowale, Board Unit Director Lakita Taylor, Board Manager Mary Webb, Board Administrator Mary Katherine Bratton, Assistant General Counsel Vanessa Crutcher, Fiscal Officer Nichelle Dorroh, Disciplinary Coordinator of Office of Investigations
Guests Present:	Mike Harkreader, Director, Tennessee Professional Assistance Program John Williams, Attorney, Tennessee Society for Respiratory Care Candace Partee, Board Consultant Colleen Schabacker

A quorum being present, Madam Chair, Anna Ambrose, called the meeting to order at 9:10 a.m.

### **Rulemaking Hearing**

Mary Katherine Bratton, Assistant General Counsel, conducted the rulemaking hearing regarding a fee reduction for renewals and the initial application. A roll call was given prior to the start of the rulemaking hearing, with the following Board Members in attendance: Dr. Delmar Mack, Lisa Caldwell, Troy Hamm, Winston Granville, Anna Ambrose, and Dr. McCartney; absent John Schario.

Mrs. Bratton, presented the proposed rules, regarding Chapter Number 1330-01- Chapter Title - General Rules and Regulations Governing Respiratory Care Practitioners and Rule Number 1330-01-

.06 and Rule Title – Fees. The proposed rule would reduce the total initial application fee from \$200 to \$150, and the renewal application fee from \$120 to \$100.

Mrs. Bratton, presented the Board with the Statement of Economic Impact to Small Business, and with no comments or questions, a roll call vote was taken with the following Board Members in attendance: Dr. Delmar Mack, Lisa Caldwell, Troy Hamm, Winston Granville, Anna Ambrose, and Dr. McCartney. Mr. John Schario was absent.

Thereafter, Mrs. Bratton, presented the Board with the Regulatory Flexibility Analysis, and with no comments or questions, a roll vote was taken with the following Board Members in attendance: Dr. Delmar Mack, Lisa Caldwell, Troy Hamm, Winston Granville, Anna Ambrose, and Dr. McCartney. Mr. John Schario was absent.

After discussion, a request was made for the Board to approve the proposals in the rulemaking as presented: the initial application will be reduced to \$150 and the renewal fee will be reduced to \$100. A motion was made by Dr. Mack and seconded by Mr. Granville to accept the proposed fee reductions. The motion carried. A roll call vote was conducted with the following members in attendance: Dr. Delmar Mack, Lisa Caldwell, Troy Hamm, Winston Granville, Anna Ambrose, and Dr. McCartney. Mr. John Schario was absent.

The rulemaking hearing concluded at 9:42 a.m.

## Financial Report from Fiscal Office

Vanessa Crutcher, representative from the fiscal office presented to the Board the projections for fiscal year ending June 30, 2015. Ms. Crutcher reported that the Board's total direct expenditures is \$157,497.08; the total allocated expenditures is \$97,658.23 bringing the total expenditures to \$255,155.31. Ms. Crutcher reported to the board that the board fee revenue is \$331,850.04 with a projected current year net of \$76,694.73 bringing the projected cumulative carryover to \$893,040.24. Ms. Crutcher told the Board that she felt that the fee reductions for the renewal and the initial application will help to decrease the Board's revenue in the future.

### **Office of General Counsel**

Mary Katherine Bratton, Assistant General Counsel, presented the office of General Counsel report to the Board.

### Conflict of Interest

Mrs. Bratton discussed the conflict of interest policy with the Board. She reminded the Board of the conflict of interest policy and requested that board members sign the conflict of interest policy form.

### <u>Rules</u>

Mrs. Bratton reminded the Board that the Board held its rulemaking hearing on the continuing education rule changes and voted to adopt the rule changes, in August 2014. The rules are still being reviewed by the Attorney General's office, and after approval they should be brought before the Joint

## 2 Respiratory Minutes 5/28/2015

Government Operations Committee. The effective date will be communicated to Board members once it is known.

