

2013 Lobbyist Registration Audit of Richard Wallace

Richard Wallace's audit report contains no findings. However, the audit could not determine if or when Mr. Richard Wallace was required to register as a lobbyist (see Registrations section).

EMPLOYER

- Beauty Basics, Inc.

REGISTRATIONS

Mr. Richard Wallace registered as a lobbyist for one employer on July 28th, 2013. Based on the statements by Mr. Wallace, review of emails and regulatory board minutes Mr. Wallace was retained by Beauty Basics, Inc. with duties that included responding to public hearings on rule making processes for specific rule changes affecting the cosmetology industry. As part of these duties throughout the southeast, he started working in Tennessee as early as June 2013 by attending cosmetology board meetings. Sometime between June 2013 and August 2013, he was in discussion with interested parties in developing an association which would retain a registered lobbyist in Tennessee.

T.C.A. §3-6-301 (16) defines lobbying and non-lobbying activities. Included in the exclusions is "a duly licensed attorney as law acting in a representative capacity on behalf of a client appearing before an official of the executive branch for the purpose of determining or obtaining such person's legal rights or obligations in a contested case action, administrative proceeding, or rulemaking procedure."

Based on the description of Mr. Wallace's work in Tennessee and the definition of lobbying and non-lobbying activities, the audit cannot determine when or if an event occurred which would require Mr. Wallace to register as a lobbyist.

LOBBYING AGREEMENTS

Based on a review of the audit documentation provided by Mr. Wallace, he was a contracted employee. He had written contracts or a letter of understanding with each employer. The terms of the contract appear to be within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS

Mr. Wallace reported he had no business or familial relationships with persons who were officials in the legislative branch or officials in the executive branch.

TRAINING & FEES

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. Wallace completed his required lobbyist training for the 2013 registration year and paid all registration fees.