



State of Tennessee  
Department of Environment and Conservation  
Division of Solid Waste Management  
Davy Crockett Tower, 7th Floor  
500 James Robertson Parkway  
Nashville, TN 37243

## **Instructions For Completing The Form CN-1446, The Environmental Activity Notification Form (HN-EA)**

### **WHO MUST SUBMIT THIS FORM**

Below are examples of facilities that must submit this form. This list should not be considered exhaustive.

- Companies wishing to notify of their hazardous waste activities by obtaining a new EPA Identification (ID) Number, or those wishing to update information for an existing EPA ID Number;
- Companies wishing to apply for a new hazardous waste transporter permit to operate in the state of Tennessee;
- Companies renewing their existing or expired Tennessee hazardous waste transporter permit;
- Permitted hazardous waste transporters notifying that they will begin operating a 10-day hazardous waste transfer facility within the state of Tennessee;
- Permitted hazardous waste transporters submitting annual notification to continue operating as a 10-day hazardous waste transfer facility within the state of Tennessee;
- Facilities submitting the annual hazardous waste report; and
- Facilities submitting used oil notifications, reports and permit applications.

### **AUTHORITY**

Rule 0400-12-01-.03(2)(a)1 states that:

#### *(2) Notification*

##### *(a) Applicability*

1. *Each person who meets the definition, in subparagraph (2)(a) of Rule 0400-12-01-.01, of large quantity generator or small quantity generator must notify the Commissioner, describing the hazardous wastes and the generator's activities regarding them according to subparagraphs (b) through (e) of this paragraph, except as parts 2 and 3 of this subparagraph, parts (1)(d)1, 2, 4, 5, 7, and 8 of Rule 0400-12-01-.02, and subparagraph (1)(g) of Rule 0400-12-01-.02 provide otherwise.*

The Tennessee Hazardous Waste Rules can be found online on the Tennessee Secretary of State's website at <https://publications.tnsosfiles.com/rules/0400/0400-12/0400-12.htm>.

## WHERE TO SUBMIT THIS FORM

Please contact the Waste Activity Audit Section at 615-532-0780 or [Waste.Activity@tn.gov](mailto:Waste.Activity@tn.gov) with any questions regarding this form or the annual report process.

This form may be submitted at any time. However, deadlines apply if the form is part of the annual report submission (March 1 of each year) or the transporter/transfer facility permit renewal (December 1 of each year).

While electronic submission of this form via RCRAInfo is preferred, a form with an encrypted electronic signature can be emailed to [Waste.Activity@tn.gov](mailto:Waste.Activity@tn.gov).

Otherwise, a hard copy can be mailed to the address below:

Department of Environment and Conservation  
Division of Solid Waste Management  
ATTN: Waste Activity Audit Section  
Davy Crockett Tower, 7th Floor  
500 James Robertson Parkway  
Nashville, Tennessee 37243

## INSTRUCTIONS

Type or print, in black ink, an "X" in all items that apply (if "Yes", type or print an "X" in the "Y" box, if "No", type or print an "X" in the "N" box) and then type or print an "X" in all other boxes that apply. In Item 17, provide the required ink signatures. Signatures must be original – stamped or photocopied signatures are not acceptable.

Enter the site's EPA Identification Number in the top left-hand corner on all pages of the form; for an initial notification for this site, leave the EPA identification Number blank. Use Item 16 – Comments to clarify or provide additional information for any entry. When entering information in the comments section, enter the item number and box letter to which the comment refers. If you must use additional sheets for comments, enter the site's EPA Identification Number in the top left-hand corner of each sheet.

All of the Site ID Form items must be completed for the form to be accepted. This form can be submitted electronically by using myRCRAId within RCRAInfo. More information for how to submit an electronic notification can be found on the Division of Solid Waste Management's (DSWM) website at <https://www.tn.gov/environment/program-areas/solid-waste/hw/waas/e-reporting.html>.

Use Section 16: Comments, or a separate sheet, to clarify or provide additional information for any item, with the site's EPA ID Number listed at the top. If the additional comments are part of a new EPA ID number application, enter the facility name and address in the top right-hand corner of each sheet used. When making comments, cross-reference the item number and box letter to which the comment refers.

## Form Header

### Report Year

Calendar year the notified activity is/will be occurring.

- For transporters and transfer facilities, this is the calendar year that your permit will be issued for.
- For facilities filing the annual hazardous waste report, this will be the report year for the information being reported.

### EPA ID Number

Provide the EPA Identification Number for this site. If the facility is located in the state of Tennessee and does not have an EPA ID number, leave this item blank.

### Site Name

Provide the legal name of this site. NOTE: For out of state hazardous waste transporters, the site name should match the site name listed in RCRAInfo as notified to their respective state agency.

## Section 1. Reason for Submittal

Place an "X" in the appropriate box to indicate whether the site is submitting this form to obtain or update an EPA ID Number for an on-going regulated activity; as a component of the Hazardous Waste Report; to notify that regulated activity is no longer occurring at the site; to obtain or update an EPA ID Number for conducting electronic manifest broker activities; or as a component of a First or a Revised Hazardous Waste Part A Permit Application.

