

ADMINISTRATIVE POLICIES AND PROCEDURES

State of Tennessee Department of Correction

Effective Date:	March 1, 2020

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Subject: HEALTH RECORDS

Approved by: Tony Parker

I. <u>AUTHORITY</u>: TCA 4-3-603, TCA 4-3-606, TCA 68-11-301, TCA 68-11-303, TCA 68-11-311, TCA 24-7-110, TCA 68-11-209, TCA 32-11-102, and TCA 32-11-105.

- II. <u>PURPOSE</u>: To prescribe contents and handling procedures for inmate health records.
- III. <u>APPLICATION</u>: Wardens/Superintendents, Health Administrators, health care and archives staff, and privately managed institutions.

IV. DEFINITIONS:

- A. <u>Advanced Directive:</u> An individual instruction or written statement relating to the subsequent provision of health care for the individual in which the inmate or his/her healthcare agent expresses his/her choice(s) regarding healthcare services to apply in the event he/she is no longer capable of expressing a choice. Advance directives may include by not be limited to, a living will, an advance care plan, or durable power of attorney for health care.
- B. <u>Authorized Provider:</u> A physician, dentist, Advanced Practice Nurse (APN), or Physician's Assistant (PA).
- C. <u>Central Office Warehouse (COW):</u> The Tennessee Department of Correction (TDOC) central storage location for inactive records.
- D. <u>DeBerry Special Needs Facility (DSNF) Health Record:</u> A health record maintained by the DSNF facility for sub-acute or extended care inmates being treated in a medical or mental health temporary or permanent status.
- E. <u>Healthcare Agent</u>: A fiduciary or legal surrogate. A fiduciary is a legal guardian or conservator, or an attorney-in-fact who has been granted a valid power of attorney for health care decisions pursuant to applicable law.
- F. <u>Health Record</u>: A chronological documentation of an inmate's medical history and treatment. The record includes documentation of intake health screenings, progress notes, x-ray and laboratory reports, physicians' orders, clinic and infirmary records, medication administration records, treatment plans, immunization records, dental records, hospital and emergency room reports, specialty consultation reports, mental health records, etc.
- G. Now/Stat Order: An order or procedure to be initiated and completed without delay.
- H. Order: Instructions from an authorized provider.
- I. Protocol order: Orders initiated by TDOC Nursing Protocols

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- J. Routine Order: An order to be initiated and completed within twenty-four hours
- K. <u>S.O.A.P.</u> Notes: A particular format of recording clinical documentation regarding treatment procedures. The four components of S.O.A.P. notes are:
 - S = Subjective-describes the patient's current condition in narrative form, including the patient's reported complaint(s), history, symptoms, onset, and previous remedies.
 - O = Objective-findings from physical examinations, diagnostic tests, vital signs, age, weight, height, etc.
 - A = Assessment-summary of the clinician's diagnostic impression and rule-outs.
 - P = Plan-specifies the treatment plan for the inmate's condition, intervention, medication, required follow-up, etc.
- L. <u>Telephone Order:</u> Order initiated by telephone.
- M. Urgent Order: Orders which should be completed within one hour.
- N. <u>Verbal Orders</u>: Orders given verbally by a licensed provider to a licensed nurse, or pharmacist.
- V. <u>POLICY</u>: A health record shall be maintained for each inmate. The health record shall contain a chronological documentation of the inmate's health status and treatment throughout the duration of his or her incarceration. The health record shall be maintained separately from the inmate's institutional record.

VI. PROCEDURES:

A. GENERAL:

- 1. The health record shall be initially created at diagnostic centers as part of the diagnostic process, per Policy #401.04. In the event that an inmate returns to TDOC custody, his/her original health records shall be requested from TDOC health record archives. Diagnostic centers shall procure and utilize a ten compartment brown letter size folder for the health record as specified in Policy #512.01. These folders shall also be available to other institutions for replacement or creation of additional volumes of a health record. The Chief Medical Officer shall approve the method of recording entries in the records, the form and format of the records, and the procedures for their maintenance and safekeeping.
- 2. The original health record shall accompany the inmate whenever he/she is transferred to another TDOC facility either permanently or temporarily (e.g., court, hospital, etc.). Mental health programmatic records shall also be forwarded. (See Policies #113.04 and #113.81)

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- 3. The health record shall be organized in a problem-oriented format and contain documentation of all occasions of medical service provided to inmates both onsite and off site for either inpatient or ambulatory care.
- 4. Prior to filing the health record, all documents are to be reviewed to ensure that they are filed in the correct section of the health record.
- B. <u>Confidentiality/Release of Health Records</u>: All health records shall be considered confidential and are to be handled in accordance with Policy #113.52.

C. Maintenance of Health Records:

- 1. All active health records shall be stored in a secure area and separately maintained from the institutional record. Only authorized personnel shall have access to these records. Each facility shall maintain a list of personnel, by position or function, authorized to have access to the health record, and only those authorized individuals shall have access to the DSRS database as well as the original health record.
- 2. Records In/Records Out, CR-1006, shall be used anytime an inmate health record is removed from the health records area.
- 3. All institutions shall utilize the color-coded terminal digit system for storage and retrieval.

D. Health Services Forms:

- 1. All institutions shall use the TDOC approved CR forms in the health record. Exceptions can be made only as described in Policy #101.06. All CR forms shall be completed in their entirety.
- 2. The Chief Medical Officer (CMO) or designee shall periodically, or as needed, review health services forms for content and appropriateness to correspond with TDOC policy, ACA standards, and current health service standards.
- 3. S.O.A.P. notes format shall be used for documenting clinical assessments in the health record; all other notes may be narrative.
- 4. <u>Prescriber Orders</u>: All orders for treatment shall be written on the Physician's Orders, CR-1892, by an authorized provider with the exception of situations that may require the provider to issue an order verbally or by telephone so that treatment can begin immediately.
 - a. Now/Stat Order: This request applies to an emergent situation. The process of obtaining the requested order or procedure shall be initiated without delay. These requests may be written or verbal orders. The order must contain now/stat as part of the order and shall be handed directly to licensed personnel with notification of the stat order.