The proposed rule change on fee reduction has been approved to go forward and has been posted on the Secretary of State's website for a rulemaking hearing today.

# Litigation

Ms. Bratton reported that OGC has one open case pertaining to the Respiratory Care Board. There are no Consent Orders or trials scheduled to be presented.

## Appeals 1

Ms. Bratton reported that the Board has one open case up on appeal to Chancery, which is the licensure denial appeal of Mr. Robert Neely. The matter is still on appeal and has been set for oral argument on June 26, 2015.

### Order of Compliance

Mrs. Bratton presented the Order of Compliance on Matilda Barnes, LCRT. Ms. Barnes was not present. Mrs. Bratton stated that Ms. Barnes has demonstrated to the Board that she has satisfactorily complied and satisfied all requirements set forth in a November 19, 2009 Board order. Ms. Barnes has maintained 100% compliance advocacy of the Tennessee Professional Assistance Program (TnPAP) for a period of not less than five (5) years. Based on the petition, and the affidavit of the Board's Disciplinary Coordinator, and a letter from TnPAP, the Board should find that Ms. Barnes has satisfactorily complied with the requirements of the previous order and that such order should be lifted and her license returned to an unencumbered status. A motion was made by Mr. Hamm, and seconded by Mr. Granville, to approve the Order of Compliance as presented. The motion carried.

### Approval of Minutes

A motion was made by Troy Hamm and seconded by Dr. McCartney to accept the February 12, 2015 minutes as amended. The motion carried.

A motion was made by Mr. Granville and seconded by Dr. McCartney to accept the February 12, 2015 task force minutes as amended. The motion carried.

### Administrator's Report

Mary V. Webb, Board Administrator, presented the administrator's report with the following statistical information as of January 31, 2015:

Active Respiratory Therapists

- RRT—3303
- CRT—1521
- RCA—11

Board licensure activity totals from February 11, 2015 through May 22, 2015:

RRT	CRT			
New applications received – 129	New applications received – 30			
New licenses issued – 64	New licenses issued – 28			
Number of renewals-paper – 79	Number of renewals-paper – 61			
Number of renewals online – 288	Number of renewals online – 94			
Reinstatements – 6	Reinstatements – 7			
Number of licensees who retired $-3$	Number of licensees who retired $-3$			
Expired – 34	Expired – 49			
Closed Files – 2	Closed Files – 1			

Anna Ambrose, Board Chair would like for the Board to track the number of registered respiratory therapists and certified respiratory therapists growth over the last three (3) years in an excel chart.

# **Conferences**

Ms. Webb reported that conferences that will be held in 2015 for Respiratory Care professionals are as follows:

- 1. AARC Congress November 7-10, 2015, Tampa, FL
- 2. FARB Regulatory Law Forum October 1-4, 2015, Denver, CO
- 3. 2015 CLEAR Conference September 17-19, 2015, Boston, MA
- 4. AARC Summer Forum, July 13-15, 2015, Phoenix, AZ

Ms. Webb reported that Dr. Mack and Winston Granville were approved at the February board meeting to attend the 2015 AARC Summer Forum. Dr. Mack requested approval from the Board for Michael Sobowale, Board Director, to attend this conference.

Mary Katherine Bratton and Dr. Mack requested to attend the 2015 CLEAR Conference; Mary Katherine Bratton and Michael Sobowale requested to attend the FARB Regulatory Law Forum, and Lisa Caldwell and Troy Hamm requested to attend the AARC Congress conference.

A motion was made by Mr. Granville, and seconded by Dr. Mack, to approve all members and board staff to attend the conferences. The motion carried.

Mary Katherine Bratton and Winston Granville staffed a booth at the TSRC meeting on April 9-10, 2015, which was held in Memphis, TN, to represent the Board of Respiratory Care. Ms. Bratton reported that she and Mr. Granville answered many questions that attendees had regarding licensure, and legal issues. Ms. Bratton and Mr. Granville stated that the TSRC state meeting was very beneficial and helpful to the attendees.