### Obtaining Or Updating An EPA ID Number For On-Going Regulated Activities (Items 8–15) That Will Continue For A Period Of Time

- If the facility's waste activity is regulated under Subtitle C of the Resource Conservation and Recovery Act (RCRA) and the rules promulgated pursuant to the Act (specifically 40 CFR Parts 260-299) or TCA § 68-212 et seq., and the rules promulgated at Rule 0400-12-01, the facility must submit this form to notify the appropriate to the DSWM and obtain an EPA Identification Number.

- If the facility is an eligible academic entity opting into Rule 0400-12-01-.03(10) for managing laboratory hazardous wastes AND has never submitted site identification information, this form must be submitted to notify the DSWM.
- If the facility is a recognized trader arranging for export or import of hazardous waste, including those managed under the alternate standards of Rule 0400-12-01-.03(1)(i) and Rule 0400-12-01-.03(9); or an exporter or importer of spent lead acid batteries (SLABs), submit this form to notify the DSWM.
- The facility must use this form to submit a subsequent notification if the site already has an EPA Identification Number and wishes to change information (e.g., generator category, new site contact person, new owner, new mailing address, new regulated waste activity, etc.).
- If the facility has previously submitted site identification information and is notifying (or re-notifying) that that it will begin managing, is managing, or has stopped managing hazardous secondary material under Rule 0400-12-01-.02.
- If the facility is a very small quantity generator (VSQG), previously called conditionally exempt small quantity generator (CESQG), taking advantage of the episodic generation provision at Rule 0400-12-01-.03(11) it must submit this form to report the episodic event.
- The facility must use this form if it is a large quantity generator (LQG):
  - Consolidating wastes from VSQGs under the control of the same person. (See Rule 0400-12-01-.03(1)(h)6.). Such LQGs must complete the Addendum to the Site Identification Form: LQG Consolidation of VSQG Hazardous Waste and identify the RCRA Identification Number of the VSQG (if applicable), name, address, emergency contact phone number and contact name of every VSQG that they are receiving hazardous wastes from.
  - Closing either a waste accumulation unit (optional) or their facility, both prior to closing and after conducting closure performance operations (See Rule 0400-12-01-.03(1)(h)1.(viii)).

For a hazardous waste transporter and/or transfer facility submitting this form as part of a new permit or renewal applications use the “Obtaining or updating an EPA ID number for on-going regulated activities (Sections 8-15 below) that will continue for a period of time” or “Obtaining or updating an EPA ID number for conducting Electronic Manifest Broker activities” options.

“Submitting as a component of the Annual Hazardous Waste Report for Report Year

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If the facility is submitting this form as part of a required annual hazardous waste report, select the “Submitting as a component of the Annual Hazardous Waste Report for Report Year \_\_\_\_\_” option. Additional instructions for completing the Annual Report are located on the DSWM [website](#).

### “Notifying that Regulated Activity Is No Longer Occurring at this Site”

If the facility is no longer conducting ANY RCRA Subtitle C Federal or State regulated hazardous waste activities, listed on the Site Identification Form, then select “Notifying that Regulated Activity Is No Longer Occurring at this Site” as the reason for submittal to deactivate the EPA ID number.

If using this form to terminate a Tennessee hazardous waste transporter permit or notify of cessation of 10-day hazardous waste transfer facility activity, please select the “Notifying that regulated activity is no longer occurring at this site” option.

### Obtaining Or Updating An EPA ID Number For Conducting Electronic Manifest Broker Activities

If the facility is requesting an EPA ID Number in order to create and broker manifest transactions for handlers of hazardous waste, then select the “Obtaining or Updating an EPA ID Number for Conducting Electronic Manifest Broker Activities” option as the reason for submittal.

An Electronic Manifest Broker is considered a user of the electronic manifest system that has a contractual relationship and elects to use the system to obtain, complete and transmit an electronic manifest form supplied by the EPA electronic manifest system for handlers of hazardous wastes. This designation is for users of the electronic manifest system, defined in 40 CFR 260.10 as a person that elects to use the system to obtain, complete and transmit an electronic manifest format supplied by the EPA electronic manifest system.

## Section 2. Site Location Address

The location for the site must be the physical address of the site and not a Post Office Box or Route Number. For out of state transporters applying for a Tennessee hazardous waste transportation permit, the site location address should match the site location address listed for the site in their most recent RCRAInfo notification.

## Section 3. Site Mailing Address

Enter the site Mailing Address. This is the physical location of where the mail should be delivered.

## Section 4. Site Land Type

Place an "X" in the box that best describes the land type of the site. Select only one type: Private, County, District, Federal, Tribal, Municipal, State, or Other.

## Section 5. North American Industry Classification System (NAICS) Code(s)

Enter the 6 digit North American Industry Classification System (NAICS) Code of the overall production, distribution, or service activity of the facility. If multiple industrial processes are used by the facility, enter NAICS Codes for these specific processes as needed. A searchable database of the most recent NAICS Codes published is available at: <https://www.census.gov/naics/>.

## Section 6. Site Contact Person

Enter the name, title, business address, e-mail address, telephone number, extension, fax number, and preferred language (will default to English) of the individual who should be contacted regarding the information submitted on Form HN-EA. A subsequent notification is required when the Site Contact Person changes. Do not enter other contact persons here; if there are other persons, who may be contacted about this submission, list them and their contact information in Appendix A.

If the person completing this form is not the primary site RCRA hazardous waste contact, enter the primary site RCRA hazardous waste contact here and add the contact information for the person completing the form in Appendix A.

