

QUARTERLY STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Tennessee

FOR THE QUARTER ENDED
MARCH 31, 2018

HEALTH

2018



HEALTH QUARTERLY STATEMENT
AS OF MARCH 31, 2018
OF THE CONDITION AND AFFAIRS OF THE
UnitedHealthcare Plan of the River Valley, Inc.

NAIC Group Code 0707 (Current) 0707 (Prior) NAIC Company Code 95378 Employer's ID Number 36-3379945

Organized under the Laws of Illinois, State of Domicile or Port of Entry IL

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 08/05/1985 Commenced Business 12/19/1985

Statutory Home Office 1300 River Drive, Suite 200 (Street and Number) Moline, IL, US 61265 (City or Town, State, Country and Zip Code)

Main Administrative Office 1300 River Drive, Suite 200 (Street and Number) Moline, IL, US 61265 (City or Town, State, Country and Zip Code) 309-736-4600 (Area Code) (Telephone Number)

Mail Address 9800 Health Care Lane, MN006-W500 (Street and Number or P.O. Box) Minnetonka, MN, US 55343 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1300 River Drive, Suite 200 (Street and Number) Moline, IL, US 61265 (City or Town, State, Country and Zip Code) 309-736-4600 (Area Code) (Telephone Number)

Internet Website Address UHCRiverValley.com

Statutory Statement Contact Micah Forrest Wenck (Name) 952-979-6175 (Area Code) (Telephone Number) micah_1_wenck@uhc.com (E-mail Address) 952-931-4651 (FAX Number)

OFFICERS

Secretary Mark Andrew Murr # Chief Financial Officer James Wesley Kelly
Treasurer Robert Worth Oberrender

OTHER

Robert Andersen Broomfield, President, Commercial Steven Marc Burstein #, Assistant Secretary Nyle Brent Cottingham, Vice President
Joy Olliani Chieko Higa #, Assistant Secretary Heather Anastasia Lang Jacobsen, Assistant Secretary Keith Christopher Payet #, President, Medicaid Division
Kimberly Grace Perry, Chief Medical Officer Jessica Leigh Zuba #, Assistant Secretary

DIRECTORS OR TRUSTEES

William Kenneth Appelgate Ph.D. Robert Andersen Broomfield James Edward Hecker
James Wesley Kelly Keith Christopher Payet # Cathie Sue Whiteside
Scott Edward Williams Yasmine Herminia Winkler

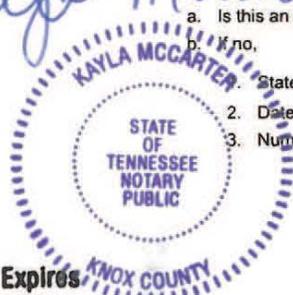
State of Kansas County of Johnson State of TN County of Knox State of County of

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Signatures of Robert Andersen Broomfield, Mark Andrew Murr, and James Wesley Kelly with titles.

Subscribed and sworn to before me this 13th day of April 2018

Signature of Notary Public and questions: a. Is this an original filing? Yes [X] No [] b. If no, State the amendment number..... 2. Date filed..... 3. Number of pages attached.....



My Commission Expires November 9, 2019

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

ASSETS

| | Current Statement Date | | | 4 December 31 Prior Year Net Admitted Assets |
|--|------------------------|-------------------------|---|---|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds | 570,413,128 | 0 | 570,413,128 | 577,108,274 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | 0 | 0 | 0 | 0 |
| 2.2 Common stocks | 0 | 0 | 0 | 0 |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | 0 | 0 | 0 | 0 |
| 3.2 Other than first liens..... | 0 | 0 | 0 | 0 |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$0 encumbrances) | 0 | 0 | 0 | 0 |
| 4.2 Properties held for the production of income (less \$0 encumbrances) | 0 | 0 | 0 | 0 |
| 4.3 Properties held for sale (less \$0 encumbrances) | 0 | 0 | 0 | 0 |
| 5. Cash (\$124,651,362), cash equivalents (\$172,517,344) and short-term investments (\$27,342,837) | 324,511,543 | 0 | 324,511,543 | 193,812,954 |
| 6. Contract loans (including \$0 premium notes) | 0 | 0 | 0 | 0 |
| 7. Derivatives | 0 | 0 | 0 | 0 |
| 8. Other invested assets | 13,191,826 | 0 | 13,191,826 | 13,660,076 |
| 9. Receivables for securities | 2,350,000 | 0 | 2,350,000 | 0 |
| 10. Securities lending reinvested collateral assets | 0 | 0 | 0 | 0 |
| 11. Aggregate write-ins for invested assets | 0 | 0 | 0 | 0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) | 910,466,497 | 0 | 910,466,497 | 784,581,304 |
| 13. Title plants less \$0 charged off (for Title insurers only) | 0 | 0 | 0 | 0 |
| 14. Investment income due and accrued | 4,749,747 | 0 | 4,749,747 | 5,278,267 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection | 202,643,509 | 12,432 | 202,631,077 | 207,519,568 |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums) | 0 | 0 | 0 | 0 |
| 15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$136,429,930) | 126,530,588 | 0 | 126,530,588 | 159,533,233 |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers | 0 | 0 | 0 | 0 |
| 16.2 Funds held by or deposited with reinsured companies | 0 | 0 | 0 | 0 |
| 16.3 Other amounts receivable under reinsurance contracts | 0 | 0 | 0 | 0 |
| 17. Amounts receivable relating to uninsured plans | 8,219,518 | 55,750 | 8,163,768 | 16,315,397 |
| 18.1 Current federal and foreign income tax recoverable and interest thereon | 0 | 0 | 0 | 0 |
| 18.2 Net deferred tax asset | 19,196,219 | 0 | 19,196,219 | 23,037,327 |
| 19. Guaranty funds receivable or on deposit | 110,141 | 0 | 110,141 | 656,429 |
| 20. Electronic data processing equipment and software | 0 | 0 | 0 | 0 |
| 21. Furniture and equipment, including health care delivery assets (\$0) | 0 | 0 | 0 | 0 |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates | 0 | 0 | 0 | 0 |
| 23. Receivables from parent, subsidiaries and affiliates | 0 | 0 | 0 | 0 |
| 24. Health care (\$46,932,197) and other amounts receivable | 69,158,169 | 22,225,972 | 46,932,197 | 43,637,754 |
| 25. Aggregate write-ins for other than invested assets | 5,038,991 | 29,531 | 5,009,460 | 3,000,000 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) | 1,346,113,379 | 22,323,685 | 1,323,789,694 | 1,243,559,279 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts | 0 | 0 | 0 | 0 |
| 28. Total (Lines 26 and 27) | 1,346,113,379 | 22,323,685 | 1,323,789,694 | 1,243,559,279 |
| DETAILS OF WRITE-INS | | | | |
| 1101. | | | | |
| 1102. | | | | |
| 1103. | | | | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page | 0 | 0 | 0 | 0 |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) | 0 | 0 | 0 | 0 |
| 2501. Administrative Transitional Payment Receivable | 5,000,000 | 0 | 5,000,000 | 3,000,000 |
| 2502. Liquidated Damages Receivable | 13,000 | 13,000 | 0 | 0 |
| 2503. State Taxes Receivable | 9,460 | 0 | 9,460 | 0 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 16,531 | 16,531 | 0 | 0 |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 5,038,991 | 29,531 | 5,009,460 | 3,000,000 |

LIABILITIES, CAPITAL AND SURPLUS

| | Current Period | | | Prior Year |
|--|----------------|----------------|---------------|---------------|
| | 1 Covered | 2 Uncovered | 3 Total | 4 Total |
| 1. Claims unpaid (less \$0 reinsurance ceded) | 487,141,328 | 0 | 487,141,328 | 486,456,294 |
| 2. Accrued medical incentive pool and bonus amounts | 6,780,863 | 0 | 6,780,863 | 5,473,270 |
| 3. Unpaid claims adjustment expenses | 6,595,419 | 0 | 6,595,419 | 5,751,315 |
| 4. Aggregate health policy reserves, including the liability of \$64,880,248 for medical loss ratio rebate per the Public Health Service Act | 129,254,310 | 0 | 129,254,310 | 144,022,008 |
| 5. Aggregate life policy reserves | 0 | 0 | 0 | 0 |
| 6. Property/casualty unearned premium reserve | 0 | 0 | 0 | 0 |
| 7. Aggregate health claim reserves | 3,429,321 | 0 | 3,429,321 | 2,224,481 |
| 8. Premiums received in advance | 80,223,011 | 0 | 80,223,011 | 3,522,621 |
| 9. General expenses due or accrued | 117,235,785 | 0 | 117,235,785 | 43,822,657 |
| 10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized gains (losses)) | 1,754,684 | 0 | 1,754,684 | 27,006,397 |
| 10.2 Net deferred tax liability | 0 | 0 | 0 | 0 |
| 11. Ceded reinsurance premiums payable | 497,026 | 0 | 497,026 | 480,844 |
| 12. Amounts withheld or retained for the account of others | 51,339 | 0 | 51,339 | 28,110 |
| 13. Remittances and items not allocated | 2,582 | 0 | 2,582 | 5,140 |
| 14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current) | 0 | 0 | 0 | 0 |
| 15. Amounts due to parent, subsidiaries and affiliates | 54,358,477 | 0 | 54,358,477 | 62,119,571 |
| 16. Derivatives | 0 | 0 | 0 | 0 |
| 17. Payable for securities | 36,885 | 0 | 36,885 | 981,451 |
| 18. Payable for securities lending | 0 | 0 | 0 | 0 |
| 19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers) | 0 | 0 | 0 | 0 |
| 20. Reinsurance in unauthorized and certified (\$0) companies | 0 | 0 | 0 | 0 |
| 21. Net adjustments in assets and liabilities due to foreign exchange rates | 0 | 0 | 0 | 0 |
| 22. Liability for amounts held under uninsured plans | 34,170,675 | 0 | 34,170,675 | 15,334,631 |
| 23. Aggregate write-ins for other liabilities (including \$39,797 current) | 39,797 | 0 | 39,797 | 42,297 |
| 24. Total liabilities (Lines 1 to 23) | 921,571,502 | 0 | 921,571,502 | 797,271,087 |
| 25. Aggregate write-ins for special surplus funds | XXX | XXX | 0 | 67,650,889 |
| 26. Common capital stock | XXX | XXX | 610,000 | 610,000 |
| 27. Preferred capital stock | XXX | XXX | 0 | 0 |
| 28. Gross paid in and contributed surplus | XXX | XXX | 44,977,137 | 44,977,137 |
| 29. Surplus notes | XXX | XXX | 0 | 0 |
| 30. Aggregate write-ins for other than special surplus funds | XXX | XXX | 1,500,000 | 1,500,000 |
| 31. Unassigned funds (surplus) | XXX | XXX | 355,131,055 | 331,550,166 |
| 32. Less treasury stock, at cost: | | | | |
| 32.10 shares common (value included in Line 26 \$0) | XXX | XXX | 0 | 0 |
| 32.20 shares preferred (value included in Line 27 \$0) | XXX | XXX | 0 | 0 |
| 33. Total capital and surplus (Lines 25 to 31 minus Line 32) | XXX | XXX | 402,218,192 | 446,288,192 |
| 34. Total liabilities, capital and surplus (Lines 24 and 33) | XXX | XXX | 1,323,789,694 | 1,243,559,279 |
| DETAILS OF WRITE-INS | | | | |
| 2301. Accrued Fines and Penalties | 32,500 | 0 | 32,500 | 35,000 |
| 2302. Unclaimed Property | 7,297 | 0 | 7,297 | 7,297 |
| 2303. | | | | |
| 2398. Summary of remaining write-ins for Line 23 from overflow page | 0 | 0 | 0 | 0 |
| 2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) | 39,797 | 0 | 39,797 | 42,297 |
| 2501. Section 9010 ACA Subsequent Fee Year Assessment | XXX | XXX | 0 | 67,650,889 |
| 2502. | XXX | XXX | | |
| 2503. | XXX | XXX | | |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | XXX | XXX | 0 | 0 |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | XXX | XXX | 0 | 67,650,889 |
| 3001. Statutory Fund | XXX | XXX | 1,500,000 | 1,500,000 |
| 3002. | XXX | XXX | | |
| 3003. | XXX | XXX | | |
| 3098. Summary of remaining write-ins for Line 30 from overflow page | XXX | XXX | 0 | 0 |
| 3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) | XXX | XXX | 1,500,000 | 1,500,000 |

STATEMENT OF REVENUE AND EXPENSES

| | Current Year To Date | | Prior Year To Date | Prior Year Ended December 31 |
|--|-------------------------|---------------|-----------------------|---------------------------------|
| | 1 Uncovered | 2 Total | 3 Total | 4 Total |
| 1. Member Months | XXX | 2,844,999 | 2,208,010 | 8,894,126 |
| 2. Net premium income (including \$0 non-health premium income) | XXX | 1,476,753,780 | 949,507,464 | 4,088,704,041 |
| 3. Change in unearned premium reserves and reserve for rate credits | XXX | (55,206) | 12,207,440 | (81,022,420) |
| 4. Fee-for-service (net of \$0 medical expenses) | XXX | 0 | 0 | 0 |
| 5. Risk revenue | XXX | 0 | 0 | 0 |
| 6. Aggregate write-ins for other health care related revenues | XXX | 0 | 0 | 0 |
| 7. Aggregate write-ins for other non-health revenues | XXX | 0 | 0 | 0 |
| 8. Total revenues (Lines 2 to 7) | XXX | 1,476,698,574 | 961,714,904 | 4,007,681,621 |
| Hospital and Medical: | | | | |
| 9. Hospital/medical benefits | 0 | 1,167,094,853 | 744,253,024 | 3,128,638,740 |
| 10. Other professional services | 0 | 12,795,516 | 6,814,196 | 25,625,685 |
| 11. Outside referrals | 0 | 0 | 0 | 0 |
| 12. Emergency room and out-of-area | 0 | 0 | 0 | 0 |
| 13. Prescription drugs | 0 | 112,197,643 | 60,701,729 | 222,966,648 |
| 14. Aggregate write-ins for other hospital and medical | 0 | 0 | 0 | 0 |
| 15. Incentive pool, withhold adjustments and bonus amounts | 0 | 3,231,427 | (1,489,127) | 3,265,450 |
| 16. Subtotal (Lines 9 to 15) | 0 | 1,295,319,439 | 810,279,822 | 3,380,496,523 |
| Less: | | | | |
| 17. Net reinsurance recoveries | 0 | 0 | 0 | 0 |
| 18. Total hospital and medical (Lines 16 minus 17) | 0 | 1,295,319,439 | 810,279,822 | 3,380,496,523 |
| 19. Non-health claims (net) | 0 | 0 | 0 | 0 |
| 20. Claims adjustment expenses, including \$43,788,264 cost containment expenses | 0 | 69,089,715 | 46,816,420 | 206,405,994 |
| 21. General administrative expenses | 0 | 170,437,766 | 86,509,328 | 333,013,301 |
| 22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only) | 0 | (18,051,000) | (18,138,000) | 4,960,000 |
| 23. Total underwriting deductions (Lines 18 through 22) | 0 | 1,516,795,920 | 925,467,570 | 3,924,875,818 |
| 24. Net underwriting gain or (loss) (Lines 8 minus 23) | XXX | (40,097,346) | 36,247,334 | 82,805,803 |
| 25. Net investment income earned | 0 | 3,648,310 | 2,548,187 | 10,433,942 |
| 26. Net realized capital gains (losses) less capital gains tax of \$(689) | 0 | (62,581) | (7,249) | 606,777 |
| 27. Net investment gains (losses) (Lines 25 plus 26) | 0 | 3,585,729 | 2,540,938 | 11,040,719 |
| 28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$14,306) (amount charged off \$(9,264))] | 0 | 5,042 | 20,814 | 40,469 |
| 29. Aggregate write-ins for other income or expenses | 0 | (98,300) | 79,988 | (60,469) |
| 30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) | XXX | (36,604,875) | 38,889,074 | 93,826,522 |
| 31. Federal and foreign income taxes incurred | XXX | 1,755,372 | 5,841,294 | 27,714,201 |
| 32. Net income (loss) (Lines 30 minus 31) | XXX | (38,360,247) | 33,047,780 | 66,112,321 |
| DETAILS OF WRITE-INS | | | | |
| 0601. | XXX | | | |
| 0602. | XXX | | | |
| 0603. | XXX | | | |
| 0698. Summary of remaining write-ins for Line 6 from overflow page | XXX | 0 | 0 | 0 |
| 0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) | XXX | 0 | 0 | 0 |
| 0701. | XXX | | | |
| 0702. | XXX | | | |
| 0703. | XXX | | | |
| 0798. Summary of remaining write-ins for Line 7 from overflow page | XXX | 0 | 0 | 0 |
| 0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) | XXX | 0 | 0 | 0 |
| 1401. | | | | |
| 1402. | | | | |
| 1403. | | | | |
| 1498. Summary of remaining write-ins for Line 14 from overflow page | 0 | 0 | 0 | 0 |
| 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) | 0 | 0 | 0 | 0 |
| 2901. Fines & Penalties – Paid and Accrued | 0 | (98,300) | 79,741 | (60,469) |
| 2902. Investment Settlement Proceeds | 0 | 0 | 247 | 0 |
| 2903. | | | | |
| 2998. Summary of remaining write-ins for Line 29 from overflow page | 0 | 0 | 0 | 0 |
| 2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) | 0 | (98,300) | 79,988 | (60,469) |

STATEMENT OF REVENUE AND EXPENSES (Continued)

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| CAPITAL AND SURPLUS ACCOUNT | | | |
| 33. Capital and surplus prior reporting year..... | 446,288,192 | 434,309,068 | 434,309,068 |
| 34. Net income or (loss) from Line 32 | (38,360,247) | 33,047,780 | 66,112,321 |
| 35. Change in valuation basis of aggregate policy and claim reserves | 0 | 0 | 0 |
| 36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0 | (4,460) | 0 | (2,366) |
| 37. Change in net unrealized foreign exchange capital gain or (loss) | 0 | 0 | 0 |
| 38. Change in net deferred income tax | (3,841,108) | (11,192,961) | (21,298,819) |
| 39. Change in nonadmitted assets | (1,864,185) | 11,704,210 | 17,167,988 |
| 40. Change in unauthorized and certified reinsurance | 0 | 0 | 0 |
| 41. Change in treasury stock | 0 | 0 | 0 |
| 42. Change in surplus notes | 0 | 0 | 0 |
| 43. Cumulative effect of changes in accounting principles..... | 0 | 0 | 0 |
| 44. Capital Changes: | | | |
| 44.1 Paid in | 0 | 0 | 0 |
| 44.2 Transferred from surplus (Stock Dividend)..... | 0 | 0 | 0 |
| 44.3 Transferred to surplus..... | 0 | 0 | 0 |
| 45. Surplus adjustments: | | | |
| 45.1 Paid in | 0 | 0 | 0 |
| 45.2 Transferred to capital (Stock Dividend) | 0 | 0 | 0 |
| 45.3 Transferred from capital | 0 | 0 | 0 |
| 46. Dividends to stockholders | 0 | 0 | (50,000,000) |
| 47. Aggregate write-ins for gains or (losses) in surplus | 0 | 0 | 0 |
| 48. Net change in capital & surplus (Lines 34 to 47) | (44,070,000) | 33,559,029 | 11,979,124 |
| 49. Capital and surplus end of reporting period (Line 33 plus 48) | 402,218,192 | 467,868,097 | 446,288,192 |
| DETAILS OF WRITE-INS | | | |
| 4701. | | | |
| 4702. | | | |
| 4703. | | | |
| 4798. Summary of remaining write-ins for Line 47 from overflow page | 0 | 0 | 0 |
| 4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above) | 0 | 0 | 0 |