Name	Profession	Current	Date of	Date Notice of	Date
		License	Default	Suspension	Suspension
		Status		Mailed	Lifted
Stacie Miller	CRT	Licensed	10/01/14	10/24/14	03/20/15
Lennie Robertson Smith	CRT	Licensed	12/04/14	12/04/14	01/30/15
Patricia Bafford	CRT	Suspended	01/15/15	01/22/15	
Jocelyn Morgan	RRT	Licensed	02/26/15	02/26/15	03/06/15
Rebecca Bradley	CRT	Licensed	01/22/15	01/26/15	02/13/15
Jenee Lister	RRT	Suspended	12/04/14	12/04/14	
Carrie Hill	CRT	Suspended	03/12/15	03/12/15	
Joy Massingill	RRT	Suspended	03/12/15	03/12/15	
Clistha Tucker	CRT	Suspended	05/08/15	05/08/15	
Teresa Hart	CRT	Suspended	05/21/15	05/26/15	
Rachel Berry	CRT	Suspended	05/21/15	05/26/15	

Ms. Webb reported the following TSAC Orders to the Board:

Ms. Webb, reported the following Child Support Order to the Board:

Name	Profession	Current	Date of	Date Notice of	Date
		License	Default	Suspension	Suspension
		Status		Mailed	Lifted
Mardragus Morton	CRT	Suspended	11/21/14	11/21/14	01/29/15

Ms. Webb reported that there were sixty-six (66) registered respiratory therapists who were audited and sixty-one or 92% were compliant; five (5) or 8% were non-compliant.

There were thirty-six (36) certified therapists who were audited; thirty-one (31) or 86% were compliant and five (5) or 14% were non-compliant.

There were four (4) certified respiratory assistants who were audited and one hundred (100%) percent were compliant.

### **Investigative/Disciplinary Report**

Nichelle Dorroh, Disciplinary Coordinator, in the Office of Investigations, presented the Investigative and Disciplinary reports as follows: There are six (6) active complaints for registered respiratory therapists in the Office of Investigations. Ms. Dorroh reported that the Office of Investigations audited the monitoring report and it is currently up to date.

### **Board Order on Tracy A. Nichols**

Nichelle Dorroh, Disciplinary Coordinator in the Office of Investigations, presented an Order to the Board on Tracy A. Nichols. Ms. Nichols failed to pay the sum of \$1,000.00 in civil penalties for not maintaining her continuing education hours in 2004. The matter first came before the Board on

August 24, 2004. Since that time, Ms. Nichols has maintained that she was not aware that she had to pay the fine since she no longer has a valid respiratory therapist's license in Tennessee. Ms. Nichols offered a settlement of \$300.00. After Board discussion, a motion was made by Troy Hamm and seconded by Mr. Granville, to accept this settlement offer with Dr. Mack and Dr. McCartney opposing. The motion carried.

# Tennessee Professional Assistance Program Report (TnPAP)

Mike Harkreader, TnPAP Coordinator, gave the following report:

Statistics from July 1, 2014 through March 31, 2013Monitoring5Referral Source – TDOH1Monitoring Type – TDOH1Non-voluntary4File Closure – monitoring completed2File Closure – noncompliance; TDOH notified2