NOTE: If completing this form for the purposes of obtaining a Tennessee Hazardous Waste Transporter permit and/or notifying of the facility's hazardous waste transfer activities, the applicant will need to name a primary transportation and/or transfer facility contact on form TR-FDS (Form CN-0783) as well.

## Section 7: Site Owner and Operator

### 7.A. Legal Owner of the Site

This section should be used to indicate the legal owners and operators of this site.

**NOTE: Changes in ownership trigger an additional fee.**

NAME OF SITE'S LEGAL OWNER - Provide the name of the site's legal owner(s), defined as a person who owns a RCRA site or part of a RCRA site. This may be an individual, company, or business name.

“Person” is defined as an individual, trust, firm, joint stock company, Federal Agency, corporation (including a government corporation), partnership, association, State, municipality, commission, political subdivision of a State, or any interstate body.

DATE BECAME AN OWNER - Indicate the date on which the above entity became the owner of the site. Enter dates as in this example: For April 22, 2015, enter 04/22/2015.

LEGAL OWNER ADDRESS - Enter the address of the legal owner, including the country.

ADDITIONAL OWNER INFORMATION - Enter the e-mail, telephone number, extension, and fax number of the legal owner.

OWNER TYPE: Place an “X” in the box that best describes the owner type for the site and select only one type: Private, County, District, Federal, Tribal (see below), Municipal, State, or Other.

Tribal - A member of one of the tribes/entities on the list of Federally recognized American Indian tribes and Alaskan Native entities located at <https://www.epa.gov/data-standards/tribal-identifier-datastandard>.

## 7.B. Legal Operator of the Site

Enter the name of the Facility’s on-site operator and the date they began operating the site. Also, enter the address and telephone number where the on-site operator can be reached. If this information is the same as the previous area, enter “Same as Above” in the boxes provided.

LEGAL OPERATOR NAME - Provide the name of the site’s operator, defined as the person responsible for the overall operation of a RCRA site.

NOTE: This is the legal entity which controls the RCRA site operation rather than the plant or site manager. This is usually a company or business name but may be an individual. “Person” is defined as an individual, trust, firm, joint stock company, Federal Agency, corporation (including a government corporation), partnership, association, State, municipality, commission, political subdivision of a State, or any interstate body.

DATE BECAME AN OPERATOR - Indicate the date on which the above entity became the operator of the site. Enter dates as in this example: For April 22, 2015, enter 04/22/2015. This is optional information.

LEGAL OPERATOR ADDRESS: Enter the address of the legal operator. If the address and the Location of Site (Item 4) are the same, you can check the “Same as Location Address” checkbox.

ADDITIONAL OPERATOR INFORMATION: Enter the e-mail, telephone number, extension, and fax number of the operator.

OPERATOR TYPE - Place an “X” in the box that best describes the operator type for the site. Select only one type: Private, County, District, Federal, Tribal (see below), Municipal, State, or Other.

Tribal - A member of one of the tribes/entities on the list of Federally recognized American Indian tribes and Alaskan Native entities located at <https://www.epa.gov/data-standards/tribal-identifier-datastandard>.

NOTE: A subsequent notification is required when the owner or operator of a site changes. Because an EPA Identification Number is site-specific, the new owner will keep the existing EPA Identification Number for that location. If your business moves to another location, the owner or operator must notify the State of this change. Since your business has changed locations, a new EPA Identification Number will be assigned.

## Section 8. Type of Regulated Waste Activity Occurring at this Site

### 8.A. Current Hazardous Waste Activities: Complete parts 1 through 6.

#### 8.A.1. Hazardous Waste Generator

If the site currently generates a hazardous waste that is listed or identified by one or more hazardous waste characteristic(s) contained in Rule 0400-12-01-.02, place an “X” in the box next to this item. Indicate any other generator activities in items 1 through 6, as applicable. If the site does not generate hazardous waste, skip to section B. The regulations for hazardous waste generators are found in Rule 0400-12-01-.03. Consult the regulations to determine how the regulations apply to the site prior to submitting notification of hazardous waste activity. A brief description of the three types of hazardous waste generators is provided below.

Large Quantity Generator (LQG) – The site is a Large Quantity Generator (LQG), if the site meets any of the criteria below.

- Generates, in any calendar month, 1,000 kg (2,200 lbs.) or more of RCRA hazardous waste; or
- Generates, in any calendar month, or accumulates at any time, more than 1 kg (2.2 lbs.) of acute hazardous waste; or
- Generates, in any calendar month, or accumulates at any time, more than 100 kg (220 lbs.) of spill cleanup material contaminated with acute hazardous waste.

Small Quantity Generator (SQG) – The site is a Small Quantity Generator (SQG), if the site meets either Criteria 1 or 2 below.

Criteria 1:

- Generates, in any calendar month, more than 100 kg (220 lbs.) but less than 1,000 kg (2,200 lbs.) of non-acute RCRA hazardous waste and less than 1 kg (2.2 lbs.); and

- Generates, in any calendar month, or accumulates at any time, no more than 1 kg (2.2 lbs.) of acute hazardous waste and no more than 100 kg (220 lbs.) of material from the cleanup of a spill of acute hazardous waste.

Criteria 2:

- Meets all other criteria for a Very Small Quantity Generator (see below), and
- Accumulates, at any time, more than 1,000 kg (2,200 lbs.) of RCRA hazardous waste.

