

2011 Lobbyist Registration Audit of Marilyn Mathis

FINDINGS

Ms. Marilyn Mathis failed to timely register as a lobbyist for her employer (See Registrations section).

EMPLOYER

- Association of Independent/ Municipal Schools

REGISTRATIONS

Ms. Mathis registered as a lobbyist for one employer during 2011. Based on the audit documentation, Ms. Mathis failed to timely register for her employer. Ms. Mathis is a direct employee of the Assn. of Independent/ Municipal Schools whose duties included lobbying. She was employed prior to the commencement of the 2011 registration year and registered in the prior year. Therefore, the audit determined she had a continuing lobbyist relationship that required her to register within 7 days of the commencement of the year which was January 8, 2011. Ms. Mathis did not register until January 28, 2011, 20 days late.

LOBBYING AGREEMENTS

Based on a review of the audit documentation provided by Ms. Mathis, she was a direct employee of the employer. The employer had no employment contract with the lobbyist employee. However, per statements of the lobbyist and review of her job description, it appears the terms of employment were within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS

Ms. Mathis reported she had no business or familial relationships with persons who were officials in the legislative branch or officials in the executive branch.

TRAINING & FEES

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Ms. Mathis completed her required lobbyist training for the 2011 registration year and paid all registration fees.