APPENDIX D-4
Beneficiary Eligible Mitigation Action Certification
BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary  Tennessee

Lead Agency Authorized to Act on Behalf of the Beneficiary  Tennessee Department of Environment and Conservation

(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

<table>
<thead>
<tr>
<th>Action Title:</th>
<th>STATE OF TENNESSEE TRANSIT AND SHUTTLE BUS GRANT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Beneficiary’s Project ID:</td>
<td>ENWTRANSITBUS</td>
</tr>
<tr>
<td>Funding Request No.</td>
<td>(sequential) 7</td>
</tr>
<tr>
<td>Request Type: (select one or more)</td>
<td>■ Reimbursement  □ Advance</td>
</tr>
<tr>
<td>Payment to be made to: (select one or more)</td>
<td>■ Beneficiary  □ Other (specify):</td>
</tr>
<tr>
<td>Funding Request &amp; Direction (Attachment A)</td>
<td>■ Attached to this Certification  □ To be Provided Separately</td>
</tr>
</tbody>
</table>

SUMMARY

| Eligible Mitigation Action | Appendix D-2 item (specify):  Eligible Mitigation Action Category #2, Class 4-8 Transit Bus or Shuttle Bus |
| Action Type | □ Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): |

Explanation of how funding request fits into Beneficiary’s Mitigation Plan (5.2.1):
See attached.

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):
See attached.

Estimate of Anticipated NOx Reductions (5.2.3):
See attached.

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):
Tennessee Department of Environment and Conservation
Describe how the Beneficiary will make documentation publicly available (5.2.7.2).
See attached.

Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).
See attached.

Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).
See attached.
ATTACHMENTS
(CHECK BOX IF ATTACHED)

☐ Attachment A  Funding Request and Direction.

☐ Attachment B  Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).

☐ Attachment C  Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).

☐ Attachment D  Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding $25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding $25,000.]

☐ Attachment E  DERA Option (5.2.12). [Attach only if using DERA option.]

☐ Attachment F  Attachment specifying amount of requested funding to be debited against each beneficiary’s allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

CERTIFICATIONS

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary [Tennessee], and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.

2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.

3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.

4. Any vendors were or will be selected in accordance with a jurisdiction’s public contracting law as applicable. (5.2.5)

5. Beneficiary will maintain and make publicly available all documentation submitted in
support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED:  9/1/2020

Molly R. Cripps, Director, TDEC OEP—TDEC Compliance Coord.,

[NAME]
[TITLE] TDEC Compliance Coordinator
Tennessee Department of Environment and Conservation

[LEAD AGENCY]

for
Tennessee

[BENEFICIARY]
ATTACHMENT A

FUNDING REQUEST AND DIRECTION
(Attachment to Appendix D-4, Beneficiary Eligible Mitigation Action Certification, pursuant to Paragraph 5.2 of the Environmental Mitigation Trust Agreement)

Pursuant to the authority granted to [insert Lead Agency] to act on behalf of Beneficiary Tennessee under the Mitigation Trust, [Lead Agency] directs the Trustee to make the following payments from its subaccount no. 122969-031 to the following payees, for the amounts specified on the dates specified below.

LEAD AGENCY INFORMATION

<table>
<thead>
<tr>
<th>Beneficiary Name:</th>
<th>Tennessee</th>
<th>Lead Agency Contact Person:</th>
<th>Molly R. Cripps</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lead Agency Name:</td>
<td>Tennessee Department of Environment and Conservation</td>
<td>Lead Agency Email Address:</td>
<td><a href="mailto:molly.cripps@tn.gov">molly.cripps@tn.gov</a></td>
</tr>
<tr>
<td>Lead Agency Address:</td>
<td>303 West 4th Ave., 3rd Floor, Nashville, TN 37246</td>
<td>Lead Agency Fax:</td>
<td>615-741-5070</td>
</tr>
<tr>
<td>Lead Agency Phone:</td>
<td>615-741-2994</td>
<td>Lead Agency TIN:</td>
<td>626001445</td>
</tr>
</tbody>
</table>

Contact information entered above may correspond to Lead Agency or any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency.

MITIGATION ACTION INFORMATION

| Action Title: | STATE OF TENNESSEE TRANSIT AND SHUTTLE BUS | Funding Request No: | 7 |
| Beneficiary’s Project ID: | ENVWTRANSITBUS |

PAYMENTS REQUESTED
(attach additional pages if needed)

<table>
<thead>
<tr>
<th>Amount</th>
<th>Requested Date</th>
<th>Payee</th>
<th>Request Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>$19,585.22</td>
<td>9/2/2020</td>
<td>STATE OF TENNESSEE TREASURY</td>
<td>Reimbursement</td>
</tr>
</tbody>
</table>