CASH FLOW

| | 1 Current Year To Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| Cash from Operations | | | |
| 1. Premiums collected net of reinsurance | 1,594,606,004 | 984,780,349 | 3,897,799,307 |
| 2. Net investment income | 6,414,445 | 6,063,060 | 21,276,150 |
| 3. Miscellaneous income | 0 | 0 | 0 |
| 4. Total (Lines 1 to 3) | 1,601,020,449 | 990,843,409 | 3,919,075,457 |
| 5. Benefit and loss related payments | 1,296,735,525 | 815,046,545 | 3,239,043,850 |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | 0 | 0 | 0 |
| 7. Commissions, expenses paid and aggregate write-ins for deductions | 139,878,937 | 118,571,898 | 528,993,039 |
| 8. Dividends paid to policyholders | 0 | 0 | 0 |
| 9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses) | 27,006,396 | 0 | 20,454,293 |
| 10. Total (Lines 5 through 9) | 1,463,620,858 | 933,618,443 | 3,788,491,182 |
| 11. Net cash from operations (Line 4 minus Line 10) | 137,399,591 | 57,224,966 | 130,584,275 |
| Cash from Investments | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds | 76,338,414 | 28,834,821 | 205,250,586 |
| 12.2 Stocks | 0 | 0 | 0 |
| 12.3 Mortgage loans | 0 | 0 | 0 |
| 12.4 Real estate | 0 | 0 | 0 |
| 12.5 Other invested assets | 0 | 0 | 2,426 |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | (4,460) | 0 | (2,995) |
| 12.7 Miscellaneous proceeds | 0 | 5,873,349 | 5,873,349 |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 76,333,954 | 34,708,170 | 211,123,366 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds | 71,427,286 | 43,942,804 | 166,980,704 |
| 13.2 Stocks | 0 | 0 | 0 |
| 13.3 Mortgage loans | 0 | 0 | 0 |
| 13.4 Real estate | 0 | 0 | 0 |
| 13.5 Other invested assets | 49,180 | 0 | 0 |
| 13.6 Miscellaneous applications | 3,294,566 | 0 | 69,650 |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) | 74,771,032 | 43,942,804 | 167,050,354 |
| 14. Net increase (or decrease) in contract loans and premium notes | 0 | 0 | 0 |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | 1,562,922 | (9,234,634) | 44,073,012 |
| Cash from Financing and Miscellaneous Sources | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes | 0 | 0 | 0 |
| 16.2 Capital and paid in surplus, less treasury stock | 0 | 0 | 0 |
| 16.3 Borrowed funds | 0 | 0 | 0 |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities | 0 | 0 | 0 |
| 16.5 Dividends to stockholders | 0 | 0 | 50,000,000 |
| 16.6 Other cash provided (applied) | (8,263,924) | (22,510,657) | (25,191,420) |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | (8,263,924) | (22,510,657) | (75,191,420) |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) | 130,698,589 | 25,479,675 | 99,465,867 |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year | 193,812,954 | 94,347,087 | 94,347,087 |
| 19.2 End of period (Line 18 plus Line 19.1) | 324,511,543 | 119,826,762 | 193,812,954 |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

| | | | |
|--|--|--|--|
| | | | |
|--|--|--|--|

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

| | 1 Total | Comprehensive (Hospital & Medical) | | 4 Medicare Supplement | 5 Vision Only | 6 Dental Only | 7 Federal Employees Health Benefit Plan | 8 Title XVIII Medicare | 9 Title XIX Medicaid | 10 Other |
|--|---------------|---------------------------------------|------------|-----------------------------|---------------------|---------------------|---|------------------------------|----------------------------|-------------|
| | | 2 Individual | 3 Group | | | | | | | |
| Total Members at end of: | | | | | | | | | | |
| 1. Prior Year | 934,758 | 33,904 | 29,125 | 0 | 0 | 0 | 2,199 | 51,581 | 817,949 | 0 |
| 2. First Quarter | 947,801 | 39,661 | 27,692 | 0 | 0 | 0 | 1,993 | 54,792 | 823,663 | 0 |
| 3. Second Quarter | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. Third Quarter | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. Current Year | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. Current Year Member Months | 2,844,999 | 119,212 | 83,005 | 0 | 0 | 0 | 6,025 | 161,980 | 2,474,777 | 0 |
| Total Member Ambulatory Encounters for Period: | | | | | | | | | | |
| 7. Physician | 2,627,958 | 55,159 | 57,389 | 0 | 0 | 0 | 9,405 | 410,775 | 2,095,230 | 0 |
| 8. Non-Physician | 1,721,232 | 22,494 | 3,969 | 0 | 0 | 0 | 685 | 185,380 | 1,508,704 | 0 |
| 9. Total | 4,349,190 | 77,653 | 61,358 | 0 | 0 | 0 | 10,090 | 596,155 | 3,603,934 | 0 |
| 10. Hospital Patient Days Incurred | 2,032,747 | 881 | 831 | 0 | 0 | 0 | 169 | 53,631 | 1,977,235 | 0 |
| 11. Number of Inpatient Admissions | 110,383 | 139 | 218 | 0 | 0 | 0 | 50 | 7,198 | 102,778 | 0 |
| 12. Health Premiums Written (a) | 1,478,227,707 | 15,544,728 | 34,047,716 | 0 | 0 | 0 | 4,536,496 | 215,603,874 | 1,208,494,893 | 0 |
| 13. Life Premiums Direct | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Property/Casualty Premiums Written | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Health Premiums Earned | 1,478,172,501 | 15,544,728 | 33,525,578 | 0 | 0 | 0 | 4,536,496 | 216,070,584 | 1,208,495,115 | 0 |
| 16. Property/Casualty Premiums Earned | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17. Amount Paid for Provision of Health Care Services..... | 1,296,735,525 | 12,037,241 | 27,740,305 | 0 | 0 | 0 | 3,743,217 | 178,413,116 | 1,074,801,646 | 0 |
| 18. Amount Incurred for Provision of Health Care Services | 1,295,319,439 | 8,928,406 | 25,270,330 | 0 | 0 | 0 | 3,207,189 | 166,779,990 | 1,091,133,524 | 0 |

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$215,603,874

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

| Line of Business | Claims Paid Year to Date | | Liability End of Current Quarter | | 5 Claims Incurred in Prior Years (Columns 1 + 3) | 6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year |
|---|---|---|--|---|---|--|
| | 1 On Claims Incurred Prior to January 1 of Current Year | 2 On Claims Incurred During the Year | 3 On Claims Unpaid Dec. 31 of Prior Year | 4 On Claims Incurred During the Year | | |
| 1. Comprehensive (hospital and medical) | 11,035,355 | 28,742,165 | 1,740,612 | 13,131,431 | 12,775,967 | 19,306,982 |
| 2. Medicare Supplement | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. Dental Only | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. Vision Only | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. Federal Employees Health Benefits Plan | 1,138,400 | 2,604,818 | 394,077 | 1,154,098 | 1,532,477 | 2,220,848 |
| 6. Title XVIII - Medicare | 28,789,465 | 149,374,948 | 7,147,265 | 50,061,516 | 35,936,730 | 68,437,159 |
| 7. Title XIX - Medicaid | 338,989,084 | 734,137,457 | 64,756,413 | 352,185,237 | 403,745,497 | 398,715,786 |
| 8. Other health | 0 | 0 | 0 | 0 | 0 | 0 |
| 9. Health subtotal (Lines 1 to 8) | 379,952,304 | 914,859,388 | 74,038,367 | 416,532,282 | 453,990,671 | 488,680,775 |
| 10. Healthcare receivables (a) | 37,144,029 | 20,664,370 | 0 | 10,327,049 | 37,144,029 | 63,521,894 |
| 11. Other non-health | 0 | 0 | 0 | 0 | 0 | 0 |
| 12. Medical incentive pools and bonus amounts | 1,270,047 | 653,787 | 4,198,920 | 2,581,943 | 5,468,967 | 5,473,270 |
| 13. Totals (Lines 9-10+11+12) | 344,078,322 | 894,848,805 | 78,237,287 | 408,787,176 | 422,315,609 | 430,632,151 |

(a) Excludes \$ 1,022,722 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

A. Accounting Practices

The statutory basis financial statements of UnitedHealthcare Plan of the River Valley, Inc. (the "Company") are presented on the basis of accounting practices prescribed and permitted by the Illinois Department of Insurance ("IDOI").

The IDOI recognizes only statutory accounting practices, prescribed and permitted by the State of Illinois, for determining and reporting the financial condition and results of operations of a health maintenance organization, for determining its solvency under Illinois Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed and permitted by the State of Illinois and those prescribed and permitted by the NAIC SAP which materially affect the statutory basis net (loss) income and capital and surplus, as illustrated in the table below:

| | SSAP # | F/S Page # | F/S Line # | March 31, 2018 | December 31, 2017 |
|---|--------|------------|------------|------------------------|-----------------------|
| Net (Loss) Income | | | | | |
| (1) Company state basis (Page 4, Line 32, Columns 2 & 4) | XXX | XXX | XXX | \$ (38,360,247) | \$ 66,112,321 |
| (2) State prescribed practices that are an increase/(decrease) from NAIC SAP: None | | | | - | - |
| (3) State permitted practices that are an increase/(decrease) from NAIC SAP: None | | | | - | - |
| (4) NAIC SAP (1 - 2 - 3 = 4) | XXX | XXX | XXX | <u>\$ (38,360,247)</u> | <u>\$ 66,112,321</u> |
| Capital and Surplus | | | | | |
| (5) Company state basis (Page 3, Line 33, Columns 3 & 4) | XXX | XXX | XXX | \$ 402,218,192 | \$ 446,288,192 |
| (6) State prescribed practices that are an increase/(decrease) from NAIC SAP: None | | | | - | - |
| (7) State permitted practices that are an increase/(decrease) from NAIC SAP: None | | | | - | - |
| (8) NAIC SAP (5 - 6 - 7 = 8) | XXX | XXX | XXX | <u>\$ 402,218,192</u> | <u>\$ 446,288,192</u> |

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

C. Accounting Policy

(1–5) No significant change.

(6) U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the book/adjusted carrying value, commonly referred to as amortized cost, of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets. Total combined investments in mortgage-backed securities and asset-backed securities cannot exceed more than 30% of total cash and invested assets.

(7–13) No significant change.

D. Going Concern

The Company has the ability and will continue to operate for a period of time sufficient to carry out its commitments, obligations and business objectives.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. No significant change.

4. DISCONTINUED OPERATIONS

A. Discontinued Operation Disposed of or Classified as Held for Sale

(1–4) No significant change.

B. Change in Plan of Sale of Discontinued Operations — Not applicable.

C. Nature of any Significant Continuing Involvement with Discontinued Operations after Disposal — Not applicable.

D. Equity Interest Retained in the Discontinued Operation after Disposal — Not applicable.

5. INVESTMENTS AND OTHER INVESTED ASSETS

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt Restructuring

No significant change.

C. Reverse Mortgages

No significant change.

D. Loan-Backed Securities

- (1) U.S. government and agency securities and corporate debt securities include loan-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.
- (2) The Company did not recognize any other-than-temporary impairments (“OTTIs”) on loan-backed securities as of March 31, 2018.
- (3) As of March 31, 2018, the Company did not have any loan-backed securities with an OTTI to report by CUSIP.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the loan-backed securities have been in a continuous unrealized loss position as of March 31, 2018 and December 31, 2017:

| | March 31, 2018 |
|--|--------------------------|
| The aggregate amount of unrealized losses: | |
| 1. Less than 12 months | \$ 630,460 |
| 2. 12 Months or longer | 1,951,663 |
| The aggregate related fair value of securities with unrealized losses: | |
| 1. Less than 12 months | 56,302,249 |
| 2. 12 Months or longer | 43,487,502 |
| | December 31, 2017 |
| The aggregate amount of unrealized losses: | |
| 1. Less than 12 months | \$ 223,559 |
| 2. 12 Months or longer | 1,132,560 |
| The aggregate related fair value of securities with unrealized losses: | |
| 1. Less than 12 months | 48,006,391 |
| 2. 12 Months or longer | 46,431,767 |

- (5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of March 31, 2018 were

primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions** — Not applicable.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing** — Not applicable.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing** — Not applicable.
- H. Repurchase Agreements Transactions Accounted for as a Sale** — Not applicable.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale** — Not applicable.
- J. Real Estate** — Not applicable.
- K. Low-Income Housing Tax Credits (“LIHTC”)**

(1–7) LIHTC investments of \$13,191,826 and \$13,660,076 as of March 31, 2018 and December 31, 2017, respectively, are included in other invested assets in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company also has a corresponding liability of \$36,885 and \$981,451 as of March 31, 2018 and December 31, 2017, respectively, which represents the future capital contributions that will be required as long as the assets are performing based on the agreed upon terms. The number of remaining years of unexpired tax credits is six and the required holding period for the LIHTC investments is eleven. The LIHTC investments are not currently subject to any regulatory reviews. The Company did not recognize any impairment losses, write-downs, or reclassifications during the three months ended March 31, 2018 and the year ended December 31, 2017.

L. Restricted Assets

No significant change.

M. Working Capital Finance Investments — Not applicable.

N. Offsetting and Netting of Assets and Liabilities

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

O. Structured Notes

The Company does not have any structured notes.

P. 5* Securities

The Company does not have any investments with an NAIC designation of 5* as of March 31, 2018 and December 31, 2017.

Q. Short Sales — Not applicable.

R. Prepayment Penalty and Acceleration Fees — Not applicable.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. No significant change.

7. INVESTMENT INCOME

A–B. No significant change.

8. DERIVATIVE INSTRUMENTS

A–H. No significant change.

9. INCOME TAXES

A–C. No significant change.

D. The Tax Cut and Jobs Act (“Tax Reform”) enacted by the U.S. federal government in December 2017 changed the existing United States tax law including reducing the U.S. corporate income tax rate to 21% beginning in 2018. The ratio between the provision for federal income taxes incurred and net (loss) gain before federal income taxes and net realized capital (losses) gains has changed from the prior year primarily due to the Tax Reform, partially offset by the annual health insurer fee (“HIF”) under Section 9010 of the Patient Protection and Affordable Care Act (“ACA”) which is included in the statutory basis statement of operations, but is non-deductible for income tax purposes in 2018. The Company is required to expense 100% of the estimated annual fee on January 1, 2018. The Company’s health insurer fee impact is \$67,719,140 in 2018, which will be paid in September 2018. Due to the moratorium in 2017, there was no HIF included in the 2017 statutory basis statements of operations.

E–G. No significant change.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–N. Material Related Party Transactions

No significant change.

11. DEBT

A–B. The Company had no outstanding debt with third-parties or outstanding Federal Home Loan Bank agreements during 2018 and 2017.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–I. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. (“UHS”), which provides services to the Company under the terms of a management agreement (the “Agreement”).

13. CAPITAL AND SURPLUS, SHAREHOLDERS’ DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–13) No significant change.

14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS

A–E. No significant change.

F. All Other Contingencies

The Company has been, or is currently involved, in various governmental investigations, audits and reviews. These include routine, regular and special investigations, audits and reviews by Centers for Medicare and Medicaid Services (“CMS”), state insurance and health and welfare departments and other governmental authorities. Certain aspects of the Company’s businesses have been reviewed or are currently under review, for reasons including compliance with coding and other requirements under the Medicare risk-adjustment model.

On February 14, 2017, the Department of Justice (“DOJ”) announced its decision to pursue certain claims within a lawsuit initially asserted against the Company and filed under seal by a whistleblower in 2011. The whistleblower’s complaint, which was unsealed on February 15, 2017, alleges that the Company, along with a number of other Medicare Advantage plans, made improper risk adjustment submissions and violated the False Claims Act. On March 24, 2017, the DOJ intervened in a separate lawsuit initially asserted against the Company and filed by a whistleblower in 2009 concerning risk adjustment submissions by Medicare Advantage plans. On October 5, 2017, in one of the cases, the district court dismissed certain of the DOJ’s claims with prejudice, and dismissed all of the DOJ’s remaining claims with leave to file a further amended complaint. On October 12, 2017, the DOJ filed a notice of dismissal without prejudice of the case. In the remaining case, on February 12, 2018, the court granted in part and denied in part the Company’s motion to dismiss. The Company cannot reasonably estimate the outcome that may result from these matters given their current posture.

Risk Adjustment Data Validation Audit – No significant change.

There are no assets that the Company considers to be impaired at March 31, 2018.

15. LEASES

A–B. No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) No significant change.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES**A–C.** The Company did not have any transfers of receivables, financial assets, or wash sales as of March 31, 2018 or December 31, 2017.**18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS****A. ASO Plans**

Effective July 1, 2017, the Company has an administrative services only ("ASO") contract with the State of Tennessee Bureau of TennCare ("TennCare") program for the Employment and Community First ("ECF") product for which TennCare retains all of the health care service risk, while the Company assumes the administrative risk. The Company has recorded ASO receivables for amounts due from TennCare for administrating the ECF benefit of \$430,000 and \$430,000 as of March 31, 2018 and December 31, 2017, respectively, which is included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. As of March 31, 2018, the Company has established a liability of \$58,242 for premium taxes payable on the ECF ASO revenue which is included in general expenses due or accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The net gain from operations of the uninsured portion of ASO uninsured plans and the uninsured portion of partially insured plans are as follows:

| | 2018 | | |
|---|------------------------------------|---|----------------------|
| | ASO Uninsured Plans | Uninsured Portion of Partially Insured Plans | Total ASO |
| a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses | \$ 872,946 | \$ - | \$ 872,946 |
| b. Total net other income or expenses (including interest paid to or received from plans) | - | - | - |
| c. Net gain (loss) from operations | 872,946 | - | 872,946 |
| d. Total claim payment volume | 405,934 | - | 405,934 |
| | 2017 | | |
| | ASO Uninsured Plans | Uninsured Portion of Partially Insured Plans | Total ASO |
| a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses | \$ 1,693,393 | \$ - | \$ 1,693,393 |
| b. Total net other income or expenses (including interest paid to or received from plans) | - | - | - |
| c. Net gain (loss) from operations | 1,693,393 | - | 1,693,393 |
| d. Total claim payment volume | 51,570 | - | 51,570 |

B. The Company has no operations from Administrative Services Contracts.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a payable in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus of \$26,778,756 and \$5,628,320 as of March 31, 2018 and December 31, 2017, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a receivable in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus of \$2,195,674 at December 31, 2017 for the cost reimbursement under the Medicare Part D program for the low-income member cost-sharing subsidies. The Company also recorded a payable of \$7,391,919 and \$7,369,122 as of March 31, 2018 and December 31, 2017, respectively, for the Medicare Part D Coverage Gap Discount Program in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company participates in administering the payments for TennCare's pharmaceutical benefit manager. There is no risk to the Company as a result of these transactions. The Company recorded a premium tax receivable from TennCare of \$5,362,222 and \$5,210,393 and a corresponding premium tax payable of \$5,362,222 and \$5,210,393 as of March 31, 2018 and December 31, 2017, respectively, which is included in amounts receivable relating to uninsured plans and general expenses due or accrued, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Effective July 1, 2017, TennCare has elected to administer enhanced rate payments for the Emergency Medical Services ("EMS") and Patient Centered Medical Home ("PCMH") programs through non-risk reconciled payments. EMS is calculated on a fee-for-service basis by TennCare. For PCMH, the acute benefits are included in TennCare's capitation rate. The state-directed PCMH enhanced payments are reimbursed outside of the monthly capitation rates. The Company subsequently remits payment at agreed upon intervals based on a detailed listing provided by TennCare. There is no risk to the Company as any excess or shortfall is 100% remitted or received back from the state. There is a receivable of \$2,371,546 and \$8,479,330 and a payable of \$0 and \$2,337,189 as of March 31, 2018 and December 31, 2017, respectively, outstanding related to the enhanced rate payments in amounts receivable relating to uninsured plans and amounts payable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The State of Iowa, Department of Human Services ("IA DHS") has elected to administer enhanced rate payments to the Graduate Medical Education Program and the University of Iowa Health Care Physician Supplemental Program through non-risk reconciled payments. The IA DHS capitation rate is inclusive of the enhanced rate and the Company subsequently remits payment at agreed upon intervals to the Graduated Medical Education Program and the University of Iowa based on a detailed listing provided by the IA DHS. There is no risk to the Company as any excess or shortfall is 100% remitted or received back from the state. As of March 31, 2018 and December 31, 2017, there are no amounts outstanding related to the enhanced rate payments in amounts receivable related to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service ("pricing service"), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source; prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value**(1) Fair Value Measurements at Reporting Date**

The following tables present information about the Company's financial assets that are measured and reported at fair value at March 31, 2018 in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values:

| Description for Each Class of Asset or Liability | March 31, 2018 | | | | Net Asset Value (NAV) Included in Level 2 |
|---|----------------|---------------------|-------------|---------------------|---|
| | (Level 1) | (Level 2) | (Level 3) | Total | |
| a. Assets at fair value: | | | | | |
| Perpetual preferred stock: | | | | | |
| Industrial and misc Parent, subsidiaries, and affiliates | \$ - | \$ - | \$ - | \$ - | \$ - |
| Total perpetual preferred stocks | - | - | - | - | - |
| Bonds: | | | | | |
| U.S. governments | - | - | - | - | - |
| Industrial and misc | - | 1,011,431 | - | 1,011,431 | - |
| Hybrid securities | - | - | - | - | - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total bonds | - | 1,011,431 | - | 1,011,431 | - |
| Common stock: | | | | | |
| Industrial and misc | - | - | - | - | - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total common stock | - | - | - | - | - |
| Derivative assets: | | | | | |
| Interest rate contracts | - | - | - | - | - |
| Foreign exchange contracts | - | - | - | - | - |
| Credit contracts | - | - | - | - | - |
| Commodity futures contracts | - | - | - | - | - |
| Commodity forward contracts | - | - | - | - | - |
| Total derivatives | - | - | - | - | - |
| Separate account assets | - | - | - | - | - |
| Total assets at fair value | <u>\$ -</u> | <u>\$ 1,011,431</u> | <u>\$ -</u> | <u>\$ 1,011,431</u> | <u>\$ -</u> |
| b. Liabilities at fair value: | | | | | |
| Derivative liabilities | \$ - | \$ - | \$ - | \$ - | \$ - |
| Total liabilities at fair value | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> |

The Company does not have financial assets measured and reported at fair value at December 31, 2017.