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- b. <u>Protocol Orders</u>: May be used in situations outlined in Policy #113.11. In order for protocol orders to be carried out the orders should be written congruent with the requirements specified by the TDOC Nursing Protocols.
- c. <u>Routine Order</u>: The process of obtaining the requested order and shall be completed/processed within twenty-four hours. The process is initiated by the ordering provider flagging the chart by folding the order over itself to the right and placing it in the designated area at each facility.
- d. <u>Telephone Orders</u>: Only licensed personnel can receive and document telephone orders in the medical record. All telephone orders shall be documented, and verified by reading the order back to the authorized provider. The provider then becomes responsible for the order that is to be treated as all other physician orders. The licensed personnel shall document the date and time of the order, their name and title, as well as, that of the licensed provider giving the order.
- e. <u>Urgent Order</u>: The process of obtaining the requested order or procedure and shall be completed within one hour of the request. The order must contain "urgent" as part of the order with notification of an urgent order. The process is initiated by the provider handing the written orders to licensed personnel.
- f. <u>Verbal Order</u>: An order that is initiated orally by licensed personnel without the aid of a telephone. Verbal orders are not permitted except in cases of emergent situations when the provider is physically unable to interrupt his/her activity to write the order.
- g. <u>Transcription/Notation of Provider Orders:</u> Licensed nursing staff will transcribe/notate physician orders for treatment onto the appropriate CR form(s) as applicable. Once completed the licensed nurse will date, time, and sign under the order indicating treatment orders were initiated.
- h. <u>24 Hour Order Verification:</u> The health administrator at each facility will have a procedure to verify orders written by providers in the last 24 hours were transcribed and notated correctly.
- E. <u>Organization of the Health Record</u>: All documents placed in an inmate's health record shall be legible and attached face up, in chronological order, with the most recent information on top. A health record consists of ten sections. See Section VI.(F)(3)(a) of this policy for Section I organization. Items are placed in the most appropriate general category as follows:
 - 1. Section 1- Assessment Data, Treatment Plan(s), Advance Directives conservatorships
 - 2. Section 2 Diagnostic Reports
 - 3. Section 3 Provider Orders/Medication Administration Records
 - 4. Section 4 Progress Notes
 - 5. Section 5 Consultations

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- 6. Section 6 Dental
- 7. Section 7 Infirmary
- 8. Section 8 Discharge Summaries
- 9. Section 9 Miscellaneous
- 10. Section 10- Mental Health

F. Contents of Health Record Volumes:

- 1. Additional health record volumes should be made when documents do not fit on fasteners/prongs in sections.
- 2. All volumes must have typed name labels and color-coded tabs with complete inmate/patient name and TDOC number visible. Volumes shall be continued in sequence, e.g., I of II or II of II.
- 3. The current forms shall be transferred from the previous volume to the new volume and placed in the appropriate category grouped together, in chronological order as follows:
 - a. Section I Assessment Data
 - (1) Advance Directives
 - (2) Conservatorship (if applicable)
 - (3) Major Problem List, CR-1894
 - (4) Chronic Disease Clinic Treatment Plan, CR-3624
 - (5) Teaching/Counseling Plan, CR-2742
 - (6) Immunization/TB Control Record, CR-2217
 - (7) Inmate/Employee Tuberculosis Screening Tool CR-3628
 - (8) Health Classification Summary, CR-1886
 - (9) Report of Physical Examination, CR-3885
 - (10) Health History, CR-2007
 - b. Section II Diagnostic Reports
 - (1) All initial and current laboratory reports (past 12 months)
 - (2) All diagnostic reports (past 12 months)

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- c. Section III Provider Orders/Medication Administration Records: Initial and at least most recent six months
- d. Section IV Progress Notes: Initial clinical assessment note and at least six months of Problem Oriented Progress Record, CR-1884 (in chronological order)
- e. Section V Consultations- All specialty consultation requests and reports (past 12 months)
- f. Section VI Dental- transfer all forms and pan-oral x-ray
- g. Section VII Infirmary- All in house infirmary progress notes
- h. Section VIII Discharge Summaries- All hospital discharge summaries, as well as the DeBerry Special Needs Facility health record
- i. Section IX- Miscellaneous Miscellaneous initial or most current Health Questionnaire, CR-2178
- j. Section X- Mental Health
 - (1) Initial Psychological Evaluation
 - (2) Consent for Treatment, CR-1897
 - (3) Initial and current Mental Health Treatment Plan(s), CR-3326
 - (4) Mental Health Treatment Review Committee form, CR-3329
 - (5) Initial and 12 months Progress Notes, CR-1884
 - (6) Any other mental health related forms

G. Documentation of the DSNF Health Record:

- 1. The DSNF health record shall be standardized and uniform in format for medical and mental health services, and approved annually in writing by the TDOC Chief Medical Officer.
- 2. When an inmate is discharged from DSNF, a copy of the discharge summary and any pertinent consultations or diagnostic examinations shall be copied from the DSNF health record and placed in the inmate's original health record. The DSNF health record shall be retained by the DSNF medical records department.
- 3. DSNF shall develop its own individual chart arrangement according to its unique treatment modalities. At the time of discharge, the DSNF health record shall be reviewed to ensure completeness, proper form arrangement, and that a discharge summary is present.

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- H. <u>Documentation of Infirmary Services</u>: All entries concerning care while the inmate is admitted to the infirmary will be maintained in Section VII.
- I. <u>Psychiatric/Psychological Treatment Records</u>: Psychiatric/psychological summaries, reports, evaluations, and progress notes shall be included in the inmate health record in order to facilitate follow-up, promote continuity of care, and to document ongoing treatment.
- J. <u>Record Review</u>: Prior to transfer from any institution, the health record shall be reviewed by the Health Administrator or designee. The reviewer shall verify that the health record is complete and organized in accordance with Section VI.(E) of this policy.