Very Small Quantity Generator (VSQG) – The site is a Very Small Quantity Generator (VSQG), if the site does all of the following:

- Generates no more than 100 kg (220 lbs.) of RCRA hazardous waste in any calendar month; and
- Accumulates, at any time, no more than 1,000 kg (2,200 lbs.) of RCRA hazardous waste; and
- Generates, in any calendar month, or accumulates at any time, no more than 1 kg (2.2 lbs.) of acute hazardous waste, and no more than 100 kg (220 lbs.) of material from the cleanup of a spill of acute hazardous waste.

#### *8.A.2 Short-Term Generator*

This type of generator generates hazardous waste from a short-term or one-time event and not from on-going processes.

Place an “X” in the box next to this item if the site is normally not a generator of hazardous waste but is currently generating hazardous waste only as the result of a one-time, non-recurring, temporary event that is not related to normal production processes. In other words, short-term generators produce hazardous waste from a particular activity for a limited time and then cease conducting that activity. Short-term generators are not considered episodic generators. Episodic generators must manage the hazardous waste from a specific episodic event under a separate set of conditions outlined in 40 CFR Part 262, Subpart L that might not apply to all short-term generators.

Examples of short-term generators include: (1) one-time highway bridge waste generation; (2) underground storage tank removals; (3) generation of off-specification or out-of-date chemicals at a site that normally doesn’t generate hazardous waste; (4) remediation or spill cleanup at sites with no previous RCRA EPA Identification Number; and (5) site or production process decommissions by a new operator. If this item is selected, an explanation of the short-term generation must be provided in item 17 Comments. Otherwise, place an “X” in the “N” box.

#### *8.A.3. Treater, Storer, or Disposer of Hazardous Waste*

If the site treats, stores, or disposes of regulated hazardous waste, place an “X” in the box next to this item. A Tennessee Hazardous Waste Permit is required for this activity. The State of Tennessee regulations for

Operators and Owners of Permitted Treatment, Storage and Disposal Facilities are found Rules 0400-12-01-.05, .06, and .07.

Do not select this box if any of the following conditions are true for the facility:

- This facility does not receive hazardous waste from other generators and ships all waste off-site for management within the regulatory timeframe.
- This facility is only involved with on-going post-closure activities, corrective actions under the Hazardous and Solid Waste Amendments of 1984 (HSWA), or a consent order under a nontraditional permit or without a RCRA permit being required.
- Receives waste from off-site but does not store greater than 10 days before re-shipping (i.e., transfer facility).

#### *8.A.4. Receives Hazardous Waste from Off-site*

If the site received hazardous waste from another site, whether this waste was received as a commercial transaction or waste received from a restricted group of off-site generators, place an "X" in the box next to this item. If hazardous waste is received from another site, whether this waste was received as a commercial transaction or waste received from a restricted group of off-site generators (such as those under the same ownership or as identified in the site's permit), place an "X" in the box next to this item. Otherwise, leave this item blank

NOTE: Item 8.A.4 "Receives Hazardous Waste from Off-site" is not for transfer facilities. If the site is a transfer facility receiving hazardous waste from off-site, indicate this in item 9.A.1.b (Transfer Facility).

#### *8.A.5. Recycler of Hazardous Waste*

If the site recycles regulated hazardous wastes (recyclable materials), place an "X" in the box next to this item. The Tennessee Rules for Operators and Owners of sites that recycle hazardous waste are found in Rule 0400-12-01-.09(3). Other Federal and State regulations may apply and, in some cases, a permit is required.

#### *8.A.6. Exempt Boiler and/or Industrial Furnace*

If the site has an exempt boiler and/or industrial furnace, place an "X" in the box next to this item. If this item is selected, then mark Box "a." and/or Box "b." as applicable.

- a. Place an X in Box "a. Small Quantity On-site Burner Exemption" to indicate that the site qualifies for the Small Quantity On-site Burner Exemption, if small quantities of hazardous waste are

burned in an on-site boiler or industrial furnace in accordance with the conditions in Rule 0400-12-01-.09(8)(i).

- b. Place an X in Box "b. Smelting, Melting, and Refining Furnace Exemption" to indicate that the site qualifies for the Smelting, Melting, and Refining Furnace Exemption, if hazardous wastes is processed in a smelting, melting, or refining furnace solely for metals recovery, as described in Rule 0400-12-01-.09(8)(a)4., or to recover economically significant amounts of precious metals, as described in Rule 0400-12-01-.09(8)(a)7., or if the site processes hazardous wastes in a lead recovery furnace to recover lead, as described in Rule 0400-12-01-.09(8)(a)8.

## 8.B. EPA Waste Codes for Hazardous Waste Activities

Please list the waste codes of the hazardous wastes (described in Rules 0400-12-01-.02(3) and Rule 0400-12-01-.02(4)) handled at the site. List them in the order they are presented in the regulations using the appropriate 4-digit code(s) (e.g., D001, D003, F007, U112).

NOTE: If the site handles more hazardous wastes than will fit under Item 8.B, please continue in Section 16 (Comments) or on an extra sheet. Remember to include the site's EPA Identification Number (or facility name and location if this is for an initial notification) on the top of each page.