Statistics from July 1, 2014 through March 31, 2015

### **Applicant Interview/File Review**

- 1. <u>Daniel Shores</u> Mr. Shores was present. Mr. Shore's application file was presented to the Board due to a misdemeanor conviction in 2006 for alcohol consumption as a minor. Mr. Shores stated that he marked "No" to the question for a misdemeanor conviction on his application in error. After review and discussion with Mr. Shores, a motion was made by Troy Hamm, and seconded by Winston Granville, to grant Mr. Shores a license with no restrictions. The motion carried.
- 2. <u>Axel Velazquez</u> Mr. Velazquez was present. Mr. Velazquez's application file was presented to the Board due to a felony conviction for unlawful use of a weapon in 2001. Mr. Velazquez stated that the incident involved his 13 year old son and five other individuals who attacked his son. Mr. Velazquez stated that he threatened to use the gun in self-defense. Mr. Velazquez stated that he marked "No" on his application to the question of a felony or misdemeanor conviction because the incident happened over ten (10) years ago and the charges were eventually dropped from his record. After review and discussion, a motion was made by Dr. McCartney, and seconded by Mr. Granville, to approve Mr. Velazquez for a license with no restrictions. The motion carried.
- 3. <u>Mercedes Rhoten</u> Ms. Rhoden was present. Ms. Rhoden's application file was presented to the Board due to a DUI arrest in 2012. Ms. Rhoten stated that she was pulled over by a police officer because she was in traffic after having a few drinks with friends. Ms. Rhoten stated that she has not had any other incidents and that she has completed the entire application process and passed her national examination. After review and discussion, a motion was made by Mr. Granville, and seconded by Ms. Caldwell, to approve Ms. Rhoten for a license with no restrictions. The motion carried.

- 4. <u>Angela Cates</u> Ms. Cates was present. Mr. Granville recused himself from the discussion. Ms. Cates' application file was presented to the Board due to a DUI arrest on 5/10/2010. Ms. Cates explained that she had been drinking with friends and decided to drive while it was raining and turned onto a road that was under water. Ms. Cates stated that she missed her court date by one day because of a date mix up. Ms. Cates stated that she has not had any other incidents since that time and she has completed all of the requirements and the examination for licensure. After review and discussion, a motion was made by Dr. McCartney, and seconded by Dr. Mack, to approve Ms. Cates for an unrestricted license. The motion carried.
- <u>Melissa Tripp</u> Ms. Tripp was present. Ms. Tripp's application file was presented to the Board due to a DWI offense on 12/11/2010. Ms. Tripp pled guilty and was placed on a Supervised Imposed Sentence for two (2) years on 8/15/11. Ms. Tripp successfully completed her probation on 8/15/2013. After review and discussion, a motion was made by Ms. Caldwell, and seconded by Mr. Hamm, to grant Ms. Tripp a license with no restrictions. The motion carried.
- 6. Julie Davy Ms. Davy was not present- Ms. Davy's application file was presented to the Board due to a misdemeanor reckless driving conviction on 8/18/2005. Ms. Davy stated that her attorney advised her to not self-report this incident on her application because she is from Georgia. Ms. Davy stated that in Georgia this incident is considered a traffic violation. Ms. Davy stated that she was convicted of reckless driving and improper lane change and that she completed 40 hours of community service and she also had to complete a few hours in jail and the incident went on her record and she was put on probation. Ms. Davy stated that she had a license in GA in 2003 before the incident happened and that she went to court for the incident in 2006. After review and discussion, a motion was made by Mr. Granville, and seconded by Mr. Hamm, to approve Ms. Davy for a license with no restrictions. The motion carried.

### **Legislative Updates**

Ben Simpson from the Office of Legislature Affairs was present to give the following legislative updates to the Board:

### House Bill 309

This bill will make it illegal to sell electronic cigarettes to individuals under the age of 18 years of age.

### Public Chapter 154

This act allows the Commissioner of Health or his designee to have electronic access to medical records in order to facilitate investigations when responding to an immediate threat to public health. Today the Commissioner of Health or his designee already has this authority but must go to the facility to review the medical records.

# Public Chapter 94

This act defines "abuse" and "neglect" for purposes of placing a person on the registry of persons who have abused, neglected, or misappropriated the property of vulnerable individuals specifically within the statutes that govern the Dept. of Health. It does not impact the definitions within the statutes that govern the Dept. of Intellectual and Developmental Disabilities nor the Dept. of Human Services. It also increases the time within which placement on the registry may be appealed from 30 to 60 days.