K. Health Record Retention and Disposition:

- 1. After the release, parole, or discharge of an inmate, the health record shall be retained for a period of seven years. Following the death of an inmate the record shall be retained for a period of 15 years. Prenatal records shall be retained for a period of 19 years.
- 2. Following any inmate's escape for longer than 30 days, his/her release or death-the health record shall be forwarded to the COW, utilizing the Health Records Movement Document, CR-2176. Such records shall be made available thereafter as needed, or upon the inmate's return to TDOC custody. Requests for such records shall be forwarded to the TDOC health record Archives Center.
- 3. A copy of health records shall be released to the Office of Investigations and Compliance (OIC) when requested. (See Policy #113.05) The Health Administrator shall retain the original health record.

L. Coding and Indexing:

- 1. If coding is done for medical diagnosis, the most current edition of *The International Classification of Diseases*, *Clinical Modification*, shall be used.
- 2. If coding is done for psychiatric diagnoses, the most current edition of the *Diagnostic and Statistical Manual of Disorders* (DSM), by the American Psychiatric Association, shall be used.
- M. <u>Advance Directives and Health Care Agent Documentation</u>: In accordance with Policy #113.51, inmates may make advance directives to express their choices regarding their healthcare services; to apply in the event that they are no longer capable of expressing a choice. Such advance directives may include a "Living Will", or an "Advance Care Plan."

As also described in Policy #113.51, a "healthcare agent" may in some cases be appointed to make healthcare decisions for an inmate in circumstances where the inmate is not able to do so for him/herself Such appointments include an "Appointment of Healthcare Agent," Durable Power of Attorney for Healthcare," or an "Appointment of a Conservator".

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- 1. Advance directives and Healthcare Agent documents shall be entered into the health record and shall be filed in Section 1 of the health record.
- 2. Health records containing advance directives and/or documentation of a healthcare agent appointment shall be prominently marked on the outside front of the health record file "Contains Advance Directives," and/or "Contains documentation of Healthcare Agent appointment." Marking shall be by a paste-on label or bold print in red. The label or printing shall be in the upper right hand corner of the jacket.
- 3. Health Services staff shall ensure that the inmate's treatment plan includes a reference to advance directives and is approved by signature of the inmate's healthcare agent, where required.
- 4. When an inmate is transferred to a community hospital, a copy of the advance directive and/or healthcare agent appointment shall be forwarded to that hospital. A responsible individual at the community hospital shall sign for the receipt of the advance directive and/or documentation of health care agent appointment. This receipt shall be filed in Section 1 of the health record.
- 5. If necessary, facilities shall develop additional processes outlining how inmates with conservators or other healthcare agents will be readily identified. If a healthcare agent has been terminated, the documentation thereof shall be transferred to Section IX, together with documentation that the agent has been terminated.
- VII. ACA STANDARDS: 4-4352, 4-4413, 4-4414, and 4-4415.
- VIII. <u>EXPIRATION DATE</u>: March 1, 2023.



TENNESSEE DEPARTMENT OF CORRECTION MENTAL HEALTH TREATMENT REVIEW COMMITTEE DEBERRY SPECIAL NEEDS FACILITY

INMATE	NAME:	NUMBER:	DATE OF BIRTH:	SEX:
l.	REPORT OF INITIAL PSYCHIATI	RIST'S MEETING WITH INMATE'S:		
	Initial Psychiatrist's Recommenda	tion(s):		
	Inmate's Si	ignature		 Date
	Psychiatrist S			Date
II.	REPORT OF SECOND PSYCHIA	TRIST'S MEETING WITH INMATE:		
	Second Psychiatrist's Recommen	dation(s):		
	Inmate's Si	ignature		Date
				
ш	Psychiatrist S			Date
III.	REPORT OF TREATMENT TEAM Treatment Team Recommendatio			
	Treatment ream Necommendatio	115(5).		
	Signature(s) of Treatme	nt Team Member(s):	Date	Comments:
		Title		
		 Title		
		Title		
		 Title		

MENTAL HEALTH TREATMENT REVIEW COMMITTEE DEBERRY SPECIAL NEEDS FACILITY

REPORT OF TREATMENT REVIEW COMMITTEE:

IV.

Signature of Treatment Review Committee:	Date	Comments:
Title		
Title		
Title		
MATE RIGHTS ADVOCATE COMMENT(S):		
IMATE RIGHTS ADVOCATE COMMENT(S):		
IMATE RIGHTS ADVOCATE COMMENT(S):		
IMATE RIGHTS ADVOCATE COMMENT(S):		
NMATE RIGHTS ADVOCATE COMMENT(S):		

AGRICULTURE 77796

TENNESSEE DEPARTMENT OF CORRECTION

INMATE/EMPLOYEE TUBERCULOSIS SCREENING TOOL

	·	INSTI	TUTION			
		☐ Employee	[☐ Inmate		
	Inmate Name (F	Printed)			Inmate Number	
	Employee Name	(Printed)		Last four (4) digits of Emplo	ovee SS#
	Department of Correction ver tuberculosis is suspect	(TDOC) Policy requires a	nnual screenin	•		-
	ou experienced any of the f		the last vear?			
		one imig cympieme imim	. a.o laot you	Y	ES	NO
1.	Prolonged cough (lasting	g 3 weeks or longer)				
2.	Productive cough (if yes	, state color)				
3.	Coughing up blood					
4.	Chest pain					
5.	Get tired easily					
6.	Weight loss (if yes, how	many lbs, time pe	riod)			
7.	Loss of appetite					
8.	Night sweats					
9.	Fever or chills					
prolong	immunocompromised? (Ded corticosteroid therapy, o					
therapy	<i>)</i> ou given BCG at any time?			,	_	
_	ou traveled to Asia, the Car	ibhaan South America o	or Africa within t	ha last		
	employee only)	ibbean, oodin America, c	Allica within t	lile last		
Have yo	ou ever had a positive TB s		ood test?			
	ou ever been told that you		. /			
	Volunteer to a homeless sou ever taken medication for		(employee on	l iy)	_	님
	medications:	л то:		Treatment date(s	 s):	
Most re	cent TST/IGRA Date:		Result:		mm:	
Most re	cent Chest-X-ray Date:		Result:			
Current	Test PPD (Brand):		Lot#: _	E	xp Date:	
Date pla	aced:	Site:		Nurse:		
Date re	ad:	Result:	mm	Nurse:		
Date of	IGRA	Result:		Nurse:		
		Exposure Control	Methods Imp			
	No action required	1.0		Physician/Mid-Lev		
	Segregated from por		님	Immediate physici		
	Surgical mask on pa Placed in All	ueni		Prepare for transfe Recommend Quar		d Test
Physician r	eview required for all positi	ve tindings:				
	Employee/Inmate Sign	nature			Date	
					D :	
Kevie	wing Physician/Mid-Level R	ererrai Signature			Date	
	Health Care Provider Si	gnature			Date	