A healthcare facility operating under Rule 0400-12-01-.09(16) is not required to list the waste codes for its hazardous waste pharmaceuticals in Item 8.B. However, if a healthcare facility is operating under Rule 0400-12-01-.09(16) and is also either an SQG or LQG for its non-pharmaceutical hazardous waste, then at least one waste code is required.

## Section 9: Additional Hazardous Waste Activities

### 9.A. Other Waste Activities

Place an "X" in the boxes next to the items described below as appropriate for all additional current regulated waste activities at this site (as of the date submitting the form), and complete any additional boxes as instructed. Current activities mean activities that are in effect when the form is submitted or those that the site plans to begin after EPA Identification Number assignment. The information provided in Item 9 will be considered current as of the date the form is certified.

#### *9.A.1. Transporter Of Hazardous Waste*

If this site acts as or is owned by a permitted hazardous waste transporter, place an "X" in all that apply. Otherwise, leave this item blank.

- a. Transporter – The facility transports hazardous waste within the U.S. The Tennessee regulations for hazardous waste transporters are found in Rule 0400-12-01-.04.
- b. Transfer Facility - The facility operates as a hazardous waste transfer facility at the site, if hold manifested hazardous waste(s) is held at the site for a period of ten (10) days or less while the waste is in transit. The Tennessee regulations for hazardous waste transfer facilities are found in Rule 0400-12-01-.04.

*9.A.2. Underground Injection Control*

If the facility generates, treats, stores, or disposes of hazardous waste and places the waste or its residuals into an underground injection well (e.g., a Class I well) located at the site, place an “X” in the box next to this item. Otherwise, leave this item blank. The Federal regulations for owners and operators of underground injection wells are found in 40 CFR Part 148.

*9.A.3. United States Importer Of Hazardous Waste*

Place an “X” in the box next to this item, if facility imports hazardous waste from a site located in a foreign country into the U.S. Refer to Rule 0400-12-01-.03(9) for additional information. Otherwise, place an “X” in the “N” box.

*9.A.4. Recognized Trader*

Place an “X” in the box next to this item if the site is a recognized trader, defined in Rule 0400-12-01-.01 as a person domiciled in the United States, who acts to arrange and facilitate transboundary movements of wastes destined for recovery or disposal operations, either by purchasing from and subsequently selling to United States and foreign facilities, or by acting under arrangements with a United States waste facility to arrange for the export or import of the waste. Otherwise, leave this item blank. Mark all that apply.

- a. Importer
- b. Exporter

*9.A.5. Importer/exporter of spent lead-acid batteries (slabs) under Rule 0400-12-01-.09(7)*

Place an “X” in the box next to this item if the site is an importer or exporter of spent lead-acid batteries (SLABs) being managed domestically under Rule 0400-12-01-.09(7) to obtain an EPA Identification number. Otherwise, leave this item blank. Mark all that apply.

- a. Importer
- b. Exporter

## 9.B. Universal Waste Activities

Complete all parts for this item. Refer to Rule 0400-12-01-.12 for requirements and definitions for universal waste.

### *9.B.1. Large Quantity Handler of Universal Waste (LQHUUW)*

If the site accumulates a total of 5,000 kg (11,000 lbs) or more of any universal wastes (calculated collectively) at any time, place an “X” in the box next to this item as the site qualifies as a LQHUUW. Also, if Yes, place an “X” in all other Letter Boxes as appropriate (a. Batteries, b. Pesticides, c. Mercury Containing Equipment, d. Lamps, and/or e. Aerosols) to indicate the type(s) of universal wastes generated and/or accumulated at the site.

NOTE: If the site is a small quantity handler of universal waste, this can be indicated in section 9.E “State Activities.”

### *9.B.2. Destination Facility for Universal Waste (Rule 0400-12-01-.12(5))*

If the site treats, disposes of, or recycles universal wastes on-site, place an “X” in the box next to this item. The site will also need to specify in item 9.E “State Activities” whether it functions as a Commercial Lamp Crusher, Onsite Recycler, or both. Sites that notify of this activity are required to submit annual maintenance fees which are calculated on form G-FDS.

NOTE: If the site, in addition to being a destination facility for universal wastes, is also a TSD for RCRA hazardous wastes, place an “X” in the box for both this and Item 8.A.4. In addition, if the site recycles RCRA hazardous wastes, Place an “X” in the box for both this and Item 8.A.6.

## 9.C. Used Oil Activities

Complete Parts 1-4. Mark the appropriate box(es) to indicate which used oil management activities are taking place at this site. The Tennessee regulations for used oil management are found in Rule 0400-12-01-.11. Facilities that conduct these activities will be issued a Used Oil Permit Number in addition to their EPA ID number. Additional information about this process can be found at <https://www.tn.gov/environment/permit-permits/waste-permits1/notification-for-used-oil-management.html>.

### *9.C.1. Used Oil Transporter*

If either the Owner or Operator of the site transports used oil and/or operates a Used Oil Transfer Facility, place an X in the box next to this item and place an X in the appropriate Letter Box or Boxes (a. Transporter, and/or b. Transfer Facility) to indicate this used oil management activity.

### *9.C.2. Used Oil Processor and/or Re-Refiner*

If either the Owner or Operator of the site processes and/or re-refines used oil, place an X in the box next to this item and place an X in the appropriate Letter Box or Boxes (a. Processor, and/or b. Re-refiner) to indicate this used oil management activity.