# Public Chapter 502

This act allows the Joint Government Operations Committee (the legislative committee that reviews all rules) to stay a rule up to 75 days instead of 60 days. Present law authorizes the Joint Government Operations Committee to consider the following factors when reviewing rules: authority, clarity, consistency, justification, necessity and reference. This act adds arbitrariness and capriciousness as two new considerations.

### Public Chapter 268

This act makes disclosures of protected healthcare information permissible in medical malpractice lawsuits.

# **Other Board Business**

- A. PICC Line Placements The Board decided not to take any action on the issue at this time.
- **B.** Report on Surplus Funds Mary Katherine Bratton, explained to the Board that after some research, it was determined that the Board could not use its' surplus funds for a campaign against electronic cigarette use or in anti-smoking campaigns. Ms. Bratton explained to the Board that some of the research she has been working on such as the COPD screenings, asthma, and education training does not fit within the parameters of the statutes. However, there is a grant set aside for an electronic cigarette campaign. Ms. Bratton explained to the Board that there is a statute that authorizes Allied Health Professional research, and the Board of Respiratory Care qualifies under the statute establishing the Allied Health Workforce. She stated the Board could authorize a one-time grant for use in this area.

Ms. Bratton stated that she will bring further developments to the Board at the August meeting.

### **Ratifications**

Dr. McCartney made a motion, seconded by Mr. Hamm, to approve the list for newly certified, and registered respiratory therapists, and reinstatements. The motion carried.

#### **REGISTERED RESPIRATORY THERAPISTS**

#### NEW LICENSES

**Rachel Armstrong** Anthony Baker Jonathan Barnett Antoinette Beckley Alexis Belcher Ashley Benson Harley Billett Ryan Borden Laurie Bowden **Crystal Bridgeforth Tiffany Brown** Whitney Carroll **Beaonkus Childress** James Church, Jr. Kenya Clopton Cecily Crofford **Ronna** Davis Genese Dufur

#### **REINSTATEMENTS**

Kristen Gibson Janet Hite Wendy Duron Charita Fason Angela Faxlanger Cynthia Goltry Sherry Hayes-Luzader Corey Hellman Brandon Herron Quankeshia Hodges Autumn Honaker Shaunna Jackson Yvette Jorden **Timmy Lofton** Amber Maharrey Abigail McKnatt Nancy McMahon Cristy McNutt Amanda Newman Lashun Nicholson

Rhonda Overdear Pam Redditt Jennifer Robinson Tristan Russell Jessica Self Laura Smith Paula Stanley Ashley Starin Ramona Staton Erika Stricklen Amber Sumner Justin Taylor Patti Jo Thompson Tonya Truesdale **Dileep Valacheril** Michael Vaughn Morgan Vernell Crystal Wilson

Tracy Kayser Philisha Muckle Bobbi Scalf Jocelyn Morgan

#### **CERTIFIED RESPIRATORY THERAPISTS**

#### NEW LICENSES

Jared Bias Aqueline Brown Richard Calcote Carlos Duncan Doreen Ellis Angela Elzie Tiffany Fry Mary Gum Gina Halle Kevin Hoffman Kristen Keller Lacy McCullough Teresa Mitchell Andrew Moore Kristen Sherrill Chris Spraggs Lisa Stehlik Cathy Stinefield Frances Taylor Deidra Viers Shawn Yates

#### **REINSTATEMENTS**

Patricia Bafford Robin Dancy Dionne Dandridge Vicki Fisher Jessica Hand Amy Rich Jeffery Stafford

#### POLYSOMNOGRAPHY ENDORSEMENTS

Sharon Fitzpatrick Chad Martin

#### RRT CLOSED FILES

#### CRT CLOSED FILES

Amber McBath Erin Carter Rhonda Overdear Linda Dempsey April Cross Michael Montmarquet Lisa Ingram Jaclyn Grill Charles Powell

#### **Adjournment**

There being no further business, the meeting was adjourned at 12:10 p.m.

These minutes were ratified at the August 27, 2015 board meeting.