PHYSICIAN'S ORDERS

	NAME	
	TDOC ID	
	ROOM No.	
Drug Allergies	PHYSICIAN	
Date & Time	DO NOT USE THIS SHEET UNLESS A RED NUMBER SHOWS	Nurse's Initials



i.e., I – Diabetes, II – Laminectomy.

TENNESSEE DEPARTMENT OF CORRECTION HEALTH SERVICES MAJOR PROBLEM LIST

		INSTITUTION					
Nam	e:	TDOC ID:					
INAIII	e Last	First Middle					
Data	of Birth:	Gender: M F Race:					
Date	OI DIIIII	Gender:					
Allergies:							
PROBLEM NUMBER*	DATE IDENTIFIED/ RECORDED	MAJOR CLINICAL CONDITIONS/PROBLEMS RESOLVED (Please check "√" if resolved)	RESOLVE DATE				
Conservator Name:							
Primary Pho	Primary Phone: Secondary Phone:						
 Major medical problems considered medical or surgical in nature are identified by Roman numerals, 							

CR-1894 (Rev. 11-19) Duplicate as Needed RDA 1458

Psychiatric, or serious psychological problems, are identified by capital letters, i.e., **A** – Schizophrenia, **B** – Self-Mutilative Behavior.



TENNESSEE DEPARTMENT OF CORRECTION CHRONIC DISEASE CLINIC

CHRONIC DISEASE CLINIC TREATMENT PLAN

1796					
			TDOC ID	Institu	ition
LIST CHRONIC DISEASES	<i>a</i> .				
1)	3)				
2)	4)		6) _		
Either list or refer to pharmacy p	rofile for current med	ications:			
SUBJECTIVE:					
Asthma: # attacks in last month? _				ince last visit?	
# short acting beta agonist canister				emic reactions since las	st visit?
# times awakening with asthma syl					
CV/hypertension (Y/N): Chest partial HIV/HCV (Y/N): Nausea/vomiting					
Thiv/HCV (T/N). Nausea/voilining	· Abdominal pa	iii/sweiiiig!	Diairriea :	\asiles/lesions!	
For all diseases, since last visit, de	scribe new symptoms:				
OBJECTIVE:					
Patient adherence (Y/N): with me					
Vital signs: Temp BP					
Labs: Hgb A1C HIV VL _	CD4 T	otal Chol	LDL HDI	Trig	
Range of fingerstick glucose/BP	monitoring:				
Physical Evaluation (PE):					
HEENT/neck:		Extremi	ities:		
Heart:		Neurolo	ogical:		
Lungs:		GU/rect	tal:		
Abdomen:		Other:			
Additional Comments:					
			Degree of Contr	ol* Clinical	Status*
ASSESSMENT:			G F P	NA I S	W NA
_1					
2					
3					
4					
*	Degree of Control: G-0	Good F-Fa	ir P-Poor	NA-Not Applicable	
	<u> </u>	nproved S-Sa		NA-Not Applicable	
PLAN:					
Medication changes:					
Diagnostics:				_	
Labs:	ok/month Classes	v dayılırı - I-	/month Deal file	O4b 5	
	ek/month Glucose _ Exercise Sn			w Other: cation management [Other:
· —	_	• –	_	Janon manayement [
# days to next visit? 90 60	☐ 30 ☐ Other:	Discharge	ed from Chronic Cli	nic (specify clinic):	
Additional Comments:					
Mid-Level / F	Physician Signature			Date	
Mid-Level / F	hysician Signature			Date	

Inmate Name



TENNESSEE DEPARTMENT OF CORRECTION

TEACHING/COUNSELING PLAN

Patient's Name/TDOC ID	Subject
ELEMENT	DATES TAUGHT
Element:	
Provider Signature:	
Patient Signature:	
Element:	
Provider Signature:	
Patient Signature:	
Element:	
Provider Signature:	
Patient Signature:	
Element:	
Provider Signature:	
Patient Signature:	
Element:	
Provider Signature:	
Patient Signature:	
Element:	
Provider Signature:	
Patient Signature:	

Note: Each entry must be signed.

CR-2742 (Rev. 11-19) RDA 1458



Enter Name.

NAME

Enter Date.

Enter Date.

TENNESSEE DEPARTMENT OF CORRECTION

IMMUNIZATION / TB CONTROL RECORD - INMATE

Enter Institution.	
INSTITUTION	

TDOC ID:

Enter TDOC ID.

IMMUNIZATIONS						
DATE	VACCINE	DOSE	SIGNATURE			
Enter Date.	Enter Vaccine.	Dose.				
Enter Date.	Enter Vaccine.	Dose.				
Enter Date.	Enter Vaccine.	Dose.				

Enter Vaccine. Dose.

Dose.

Enter Vaccine.