The Company considers its investments in LIHTC investments and as a Level 3 investment even though no market valuation was required as of March 31, 2018 and December 31, 2017. As a result, these investments are excluded from being presented as a Level 3 security in the fair value hierarchy table above. As there is no readily available market, these securities are recorded at book/adjusted carrying value and considered held to maturity as they will not be sold.

There were no transfers between Levels 1 and 2 during the three months ended March 31, 2018 and the year ended December 31, 2017.

- (2)** The Company does not have any financial assets with a fair value hierarchy of Level 3 that were measured and reported at fair value for the three months ended March 31, 2018 and the year ended December 31, 2017.

The Company does consider its investments in LIHTC investments as a Level 3 investment as there is no readily available market. As a result, these investments are recorded and reported at book/adjusted carrying value of \$13,191,826 and \$13,660,076 as of March 31, 2018 and December 31, 2017, respectively.

- (3)** Transfers between fair value hierarchy levels, if any, are recorded as of the beginning of the reporting period in which the transfer occurs. There were no transfers between Levels 1, 2 or 3 of any financial assets or liabilities during the three months ended March 31, 2018 and the year ended December 31, 2017.

- (4) **Investments** — Fair values of debt securities are based on quoted market prices, where available. The Company obtains one price for each security primarily from a pricing service, which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, and, if necessary, makes adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, benchmark yields, credit spreads, default rates, prepayment speeds and non-binding broker quotes. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by a secondary pricing source, such as its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and reviews of fair value methodology documentation provided by independent pricing services have not historically resulted in adjustment in the prices obtained from the pricing service.

LIHTC Investments — The Company does consider its investments in LIHTC investments as a Level 3 investment even though no market valuation adjustment was required as of March 31, 2018 and December 31, 2017. As a result, these investments are excluded from being presented as a level 3 security in the financial hierarchy table above. Since there is no readily available market, these securities are recorded and reported at book/adjusted carrying value and considered held to maturity as they will not be sold. Should any contractual breakage occur that jeopardizes the ability to receive the tax credits associated with these securities, impairments will be recognized. As of March 31, 2018, all of these investments are performing in accordance with their original contract terms.

- (5) The Company has no derivative assets and liabilities to disclose.

B. Fair Value Combination — Not applicable.

C. Aggregate Fair Value Hierarchy

The aggregate fair value by hierarchy of all financial instruments as of March 31, 2018 and December 31, 2017, is presented in the table below:

| Types of Financial Investment | March 31, 2018 | | | | | | Not Practicable (Carrying Value) | Net Asset Value (NAV) Included In Level 2 |
|--|----------------------|-----------------|----------------|----------------|---------------|-----------|----------------------------------|---|
| | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | (Level 3) | | |
| U.S. government and agency securities | \$ 170,215,335 | \$ 174,666,201 | \$ 110,005,704 | \$ 60,209,631 | \$ - | \$ - | \$ - | |
| State and agency municipal securities | 110,920,395 | 111,660,919 | - | 110,920,395 | - | - | - | |
| City and county municipal securities | 95,424,612 | 96,155,766 | - | 95,424,612 | - | - | - | |
| Corporate debt securities (includes commercial paper) | 212,823,478 | 215,273,079 | 3,581,673 | 209,241,805 | - | - | - | |
| Other invested assets | 13,191,826 | 13,191,826 | - | - | 13,191,826 | - | - | |
| Total bonds, short-term investments, and other invested assets | \$ 602,575,646 | \$ 610,947,791 | \$ 113,587,377 | \$ 475,796,443 | \$ 13,191,826 | \$ - | \$ - | |
| Types of Financial Investment | December 31, 2017 | | | | | | Not Practicable (Carrying Value) | Net Asset Value (NAV) Included In Level 2 |
| | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | (Level 3) | | |
| U.S. government and agency securities | \$ 181,703,632 | \$ 184,367,289 | \$ 118,169,861 | \$ 63,533,771 | \$ - | \$ - | \$ - | |
| State and agency municipal securities | 108,563,468 | 107,886,220 | - | 108,563,468 | - | - | - | |
| City and county municipal securities | 97,870,424 | 97,419,799 | - | 97,870,424 | - | - | - | |
| Corporate debt securities (includes commercial paper) | 212,699,471 | 212,757,139 | 3,745,220 | 208,954,251 | - | - | - | |
| Other invested assets | 13,660,076 | 13,660,076 | - | - | 13,660,076 | - | - | |
| Total bonds, short-term investments, and other invested assets | \$ 614,497,071 | \$ 616,090,523 | \$ 121,915,081 | \$ 478,921,914 | \$ 13,660,076 | \$ - | \$ - | |

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy tables above are U.S. Treasury securities of \$110,005,704 and \$118,169,861 as of March 31, 2018 and December 31, 2017, respectively.

Included as Level 2 in corporate debt securities in the fair value hierarchy tables above are commercial paper investments of \$1,591,970 and \$743,711 as of March 31, 2018 and December 31, 2017, respectively. The commercial paper investments reflected in the tables above are included in short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

The Company recorded receivables related to retroactive policies and estimated withholds of \$53,179,727 and \$4,289,563 as of December 31, 2017 for the TennCare Medicaid and CHOICES products, respectively. As of March 31, 2018, the Company has collected \$55,790,795 and \$2,875,653 related to retroactive policies and estimated withholds related to these prior year balances for Medicaid and CHOICES, respectively. Currently, there are no accruals for TennCare retroactive receivables and estimated withholds for Medicaid and CHOICES remaining for the prior year. These amounts are included in uncollected premiums and accrued retrospective premiums and contracts subject to redetermination in the statutory basis financial statements as of March 31, 2018 and December 31, 2017.

The Company has recorded payables for estimated recoupments of \$12,746,205 for TennCare Medicaid and CHOICES, net as of December 31, 2017. As of March 31, 2018, no amounts have been recouped related to these prior year balances for Medicaid and CHOICES, net. Currently, there is \$13,344,500 estimated recoupments for Medicaid and CHOICES, net, remaining for the prior year. These amounts are included in aggregate health policy reserves in the statutory basis financial statements as of March 31, 2018 and December 31, 2017.

The Company continues to refine the estimated recoupments on the CHOICES product. The impact of further refining the estimates due to updated information and additional history with the CHOICES product could result in adjustments to the amounts previously reported as net premium income. However, the Company is unable to accurately estimate the financial impact of the change at this time and any change would be reflected in operating results in the period in which the change in the estimate is identified.

A–H. No significant change.

22. EVENTS SUBSEQUENT

No significant change.

23. REINSURANCE

A–D. No significant change.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

A–B. No significant change.

C. Pursuant to the ACA, the Company's commercial business is subject to retrospectively rated features based on the actual medical loss ratios experienced on the commercial lines of business. The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the commercial lines of business subject to the retrospectively rated features was \$34,047,716 and \$132,895,017, representing 2.3% and 3.2% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

Pursuant to the ACA, the Company's Medicare business, including the Dual Special Needs Plan ("DSNP") and, effective January 1, 2018, Fully Integrated Dual Eligible Special Needs Plan ("FIDE-SNP"), is subject to retrospectively rated features based on the actual medical loss ratios experienced on the Medicare line of business. The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the Medicare line of business subject to the retrospectively rated features was \$215,603,874 and \$786,039,250, representing 14.6% and 19.2% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

The Company has Medicare Part D risk-corridor amounts from CMS which are subject to a retrospectively rated feature related to Part D premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Medicare Part D direct premiums written subject to the retrospectively rated feature was \$11,013,558 and \$52,135,547 representing, 0.7% and 1.3% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

The Company has risk-adjustment amounts from CMS which are subject to a redetermination feature related to Medicare, including DSNP and, effective January 1, 2018, FIDE-SNP premiums. The Company has estimated premium adjustments for changes to each member's health scores based on guidelines determined by CMS. The total amount of Medicare direct premiums written for which a portion is subject to the redetermination feature was \$215,603,874 and \$786,039,250 representing, 14.6% and 19.2% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

During 2018 and 2017, the Company contracted with the federal government through the Office of Personnel Management to administer the Federal Employees Health Benefit Program. The Company is subject to rate adjustments through audits by the Office of Personnel Management. The amount of direct premiums written subject to these redetermination features was \$4,536,496 and \$16,235,852,

representing 0.3% and 0.4% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

CMS has released the final Medicaid Managed Care Rule which is subject to each State's administration elections. This rule is the first major update to the Medicaid Managed Care regulations in more than a decade. Many items including a minimum loss ratio requirement were implemented for contracts with an effective date starting on or after July 1, 2017 while other elements of the regulation will be implemented over the following decade. Pursuant to the regulations, for contracts effective on or after July 1, 2017 premiums associated with the Company's Medicaid line of business is subject to retrospectively rated features based on the actual medical loss ratios experienced on this product. The calculation is pursuant to the Medicaid Managed Care guidance. The total amount of direct premiums written for the Medicaid line of business for which a portion is subject to the retrospectively rated features was \$1,208,494,893 and \$716,014,891, representing 81.8% and 17.5% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

The Company records risk adjusted rates and withhold adjustments related to TennCare. The amount of direct premiums written related to these redetermination features was \$509,871,490 and \$1,933,871,987 representing 34.5% and 47.3% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

The Company records risk adjusted rates and withhold adjustments related to IA DHS. The amount of direct premiums written related to these redetermination features was \$698,623,403 and \$1,182,348,507, representing 47.3% and 28.9% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

Effective July 1, 2017, the Company has a contract with the State of Iowa to provide Home Based Habilitation services to eligible members that includes a redetermination feature. The amount of direct premiums written subject to the redetermination feature was \$32,094,758 and \$15,609,251, representing 2.2% and 0.4% of total direct premiums written as of March 31, 2018 and December 31, 2017, respectively.

- D. The Company is required to maintain specific minimum loss ratios on the comprehensive commercial and Medicare lines of business.

The following table discloses the minimum medical loss ratio rebate liability for the comprehensive commercial and Medicare lines of business which is included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus for the three months ended March 31, 2018 and the year ended December 31, 2017:

| | 1 Individual | 2 Small Group Employer | 3 Large Group Employer | 4 Other Categories with Rebates | 5 Total |
|---|-----------------|---------------------------------|---------------------------------|--|---------------|
| Prior reporting year: | | | | | |
| (1) Medical loss ratio rebates incurred | \$ - | \$ 1,608,387 | \$ (5,259) | \$ 47,167,209 | \$ 48,770,337 |
| (2) Medical loss ratio rebates paid | - | - | 107,534 | - | 107,534 |
| (3) Medical loss rebates unpaid | - | 1,608,387 | - | 57,759,520 | 59,367,907 |
| (4) Plus reinsurance assumed amounts | XXX | XXX | XXX | XXX | - |
| (5) Less reinsurance ceded amounts | XXX | XXX | XXX | XXX | - |
| (6) Rebates unpaid net of reinsurance | XXX | XXX | XXX | XXX | 59,367,907 |
| Current reporting year-to-date: | | | | | |
| (7) Medical loss ratio rebates incurred | \$ - | \$ (30,107) | \$ - | \$ 5,542,449 | 5,512,342 |
| (8) Medical loss ratio rebates paid | - | - | - | - | - |
| (9) Medical loss rebates unpaid | - | 1,578,280 | - | 63,301,968 | 64,880,248 |
| (10) Plus reinsurance assumed amounts | XXX | XXX | XXX | XXX | - |
| (11) Less reinsurance ceded amounts | XXX | XXX | XXX | XXX | - |
| (12) Rebates unpaid net of reinsurance | XXX | XXX | XXX | XXX | 64,880,248 |

Pursuant to the Medicaid Managed Care Rule, based on the State's election the Company may be required to maintain specific minimum loss ratios on the Medicaid line of business. The Company has no amounts accrued for Medicaid minimum loss ratio rebates as of March 31, 2018 and December 31, 2017, respectively.

E. Risk-Sharing Provisions of the Affordable Care Act

- (1) The Company has accident and health insurance premiums in 2018 and 2017 subject to the risk-sharing provisions of the ACA.

The ACA imposed fees and premium stabilization provisions on health insurance issuers offering comprehensive commercial health insurance. The three premium stabilization programs are commonly referred to as the 3Rs – risk adjustment, reinsurance, and risk corridors.

Risk Adjustment – The permanent risk adjustment program, designed to mitigate the potential impact of adverse selection and provide stability for health insurance issuers, applies to all non-grandfathered plans not subject to transitional relief in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk adjustment program are accounted for as premium subject to redetermination and user fees are accounted for as assessments.

Reinsurance – The transitional reinsurance program was designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations. The transitional reinsurance program was effective from 2014 through 2016 and applied to all issuers of major medical commercial products and third party administrators. Contributions attributable to enrollees in ACA compliant individual plans, including program administrative costs, were accounted for as ceded premium and payments received were accounted for as ceded benefit recoveries. The portion of the individual contributions earmarked for the U.S. Treasury was accounted for as an assessment. Contributions made for enrollees in fully insured plans other than ACA compliant individual plans, including program administrative costs and payments to the U.S. Treasury, were treated as assessments.

Risk Corridors – The temporary risk corridors program, designed to provide some aggregate protection against variability for issuers in the individual and small group markets during the period 2014 through 2016, applied to Qualified Health Plans in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk corridors program were accounted for as premium adjustments for retrospectively rated contracts.

- (2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations.

| a. Permanent ACA Risk Adjustment Program | March 31, 2018 |
|--|-----------------------|
| <u>Assets</u> | |
| 1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments) | \$ 65,014 |
| <u>Liabilities</u> | |
| 2. Risk adjustment user fees payable for ACA Risk Adjustment | 11,713 |
| 3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premium) | 3,376,105 |
| <u>Operations (Revenue & Expense)</u> | |
| 4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment | (1,293,151) |
| 5. Reported in expenses as ACA risk adjustment user fees (incurred/paid) | 3,454 |
| b. Transitional ACA Reinsurance Program | |
| <u>Assets</u> | |
| 1. Amounts recoverable for claims paid due to ACA Reinsurance | \$ - |
| 2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability) | - |
| 3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance | - |
| <u>Liabilities</u> | |
| 4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium | - |
| 5. Ceded reinsurance premiums payable due to ACA Reinsurance | - |
| 6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance | - |
| <u>Operations (Revenue & Expense)</u> | |
| 7. Ceded reinsurance premiums due to ACA Reinsurance | - |
| 8. Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments | - |
| 9. ACA Reinsurance contributions - not reported as ceded premium | - |
| c. Temporary ACA Risk Corridors Program | |
| <u>Assets</u> | |
| 1. Accrued retrospective premium due to ACA Risk Corridors | \$ - |
| <u>Liabilities</u> | |
| 2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors | - |
| <u>Operations (Revenue & Expense)</u> | |
| 3. Effect of ACA Risk Corridors on net premium income (paid/received) | - |
| 4. Effect of ACA Risk Corridors on change in reserves for rate credits | - |

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(3) The following table is a rollforward of prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances.

| | Accrued During the Prior Year on Business Written Before December 31 of the Prior Year | | Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year | | Differences | | Adjustments | | Unsettled Balances as of the Reporting Date | | |
|---|--|----------------|--|-----------|--|--|------------------------|------------------------|---|---|----------------|
| | 1 | 2 | 3 | 4 | Prior Year Accrued Less Payments (Col 1-3) | Prior Year Accrued Less Payments (Col 2-4) | To Prior Year Balances | To Prior Year Balances | Cumulative Balance from Prior Years (Col 1-3+7) | Cumulative Balance from Prior Years (Col 2-4+8) | |
| | Receivable | (Payable) | Receivable | (Payable) | 5 | 6 | 7 | 8 | 9 | 10 | |
| a. Permanent ACA Risk Adjustment Program | | | | | | | | | | | |
| 1. Premium adjustments receivable (including high risk pool premium) | \$ 171,430 | \$ - | \$ 21,877 | \$ - | \$ 149,553 | \$ - | \$ (84,539) | \$ - | A | \$ 65,014 | \$ - |
| 2. Premium adjustments (payable) (including high risk pool premium) | - | (2,167,493) | - | - | - | (2,167,493) | - | (48,366) | B | - | (2,215,859) |
| 3. Subtotal ACA Permanent Risk Adjustment Program | 171,430 | (2,167,493) | 21,877 | - | 149,553 | (2,167,493) | (84,539) | (48,366) | | 65,014 | (2,215,859) |
| b. Transitional ACA Reinsurance Program | | | | | | | | | | | |
| 1. Amounts recoverable for claims paid | - | - | - | - | - | - | - | - | C | - | - |
| 2. Amounts recoverable for claims unpaid (contra liability) | - | - | - | - | - | - | - | - | D | - | - |
| 3. Amounts receivable relating to uninsured plans | - | - | - | - | - | - | - | - | E | - | - |
| 4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium | - | - | - | - | - | - | - | - | F | - | - |
| 5. Ceded reinsurance premiums payable | - | - | - | - | - | - | - | - | G | - | - |
| 6. Liability for amounts held under uninsured plans | - | - | - | - | - | - | - | - | H | - | - |
| 7. Subtotal ACA Transitional Reinsurance Program | - | - | - | - | - | - | - | - | | - | - |
| c. Temporary ACA Risk Corridors Program | | | | | | | | | | | |
| 1. Accrued retrospective premium | - | - | - | - | - | - | - | - | I | - | - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | J | - | - |
| 3. Subtotal ACA Risk Corridors Program | - | - | - | - | - | - | - | - | | - | - |
| d. Total for ACA Risk Sharing Provisions | \$ 171,430 | \$ (2,167,493) | \$ 21,877 | \$ - | \$ 149,553 | \$ (2,167,493) | \$ (84,539) | \$ (48,366) | | \$ 65,014 | \$ (2,215,859) |

Explanation of Adjustments

A. The risk adjustment receivable as of March 31, 2018 was adjusted based on estimated state risk transfer factors by risk pool utilizing paid claims through December 31, 2017. The risk adjustment receivable as of December 31, 2017 utilized paid claims through October 31, 2017. The adjustment to the December receivable balance reflects the impact of the additional two months of paid claim information on the expected receivable at the legal entity, state and market level.

B. The risk adjustment payable as of March 31, 2018 was adjusted based on estimated state risk transfer factors by risk pool utilizing paid claims through December 31, 2017. The risk adjustment payable as of December 31, 2017 utilized paid claims through October 31, 2017. The adjustment to the December payable balance reflects the impact of the additional two months of paid claim information on the expected payable at the legal entity, state and market level.