### *9.C.3. Off-Specification Used Oil Burner*

If the site burns off-specification used oil fuel, place an X in the box next to this item to indicate this used oil management activity.

### *9.C.4. Used Oil Fuel Marketer*

If the Owner or Operator of the site is a marketer of used oil fuel, place an X in the box next to this item. If off-specification used oil goes directly to a burner, place an X in Box 4.a. If the site is the first to claim the used oil meets the used oil specification established in Rule 0400-12-01-.11(2)(b), place an X in Box 4.b. If either of these boxes is marked, TDEC-DSWM must be notified (or have previously been notified) that the site is a used oil transporter, used oil processor/re-refiner, or off-specification used oil fuel burner, unless it is a used oil generator.

## **9.D. Pharmaceutical Activities**

### *9.D.1. Opting into Rule 0400-12-01-.09(16)*

Opting into Rule 0400-12-01-.09(16) is mandatory for the management of hazardous waste pharmaceuticals at all healthcare facilities (except healthcare facilities that are VSQGs for all of their hazardous waste, including hazardous waste pharmaceuticals) and reverse distributors. Healthcare facilities and reverse distributors must notify the DSWM that they are performing hazardous waste pharmaceutical activities. If a healthcare facility that is a VSQG for all of its hazardous waste chooses to operate under the pharmaceuticals rule, it also must submit a one-time notification using this Form.

Place an “X” in the box next to this item if the site is a healthcare facility or reverse distributor operating under Rule 0400-12-01-.09(16) for the management of hazardous wastes pharmaceuticals. Otherwise, leave this item blank. If the facility indicates that it is managing hazardous waste under Rule 0400-12-01-.09(16), place an “X” in either 9.D.1.a or b. to indicate whether the site is a healthcare facility or reverse distributor.

A healthcare facility that is co-located within a larger facility that is not a healthcare facility (e.g., a clinic at a military base, school, or manufacturer), must notify that it is operating as a healthcare facility under Rule 0400-12-01-.09(16), unless the entire site is a VSQG. Co-located healthcare facilities share the same

EPA identification number as the larger facility within which it is located. Accordingly, hazardous waste pharmaceutical activity at the healthcare facility will be included on the notification of the larger facility.

#### 9.D.1.a. Healthcare Facility

The facility is a healthcare facility if lawfully authorized to:

- (1) Provide preventative, diagnostic, therapeutic, rehabilitative, maintenance or palliative care, and counseling, service, assessment or procedure with respect to the physical or mental condition, or functional status, of a human or animal or that affects the structure or function of the human or animal body; or
- (2) Distribute, sell, or dispense pharmaceuticals, including over-the-counter pharmaceuticals, dietary supplements, homeopathic drugs, or prescription pharmaceuticals. This definition includes, but is not limited to, wholesale distributors, third-party logistics providers that serve as forward distributors, military medical logistics facilities, hospitals, psychiatric hospitals, ambulatory surgical centers, health clinics, physicians' offices, optical and dental providers, chiropractors, long-term care facilities, ambulance services, pharmacies, long-term care pharmacies, mail-order pharmacies, retailers of pharmaceuticals, veterinary clinics, and veterinary hospitals. This definition does not include pharmaceutical manufacturers, reverse distributors, or reverse logistics centers.

#### 9.D.1.b. Reverse Distributor

The facility is a reverse distributor if the site receives and accumulates prescription pharmaceuticals that are potentially creditable hazardous waste pharmaceuticals for the purpose of facilitating or verifying manufacturer credit. Any person, including forward distributors, third-party logistics providers, and pharmaceutical manufacturers, that processes prescription pharmaceuticals for the facilitation or verification of manufacturer credit is considered a reverse distributor.

#### *9.D.2. Withdrawing From Managing Hazardous Waste Pharmaceuticals Under Rule 0400-12-01-.09(16)*

A healthcare facility that operated under Rule 0400-12-01-.09(16) but is no longer subject to the subpart because it is a very small quantity generator for all of its hazardous waste, including hazardous waste pharmaceuticals, may withdraw from managing its hazardous waste pharmaceuticals under Rule 0400-12-01-.09(16). This may include a healthcare facility that

- (1) had been required to operate under Subpart P but no longer is because it generates VSQG amounts of hazardous waste, or
- (2) is a VSQG but chose to operate under Rule 0400-12-01-.09(16).

A healthcare facility must notify the DSWM that it is withdrawing from this subpart before it begins operating under the conditional exemption of subparagraph (1)(e) of Rule 0400-12-01-.03. Reverse distributors may NOT withdraw from this rule.

Place an “X” in the box next to this item if the site is a healthcare facility that will no longer be operating under Rule 0400-12-01-.09(16) because it is a VSQG for all of its hazardous waste, including hazardous waste pharmaceuticals, and wants to withdraw from operating under Rule 0400-12-01-.09(16) for the management of hazardous wastes pharmaceuticals. If not withdrawing, leave this item blank.

## 9.E. State Activities

If any of the specific activities listed below are occurring at this site, check the box next to each activity.