TUBERCULOSIS SCREENING AND SURVEILLANCE
INITIAL SCREENING:

Date IGRA Drawn	Date of Results	Reaction (Neg/Pos)	Chest X-Ray Date / Results		Preventive Treatment Started / Completed	
		Enter	Enter X-Ray		Enter Started	Enter Completed
Enter IGRA Drawn Date.	Enter IGRA Drawn Date.	Reaction.	Date.	Enter Results.	Date.	Date.

PERIODIC SCREENING: READ AFTER 48 - 72 HOURS IN MM

Tuberculin Test Date Antigen/Method/Initials		Date Read / Initials		Reaction in MM	Chest X-Ray Da	Chest X-Ray Date / Results		Preventive Treatment Started / Completed	
Enter Test Date.	Enter Antigen/Meth od/Initials.	Enter Read Date.	Enter Initials.	Enter Reaction.	Enter X-Ray Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	
Enter Test Date.	Enter Antigen/Meth od/Initials.	Enter Read Date.	Enter Initials.	Enter Reaction.	Enter X-Ray Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	
Enter Test Date.	Enter Antigen/Meth od/Initials.	Enter Read Date.	Enter Initials.	Enter Reaction.	Enter X-Ray Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	
Enter Test Date.	Enter Antigen/Meth od/Initials.	Enter Read Date.	Enter Initials.	Enter Reaction.	Enter X-Ray Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	
Enter Test Date.	Enter Antigen/Meth od/Initials.	Enter Read Date.	Enter Initials.	Enter Reaction.	Enter X-Ray Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	
Enter Test Date.	Enter Antigen/Meth od/Initials.	Enter Read Date.	Enter Initials.	Enter Reaction.	Enter X-Ray Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	
Enter Test Date.	Enter Antigen/Meth od/Initials.	Enter Read Date.	Enter Initials.	Enter Reaction.	Enter X-Ray Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	

TUBERCULOSIS SURVEILLANCE: FILL IN IF POSITIVE PPD OR IF DISEASE OCCURS

Bacteriologic Examination Date / Results			gnosis Diagnosis	Treatment Started / Completed		
Enter Date.	Enter Results.	Enter Date.	Enter Diagnosis.	Enter Started Date.	Enter Completed Date.	
Enter Date.	Enter Results.	Enter Date.	Enter Results.	Enter Started Date.	Enter Completed Date.	
Enter Date.	Enter Results.	Enter Date.	Enter Diagnosis.	Enter Started Date.	Enter Completed Date.	
Enter Date.	Enter Results.	Enter Date.	Enter Diagnosis.	Enter Started Date.	Enter Completed Date.	

□ Report Complete



TENNESSEE DEPARTMENT OF CORRECTION HEALTH CLASSIFICATION SUMMARY

Name:		TDOC ID#:	Date of Birth:		
Physical Exa	m Date:		Dental Exam Date:		
Allergies: _					
			Code	Description	
Health Class	ification (Code):		Α	Class A – No Restrictions	
			В	Class B – Moderate Restrictions	
			С	Class C – Severe Restrictions	
Level of Care			LOC 1	No Mental Health Services	
Based on	health record information provided Health Treatment Team	by Mental	LOC 2	Outpatient	
			LOC 3	Supportive Living Services (SLU) Moderate Impairment	
			LOC 4	Supportive Living Services (SLU) Severe Impairment	
			LOC 5	None	
Clinical A	Alert: Date: _		Note:		
	ed Conditions (Codes): plicable codes)				
Code	Health Conditions		Code	Health Conditions	
Α	Visual Impairment		Р	Neurological Disease/Disorder Dementia	
В	Hearing Impairment		Q	Arthritis	
С	Speech Impairment		R	Obesity (BMI >40)	
D	Orthopedic Disease/Disorder		S	Aging (>60)	
	Documented Hx of Back Problem	ns	Т	Dermatological Disease/Disorder	
E	Amputation/Missing Extremity		U	Prosthetic Device Associated with Disability	
F	Pregnancy 1st 2nd 3rd (Trir	mester)	V	(Specify)	
G	Cancer				
Н	Asthma/Hay Fever		W	Permanently confined to a Wheelchair/Mobility	
I	Allergies		Χ	Sleep Apnea	
	a)Drug: b)Other:		Υ	G. U. Disease	
J	Diabetes BS >300		Z	Surgery within last 6 months (abdominal,	
K	Seizure Disorder			chest, back, or upper extremity)	
L	Cardiovascular Disease/Disorder		AA	Other:	
M	Hypertension				
N	Pulmonary Disease/Disorder		BB	Acute Injury/Serious Medical Condition: Specify	



TENNESSEE DEPARTMENT OF CORRECTION HEALTH CLASSIFICATION SUMMARY

Name:	TDOC ID#:			Date of Birth:
•	Restrictions (Codes):			nmodations (Codes):icable codes)
Code	Restrictions	7 [Codo	Accommodations
Code	Restrictions		Code	Accommodations
Α	Complete bed rest or limited activity(C)		Α	Prosthetic Limbs
В	Sedentary work only-lifting 10 lbs.		В	Altered Accommodation (furniture, cell, etc.)
	maximum, occasional walking or standing (C)		С	Air way assists (Oxygen, CPAP, BiPAP, etc.)
С	No heavy lifting-20lbs. maximum, able to		D	Sleeping Accommodation (pillow, blanket,
	frequently lift or carry objects up to 10 lbs. (B)			mattress, etc.)
D	Light work only-lifting 50 lbs. maximum, able to		Е	Ostomy Supplies
	frequently lift or carry objects weighing up to 20 lbs.(B)		F	Catheter Supplies
Е	Medium work only-lifting 100 lbs. maximum, able		G	Assist Devices (cane, crutches, walker, braces,
	to frequently lift or carry objects weighing up to 50 lbs.(B)			wheel chair)
F	Limited strenuous activity for extended		Н	Inmate helper
	periods of time:>1hr (B); 1hr (C); <1hr (C)		1	Minimal Assistance for transporting in a van
	Note:			or bus
G	Continuous standing or walking for extended		J	Wheel chair, bus or van required for transport
	periods of time:>1hr (B); 1hr (C); <1hr (C)		K	Non-emergency ambulance required for
	Note:			transport
Н	Repetitive stooping or bending (B)		L	Housed on first floor
1	Acute need to be housed on first floor/bottom bunk(B)		М	Bottom bunk in housing assignment
J	Climbing and balancing (uneven ground) (B)		N	Special footwear required
K	Exposure to loud noises or work detail with prolonged exposure (B)			
L	Avoid areas or work details with exposure to skin irritants (B)		Notes:	
M	Participation in weight lifting or strenuous athletics(B)		•	
N	Activity involving potentially dangerous machinery			
	or equipment			
0	Operation of motor vehicles (B)			
Р	Activity involving food preparation/handling (B)			
Q	Prolonged exposure to sun or high temperatures (B)			
R	Outside work detail during Spring or Summer (B)			
S	Exposure to chemicals producing fumes or			
	equipment producing dust (B)			
Medical	Practitioner Signature			Date
ivicultal l	raditioner dignature			Date
		REV	(IEWED	
Medical I	Practitioner Signature			Date

