



**STATE OF TENNESSEE  
TENNESSEE DEPARTMENT OF TRANSPORTATION**

**REQUEST FOR INFORMATION  
FOR  
IMPROVING STREAM & WETLAND MITIGATION BANKING CONTRACTS**

**RFI # 40100-50917  
July 22, 2022**

**1. STATEMENT OF PURPOSE:**

The State of Tennessee, Tennessee Department of Transportation (TDOT) issues this Request for Information ("RFI") for the purpose of identifying processes to improve contracts between stream and wetland mitigation bank vendors and TDOT for selling/purchasing mitigation bank credits.

**2. BACKGROUND:**

TDOT is seeking to purchase stream and wetland mitigation credits from mitigation banks in various locations throughout the state. The goal of this RFI is to obtain information from vendors that would improve the contracting process, address concerns from previous solicitations for mitigation credits, and simplify the process to complete a contract between TDOT and the vendor. This information will be used to determine what kind of solicitation TDOT should use for credit purchases, how to improve credit purchase and future solicitations.

**3. COMMUNICATIONS:**

3.1. Please submit your response to this RFI to:  
Gregg Bennett, Transportation Program Supervisor  
TDOT Procurement and Contracts Division  
J.K. Polk Bldg.  
505 Deaderick Street  
Nashville, TN 37243P. 615-741-9125  
TDOT.RFP@tn.gov

3.2. Please feel free to contact TDOT with any questions regarding this RFI. The main point of contact will be:

Gregg Bennett, Transportation Program Supervisor  
TDOT Procurement and Contracts Division  
J.K. Polk Bldg.

505 Deaderick Street  
Nashville, TN 37243P. 615-741-9125  
TDOT.RFP@tn.gov

3.3. Please reference RFI # 40100-50917 with all communications to this RFI.

**4. RFI SCHEDULE OF EVENTS:**

EVENT		TIME (Central Time Zone)	DATE (all dates are State business days)
1.	RFI Issued		July 22, 2002
2.	RFI Response Deadline	2:00 p.m.	August 5, 2002

**5. GENERAL INFORMATION:**

5.1. Please note that responding to this RFI is not a prerequisite for responding to any future solicitations related to this project and a response to this RFI will not create any contract rights. Responses to this RFI will become property of the State.

5.2. The information gathered during this RFI is part of an ongoing procurement. In order to prevent an unfair advantage among potential respondents, the RFI responses will not be available until after the completion of evaluation of any responses, proposals, or bids resulting from a Request for Qualifications, Request for Proposals, Invitation to Bid or other procurement method. In the event that the state chooses not to go further in the procurement process and responses are never evaluated, the responses to the procurement including the responses to the RFI, will be considered confidential by the State.

5.3. The State will not pay for any costs associated with responding to this RFI.

**6. INFORMATIONAL FORMS:**

The State is requesting the following information from all interested parties. Please fill out the following forms: Potential topics to inquire about may include the following:

- Key performance indicators
- Potential problems/risks
- Estimated time frames
- Interest in responding to a future solicitation
- Cost ranges
- Pricing arrangements
- Market information/capabilities
- Any other important considerations

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**TECHNICAL INFORMATIONAL FORM**

1. RESPONDENT LEGAL ENTITY NAME:

2. RESPONDENT CONTACT PERSON:

Name, Title:

Address:

Phone Number:

Email:

3. Provide any experience you have with establishing mitigation banks as well as selling the credits produced by the bank. Include any issues faced throughout the process.

4. What concerns come with establishing a mitigation bank in Tennessee for the specific areas listed in the table below?

5. Do mitigation bankers compete for credits to sell in the same watershed? In other words, does the volume of credits increase with the number of bankers present, or is there a limit to the number of credits that can be produced in a single watershed?

6. Please describe the process and timeline for establishing a bank, receiving credits to sell, and how many credits can be obtained by one bank for selling purposes

7. What is the supplier's ability to meet the target of credits needed for purchase by the state? (See attached tables).

8. How many credits would need to be purchased for it to be worthwhile for the supplier to establish a bank in Tennessee?

9. At what point in the process is the supplier able to determine how many stream and/or wetland credits they can obtain for a respective area?

10. Can a bank obtain more credits than what was initially anticipated, and what does that process and timeline look like for obtaining more credits?

11. At what point in the process is the supplier able to commit to a price per stream and/or wetland credit?

12. Are there approaches other states have taken to incentivize the creation of banks in areas where no suppliers exist for stream and/or wetland mitigation credits that would improve the process in Tennessee? If so, please explain and provide examples.

13. If you participated in previous solicitations for stream or wetland mitigation credits in Tennessee, please provide feedback on items you thought were good and items that could be improved. Please provide specific examples and suggestions.

14. For scenarios where the supplier does not have an existing bank, what contract duration would be preferable (e.g., 10-year, 5-year, 1-year)?

<b>COST INFORMATIONAL FORM</b>
1. Describe what pricing units you typically utilize for similar services or goods (e.g., per hour, each, etc.):
2. Describe the typical price range for mitigation credits you have sold previously.

<b>ADDITIONAL CONSIDERATIONS</b>
1. Please provide input on alternative approaches or additional things to consider that might benefit the State:
2. Are there any regulatory changes that would make you more likely to engage in solicitations where TDOT is trying to promote the establishment of banks in remote areas where no suppliers exist? If so, what are those changes?

## Wetland Mitigation

<b>Region</b>	<b>Primary Service Area</b>	<b>Required Secondary Service Area</b>	<b>Credit Target</b>
1 & 2	Emory (06010208)	N/A	20
1	Holston (06010104)	Lower French Broad (06010107)	20
2	Hiwassee (06020002) or Little Tennessee (06010204)	Hiwassee (06020002) or Little Tennessee (06010204)	20
3	Upper Duck River (06040002) or Lower Duck River (06040003)	Upper Duck River (06040002) or Lower Duck River (06040003)	20
3	Lake Barkley (05130205)	N/A	20
3	Stones River (05130203) or Harpeth (05130204)	Stones River (05130203) or Harpeth (05130204)	20
3	Service area must cover Red River (05130206) and Old Hickory Lake (05130201)		20

## Stream Mitigation

<b>Region</b>	<b>Primary Service Area</b>	<b>Required Secondary Service Area</b>	<b>Credit Target</b>
3	Red River (05130206)	Old Hickory (05130201)	5000
3	Lake Barkley (05130205)	Harpeth (05130204) and Cheatham Lake (05130202)	4000
3	Caney (05130208)	Old Hickory (05130201) and Stones (05130203)	5000