<b>Acronym Code</b>	<b>State Activity Description</b>
CA	Corrective Action Site
PC	Post Closure Site
WW	Wastewater Generator
LLRMW	Generator - Low Level Radioactive Mixed Waste
HTMR	Generator - HTMR Residues
RSWPR	Generator - Reclamation of Spent Wood Preserving Residues
ZINC	Generator - Manufacturer of Zinc Fertilizers or Zinc Fertilizer Ingredients
PMP	Generator - Primary Mineral Processing
UOCCC	Used Oil - Commercial Collection Center
UODIY	Used Oil - DIY Collection Center
UWDF-LAMP	Universal Waste Destination Facility - Commercial Lamp Crusher
UWDF-Recycler	Universal Waste Destination Facility - Onsite Recycler
UWSQH	Universal Waste Small Quantity Handler

NOTE: Depending on the activity selected, additional notification may be required.

## Section 10: Eligible Academic Entities With Laboratories

Rule 0400-12-01-.03(10) is an optional alternative set of requirements for eligible academic entities with laboratories. Certain generators (i.e., eligible academic entities as defined in Rule 0400-12-01-.01) are eligible to operate under this Rule for management of their hazardous wastes in laboratories. Eligible academic entities with laboratories that generate hazardous waste that elect to opt into Rule 0400-12-01-.03(10), are currently operating under Rule 0400-12-01-.03(10), or subsequently withdraw from Rule 0400-12-01-.03(10) must complete this section to meet the notification requirements of this Rule.

NOTE: Eligible academic entities with laboratories must complete a separate Site ID Form for each site (i.e., EPA Identification Number) that is managing hazardous waste under Rule 0400-12-01-.03(10). All laboratories with the same EPA Identification Number will be regulated under this subpart. If eligible

academic entities with laboratories withdraw from Rule 0400-12-01-.03(10), all laboratories with the same EPA Identification Number associated with the withdrawal from Rule 0400-12-01-.03(10) will be regulated under Rule 0400-12-01-.03() (or Rule 0400-12-01-.03(1)(e)).

### 10.A. Opting Into Rule 0400-12-01-.03(10)

Place an “X” in the box next to this item, if the site is an eligible academic entity and elects to opt into or are currently operating under Rule 0400-12-01-.03(10) for the hazardous wastes generated in the laboratories. Otherwise, leave this item blank. If this item is selected, place an “X” in at least one of the following to indicate the facility’s type of eligible academic entity. Place an “X” in all that apply:

1. College or University – The site is considered an eligible college or university if it is a private or public, post-secondary, degree-granting, academic institution, that is accredited by an accrediting agency listed annually by the U.S. Department of Education.
2. Teaching Hospital that is owned by or has a formal written affiliation agreement with a college or university – The site is considered an eligible teaching hospital if it is a hospital that trains students to become physicians, nurses, or other health personnel and is either:
  - (1) owned by a college or university, or
  - (2) has a master affiliation agreement and program letter of agreement, as defined by the Accreditation Council for Graduate Medical Education, with an accredited medical program or medical school.
3. Non-profit Institute that is owned by or has a formal written affiliation agreement with a college or university – The site is considered an eligible non-profit institute if it is an organization that conducts research as its primary function and files as a non-profit organization under the tax code of 26 U.S.C. 501(c)(3) and is either:
  - (1) owned by a college or university, or
  - (2) has a formal written affiliation agreement with a college or university that establishes a relationship between institutions for the purposes of research and/or education and is signed by authorized representatives from each institution. A relationship on a project-by-project or grant-by-grant basis is not considered a formal written affiliation agreement.

### 10.B. Withdrawing from Rule 0400-12-01-.03(10)

Place an “X” in the box next to this item, if the facility has previously elected to opt into Rule 0400-12-01-.03(10) and are now withdrawing from participation in this optional set of alternative requirements for hazardous waste generation in laboratories. Withdrawing generators will automatically revert to regulation under Rule 0400-12-01-.03(1)(f), (g), and (h) requirements (or Rule 0400-12-01-.03(1)(e) for VSQGs).

If selecting this item, please include comments in Item 16 – Comments that explain your reasons for withdrawing from Subpart K. Otherwise, leave this item blank.

## Section 11: Episodic Generation

Place an “X” in the box next to this item if the site is a VSQG or SQG notifying that the site is taking advantage of the episodic generator event provision in Rule 0400-12-01-.03(11). This provision allows a VSQG or an SQG to generate additional quantities of hazardous waste—temporarily exceeding its normal generator category limits— and still maintain its existing generator category, provided it complies with the specified conditions identified in Rule 0400-12-01-.03(11)(c).

NOTE: If selecting this activity, the site must fill out Form HN-E, Hazardous Waste Episodic Generation Notification if submitting this form by hard copy, or the Addendum for Episodic Generators, if submitting electronically in RCRAInfo. The generator may use this provision once per calendar year with the ability to petition for a second event. However, if the first event is planned, the petition must be for a second event that is unplanned, or vice versa.

This notification must be submitted more than **30 days** in advance of any planned episodic event for that event to be in compliance with the conditions of this provision. In the case of an unplanned event, notification must take place within **72 hours** of the event occurring. Both planned and unplanned episodic events must be completed with all waste shipped off-site to a RCRA designated facility within 60 days of the start of the event. Facilities that do not meet the conditions of the episodic generator Rules for a given event will have the episodic notification rejected and will need to resubmit and manage the waste under the higher generator category.