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Original: Inmate's Health Record

RDA 1458



TENNESSEE DEPARTMENT OF CORRECTION REPORT OF PHYSICAL EXAMINATION

INSTITU	ITION:				
NAME	TD0	TDOC ID#:			(AM
Blood Pres	sure (sitting): Height: Weight	: Te	emp:	Pulse:	Resp:
	CLINIC	AL EVALUATI	ON		
NORMAL	(Check each item in appropriate column; enter "NE" if not evaluated.)	ABNORMAL	NOTES:	pertinent item number	rmality in detail. Enter er before each ress notes for additional
	GENERAL: Appearance, Nails, Skin, and Identifying Marks, Tattoos, etc.				
	EYES: General, Ophthalmoscopic; Pupils, and Ocular Motility				
	3. HEAD AND NECK				
	4. EARS: External and Otoscopic				
	5. MOUTH AND THROAT				
	6. NOSE AND SINUSES				
	7. LUNG AND CHEST				
	8. CARDIOVASCULAR: Heart and Vascular System				
	ABDOMEN: Inspection, Auscultation and Palpation				
	10. RECTUM AND ANUS: Hemorrhoids, Fistulae and Prostate, if indicated.		_		
	11. G.U. SYSTEM a. Genitalia b. Hernia				
	12. PELVIC				
	13. ENDOCRINE				
	14. MUSCULOSKELETAL SYSTEM: Spine, Upper Extremities and Lower Extremities				
	15. NEUROLOGICAL: Cranial Nerves, Motor Functions, Cerebella and DTR's				
	16. PSYCHIATRIC				
Advanced D Inmate has b An existing F	Defects/Conditions and Diagnosis continued on back. Directives Deen counseled and informed regarding Advance Directive PH-4194, Advanced Care Plan, is on file and has been rev ASSIFICATION BASED ON PHYSICAL EXAMINATION	viewed for updates		laced in inmate health record	4)
PRINTED N	NAME OF MEDICAL PROVIDER		GNATURE	OF MEDICAL PROVI	DER

Duplicate as Needed

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TENNESSEE DEPARTMENT OF CORRECTION REPORT OF PHYSICAL EXAMINATION

Summary of Defects/Conditions and Diagnosis

CR3885 (Rev. 07-18) Page 2 of 2 RDA 1458



TENNESSEE DEPARTMENT OF CORRECTION HEALTH QUESTIONNAIRE

I	INMATE NAME:	TDOC ID		DOB	
F	RECEIVING INSTITUTION:	DATE:	1 1	TIME:	a.m./p.m.
I	INITIAL INTAKE: TEMPORARY TR	ANSFER:	PERMANEN	IT TRANSFER:	
	NQUIRE:				
			I		
1. 2.	Do you have any barriers to learning? ☐ Vision Do you speak/read English? Speak: ☐ Yes	_	ding ☐ Writing ead: ☐ Yes ☐		
3	Have you ever had a positive TB test?	_		_	
4.	Are you being treated for any illness or health problem (including dental venereal	disease or other in	efectious diseases\?	
т.		pe:		ŕ	
5.	Do you have any physical, mental or dental complaints a lf yes , describe:		□ No		
6.	Are you currently taking any medication(s)?				
	If yes , was the medication transferred with the inmate?				
	If yes , describe (what used, how much, how often, date	of last use, and any probl	ems)		
7.	Have you recently or in the past, abused alcohol or othe	r druas. includina prescric	otion drugs?	Yes No	
	If yes, Wh		_		
8.	Have you ever been hospitalized for using alcohol or oth	er drugs, including prescr	iption drugs?	Yes No	
	If yes , when?				
9.	Do you have any allergies? ☐ Yes ☐ No	If yes , describe:			
	(For women)				
10.	a) LMP b) Are you pregnant?	No Number of mont	hs		
	c) Have you recently delivered?] No Date:			
	d) Are you on birth control pills?] No			
11.] No			
	a) Do you have any lesions, sores or insect bites?	Yes □ No			
	If so , do you have any open/draining lesions, sores, or	insect bites? Yes	□ No		
	If yes , where are these lesions?				
0	BSERVE:				
1	Behavior (including state of awareness, mental status	s, appearance, conduct, tr	emor and sweating):	
	· -	escribe:	-		
2	Skin Assessment (including needle marks, trauma m	arkings, bruises, lesions, j	iaundice, rashes, ta	ttoos, and infestation	n(s)
	□Yes □ No				
	If yes , describe:				
3	3. Is there evidence of Abuse or Trauma? ☐ Yes	☐ No If yes, describ	De:		

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MENTAL HEALTH:

