

## **2009 Lobbyist Registration Audit of Dale Allen**

### **FINDINGS**

Mr. Allen failed to timely register as a lobbyist for one employer (See Registrations section).

### **EMPLOYERS**

- American Traffic
- Cigar Association of America, Inc.
- CKX, Inc.
- Enterprise Rent-A-Car Company of Tennessee
- Memphis Light, Gas, & Water<sup>1</sup>
- Save the Children
- Swisher International, Inc.
- Tennessee Trucking Assn.
- Volkswagen Group of America, Inc.
- Zuffa, LLC D/B/A Ultimate Fighting Championships<sup>2</sup>

<sup>1</sup> Memphis Light, Gas & Water was not required to register as an employer of a lobbyist under T.C.A. § 3-6-301(16) and withdrew their registration upon notification.

<sup>2</sup> Dale Allen received no compensation from Zuffa LLC during 2009. However, he registered to be cautious; but billed no time to this client for lobbying activities.

### **REGISTRATIONS**

Mr. Allen registered as a lobbyist for ten employers during 2009, one of which was late. He formally entered a lobbying agreement with Volkswagen Group of America, Inc. on December 12, 2008. Mr. Allen was required to register by December 22, 2008. He registered for the client on December 23, 2008 which was one day late.

### **LOBBYING AGREEMENTS**

Based on a review of the documentation provided by Mr. Allen, he has a written contract with each client. The terms of each contract appear to be within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

### **FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS**

Mr. Allen reported he had no business or family relationships with persons who are officials in the legislative branch or officials in the executive branch.

### **TRAINING & FEES**

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. Allen completed his required lobbyist training for the 2009 registration year and paid all associated registration and training fees.

### **IN-STATE EVENTS**

Mr. Allen did not participate in paying the costs of any in-state events.