C. NA

D. NA

E. NA

F. NA

G. NA

H. NA

I. NA

J. NA

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(4) The Company does not have any risk corridor receivables or payables to present in the table below.

| Risk Corridors Program Year: | Accrued During the Prior Year on Business Written Before December 31 of the Prior Year | | Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year | | Differences | | Adjustments | | Unsettled Balances as of the Reporting Date | | |
|---|--|-----------|--|-----------|--|--|------------------------|------------------------|---|---|------|
| | 1 | 2 | 3 | 4 | Prior Year Accrued Less Payments (Col 1-3) | Prior Year Accrued Less Payments (Col 2-4) | To Prior Year Balances | To Prior Year Balances | Cumulative Balance from Prior Years (Col 1-3+7) | Cumulative Balance from Prior Years (Col 2-4+8) | |
| | Receivable | (Payable) | Receivable | (Payable) | 5 | 6 | 7 | 8 | 9 | 10 | |
| a. 2014 | | | | | | | | | | | |
| 1. Accrued retrospective premium | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | A | \$ - | \$ - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | B | - | - |
| b. 2015 | | | | | | | | | | | |
| 1. Accrued retrospective premium | - | - | - | - | - | - | - | - | C | - | - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | D | - | - |
| c. 2016 | | | | | | | | | | | |
| 1. Accrued retrospective premium | - | - | - | - | - | - | - | - | E | - | - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | F | - | - |
| d. Total for Risk Corridors | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | | \$ - | \$ - |

Explanation of Adjustments

- A. N/A
- B. N/A
- C. N/A
- D. N/A
- E. N/A
- F. N/A

(5) The Company does not have any risk corridor receivables to present in the table below.

| Risk Corridors Program Year: | 1 | 2 | 3 | 4 | 5 | 6 |
|------------------------------|---|---|---------------------------|---|---------------------|--------------------------|
| | Estimated Amount to be Filed or Final Amount Filed with CMS | Non-Accrued Amounts for Impairment or Other Reasons | Amounts received from CMS | Asset Balance (Gross of Non-admissions) (1-2-3) | Non-admitted Amount | Net Admitted Asset (4-5) |
| a. 2014 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| b. 2015 | - | - | - | - | - | - |
| c. 2016 | - | - | - | - | - | - |
| d. Total (a+b+c) | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

- A. Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, and health care receivables (excluding provider loans and advances not yet expensed) at March 31, 2018 and December 31, 2017:

| | March 31, 2018 | | |
|---|---|--|-------------------------|
| | Current Year Incurred Claims | Prior Years Incurred Claims | Total |
| Beginning of year claim reserve | \$ - | \$ (494,154,045) | \$ (494,154,045) |
| Paid claims—net of health care receivables* | 915,513,174 | 381,222,351 | 1,296,735,525 |
| End of year claim reserve | <u>419,114,226</u> | <u>78,237,286</u> | <u>497,351,512</u> |
| Incurred claims excluding the change in health care receivables | 1,334,627,400 | (34,694,408) | 1,299,932,992 |
| Beginning of year health care receivables* | - | 63,521,893 | 63,521,893 |
| End of year health care receivables* | <u>(30,991,417)</u> | <u>(37,144,029)</u> | <u>(68,135,446)</u> |
| Total incurred claims | <u>\$ 1,303,635,983</u> | <u>\$ (8,316,544)</u> | <u>\$ 1,295,319,439</u> |

*Health care receivables excludes provider loans and advances not yet expensed of \$1,022,722 and \$522,450 for 2018 and 2017, respectively.

| | December 31, 2017 | | |
|---|---|--|-------------------------|
| | Current Year Incurred Claims | Prior Years Incurred Claims | Total |
| Beginning of year claim reserve | \$ - | \$ (354,064,065) | \$ (354,064,065) |
| Paid claims—net of health care receivables* | 2,956,923,999 | 282,119,851 | 3,239,043,850 |
| End of year claim reserve | <u>483,803,046</u> | <u>10,350,999</u> | <u>494,154,045</u> |
| Incurred claims excluding the change in health care receivables | 3,440,727,045 | (61,593,215) | 3,379,133,830 |
| Beginning of year health care receivables* | - | 64,884,586 | 64,884,586 |
| End of year health care receivables* | <u>(52,264,520)</u> | <u>(11,257,373)</u> | <u>(63,521,893)</u> |
| Total incurred claims | <u>\$ 3,388,462,525</u> | <u>\$ (7,966,002)</u> | <u>\$ 3,380,496,523</u> |

*Health care receivables excludes provider loans and advances not yet expensed of \$522,450 and \$87,816 for 2017 and 2016, respectively.

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, and aggregate health claim reserves, net of health care receivables (excluding provider loans and advances not yet expensed) as of December 31, 2017 was \$430,632,152. As of March 31, 2018, \$381,222,351 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of healthcare receivables (excluding provider loans and advances not yet expensed) are now \$41,093,257, as a result of re-estimation of unpaid claims. Therefore, there has been \$8,316,544 favorable prior year development since December 31, 2017 to March 31, 2018. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of \$16,337,969 and favorable development of \$1,683,050 in provider settlements, partially offset by unfavorable development of \$9,509,210 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2017, the Company recorded \$7,966,002 of favorable development as a result of a change in the provision for adverse deviations in experience of \$15,248,919 and favorable development of \$1,825,694 in risk share activity, partially offset by unfavorable development of \$5,339,053 in retroactivity for inpatient, outpatient, physician, and pharmacy claims, \$1,644,024 in other claim related payments, \$729,393 in provider settlements, and \$662,520 in extended benefits. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, including the medical loss ratio rebate accruals. Included in this development is the impact related to retrospectively rated policies, which also has a corresponding impact on medical loss ratio rebates. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

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The Company incurred claims adjustment expenses (“CAE”) of \$69,089,715 and \$206,405,994 for the three months ended March 31, 2018 and the year ended December 31, 2017, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of the Agreement. The following table discloses paid CAE, incurred CAE, and the balance in unpaid CAE for the three months ended March 31, 2018 and the year ended December 31, 2017:

| | March 31, 2018 | December 31, 2017 |
|--|----------------------|-----------------------|
| Total claims adjustment expenses | \$ 69,089,715 | \$ 206,405,994 |
| Less: current year unpaid claims adjustment expenses | (6,595,419) | (5,751,315) |
| Add: prior year unpaid claims adjustment expenses | <u>5,751,315</u> | <u>4,881,947</u> |
| Total claims adjustment expenses paid | <u>\$ 68,245,611</u> | <u>\$ 205,536,626</u> |

B. The Company did not make any significant changes in methodologies and assumptions used in the calculation of the liability for claims unpaid and unpaid CAE in 2018.

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. No significant change.

27. STRUCTURED SETTLEMENTS

A–B. No significant change.

28. HEALTH CARE RECEIVABLES

A. Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has non-admitted and excluded all pharmacy rebates receivable that do not meet the admissibility criteria of Statement of Statutory Accounting Principles No. 84, *Certain Health Care Receivables and Receivables under Government Insured Plans* (“SSAP No. 84”) from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria of SSAP No. 84, the pharmacy rebate transaction history is summarized as follows:

| Quarter | Estimated Pharmacy Rebates as Reported on Financial Statements | Pharmacy Rebates as Billed or Otherwise Confirmed | Actual Rebates Received within 90 Days of Billing | Actual Rebates Received within 91 to 180 Days of Billing | Actual Rebates Received More than 180 Days After Billing |
|------------|--|---|---|--|--|
| 3/31/2018 | \$ 28,545,912 | \$ - | \$ - | \$ - | \$ - |
| 12/31/2017 | 26,884,495 | 27,205,224 | 15,812,123 | - | - |
| 9/30/2017 | 26,124,867 | 26,003,533 | 20,962,405 | 3,613,652 | - |
| 6/30/2017 | 25,085,770 | 25,408,141 | 19,395,515 | 4,966,952 | 698,128 |
| 3/31/2017 | 23,357,945 | 23,493,426 | 11,839,880 | 8,671,925 | 2,685,199 |
| 12/31/2016 | 23,034,509 | 22,880,665 | 17,876,710 | 3,692,915 | 1,192,082 |
| 9/30/2016 | 22,920,004 | 22,607,307 | 17,086,082 | 4,382,275 | 1,034,712 |
| 6/30/2016 | 22,719,099 | 22,200,087 | 17,797,082 | 3,711,820 | 580,798 |
| 3/31/2016 | 20,749,065 | 20,526,696 | 15,888,877 | 4,142,836 | 361,566 |
| 12/31/2015 | 31,541,036 | 31,533,210 | 27,280,096 | 3,977,261 | 118,167 |
| 9/30/2015 | 31,024,670 | 30,794,143 | 25,980,021 | 2,117,584 | 2,653,958 |
| 6/30/2015 | 28,470,991 | 28,480,003 | 23,346,598 | 3,846,386 | 1,250,611 |

Of the amount reported as health care receivables, \$39,937,346 and \$37,703,028 relates to pharmacy rebates receivable as of March 31, 2018 and December 31, 2017, respectively. This increase is primarily due to increased membership along with the change in generic/name brand mix. The Company also reported \$6,994,851 and \$5,934,726 of claim overpayments due from providers at March 31, 2018 and December 31, 2017, respectively, that were determined to be admissible.

B. No significant change.

29. PARTICIPATING POLICIES

No significant change.

30. PREMIUM DEFICIENCY RESERVES

The following table summarizes the Company's premium deficiency reserves as of March 31, 2018 and December 31, 2017:

| | March 31, 2018 |
|--|---|
| 1. Liability carried for premium deficiency reserves | \$ 25,811,000 |
| 2. Date of the most recent evaluation of this liability | <u>3/31/2018</u> |
| 3. Was anticipated investment income utilized in this calculation? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| | December 31, 2017 |
| 1. Liability carried for premium deficiency reserves | \$ 43,862,000 |
| 2. Date of the most recent evaluation of this liability | <u>12/31/2017</u> |
| 3. Was anticipated investment income utilized in this calculation? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |

Premium deficiency reserves are included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities and capital and surplus.

31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No []
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
January 31, 2018: Bordeaux Holding SpA, a Chile company, acquired 95.9% of Banmédica S.A., a Chile company. See Schedule Y Part 1 and 1A for subsidiary information.
February 9, 2018: UnitedHealth Group International Finance (Ireland) Unlimited Company, an Irish company was incorporated.
March 29, 2018: Health Net Services (Bermuda) Ltd, a Bermuda corporation, discontinued its existence in Bermuda and continued its existence into the Cayman Islands as Health Net Services (Cayman) PIC, a Cayman Islands Exempted Company.
March 30, 2018: Specialty Benefits, LLC, a Delaware limited liability company, acquired 100% of the ownership interest in Ear Professionals International Corporation, a Delaware corporation.
March 31, 2018: Collaborative Care Holdings, LLC, a Delaware limited liability company, acquired 100% of the ownership interests in the following entities: Reliant MSO LLC, a Delaware limited liability company and Massachusetts Assurance Co., Ltd., a Cayman Islands company.
March 31, 2018: IRX Financing I LLC a Delaware limited liability company, merged with and into OptumRx Administrative Services, LLC.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No []
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0000731766
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1 | 2 | 3 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2016
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2011
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 09/23/2013
- 6.4 By what department or departments?
Illinois Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1 | 2 | 3 | 4 | 5 | 6 |
|-----------------------|--------------------------|-----|-----|------|-----|
| Affiliate Name | Location (City, State) | FRB | OCC | FDIC | SEC |
| Optum Bank, Inc. | Salt Lake City, UT | NO | NO | YES | NO |

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No []
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0
13. Amount of real estate and mortgages held in short-term investments: \$0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$0 | \$0 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$0 | \$0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- | | |
|--|-----------|
| 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 | \$0 |
| 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 | \$0 |
| 16.3 Total payable for securities lending reported on the liability page | \$0 |

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes No
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|-------------------------------|---|
| Bank of New York Mellon | Global Liquidity Services, 1 Wall St, 14th Floor, New York City, NY 10286 |
| Northern Trust | 50 S. LaSalle, Chicago, IL 60675 |

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No
- 17.4 If yes, give full information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|--------------------|---------------------|-------------|
| | | | |

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1 Name of Firm or Individual | 2 Affiliation |
|---|------------------|
| Internally Managed | I..... |
| JP Morgan Investment Management Inc. | U..... |
| BNY Mellon Asset Management North America | U..... |

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes No
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes No

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1 Central Registration Depository Number | 2 Name of Firm or Individual | 3 Legal Entity Identifier (LEI) | 4 Registered With | 5 Investment Management Agreement (IMA) Filed |
|---|---|------------------------------------|----------------------|--|
| 107038 | JP Morgan Investment Management Inc. | 549300W78QH4XMM6K69 | SEC | NO..... |
| 105764 | BNY Mellon Asset Management North America | ME7YUCK4NF1W8VM8SP25 | SEC | NO..... |

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes No
- 18.2 If no, list exceptions:

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5*GI securities? Yes No

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent89.4 %
- 1.2 A&H cost containment percent3.0 %
- 1.3 A&H expense percent excluding cost containment expenses13.2 %
- 2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date\$.....0
- 2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date\$.....0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

| 1 NAIC Company Code | 2 ID Number | 3 Effective Date | 4 Name of Reinsurer | 5 Domiciliary Jurisdiction | 6 Type of Reinsurance Ceded | 7 Type of Reinsurer | 8 Certified Reinsurer Rating (1 through 6) | 9 Effective Date of Certified Reinsurer Rating |
|------------------------------|-------------------|------------------------|------------------------|----------------------------------|--------------------------------------|------------------------|--|---|
| NONE | | | | | | | | |

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

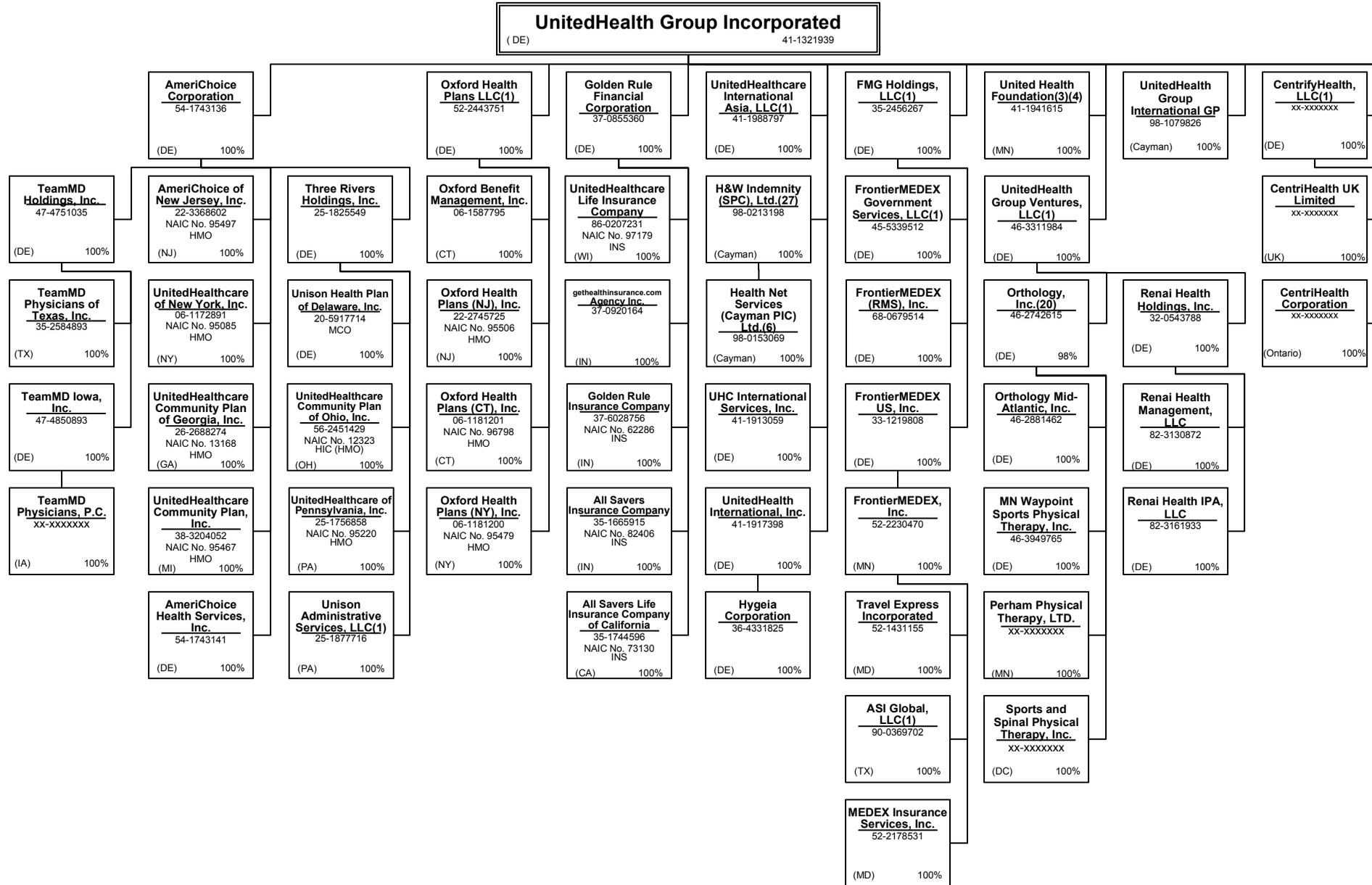
| 1 | Direct Business Only | | | | | | | | |
|--|----------------------|------------------------------|----------------------|--------------------|--|--|----------------------------|---------------------------|------------------------|
| | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | |
| States, etc. | Active Status (a) | Accident and Health Premiums | Medicare Title XVIII | Medicaid Title XIX | Federal Employees Health Benefits Program Premiums | Life and Annuity Premiums & Other Considerations | Property/Casualty Premiums | Total Columns 2 Through 7 | Deposit-Type Contracts |
| 1. Alabama AL | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. Alaska AK | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. Arizona AZ | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. Arkansas AR | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. California CA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. Colorado CO | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. Connecticut CT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8. Delaware DE | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9. District of Columbia DC | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10. Florida FL | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11. Georgia GA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12. Hawaii HI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13. Idaho ID | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Illinois IL | L | 3,708,484 | 0 | 0 | 0 | 0 | 0 | 3,708,484 | 0 |
| 15. Indiana IN | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16. Iowa IA | L | 40,947,145 | 0 | 698,623,403 | 4,536,496 | 0 | 0 | 744,107,044 | 0 |
| 17. Kansas KS | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18. Kentucky KY | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 19. Louisiana LA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20. Maine ME | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21. Maryland MD | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 22. Massachusetts MA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 23. Michigan MI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 24. Minnesota MN | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 25. Mississippi MS | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 26. Missouri MO | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 27. Montana MT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 28. Nebraska NE | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 29. Nevada NV | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 30. New Hampshire NH | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 31. New Jersey NJ | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 32. New Mexico NM | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 33. New York NY | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 34. North Carolina NC | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 35. North Dakota ND | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 36. Ohio OH | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 37. Oklahoma OK | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 38. Oregon OR | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 39. Pennsylvania PA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 40. Rhode Island RI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 41. South Carolina SC | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 42. South Dakota SD | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 43. Tennessee TN | L | 464,733 | 215,603,892 | 509,871,490 | 0 | 0 | 0 | 725,940,115 | 0 |
| 44. Texas TX | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 45. Utah UT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 46. Vermont VT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47. Virginia VA | L | 4,472,082 | (18) | 0 | 0 | 0 | 0 | 4,472,064 | 0 |
| 48. Washington WA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 49. West Virginia WV | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 50. Wisconsin WI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 51. Wyoming WY | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 52. American Samoa AS | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 53. Guam GU | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 54. Puerto Rico PR | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 55. U.S. Virgin Islands VI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 56. Northern Mariana Islands MP | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 57. Canada CAN | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58. Aggregate Other Aliens OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Subtotal | XXX | 49,592,444 | 215,603,874 | 1,208,494,893 | 4,536,496 | 0 | 0 | 1,478,227,707 | 0 |
| 60. Reporting Entity Contributions for Employee Benefit Plans | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 61. Totals (Direct Business) | XXX | 49,592,444 | 215,603,874 | 1,208,494,893 | 4,536,496 | 0 | 0 | 1,478,227,707 | 0 |
| DETAILS OF WRITE-INS | | | | | | | | | |
| 58001. | XXX | | | | | | | | |
| 58002. | XXX | | | | | | | | |
| 58003. | XXX | | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

(a) Active Status Counts:
 L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 4
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0
 N - None of the above - Not allowed to write business in the state..... 53
 R - Registered - Non-domiciled RRGs..... 0
 Q - Qualified - Qualified or accredited reinsurer..... 0

Premiums are allocated by state based upon geographic market.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



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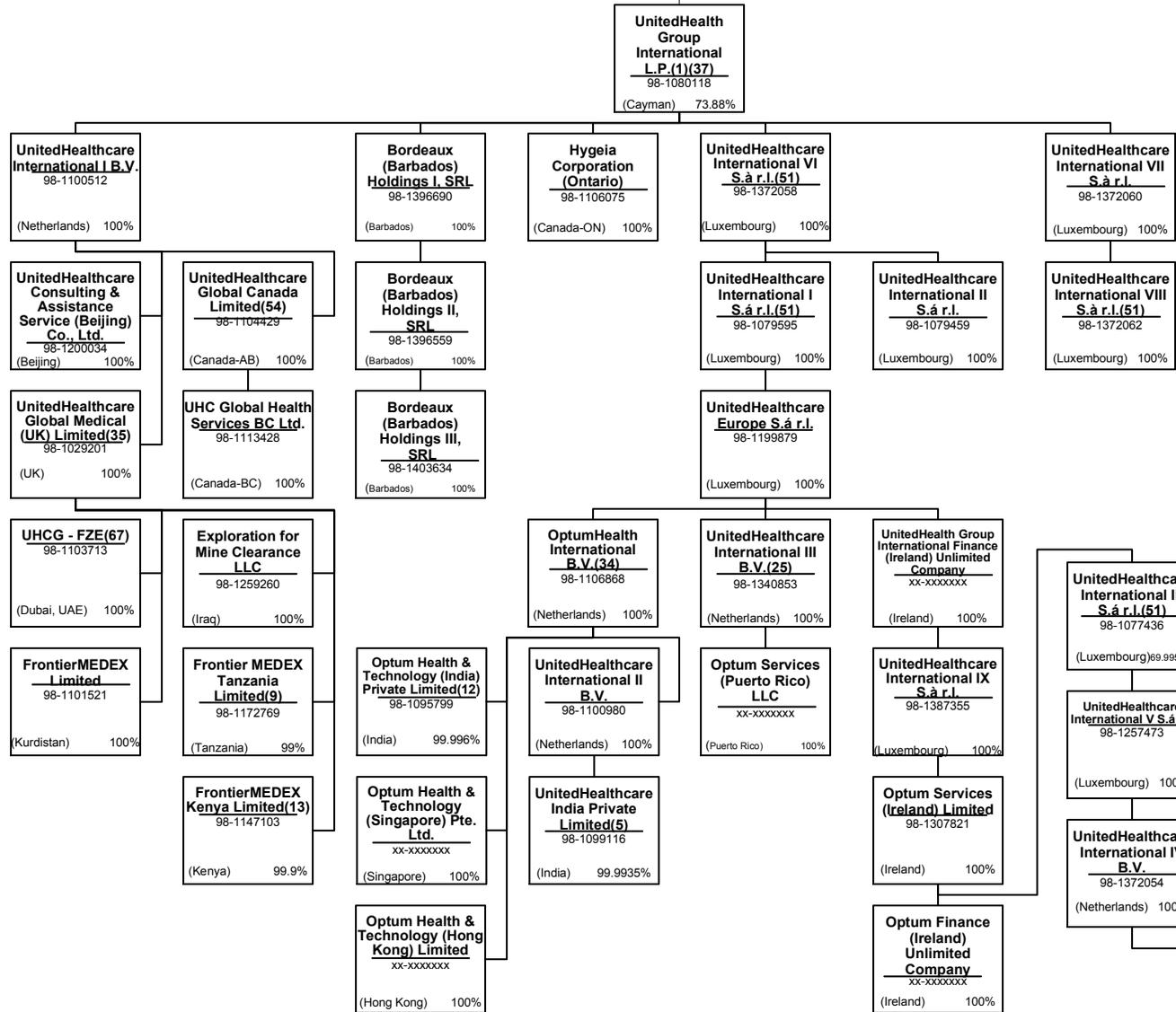
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

