

Appendix C: Prototype Agreement for Disclosure of Free and Reduced-Price Information

I. Purpose and Scope

_____ and _____
acknowledge and agree that children's free and reduced-price meal and free milk eligibility information obtained under provisions of the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.) (NSLA) or Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.) (CNA) and the regulations implementing these Acts is confidential information. This Agreement is intended to ensure that any information disclosed by the _____ to the _____ about children eligible for free and reduced-price meals or free milk will be used only for purposes specified in this Agreement and that the _____ and _____ recognize that there are penalties for unauthorized disclosures of this eligibility information.

II. Authority

Section 9(b)(6)(A) of the NSLA (42 U.S.C. 1758(b)(6)(A)) authorizes the limited disclosure of children's free and reduced-price meal or free milk eligibility information to specific programs or individuals, without prior parent/guardian consent. Except that, the parent/guardian must be provided the opportunity to decline to share eligibility information prior to the disclosure for identifying children eligible for benefits under or enrolling children in the State Medicaid Program and the Children's Health Insurance Program (CHIP). Additionally, the statute specifies that for any disclosures not authorized by the statute, the consent of children's parents/guardians must be obtained prior to the disclosure.

The requesting agency certifies that it is currently authorized to administer the following program(s) and that information requested will only be used by the program(s) indicated.

Note: Section 9(b)(6)(A) specifies that certain programs may receive children's eligibility status only, without parental consent. Parental consent must be obtained to disclose any additional eligibility information. Section 9(b)(6)(D)(ii) specifies that for State Medicaid or CHIP, parents must be notified and given an opportunity to elect not to have information disclosed. Social Security Numbers may only be disclosed if households are given notice of the disclosure and the uses to be made of their Social Security Numbers as required by Sec.7 of the Privacy Act.

Check all that apply	Recipient of Information	What May be Disclosed	Requirements
	Programs under the National School Lunch Act or Child Nutrition Act Specify Program:	All eligibility information	Prior notice and consent not required
	Federal/State or local means tested nutrition programs with eligibility standards comparable to the NSLP Specify Program:	Eligibility status only	Prior notice and consent not required
	Federal education programs Specify Program:	Eligibility status only	Prior notice and consent not required
	State education programs administered by a State agency or local education agency Specify Program:	Eligibility status only	Prior notice and consent not required
	Comptroller General of the United States for purposes of audit and examination	All eligibility information	Prior notice and consent not required
	Federal, State, or local law enforcement officials investigating alleged violations of any of the programs under the NSLA and CNA or investigating violations of any of the programs that are authorized to have access to names and eligibility status	All eligibility information	Prior notice and consent not required
	Medicaid or the State Children's Health Insurance Programs (SCHIP), administered by a State or local agency authorized under titles XIX or XXI of the Social Security Act to identify and enroll eligible children Specify Program:	All eligibility information unless parents elect not to have information disclosed	Must give prior notice to parents and opportunity for parents to decline to have their information disclosed
	State health programs other than Medicaid/SCHIP, administered by a State agency or local education agency Specify Program:	Eligibility status only	Prior consent not required
	Federal health programs other than Medicaid/SCHIP Specify Program:	NO eligibility information, unless parental consent is obtained	Parental or guardian consent
	Local health program Specify Program:	NO eligibility information, unless parental consent is obtained	Parental or guardian consent
	Local education program Specify Program:	NO eligibility information, unless parental consent is obtained	Parental or guardian consent

III. Responsibilities

_____ will:

When required, secure the consent of parents/guardians prior to any disclosure not authorized by the National School Lunch Act or any regulations under that Act, unless prior consent is secured by the receiving agency and made available to the determining agency;

For State Medicaid and CHIP, notify parents/guardians of potential disclosures and provide opportunity for parents/guardians to elect not to have information disclosed;

Disclose eligibility information only to persons directly connected to the administration or enforcement of programs authorized access under the National School Lunch Act or regulations under the Act or to programs or services for which parents/guardians gave consent.

_____ will:

Ensure that only persons who are directly connected with the administration or enforcement of the _____ and whose job responsibilities require use of the eligibility information will have access to children's eligibility information:

Specify by name(s) or title(s)

Use children's free and reduced-price eligibility information for the following specific purpose(s):

Inform all persons that have access to children's free and reduced price meal eligibility information that the information is confidential, that children's eligibility information must only be used for the purposes specified above, and the penalties for unauthorized disclosures.

Protect the confidentiality of children's free and reduced-price meal or free milk eligibility information as follows:

Specifically describe how the information will be protected from unauthorized uses and further disclosures.



Description of Procedures to Transfer Meal Eligibility Information (may be completed by either the determining agency or receiving agency)

Describe the procedures for transferring students' meal eligibility information from the determining agency to the requesting agency/program so as to limit the number of individuals who have access to the information.

IV. Effective Dates

This agreement shall be effective from _____ to _____.

V. Penalties

Any person who publishes, divulges, discloses, or makes known in any manner, or to any extent not authorized by Federal law (Section 9(b)(6)(C) of the National School Lunch Act; 42 U.S.C. 1758(b)(6)(C)) or a regulation, any information about a child's eligibility for free and reduced-price meals or free milk, shall be fined not more than a \$1,000 or imprisonment of not more than 1 year or both.

VI. Signatures

The parties acknowledge that children's free and reduced-price meal and free milk eligibility information may be used only for the specific purposes stated above, and that unauthorized use of free and reduced-price meal and free milk information or further disclosure to other persons or programs is prohibited and a violation of Federal law, which may result in civil and criminal penalties.

Requesting

Agency/Program

Administrator:

Name: _____

Title: _____

Phone: _____

Signature: _____ Date: _____

Determining Agency Administrator:

Name: _____

Title: _____

Phone: _____

Signature: _____ Date: _____

**Any attachments will become part of this agreement.*