Although not inclusive, examples of planned episodic events include tank cleanouts, short-term construction projects, short-term site remediation, equipment maintenance during plant shutdowns, removal of excess chemical inventories, and site and production process decommissions by a new operator. Unplanned episodic events, which EPA expects would be less frequent, include production process upsets, product recalls, accidental spills, or “acts of nature,” such as a tornado, hurricane, or flood.

If the site is taking advantage of this provision, you must complete the Addendum to the Site Identification Form for Episodic Generation. Information to be completed includes:

- the type of episodic event (i.e., planned or unplanned),
- the name and telephone number of an emergency contact at the site,
- the beginning or start date of the episodic event, and expected completion date (no later than 60 days from beginning date),
- the reason for the episodic event (event description),
- identification of the applicable Federal waste codes (and State waste codes, if applicable), and
- the estimated total quantity of hazardous wastes that will be generated as a result of the episodic event.

## Section 12: LQG Consolidation Of VSQG Hazardous Waste

The Hazardous Waste Generator Improvements Final Rule allows LQGs to receive and consolidate hazardous wastes from VSQGs if the VSQGs are under the control of the same “person” as defined in Rule 0400-12-01-.01.

NOTE: “Control,” for the purposes of this section, means the power to direct the policies of the generator, whether by the ownership of stock, voting rights, or otherwise. Contractors who operate generator facilities on behalf of a different person as defined in Rule 0400-12-01-.01 are not deemed to “control” such generators. If selecting this activity, the site must fill out Form CN-2504 (HN-CONSOL), “LQG Consolidation of VSQG Hazardous Waste,” if submitting this form by hardcopy, or the Addendum to the Site ID Form: LQG Consolidation of VSQG Hazardous Waste, if submitting this form electronically within RCRAInfo.

Place an “X” in the box next to this item, if the site is an LQG taking advantage of the provision found at Rule 0400-12-01-.03(1)(h)6. in order to notify (or re-notify) the DSWM (notification of this activity is required). Information to be completed in the Addendum: LQG Consolidation of VSQG Hazardous Waste for each VSQG the site is receiving hazardous waste from includes:

- EPA Identification number (if applicable),
- the site name,
- address,
- contact name, and
- telephone number.

## Section 13: Notification Of LQG Site Closure For A Central Accumulation Area (CAA) Or Entire Facility

The Hazardous Waste Generator Improvements Final Rule requires LQGs to notify the DSWM no later than 30 days prior to closing their facility. They must also notify the DSWM within 90 days after closing the facility and having complied with the closure performance standards of Rule 0400-12-01-.03(1)(h)1.(viii) or notify DSWM that they cannot meet the closure performance standards. Optionally, an LQG may notify that they are closing a central accumulation area.

Place an “X” in the box next to this item if the site is notifying of a stage of closure of a central accumulation area or generator site, then complete the appropriate boxes: 13.A – D.

### 13.A Central Accumulation Area (CAA) or Entire Facility

Indicate if the site is closing a central accumulation area or is closing the entire facility.

### 13.B Expected Closure Date

Provide the date (mm/dd/yyyy) that you expect to close the CAA or the entire facility.

### 13.C Requesting New Closure Date

If the site cannot complete the closure of the facility or CAA within 90 days of starting the closure process, indicate the new closure date (mm/dd/yyyy). Explain in Section 16 (Comments) or on a separate page why the site is requesting the additional time.

### 13.D Date Closed

Provide the date (mm/dd/yyyy) that the facility or CAA closed.

*13.D.1. In compliance with the closure performance standards at Rule 0400-12-01-.03(1)(h)1.(viii):*

Indicate if the facility or CAA closed in compliance with the closure performance standards in Rule 0400-12-01-.03(1)(h)1.(viii).

*13.D.2. Not in compliance with the closure performance standards in Rule 0400-12-01-.03(1)(h)1.(viii):*

Indicate if the facility or CAA closed but failed to meet closure performance standards in Rule 0400-12-01-.03(1)(h)1.(viii).

## Section 14: Hazardous Secondary Material (HSM) Activity

Place an “X” in the box next to this item if the site is notifying under Rule 0400-12-01-.03 that the facility will begin managing, is still managing, or will stop managing hazardous secondary material.

NOTE: If selecting this activity, the site must fill out Form CN-1482 (HN-HSM), Hazardous Secondary Material Activity Notification.

## Section 15: Electronic Manifest Broker

Place an “X” in the box next to this item if the site is a person as defined in Rule 0400-12-01-.01 that elects to use the electronic manifest system to obtain, complete and transmit an electronic manifest format supplied by the EPA electronic manifest system under a contractual relationship with a hazardous waste generator.

## **Section 16: Comments**

Use this section as needed to provide additional information for Items 1 through 15. Include the item number and box letter (if any) for each comment made. Attach additional sheets if needed, with the site EPA Identification Number on top of each page. If the site does not have an EPA Identification number yet, use the site name instead.

## **Section 17: Certification**

This certification must be signed by either the Owner(s), Operator(s), or Authorized Representative(s) of the site. An Authorized Representative is a person responsible for the overall operation of the site (i.e., a plant manager or superintendent, or a person of equal responsibility).

Per Rule 0400-12-01-.01, an “authorized representative” is a person responsible for the overall operation of the site or an operational unit (i.e., a plant manager or superintendent, or a person of equivalent responsibility). See Rule 0400-12-01-.06 for more information on who is considered an authorized representative for permitted facilities. The Site ID Form submission must include this certification to be considered complete.