UnitedHealth Group Incorporated
(DE) 41-1321939

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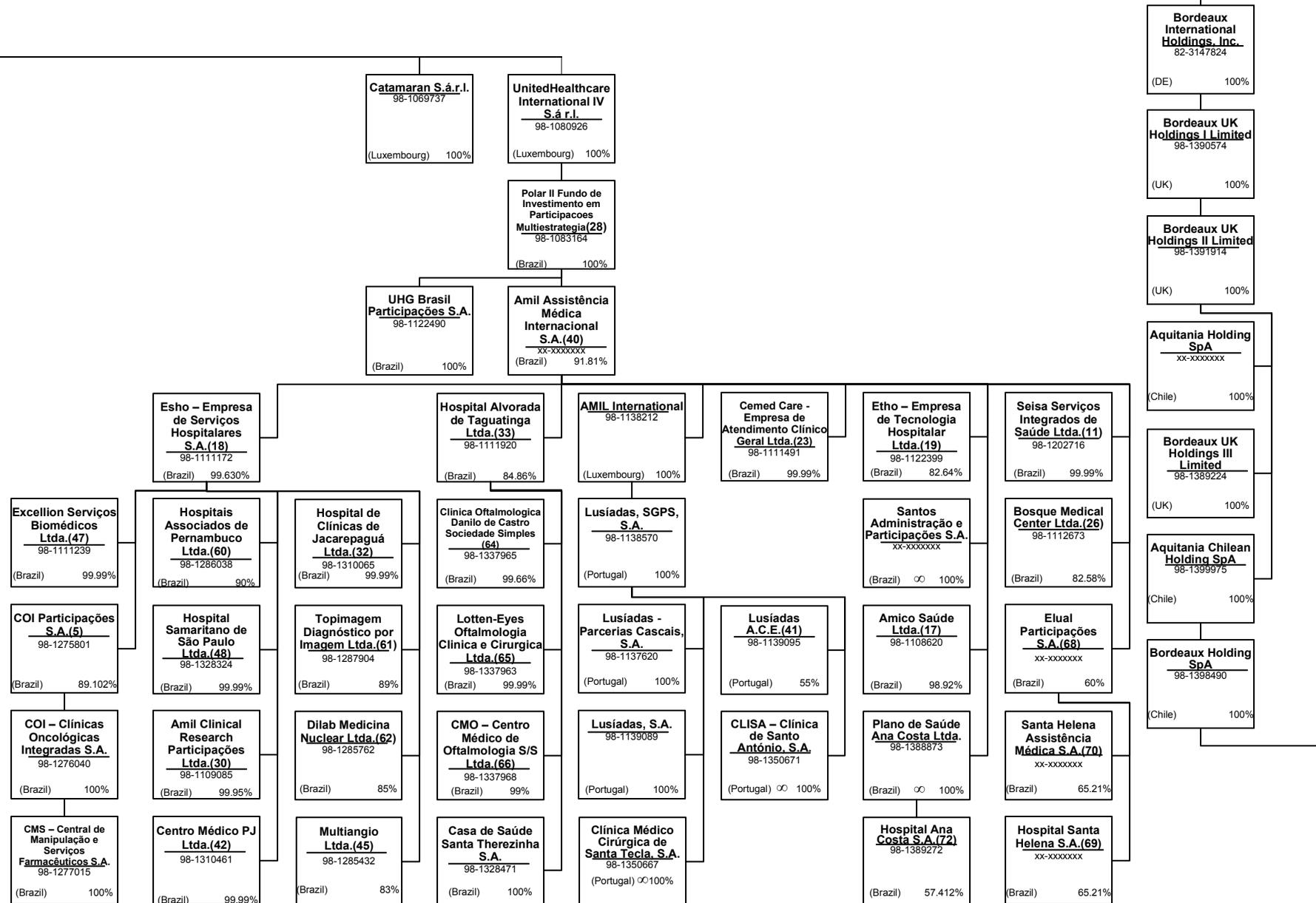
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

UnitedHealth Group Incorporated
(DE) 41-1321939

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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

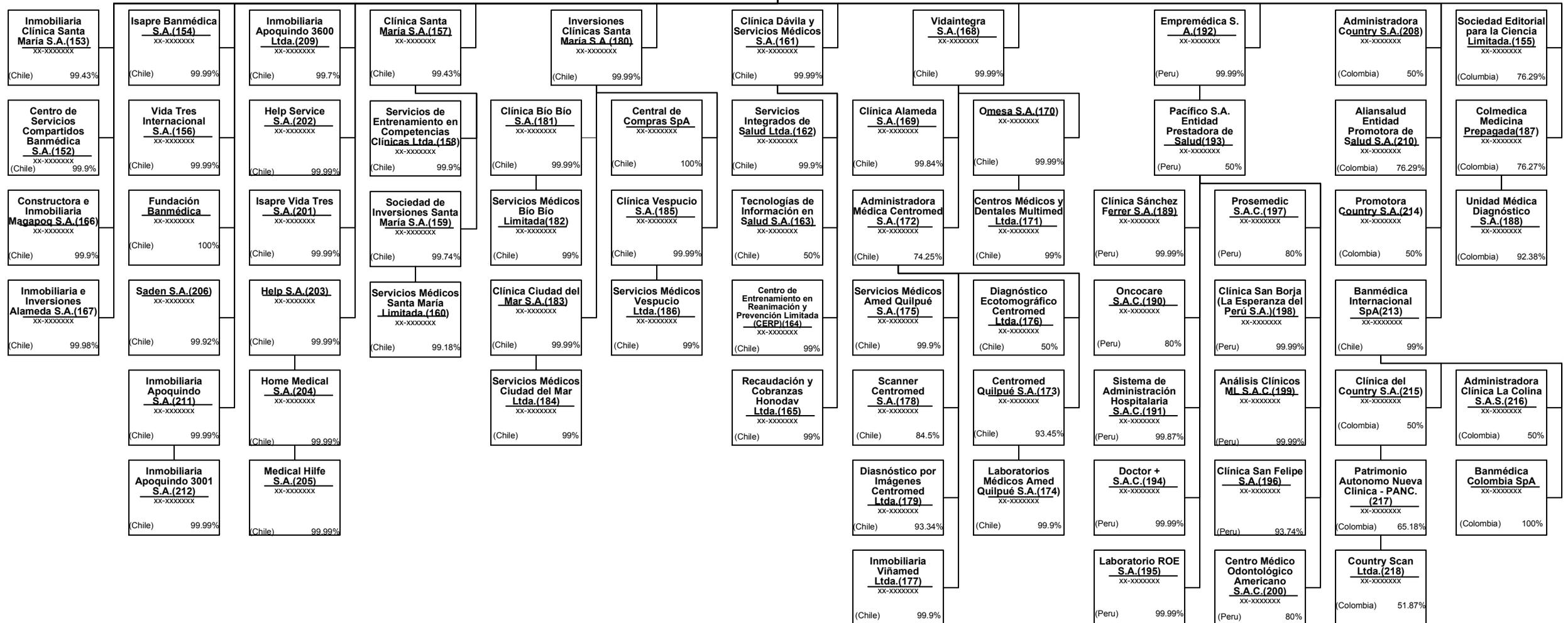
PART 1 – ORGANIZATIONAL CHART

UnitedHealth Group Incorporated
(DE) 41-1321939

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**United
Healthcare
Services, Inc.**
41-1289245
(MN) 100%

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15.3

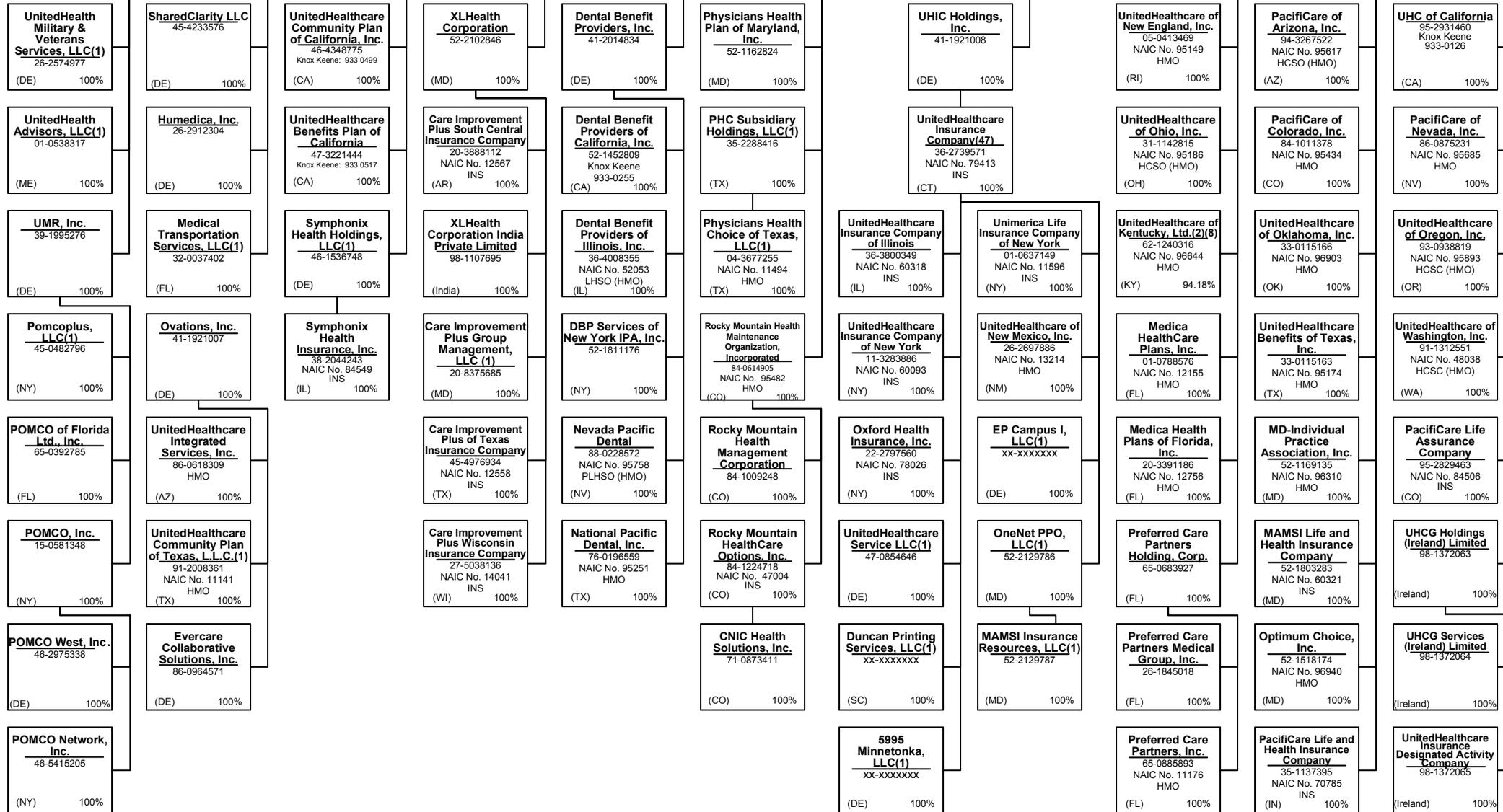
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

United HealthCare Services, Inc.
(MN) 41-1289245 100%

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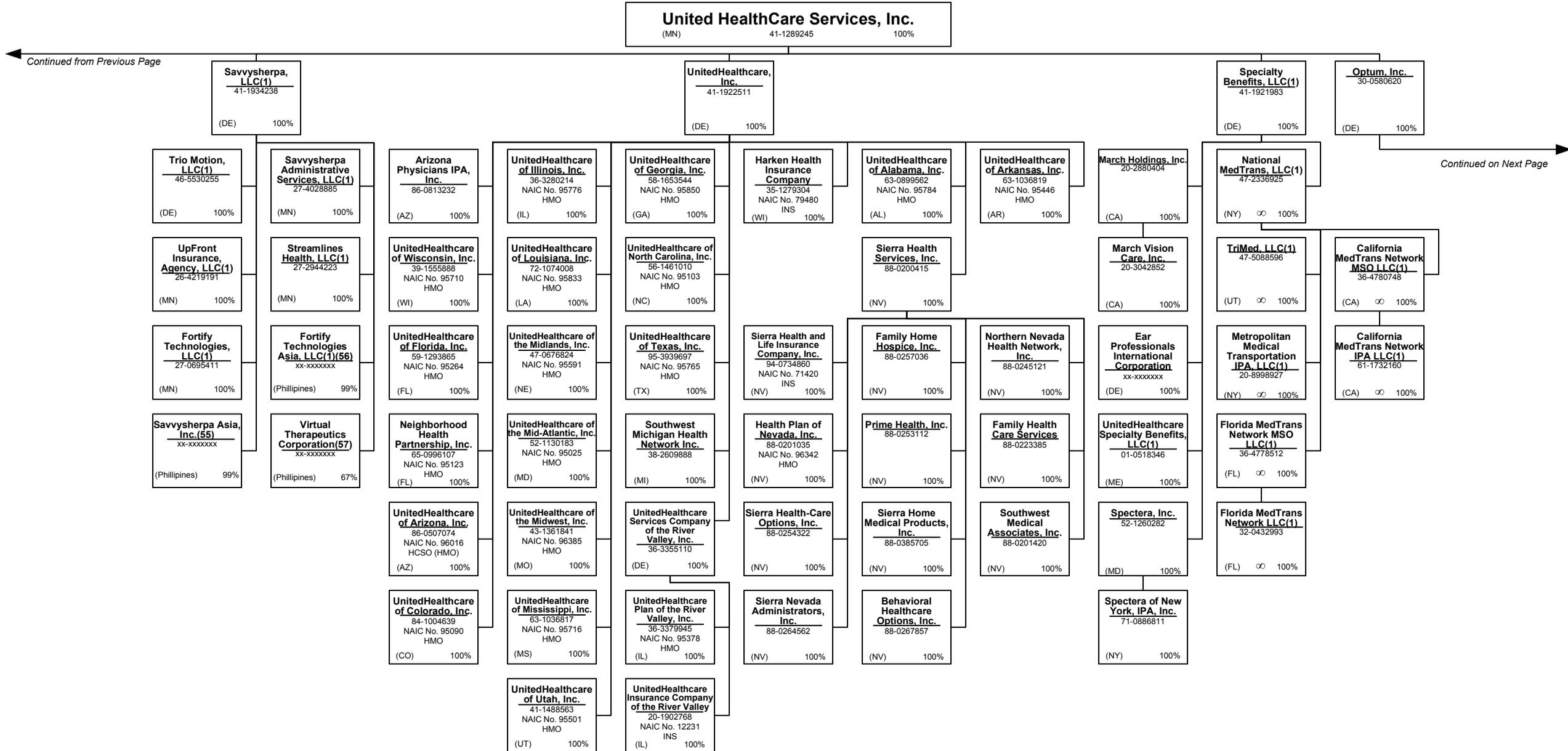
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15.4

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



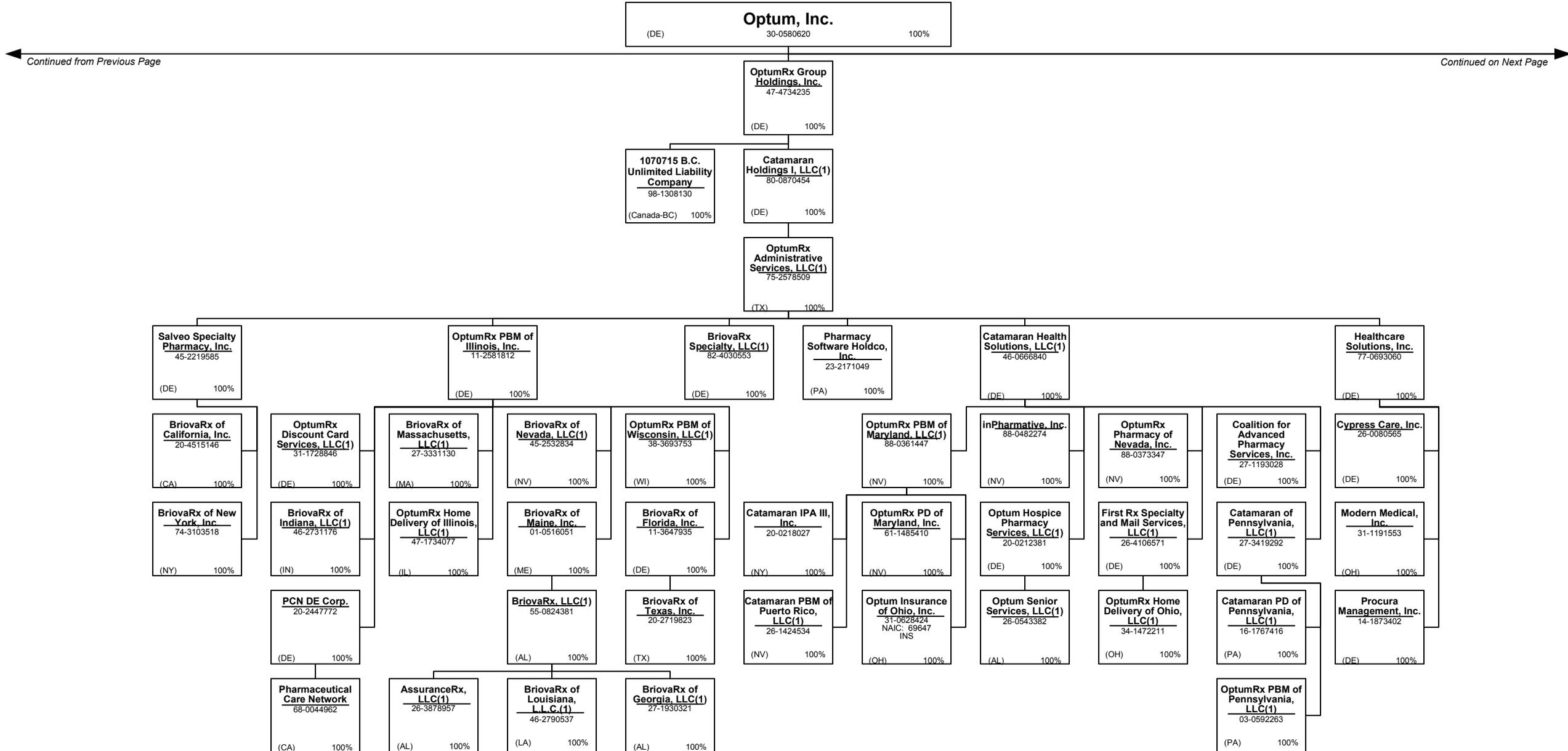
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15.5