1.	Is the inmate presenting behavior(s) that are considered: Anxious Antagonistic/Hostile Hallucinations Withdrawn/Avoidant Depressed/Hopeless No
2.	Is the inmate presenting disorganized thought? (Unable to track questions and/or present responses in logical or connected manner)
3.	Have you ever been in a mental hospital? ☐ Yes ☐ No
	If yes , when? How often?
4.	Have you ever been treated for mental health? ☐ Yes ☐ No
	Have you ever been treated for substance use? ☐ Yes ☐ No
5.	Have you ever attempted to kill yourself?
	How? How many times?
6.	Are you thinking about suicide now?
	If yes, do you have a plan? ☐ Yes ☐ No
7.	Has a parent, other family member, or close friend committed suicide?
8	Do you have a history of past or current head trauma? Yes No If yes , explain type of injury:
9	As an adult or child, have you personally experienced being: Sexually abused Physically abused Emotionally abused Yes No Yes No Yes No
DISI	POSITION:
-	Intake housing Intake housing with prompt referral appointment (health, mental health, substance use treatment)
	General housing General housing with prompt/referral appointment
	Referred to appropriate health, mental health or substance use provider Yes No
dditio	Contacted appropriate health, mental health, or substance use provider due to emergency
subs	received information regarding the procedure for obtaining routine and emergency health care (<i>medical, dental, stance use, and/or mental health, and co-pay requirements</i>). These have been explained to me and I understand how excess healthcare services in the form of:
	 Orientation Handbook (i.e. Inmate Handbook) Transient inmate information-describing how to access healthcare
	Inmate Signature
	Employee Name Printed
	Employee Signature and Title



TENNESSEE DEPARTMENT OF CORRECTION **HEALTH SERVICES CONSENT FOR TREATMENT**

	INSTITUTION		
Name:	_ TDOC ID:	Date of Birth:	
Last First Middle			
I hereby authorize(Practitioner)	and assistants to perf	form the following operation, proced	dure,
treatment, or psychiatric intervention.			
	Use Laymans Terms		
The nature and extent of the intended operation, pro	ocedure, treatment, or psy	chiatric intervention has been expl	ained to
me in detail. I have been advised by	(Dra etition or)	of the following	ing
alternatives, if any, probable consequences if I rema	nin untreated, risks and po	ossible complications of proposed to	reatment
as indicated:			
	(Use Lavman's Terms)		
I acknowledge that no guarantee or assurance has b	peen made as to the resu	It that may be obtained.	
If any unforeseen condition arises in the course of the in addition to or different from those now contemplate deemed necessary.			
I consent to the administration of anesthesia to be a	pplied under the direction	and supervision of	
I have read and fully understand the terms of this co		(Practition	
and that all blanks have been filled.	nisent and acknowledge t	nat the explanations referred to we	ie iliaue
Date: Time:			
		(Signature of Patient)	
Witness:			
	(Signature of Practitio	ner and Professional Title)	Date
If the patient is a minor or incompetent to consent:			
	Date:	Time:	a.m
(Signature of parent or person authorized to consent for p		111110.	p.m.
Witness:	Witness:		
	uplicate as Needed		A 1100

Duplicate as NeededOriginal: Health Record



TENNESSEE DEPARTMENT OF CORRECTION MENTAL HEALTH TREATMENT PLAN

INSTITUTION INMATE: TDOC ID: TREATMENT PLAN REVIEW DUE ON: DATE OF BIRTH: ☐ VOLUNTARY ☐ INVOLUNTARY ☐ LEVEL OF CARE ■ INPATIENT ☐ OUTPATIENT GENDER: SPECIAL UNIT: SPECIFY: LEVEL OF CARE: **DSM-5 DIAGNOSIS:** TARGET SYMPTOMS/PROBLEMS: GOALS ACCORDING TO PROBLEM # ABOVE/INMATE RESPONSIBILITIES: TREATMENT MODALITY AND FREQUENCY TO ACHIEVE GOALS: INMATE SIGNATURE / CONSERVATOR SIGNATURE DATE STAFF SIGNATURE TITLE DATE STAFF SIGNATURE TITLE DATE

DATE

RECEIVING PROVIDER



TENNESSEE DEPARTMENT OF CORRECTION

PROBLEM ORIENTED - PROGRESS RECORD

		INSTITUTION
INMATE NA	ME:	TDOC ID:
DATE	TIME	

Do Not Write on Back

NAME:				<u>NO.</u>		
DATE OUT	NAME & PHONE # OF PERSON WITH RECORD	DATE IN	DATE OUT	NAME & PHONE # OF PERSON WITH RECORD	DATE IN	
					İ	



Inmate Name:	
TDOC ID	

			INST	ITUTION				
SS#		Ger	ıder	Age		D.O.B.		
ext of Kin:	Name:				Relation:			
	Address:							
	City:						_ Zip:	
	Phone:							
Date Com	pleted:				_			
			Month		Day		Year	
Heigl	ht:	Weight:	Hair Co	olor:	Color	of Eyes:		
Blood	d Pressure (Sitting):		Temp:	Pulse		Resp	
DATE, if do	ne on Admi	ssion		Al				
Serology		EKG						
Urinalysis		Chest X-Ray						
CBC		Hemoccult						
Chem. Sca	n							
Td Booster	·							
				Da	ate or TB Skin	Test		
				Da	ate Read		Results	
							(Record	in MM
Visual Acui	tv (Snellen)	R.	İ					
	., (,							
RRENT ME	DICATIONS	S: (Specify drug, s	strength, dosage	e form and fred	luency)			



Inmate Name:	
TDOC ID	

Heart DiseaseSickle Cell			
Sickle Cell Hypertension	· —		
bstance Use Are you			
Social History:	r parcinis sun anve:		
	į.		M :: 10: 1
Highest Grade Completed Usual O		TN, Other State, Fe	
Prior to Incarceration:			
Used alcohol: Yes No			
Other habit forming drug(s) Yes No	Da	ly Sc	ocial
Name(s) of Drug(s)	N		
Ever injected drugs (even once)? Yes	No		
For What Reason:			
Have you ever been told by a doctor that you no	w have or have had	any of the following:	
Answer questions by checking yes or no			
ES	NO	<u>c</u>	COMMENT(S)
a. Rheumatic Fever	Ц		
b. Heart trouble			
c. High Blood Pressure	Ц		
d. Thyroid trouble or Goiter			
e. Diabetes			
f. Kidney infections or Stones			
g. Jaundice, hepatitis or liver disease			
h. Ulcer			
i. Pneumonia			
j. Tuberculosis			
k. Gallbladder Disease	Ц		
I. Sexually Transmitted Infection/Disease (Venereal Disease)	<u> </u>		
m. Asthma			
n. Emphysema			
o. Anemia			
p. Hemophilia			
q. Cancer			
r. Epilepsy or Seizure disorder			
Last seizure Medic	ation		
s. Allergies, (if yes, what?	_) 🗌		
Any other serious illness, or injuries,	· – <u>—</u>		
t. operations or hospitalizations? Any history of treatment in a Mental	Ш		
u. Health Clinic or Psychiatric Hospital?			