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



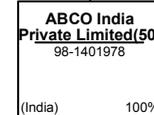
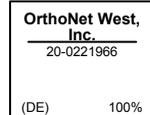
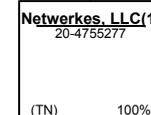
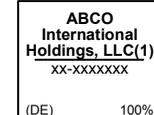
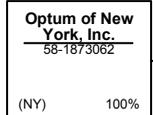
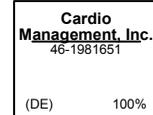
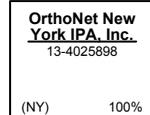
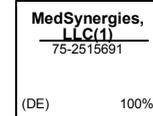
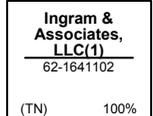
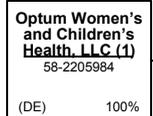
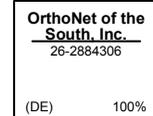
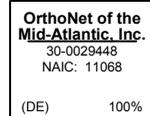
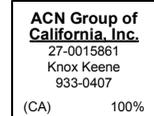
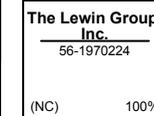
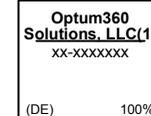
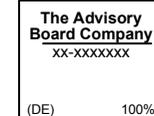
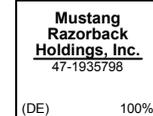
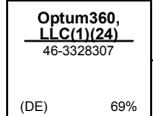
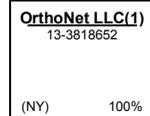
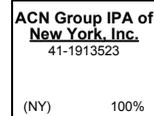
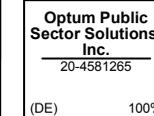
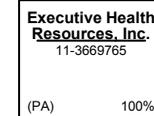
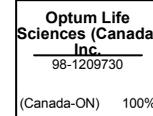
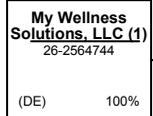
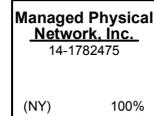
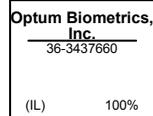
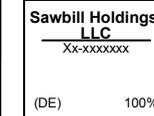
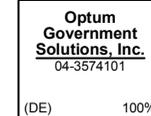
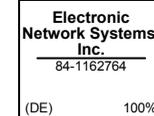
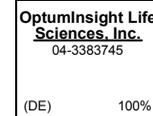
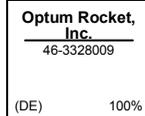
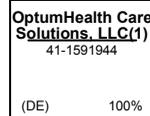
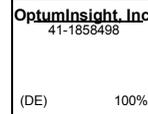
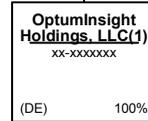
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



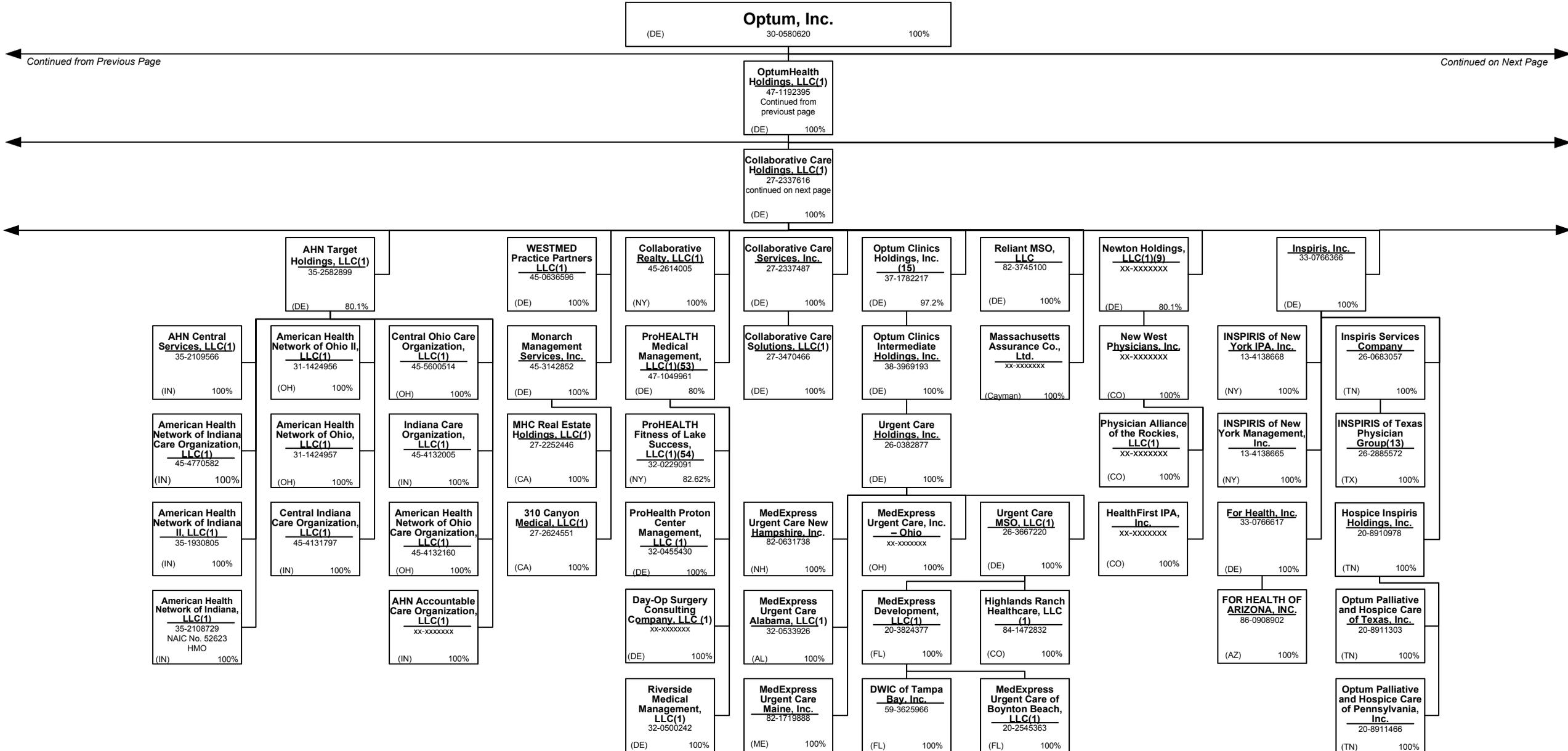
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



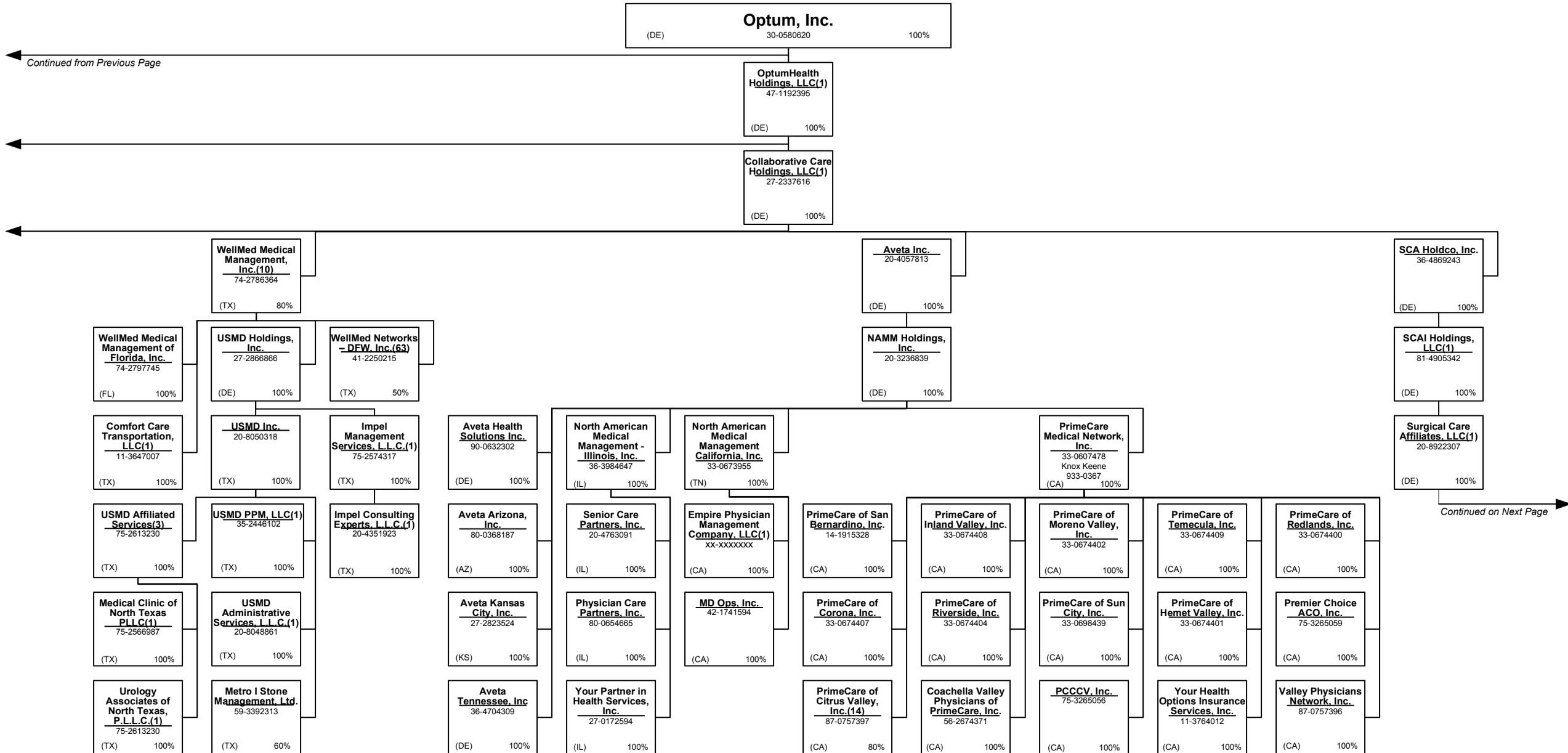
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

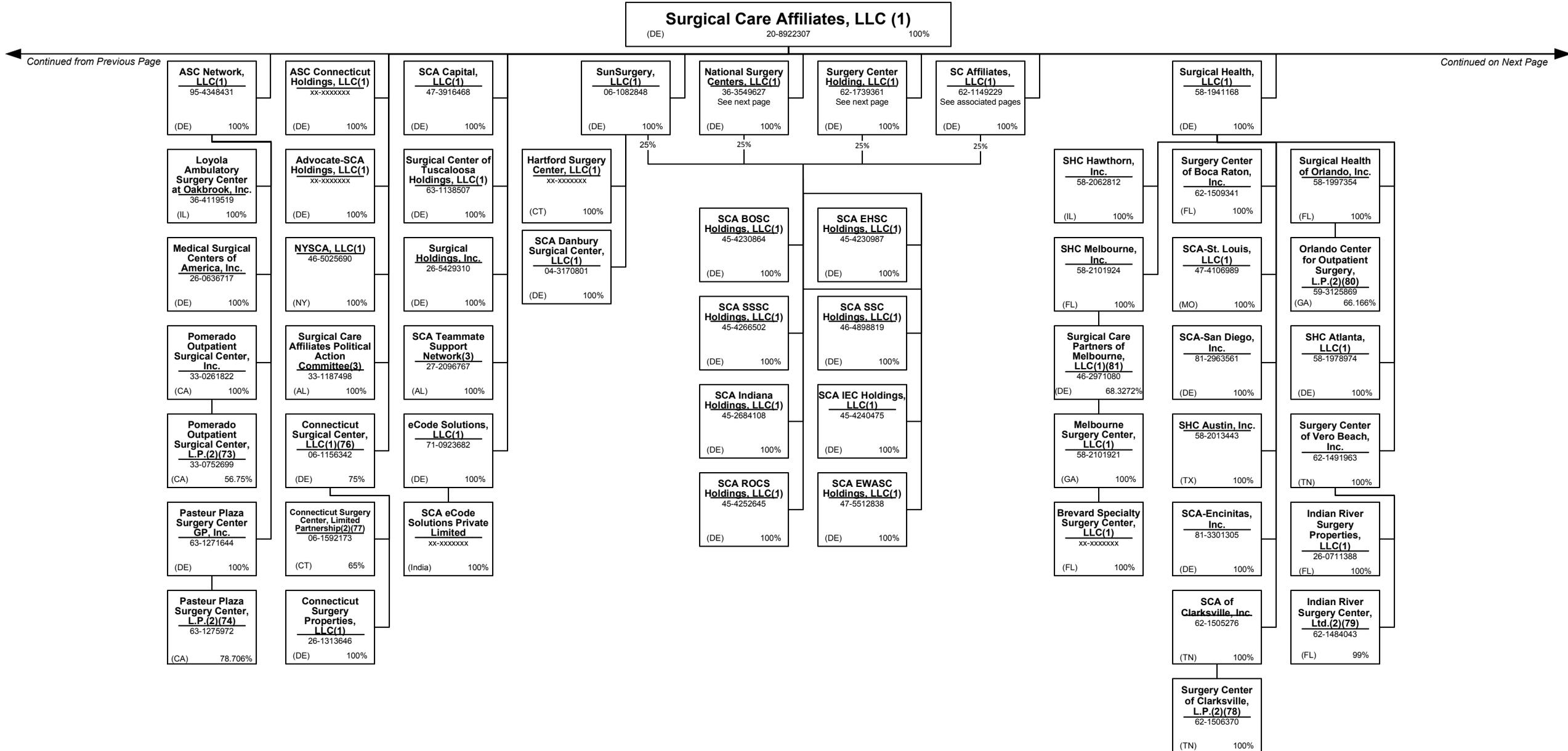
PART 1 – ORGANIZATIONAL CHART



15.11

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



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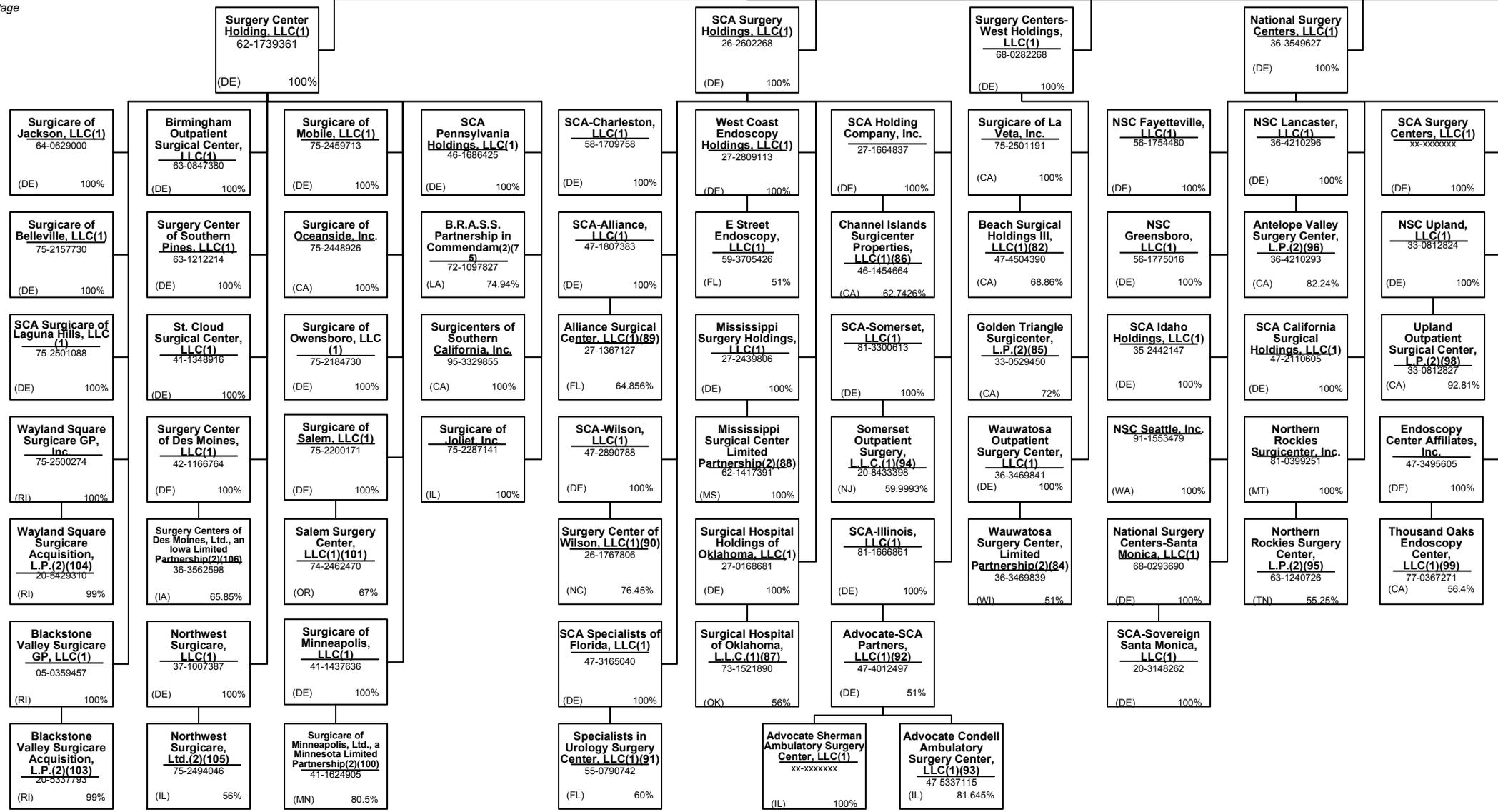
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Surgical Care Affiliates, LLC (1)
(DE) 20-8922307 100%

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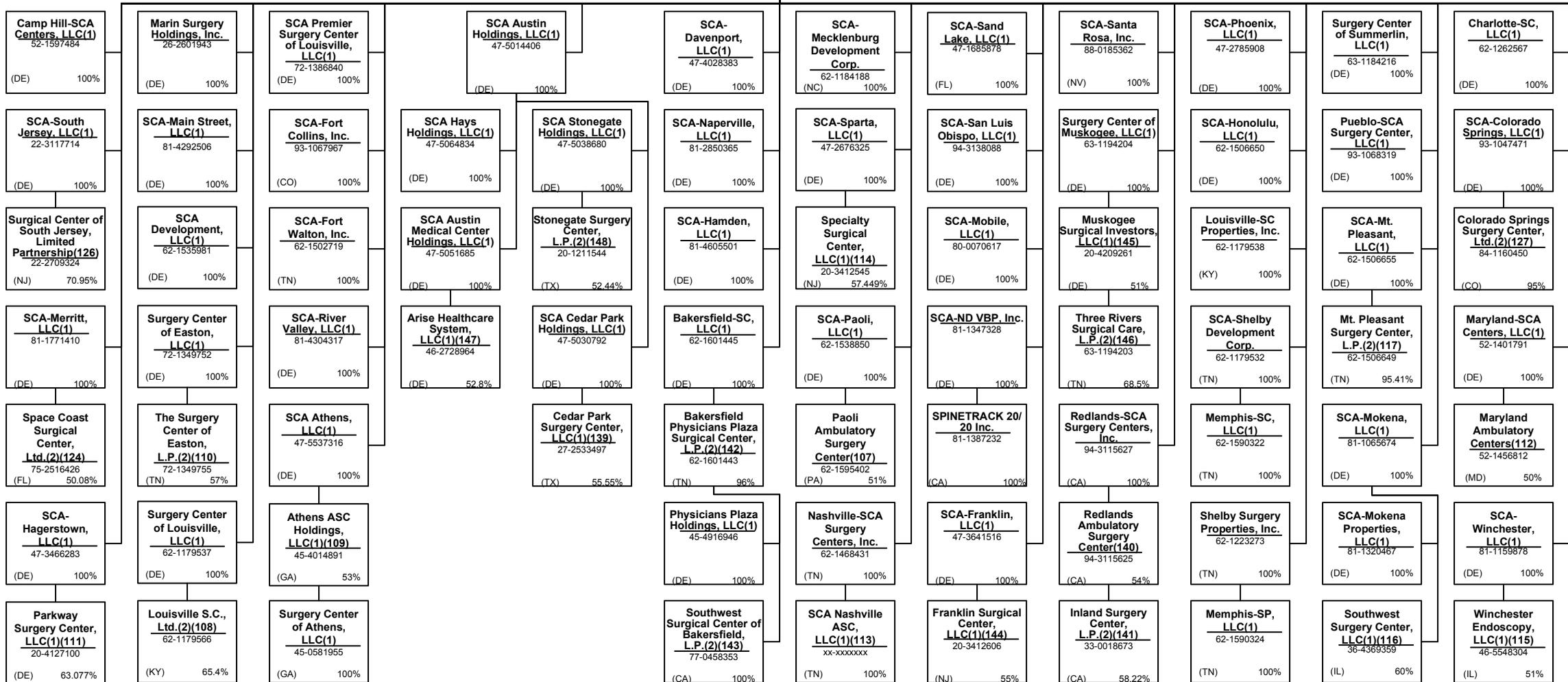
15.13

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Surgical Care Affiliates, LLC (1)
(DE) 20-8922307 100%

SC Affiliates, LLC(1)
62-1149229
see page 12
(DE) 100%

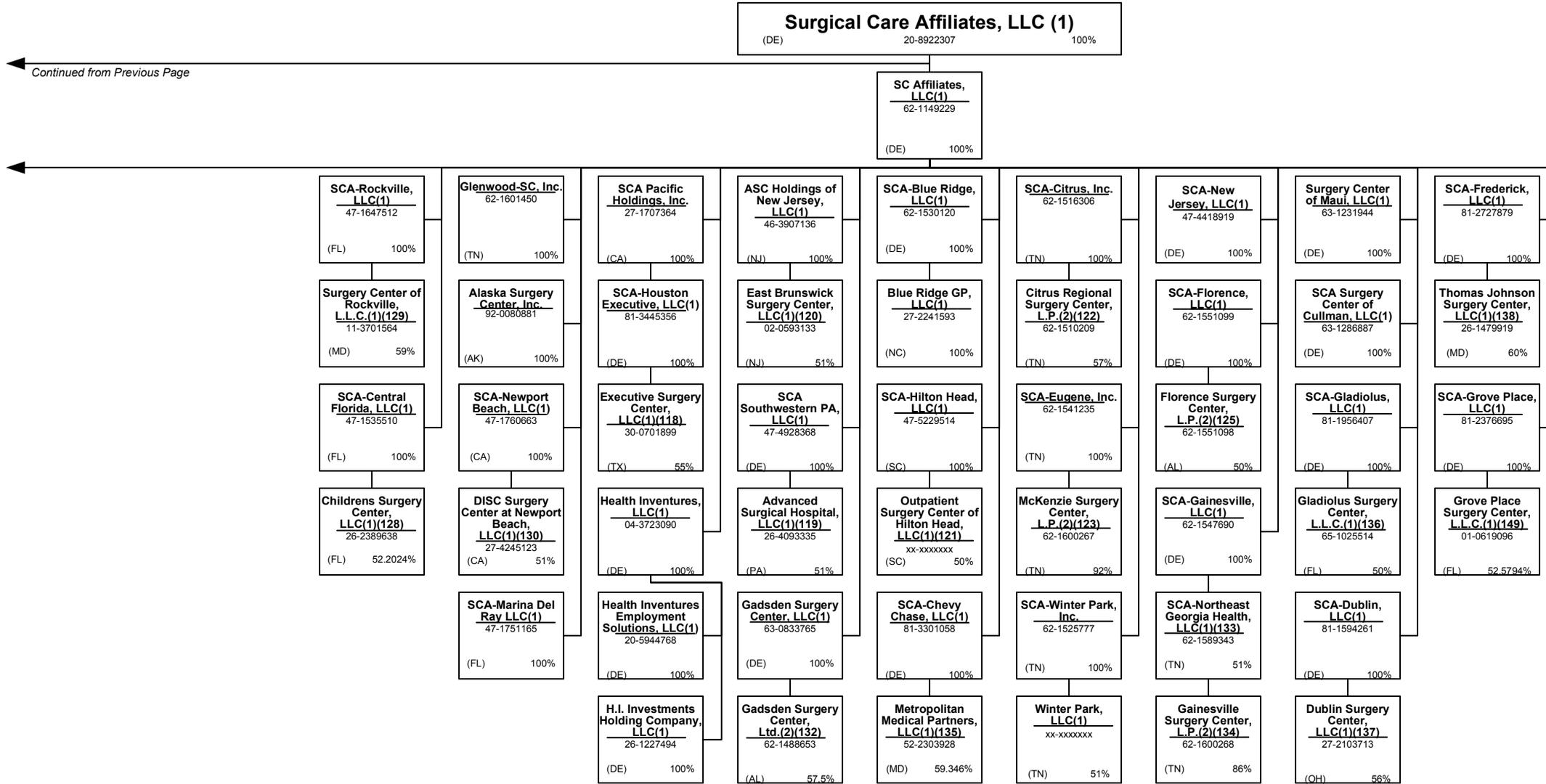


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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



Continued from Previous Page

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**PART 1 – ORGANIZATIONAL CHART****Notes**

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
(2) Entity is a Partnership
(3) Entity is a Non-Profit Corporation
(4) Control of the Foundation is based on sole membership, not the ownership of voting securities
(5) COI Participações S.A. is 89.10289% owned by Esho – Empresa de Serviços Hospitalares S.A. and 10.8971% owned by COIPAR Participações S.A.
(6) Health Net Services (Cayman PIC) Ltd. is a Cayman exempted company registered by way of Continuation in the Cayman Islands.
(7) UnitedHealthcare India Private Limited is 99.999385% owned by UnitedHealthcare International II B.V. and 0.00065% owned by UnitedHealth International, Inc.
(8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
(9) Newton Holdings, LLC, is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% is owned by outside shareholders.
(10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
(11) Seisa Serviços Integrados de Saúde Ltda is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.00007% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
(12) Optum Health & Technology (India) Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
(13) INSPIRIS of Texas Physician Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
(14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
(15) Optum Clinics Holdings, Inc. is 97.2% owned by Collaborative Care Holdings, LLC and 2.8% is owned by external shareholders.
(16) Optum Global Solutions (India) Private Limited is 99.999466 % owned by Optum Global Solutions International BV and 0.000534% UnitedHealth International, Inc. as nominee of Optum Global Solutions International BV per India requirement to have two shareholders.
(17) Amico Saúde Ltda. is 98.927933% owned by Amil Assistência Médica Internacional S.A. and 1.072067% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
(18) Esho – Empresa de Serviços Hospitalares S.A. is 99.630% owned by Amil Assistência Médica Internacional S.A. and .016254% owned by Treasury Shares and .353520% owned by external shareholders.
(19) Etho – Empresa de Tecnologia Hospitalar Ltda. 82.642% owned by Amil Assistência Médica Internacional S.A. and 17.358% owned by an external shareholder.
(20) Orthology, Inc. is 98% owned by UnitedHealth Group Ventures, LLC and 2% owned by external shareholders.
(21) Medalliance Net Ltda. is owned 99.999985% by Optum Health & Technology Serviços do Brasil Ltda. and 0.000015% by UHG Brasil Participações S.A.
(22) Branch offices in Iraq and Uganda.
(23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 99.9999996% owned by Amil Assistência Médica Internacional S.A. and 0.000004% owned by Amico Saúde Ltda.
(24) Optum 360, LLC is 69% owned by Optum Rocket, Inc; the remaining 31% is owned by external holders.
(25) Optum Health & Technology Serviços do Brasil Ltda. is 99.9964% owned by Optum Global Solutions International B.V. and .0036% owned by OptumInsight, Inc.
(26) Bosque Medical Center Ltda. is 82.58% owned by Amil Assistência Médica Internacional S.A. and 17.41949% owned by Esho – Empresa de Serviços Hospitalares S.A.
(27) H&W Indemnity (SPC), Ltd. is an exempted segregated portfolio company organized under the laws of the Cayman Islands and holds a Cayman insurance license.
(28) Real Appeal, Inc. is 98% owned by Rally Health, and the remaining 2% is owned by external shareholders.
(29) Optum Global Solutions (Philippines), Inc. is 99.992% owned by Optum Global Solutions International B.V., and the remaining 0.008% is held by individual shareholders.
(30) Amil Clinical Research Participações Ltda. is 99.95% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.05% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
(31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.99998% owned by Optum Health & Technology Serviços do Brasil Ltda and 0.00002% owned by UHG Brasil Participações S.A.
(32) Hospital de Clínicas de Jacarepaguá Ltda. is 99.999998% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.000002% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
(33) Hospital Alvorada Taguatinga Ltda. is 84.86% owned by Amil Assistência Médica Internacional S.A., 10.02% by Bosque Medical Center Ltda.
(34) Registered as foreign shareholder in Brazil. Optum Global Solutions International B.V. is held 97.48% by Optum Technology, Inc. and 2.52% by OptumHealth International B.V.
(35) FrontierMEDEX Kenya Limited is 99.9% owned by UnitedHealthcare Global Medical (UK) Limited and 0.1% owned by UnitedHealthcare International I B.V.
(36) TBD
(37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (25.7714%), Hygeia Corporation (DE) (0.3523%) and UnitedHealth Group Incorporated (73.88%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
(38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
(39) UnitedHealthcare International III S.á r.l. is 69.995% owned by UnitedHealthcare Europe S.á r.l. and 30.005% owned by UnitedHealthcare International II S.á r.l.
(40) Amil Assistência Médica Internacional S.A. is 91.81% owned by Polar II Fundo de Investimento em Participações and the remaining 8.19% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.
(41) Lusiadas A.C.E. is 55% owned by Lusiadas, SGPS, S.A., 10% owned by Lusiadas – Parcerias Cascais, S.A., 20% owned by Lusiadas, S.A., 5% owned by Clínica Médico Cirúrgica de Santa Tecla, S.A. and 10% owned by CLISA – Clínica de Santo António, S.A.
(42) Centro Médico PJ Ltda. is 99.999948% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.000052% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
(43) Frontier Medex Tanzania Limited is 99% owned by UnitedHealthcare Global Medical (UK) Limited. The remaining 1% is owned by a former officer of Frontier MEDEX Limited and is being transferred to UnitedHealthcare International I BV.
(44) Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda., is 99.999998% owned by Optum Global Solutions International B.V. and 0.00002% owned by OptumInsight, Inc.
(45) Multiangio Ltda. is 83% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 17% is owned by external shareholders.
(46) Polo Holdco, LLC is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% being owned by an outside third party.
(47) Excellion Serviços Biomédicos Ltda is 99.999997% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.000003% is owned by Cemed Care - Empresa de Atendimento Clínico Geral Ltda.
(48) Hospital Samaritano de São Paulo Ltda. is 99.9999998% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 0.0000002% is owned by Hospital Alvorada Taguatinga Ltda.
(49) Branch office located in Hong Kong.
(50) ABCO India Private Limited is 99% owned by ABCO International Holdings, LLC and 1% owned by The Advisory Board Company.
(51) Branch office located in the United States.
(52) TBD
(53) ProHEALTH Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.
(54) ProHEALTH Fitness of Lake Success, LLC is 82.62% owned by ProHEALTH Medical Management, LLC and 17.38% by an external shareholder.
(55) Savvysherpa Asia, Inc. is 99% owned by Savvysherpa, LLC and the remaining 1% is owned by a number of individual shareholders.
(56) Fortify Technologies Asia, LLC is 99% owned by Savvysherpa, LLC and the remaining 1% is owned by a number of individual shareholders.
(57) Virtual Therapeutics Corporation is 67% owned by Savvysherpa, LLC and the remaining 33.4% is owned by an outside third party.
(58) BriovaRx Infusion Services 301, LP is 99.95% owned by AxelaCare Holdings, Inc. with the remaining 0.05% interest as a limited partner being held by BriovaRx Infusion Services 305, LLC.
(59) TBD
(60) Hospitais Associados de Pernambuco Ltda. is 90% owned by Esho – Empresa de Serviços Hospitalares S.A. and 10% is owned by an external shareholder.
(61) Topimagem Diagnóstico por Imagem Ltda. is 89% owned by Esho – Empresa de Serviços Hospitalares S.A., and the remaining 11% interest is owned by external shareholders.
(62) Dilab – Medicina Nuclear Ltda is 85% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 15% is owned by external shareholders.
(63) WellMed Networks – DFW, Inc. is 50% owned by WellMed Networks, Inc. and 50% owned by USMD Inc. WellMed Medical Management, Inc. controls 100% of WellMed Networks - DFW.
(64) Clínica Oftalmológica Danilo de Castro Sociedade Simples is 99.66% owned by Hospital Alvorada de Taguatinga Ltda. and the remaining 0.333333% is owned by Lotten-Eyes Oftalmologia Clínica e Cirúrgica Ltda.
(65) Lotten-Eyes Oftalmologia Clínica e Cirúrgica Ltda. is 99.99% owned by Hospital Alvorada de Taguatinga Ltda. and the remaining 0.000012% is owned by Esho – Empresa de Serviços Hospitalares S.A.
(66) CMO – Centro Médico de Oftalmologia S/S Ltda. is 99% owned by Hospital Alvorada de Taguatinga Ltda. and the remaining 1% is owned by Lotten-Eyes Oftalmologia Clínica e Cirúrgica Ltda.
(67) UHCZ – FZE is registered in the Dubai Silicon oasis free zone.
(68) Elual Participações S.A. is 60% owned by Amil Assistência Médica Internacional S.A. and 40% by Esho – Empresa de Serviços Hospitalares S.A.
(69) Hospital Santa Helena S.A. is 65.21% owned by Elual Participações S.A. and 33.60% owned by Esho – Empresa de Serviços Hospitalares S.A. and 1.19% is owned by external shareholder
(70) Santa Helena Assistência Médica S.A. is 65.21% owned by Elual Participações S.A. and the remaining 33.60% is owned by Amil Assistência Médica Internacional S.A. and 1.19% is owned by external shareholder

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (71) N/A
- (72) Hospital Ana Costa S.A. is 57.41% owned by Plano de Saúde Ana Costa Ltda., 13.012% by Hospital Alvorada Taguatinga Ltda. and the remaining 29.576% is owned by external shareholders.
- (73) Pomerado Outpatient Surgical Center, Inc. holds a 50.75% general partnership interest and 6% limited partnership interest in Pomerado Outpatient Surgical Center, L.P.
- (74) Pasteur Plaza Surgery Center GP, Inc. holds a 51% general partnership interest and a 27.706% limited partnership interest in Pasteur Plaza Surgery Center, L.P.
- (75) Surgery Center Holding, LLC holds a 51% general partnership interest and a 23.94% limited partnership interest in B.R.A.S.S. Partnership in Commendam
- (76) The remaining 25% membership interest is owned by Trinity Health-New England, Inc.
- (77) Connecticut Surgical Center, LLC holds a 51% general partnership interest and a 14% limited partnership interest in Connecticut Surgery Center, Limited Partnership.
- (78) SCA of Clarksville, Inc. holds a 51% general partnership interest and a 49% limited partnership interest in Surgery Center of Clarksville, L.P.
- (79) Surgery Center of Vero Beach, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Indian River Surgery Center, Ltd.
- (80) TBD
- (81) TBD
- (82) TBD
- (83) SCA Salt Lake Surgical Center, Inc. holds a 71.25% limited partnership interest and a 20% general partnership interest in Salt Lake Surgical Center, L.P.
- (84) Wauwatosa Outpatient Surgery Center, LLC holds a 51% limited partnership interest in Wauwatosa Surgery Center, Limited Partnership.
- (85) Surgery Centers-West Holdings, LLC holds a 71% limited partnership interest and a 1% general partnership interest in Golden Triangle Surgicenter, L.P.
- (86) TBD
- (87) TBD
- (88) Mississippi Surgery Holdings, LLC holds a 41% general partnership interest and a 59% limited partnership interest in Mississippi Surgical Center Limited Partnership.
- (89) TBD
- (90) TBD
- (91) TBD
- (92) TBD
- (93) TBD
- (94) TBD
- (95) Northern Rockies Surgicenter, Inc. holds a 51% general partnership interest and a 4.25% limited partnership interest in Northern Rockies Surgery Center, L.P.
- (96) NSC Lancaster, LLC holds a 81.24% limited partnership interest and a 1% general partnership interest in Antelope Valley Surgery Center, L.P.
- (97) NSC Sarasota, Inc. holds a 81.1% limited partnership interest and a 1.02% general partnership interest in Sarasota Endoscopy Center, L.P.
- (98) NSC Upland, LLC holds an 80% general partnership interest and a 12.81% limited partnership interest in Upland Outpatient Surgical Center, L.P.
- (99) TBD
- (100) Surgicare of Minneapolis, LLC holds a 50% general partnership interest and a 30.5% limited partnership interest in Surgicare of Minneapolis, Ltd., a MN Limited Partnership
- (101) TBD

- (102) Waco Outpatient Surgical Center, Inc. holds a 51% general partnership interest and a 48% limited partnership interest in Waco Surgical Center, Ltd.
- (103) Blackstone Valley Surgicare GP, LLC holds a 40% general partnership interest and a 59% limited partnership interest in Blackstone Valley Surgicare Acquisition, L.P.
- (104) Wayland Square Surgicare GP, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Wayland Square Surgicare Acquisition, L.P.
- (105) Northwest Surgicare, LLC holds a 51% general partnership interest and a 5% limited partnership interest in Northwest Surgicare, Ltd., an IL Limited Partnership
- (106) Surgery Center of Des Moines, LLC holds a 51% general partnership interest and a 14.85% limited partnership interest in Surgery Centers of Des Moines, Ltd., an IA Limited Partnership
- (107) TBD
- (108) Surgery Center of Louisville, LLC holds a 51% general partnership interest and a 14.4% limited partnership interest in Louisville S.C., Ltd.
- (109) TBD
- (110) Surgery Center of Easton, LLC holds a 57% general partnership interest in The Surgery Center of Easton, L.P.
- (111) TBD
- (112) TBD
- (113) The remaining 1% membership interest is owned by SCA Affiliates, LLC
- (114) TBD
- (115) TBD
- (116) TBD
- (117) SCA-Mt. Pleasant, LLC holds a 51% general partnership interest and a 44.41% limited partnership interest in Mt. Pleasant Surgery Center, L.P.
- (118) TBD
- (119) TBD
- (120) TBD
- (121) TBD
- (122) SCA-Citrus, Inc. holds a 52% general partnership interest and a 5% limited partnership interest in Citrus Regional Surgery Center, L.P.
- (123) SCA-Eugene, Inc. holds a 51% general partnership interest and a 41% limited partnership interest in McKenzie Surgery Center, L.P.
- (124) SCA-Merritt, LLC holds a 50.08% general partnership interest in Space Coast Surgery Center, Ltd.
- (125) SCA-Florence, LLC holds a 50% general partnership interest in Florence Surgery Center, L.P.
- (126) SCA-South Jersey, LLC holds a 51% general partnership interest and a 19.95% limited partnership interest in Surgical Center of South Jersey, Limited Partnership. SC Affiliates, LLC also holds a 0.73% limited partnership interest in Surgical Center of South Jersey, Limited Partnership.
- (127) SCA-Colorado Springs, LLC holds a 51% general partnership interest and a 44% limited partnership interest in Colorado Springs Surgery Center, Ltd.
- (128) TBD
- (129) TBD
- (130) TBD
- (131) TBD
- (132) TBD
- (133) Gadsden Surgery Center, LLC holds a 51% general partnership interest and a 6.5% limited partnership interest in Gadsden Surgery Center, Ltd.
- (134) TBD
- (135) SCA-Northeast Georgia Health, LLC holds a 51% general partnership interest and a 35% limited partnership interest in Gainesville Surgery Center, L.P.

- (136) TBD
- (137) TBD
- (138) TBD
- (139) TBD
- (140) TBD
- (141) SCA Stonegate Holdings, LLC holds a 52% general partnership interest in Stonegate Surgery Center, L.P.
- (142) TBD
- (143) TBD
- (144) Redlands Ambulatory Surgery Center holds a 51% general partnership interest and a 7.22% limited partnership interest in Inland Surgery Center, L.P. SC Affiliates also holds a 13.03% limited partnership interest in Inland Surgery Center, L.P.
- (145) Bakersfield-SC, LLC holds a 25% general partnership interest and a 71% limited partnership interest in Bakersfield Physicians Plaza Surgical Center, L.P.
- (146) Bakersfield Physicians Plaza Surgical Center, L.P. holds a 99% general partnership interest and Physicians Plaza Holdings, LLC holds a 1% limited partnership interest in Southwest Surgical Center of Bakersfield, L.P.
- (147) TBD
- (148) TBD
- (149) Muskogee Surgical Investors, LLC holds a 51% general partnership interest and a 17.5% limited partnership interest in Three Rivers Surgical Care, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (151) The remaining 4.1% is owned by external shareholders.
- (152) The remaining 0.1% is owned by Inversiones Clínicas Santa María S.A.
- (153) Minority ownership is held by third party shareholders. Corporate name is Inmobiliaria Santa María S.A.
- (154) The remaining 0.0001% is owned by Clínica Dávila y Servicios Médicos S.A.
- (155) Constructora Inmobiliaria Megapoq S.A owns 1 share
- (156) The remaining 0.0001% is owned by Clínica Dávila y Servicios Médicos S.A.
- (157) Minority ownership is held by several third party shareholders.
- (158) In accordance to a capital increase executed on 2018, Clínica Santa María S.A. owns 99,9% of the shares. Minority ownership is held by Sociedad de Inversiones Santa María S.A.
- (159) The remaining 0.2569% is owned by Clínica Dávila y Servicios Médicos S.A.
- (160) The remaining 0.8238% is owned by Clínica Santa María S.A.
- (161) The remaining 0.009% is owned by Clínica Santa María S.A.
- (162) The remaining 0.10% is owned by Clínica Santa María S.A.
- (163) The remaining 50% is owned by Clínica Santa María S.A.
- (164) The remaining 1% is owned by Servicios Integrados de Salud Ltda.
- (165) The remaining 1% is owned by Servicios Integrados de Salud Ltda.
- (166) The remaining 0.1% is owned by Inmobiliaria Apoquindo 3600 Ltda.
- (167) The remaining 0.02% is owned by Saden S.A.
- (168) The remaining 0.0001% is owned by Saden S.A.
- (169) The remaining 0.159% is owned by Saden S.A.
- (170) The remaining 0.01% is owned by Saden S.A.
- (171) The remaining 0.01% is owned by Clínica Dávila y Servicios Médicos S.A.
- (172) The remaining 25.75% is owned by several third party shareholders.
- (173) The remaining 6.55% is owned by third party shareholders.
- (174) The remaining 0.1% is owned by Servicios Amed Quilpué S.A.
- (175) The remaining 0.1% is owned by Laboratorios Médicos Amed Quilpué S.A.
- (176) The remaining 50% is owned by Gorki Osorio Vargas (25%) and Danilo Valderrama Torrealba (25%).
- (177) The remaining 0,1% is owned by Simón Sacks Link.
- (178) The remaining 15.5% is owned by third party shareholders.
- (179) The remaining 6,66% is owned by Centromed Quilpué S.A.
- (180) The remaining 0.000065% is owned by Clínica Dávila y Servicios Médicos S.A.
- (181) The remaining 0.0001% is owned by Banmédica S.A.
- (182) The remaining 1% is owned by Saden S.A.
- (183) The remaining 0.0001% is owned by Banmédica S.A.
- (184) The remaining 1% is owned by Saden S.A.