Inmate Name:	
TDOC ID	

spitaliz	zations			
DA	NAME OF HOSPITAL		LOCATION	REASON
_	History			QUIDOFON
DA	ATE TYPE OF SURGERY	H(DSPITAL/SURGICAL CTR	SURGEON
NO		YES	COMME	NT(S)
5. a. b. c.	Has there been any change in your weight in the past year? 1. Lost How much? 2. Gain How much? Have you ever had excessive anxiety/nervousness, depression or worrying? Have you noticed a change in size or color of any wart or mole, or the appearance of a new one?			
d. e.	Any itching, skin rash or boils? Do you use tobacco? 1. Chew 2. Pipe 3. Cigars 4. Cigarettes 5. How many cigars, cigarettes, or pipes do you smoke in 24 hours?			
6. a. b.	HEAD AND NECK Do you have dizzy spells? Do you have frequent headaches? How often? What medicine helps your headaches?			
c.	Do you have any lumps or swelling in your neck, armpits, groin or other areas?			



Inmate Name:	
TDOC ID	

NO		YES	COMMENT(S)
7.	EYES		
a.	Do you wear glasses or contact lens?		
<u>-</u>	For how long?		
b.	Do you see double?		
C.	Do you ever see colored halos around lights?		
☐ d.	When your eyes were last examined?		
	,		
e.	Do you have trouble seeing objects at a distance or near objects such as a newspaper?		
f.	Do you have vision in both eyes?		
8.	EARS		
a.	Do you have difficulty hearing?		
b.	Have you had any earaches lately?		
c.	Do you have repeated buzzing or ringing in your ears?		
d	Do you have a hearing aid(s)?		
9.	MOUTH, NOSE AND THROAT		
☐ a.	Do you have any trouble with your teeth or gums?		
b.	When did you last see a dentist?		
c.	Have you ever had sinus problems?	Ш	
☐ d.	Does your nose ever bleed for no reason at all?		
☐ e.	Is your voice more hoarse now than in the past?		
10.	RESPIRATORY		
a.	Do you have a chronic cough?		
b.	Do you cough up any material?		
□ c.	Ever have trouble getting your breath after climbing one flight of stairs or walking one city block?		
□ d.	Do you have frequent colds or influenza attacks?		
e	Do you have sleep apnea?		
f.	Do you use a CPAP/BiPAP Machine?	Ш	
11.	CARDIOVASCULAR		
a.	Ever get pains or tightness in your chest?		
b.	Ever been bothered by a racing heart?		
c.	Do you have shortness of breath while doing your usual work?		
d.	Need more pillows at night to breathe?	Ц	
<u></u> e.	Do you have swollen feet and ankles?		
<u></u> f.	Do you use a lot of salt on your food?	닏	
∐ g.	Do you have a pacemaker?	닏	
h.	Do you have a defibrillator?		



Inmate Name:	
TDOC ID	

12. DIGESTIVE Do you suffer discomfort in the pit of your stomach?	
stomach?	
4 Neuros	
1. Nausea	
2. Vomiting	
3. Indigestion	
4. Heartburn	
b. Is it painful or difficult for you to swallow liquids or solid foods?	
Do you have trouble with bowel movements?	
1. Hemorrhoids	
2. Bleeding	
3. Constipation	
4. Diarrhea	
5. Bloody or Black Stools	
6. Rectal Pain	
13. URINARY	
a. Frequently get up at night to urinate?	
b. Ever had burning or pains when urinating?	
14. MUSCULOSKELETAL	
a. Have stiff or painful muscles or joints?	
b. Are your joints ever swollen?	
c. Have you ever had any broken bones?	
d. Have difficulty bending or moving?	
15. SKIN	
Tattoos, piercings, lesions, ulcers, tags, moles, insect bites, rashes, or infections?	_
16. FOR MALES ONLY	
a. Is your urine stream very weak and slow?	
b. Has a doctor ever told you that you have prostate trouble?	
C. Ever had discharge from your penis?	
Do you have any pain, swelling, sores or lumps on your testicles or penis?	
17. FOR FEMALES ONLY	
a. Have you had a hysterectomy?	
Date of last menstrual period:	
c. Ever have pain with your periods?	
Do you have excessive bleeding during	
your period?	
1. Between periods? 2. After sexual relations?	
3. After going through the "change of life"?	
S. After going through the change of life? e. What type of birth control method are you using? (Check appropriate)	
1. None	



Inmate Name:	
•	
TDOC ID	

	3. IUD (Loop) 4. Foam		
h. j. k. l. m.	5. Diaphragm 6. Condoms 7. Tubes Tied 8. Other: Do you have a discharge now? When was your last Pap Smear? Ever had an abnormal Pap smear? How many times have you been pregnant? 1. Full term 2. Premature 3. Miscarriages 4. Abortions 5. Are you pregnant now? Do you examine you breasts regularly? Ever found any lumps in your breasts? Ever had discharge from your nipples? Have you had the Measles, Mumps, and Rubella Vaccine (MMR) as an adult?	YES	COMMENT(S)
tify that	t the foregoing information supplied by me is t	rue and	complete to the best of my knowledge.