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (185) The remaining 0.0001% is owned by Banmédica S.A.
- (186) The remaining 1% is owned by Saden S.A.
- (187) Inmobiliaria Megapoq S.A owns 1 share (0,0000%)
- (188) The remaining 7.62% is owned by Banmédica Colombia S.A. (7.619%), Juan Guillermo Ruiz (0.000142%) and Servicios Legales Corporativos Ltda. (0.000142%).
- (189) No information of the minority shareholder(s) has been provided
- (190) No information of the minority shareholder(s) has been provided
- (191) No information of the minority shareholder(s) has been provided
- (192) No information of the minority shareholder(s) has been provided
- (193) The other shareholder, with the other 50% is El Pacífico-Peruano Suiza Compañía de Seguros y Reaseguros S.A., part of the Credicorp Group
- (194) No information of the minority shareholder(s) has been provided
- (195) No information of the minority shareholder(s) has been provided
- (196) No information of the minority shareholder(s) has been provided
- (197) No information of the minority shareholder(s) has been provided
- (198) No information of the minority shareholder(s) has been provided
- (199) No information of the minority shareholder(s) has been provided
- (200) No information of the minority shareholder(s) has been provided
- (201) The remaining 0.0001% is owned by Clínica Dávila y Servicios Médicos S.A.
- (202) The remaining 0.00004% is owned by Saden S.A.
- (203) The remaining 0.00004% is owned by Saden S.A.
- (204) The remaining 0.0017% is owned by Saden S.A.
- (205) The remaining 0.0001% is owned by Saden S.A.
- (206) The remaining 0.08% is owned by Clínica Dávila y Servicios Médicos S.A.
- (207) TBD
- (208) No information of the other shareholder(s) has been provided
- (209) The remaining 0.3% is owned by Inmobiliaria Apoquindo 3001 S.A.
- (210) Inmobiliaria Megapoq S.A owns 1 share (0,0000%)
- (211) The remaining 0.0001% is owned by Saden S.A.
- (212) The remaining 0.0008% is owned by Vida Tres Internacional S.A.
- (213) The remaining 0.01% is owned by Saden S.A.
- (214) Currently undergoing a liquidation procedure
- (215) No information of the other shareholder(s) has been provided
- (216) No information of the other shareholder(s) has been provided
- (217) Banmédica S.A. owns 9.67% and Promotora del Country S.A. (Colombia) owns 0.23%. This Patrimony has two business units (Conuntry and Hill).
- (218) The remaining 49.2% is owned by third party shareholders.

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .00000 | 98-1308130 | | 0001363851 | | 1070715 B.C. Unlimited Liability Company | .CAN | NIA | OptumRx Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-2624551 | | | | 310 Canyon Medical, LLC | .CA | NIA | Monarch Management Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 35-2607738 | | | | 5995 Minnetonka, LLC | .DE | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1401978 | | | | ABCO India Private Limited | .IND | NIA | ABCO International Holdings, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1401978 | | | | ABCO India Private Limited | .IND | NIA | The Advisory Board Company | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | ABCO International Holdings, LLC | .DE | NIA | The Advisory Board Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1913523 | | | | ACN Group IPA of New York, Inc. | .NY | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-0015861 | | | | ACN Group of California, Inc. | .CA | IA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Administradora Clínica La Colina S.A.S. | .COL | NIA | Banmedica Internacional SpA | Ownership | 50.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | | | | | Administradora Country S.A. | .COL | NIA | Banmedica S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | | | | | Administradora Médica Centromed S.A. | .CHL | NIA | Vidaintegra S.A. | Ownership | 74.250 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 26-4093335 | | | | Advanced Surgical Hospital, LLC | | NIA | SCA Southwestern PA, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 47-5337115 | | | | Advocate Condell Ambulatory Surgery Center, LLC | .IL | NIA | Advocate-SCA Partners, LLC | Ownership | 81.645 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | | | | | Advocate Sherman Ambulatory Surgery Center, LLC | .IL | NIA | Advocate-SCA Partners, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Advocate-SCA Holdings, LLC | .DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-4012497 | | | | Advocate-SCA Partners, LLC | .DE | NIA | SCA-Illinois, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 45-4171713 | | | | AHN Accountable Care Organization, LLC | .IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 35-2109566 | | | | AHN Central Services, LLC | .IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 35-2582899 | | | | AHN Target Holdings, LLC | .DE | NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 92-0080881 | | | | Alaska Surgery Center, Inc. | .AK | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 82406 | 35-1665915 | | | | Aliansalud Entidad Promotora de Salud S.A. | .COL | NIA | Banmedica S.A. | Ownership | 76.290 | UnitedHealth Group Incorporated | | 2 |
| | | | | | | | All Savers Insurance Company | .IN | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | All Savers Life Insurance Company of California | .CA | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 73130 | 35-1744596 | | | | Alliance Surgical Center, LLC | .FL | NIA | SCA-Alliance, LLC | Ownership | 64.856 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 27-1367127 | | | | Ambient Healthcare, Inc. | .FL | NIA | Ambient Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 65-1095227 | | | | Ambient Holdings, Inc. | .DE | NIA | Briovax Infusion Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-2161438 | | | | American Health Network of Indiana Care Organization, LLC | .IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4770582 | | | | American Health Network of Indiana II, LLC | .IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 52623 | 35-2108729 | | | | American Health Network of Indiana, LLC | .IN | IA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | American Health Network of Ohio Care Organization, LLC | .OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4132160 | | | | American Health Network of Ohio II, LLC | .OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 31-1424956 | | | | American Health Network of Ohio, LLC | .OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 31-1424957 | | | | American Health Network of Ohio, LLC | .OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 54-1743136 | | 0001122263 | | AmeriChoice Corporation | .DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 54-1743141 | | | | AmeriChoice Health Services, Inc. | .DE | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95497 | 22-3368602 | | | | AmeriChoice of New Jersey, Inc. | .NJ | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Amil Assistência Médica Internacional S.A. | .BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 98.928 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1108620 | | | | Amico Saúde Ltda. | .BRA | NIA | Cemed Care - Empresa de Atendimento Clínico Geral Ltda. | Ownership | 1.072 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1108620 | | | | Amico Saúde Ltda. | .BRA | NIA | Cemed Care - Empresa de Atendimento Clínico Geral Ltda. | Ownership | 1.072 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | 0001447698 | | Amil Assistência Médica Internacional S.A. | .BRA | NIA | Polar II Fundo de Investimento em Participações Multiestratégia | Ownership | 91.810 | UnitedHealth Group Incorporated | | 4 |
| | | .00000 | 98-1109085 | | | | Amil Clínica Research Participações Ltda. | .BRA | NIA | Esho - Empresa de Serviços Hospitalares S.A. | Ownership | 99.950 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1109085 | | | | Amil Clínica Research Participações Ltda. | .BRA | NIA | Cemed Care - Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.050 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1138212 | | | | AMIL International | .LUX | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Análisis Clínicos ML S.A.C. | .PER | NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 99.990 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 36-4210293 | | | | Antelope Valley Surgery Center, L.P. | .CA | NIA | NSC Lancaster, LLC | Ownership | 81.240 | UnitedHealth Group Incorporated | | 5 |

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .00000 | 27-2068687 | | | | AppleCare Medical Management, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1399975 | | | | Aquitania Chilean Holding SpA | CHL | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Aquitania Holding SpA | CHL | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-2728964 | | | | Arise Healthcare System, LLC | DE | NIA | SCA Austin Medical Center Holdings, LLC | Ownership | 52.800 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 86-0813232 | | | | Arizona Physicians IPA, Inc. | AZ | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | ASC Connecticut Holdings, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-3907136 | | | | ASC Holdings of New Jersey, LLC | NJ | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 95-4348431 | | | | ASC Network, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 90-0369702 | | | | ASI Global, LLC | TX | NIA | FrontierMEDEX, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-3878957 | | | | AssuranceRx, LLC | AL | NIA | BriovaRx, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4014891 | | | | Athens ASC Holdings, LLC | GA | NIA | SCA Athens, LLC | Ownership | 53.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 47-0990056 | | 0001529111 | | Audax Health Solutions, LLC | DE | NIA | Rally Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 80-0368187 | | | | Aveta Arizona, Inc. | AZ | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 90-0632302 | | | | Aveta Health Solutions Inc. | DE | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-4057813 | | 0001355487 | | Aveta Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-2823524 | | | | Aveta Kansas City, Inc. | KS | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 36-4704309 | | | | Aveta Tennessee, Inc. | DE | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 30-0842394 | | | | AxelaCare Intermediate Holdings, LLC | DE | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 61-1708598 | | | | AxelaCare, LLC | DE | NIA | AxelaCare Intermediate Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 72-1097827 | | | | B.R.A.S.S. Partnership in Commendam Bakersfield Physicians Plaza Surgical Center, L.P. | LA | NIA | Surgery Center Holding, LLC | Ownership | 74.940 | UnitedHealth Group Incorporated | | 6 |
| | | .00000 | 62-1601443 | | | | Bakersfield-SC, LLC | TN | NIA | Bakersfield-SC, LLC | Ownership | 96.000 | UnitedHealth Group Incorporated | | 7 |
| | | .00000 | 62-1601445 | | | | Bakersfield-SC, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Banmedica Colombia SpA | COL | NIA | Banmedica Internacional SpA | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Banmedica Internacional SpA | CHL | NIA | Banmedica S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Banmedica Internacional SpA | CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Banmedica S.A. | CHL | NIA | Bordeaux Holding SpA | Ownership | 95.900 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 47-4504390 | | | | Beach Surgical Holdings III, LLC | CA | NIA | Surgicare of La Veta, Inc. | Ownership | 68.860 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 88-0267857 | | | | Behavioral Healthcare Options, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 63-0847380 | | | | Birmingham Outpatient Surgical Center, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-5337793 | | | | Blackstone Valley Surgicare Acquisition, L.P. | RI | NIA | Blackstone Valley Surgicare GP, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | 8 |
| | | .00000 | 05-0359457 | | | | Blackstone Valley Surgicare GP, LLC | RI | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-2241593 | | | | Blue Ridge GP, LLC | NC | NIA | SCA-Blue Ridge, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1396690 | | | | Bordeaux (Barbados) Holdings I, SRL | BRB | NIA | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1396559 | | | | Bordeaux (Barbados) Holdings II, SRL | BRB | NIA | Bordeaux (Barbados) Holdings I, SRL | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1403634 | | | | Bordeaux (Barbados) Holdings III, SRL | BRB | NIA | Bordeaux (Barbados) Holdings II, SRL | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1398490 | | | | Bordeaux Holding SpA | CHL | NIA | Aquitania Chilean Holding SpA | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 82-3147824 | | | | Bordeaux International Holdings, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1390574 | | | | Bordeaux UK Holdings I Limited | GBR | NIA | Bordeaux International Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1391914 | | | | Bordeaux UK Holdings II Limited | GBR | NIA | Bordeaux UK Holdings I Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1389224 | | | | Bordeaux UK Holdings III Limited | GBR | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1112673 | | | | Bosque Medical Center Ltda. | BRA | NIA | Amil Assistencia Medica Internacional S.A. | Ownership | 82.581 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1112673 | | | | Bosque Medical Center Ltda. | BRA | NIA | Esho - Empresa de Servicos Hospitalares S.A. | Ownership | 17.419 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Brevard Specialty Surgery Center, LLC | FL | NIA | Melbourne Surgery Center, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 11-2997132 | | | | BriovaRx Infusion Services 100, Inc. | NY | NIA | BriovaRx Infusion Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 11-3485985 | | | | BriovaRx Infusion Services 101, Inc. | NY | NIA | BriovaRx Infusion Services 100, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 90-0884047 | | | | BriovaRx Infusion Services 102, LLC | DE | NIA | BriovaRx Infusion Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-1533840 | | | | BriovaRx Infusion Services 103, LLC | DE | NIA | Serquinox Holdings LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 57-0861358 | | | | BriovaRx Infusion Services 200, Inc. | SC | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 55-0802777 | | | | BriovaRx Infusion Services 201, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-4881413 | | | | BriovaRx Infusion Services 202, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|--|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .0000 | 33-1012700 | | | | BriovaRx Infusion Services 203, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 55-0802774 | | | | BriovaRx Infusion Services 204, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 26-3738273 | | | | BriovaRx Infusion Services 205, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 63-1284325 | | | | BriovaRx Infusion Services 206, Inc. | AL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 63-0964525 | | | | BriovaRx Infusion Services 207, Inc. | AL | NIA | BriovaRx Infusion Services 206, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-4963945 | | | | BriovaRx Infusion Services 208, Inc. | NC | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 55-0802779 | | | | BriovaRx Infusion Services 209, Inc. | GA | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 75-2196224 | | | | BriovaRx Infusion Services 301, LP | OK | NIA | BriovaRx Infusion Services, Inc. | Ownership | 99.950 | UnitedHealth Group Incorporated | | |
| | | .0000 | 75-2196224 | | | | BriovaRx Infusion Services 301, LP | OK | NIA | BriovaRx Infusion Services 305, LLC | Ownership | 0.050 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-0668812 | | | | BriovaRx Infusion Services 302, LLC | NE | NIA | BriovaRx Infusion Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 26-2565032 | | | | BriovaRx Infusion Services 305, LLC | DE | NIA | BriovaRx Infusion Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-3741084 | | | | BriovaRx Infusion Services 308, LLC | AZ | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 02-0653265 | | | | BriovaRx Infusion Services 401, LLC | CA | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 26-4312858 | | | | BriovaRx Infusion Services 402, LLC | CA | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-0941801 | | | | BriovaRx Infusion Services 403, LLC | CA | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 93-1103256 | | | | BriovaRx Infusion Services 404, LLC | OR | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-3918706 | | 0001507772 | | BriovaRx Infusion Services, Inc. | DE | NIA | AxelaCare, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-4515146 | | | | BriovaRx of California, Inc. | CA | NIA | Salveo Specialty Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 11-3647935 | | | | BriovaRx of Florida, Inc. | DE | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-1930321 | | | | BriovaRx of Georgia, LLC | AL | NIA | BriovaRx, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 46-2731176 | | | | BriovaRx of Indiana, LLC | IN | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 46-2790537 | | | | BriovaRx of Louisiana, L.L.C. | LA | NIA | BriovaRx, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 01-0516051 | | 0001601630 | | BriovaRx of Maine, Inc. | ME | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-3331130 | | | | BriovaRx of Massachusetts, LLC | MA | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-2532834 | | | | BriovaRx of Nevada, LLC | NV | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 74-3103518 | | | | BriovaRx of New York, Inc. | NY | NIA | Salveo Specialty Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-2719823 | | | | BriovaRx of Texas, Inc. | TX | NIA | BriovaRx of Florida, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 82-4030553 | | | | BriovaRx Specialty, LLC | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 55-0824381 | | 0001601629 | | BriovaRx, LLC | AL | NIA | BriovaRx of Maine, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 61-1732160 | | | | California MedTrans Network IPA LLC | CA | NIA | California MedTrans Network MSO LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 36-4780748 | | | | California MedTrans Network MSO LLC | CA | NIA | National MedTrans, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 52-1597484 | | | | Camp Hill-SCA Centers, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 46-1981651 | | | | Cardio Management, Inc. | DE | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-8375685 | | | | Care Improvement Plus Group Management, LLC | MD | NIA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .12558 | 45-4976934 | | | | Care Improvement Plus of Texas Insurance Company | TX | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .12567 | 20-3888112 | | | | Care Improvement Plus South Central Insurance Company | AR | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .14041 | 27-5038136 | | | | Care Improvement Plus Wisconsin Insurance Company | WI | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1328471 | | | | Casa de Saúde Santa Therezinha S.A. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-5807941 | | | | Catalyst360, LLC | DE | NIA | Optum Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 46-0666840 | | 0001600768 | | Catamaran Health Solutions, LLC | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 80-0870454 | | 0001600637 | | Catamaran Holdings I, LLC | DE | NIA | OptumRx Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-0218027 | | | | Catamaran IPA III, Inc. | NY | NIA | OptumRx PBM of Maryland, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-3419292 | | | | Catamaran of Pennsylvania, LLC | DE | NIA | Catamaran Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 26-1424534 | | | | Catamaran PBM of Puerto Rico, LLC | NV | NIA | OptumRx PBM of Maryland, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 16-1767416 | | | | Catamaran PD of Pennsylvania, LLC | PA | NIA | Catamaran of Pennsylvania, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1069737 | | 0001600973 | | Catamaran S.á.r.l. | LUX | NIA | UnitedHealthcare International IV B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-2533497 | | | | Cedar Park Surgery Center, LLC | TX | NIA | SCA Cedar Park Holdings, LLC | Ownership | 55.550 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 98-1111491 | | | | Cemed Care - Empresa de Atendimento Clínico Geral Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1111491 | | | | Cemed Care - Empresa de Atendimento Clínico Geral Ltda. | BRA | NIA | Amico Saúde Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|-----|--|---|-----------------------|-----------------------------------|---|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .0000 | | | | | Central de Compras SpA | .CHL | NIA | Inversiones Clínicas Santa María S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4131797 | | | | Central Indiana Care Organization, LLC | .IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-5600514 | | | | Central Ohio Care Organization, LLC | .OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | CentrifyHealth, LLC | .DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | CentriHealth Corporation | .CAN | NIA | CentrifyHealth, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | CentriHealth UK Limited | .GBR | NIA | CentrifyHealth, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Centro de Entrenamiento en Reanimación y Prevención Limitada (CERP) | .CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Centro de Entrenamiento en Reanimación y Prevención Limitada (CERP) | .CHL | NIA | Servicios Integrados de Salud Ltda. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Centro de Servicios Compartidos Banmédica S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Centro de Servicios Compartidos Banmédica S.A. | .CHL | NIA | Clínica Santa María S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Centro Médico Odontológico Americano S.A.C. | .PER | NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 80.000 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 98-1310461 | | | | Centro Médico PJ Ltda. | .BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1310461 | | | | Centro Médico PJ Ltda. | .BRA | NIA | Cemed Care – Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Centromed Quilpué S.A. | .CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 93.450 | UnitedHealth Group Incorporated | | 2 |
| | | .0000 | | | | | Centros Médicos y Dentales Multimed Ltda. | .CHL | NIA | Onesa S.A. | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Centros Médicos y Dentales Multimed Ltda. | .CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 0.010 | UnitedHealth Group Incorporated | | |
| | | .0000 | 46-1454664 | | | | Channel Islands Surgicenter Properties, LLC | .CA | NIA | SCA Holding Company, Inc. | Ownership | 62.743 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 62-1262567 | | | | Charlotte-SC, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 26-2389638 | | | | Childrens Surgery Center, LLC | .FL | NIA | SCA-Central Florida, LLC | Ownership | 52.202 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 98-1093539 | | | | ChinaGate (Hong Kong) Limited | .HKG | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1510209 | | | | Citrus Regional Surgery Center, L.P. | .TN | NIA | SCA-Citrus, Inc. | Ownership | 57.000 | UnitedHealth Group Incorporated | | 9 |
| | | .0000 | | | | | Clínica Alameda S.A. | .CHL | NIA | Vidaintegra S.A. | Ownership | 99.841 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Alameda S.A. | .CHL | NIA | Saden S.A. | Ownership | 0.159 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Bio Bio S.A. | .CHL | NIA | Inversiones Clínicas Santa María S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Bio Bio S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Ciudad del Mar S.A. | .CHL | NIA | Inversiones Clínicas Santa María S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Ciudad del Mar S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Dávila y Servicios Médicos S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 99.991 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Dávila y Servicios Médicos S.A. | .CHL | NIA | Clínica Santa María S.A. | Ownership | 0.009 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica del Country S.A. | .COL | NIA | Banmédica Internacional SpA | Ownership | 50.000 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 98-1350667 | | | | Clínica Médico Cirúrgica de Santa Tecla, S.A. | .PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1337965 | | | | Clínica Oftalmologica Danilo de Castro Sociedade Simples | .BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 99.667 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1337965 | | | | Clínica Oftalmologica Danilo de Castro Sociedade Simples | .BRA | NIA | Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda. | Ownership | 0.333 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica San Borja (La Esperanza del Perú S.A.) | .PER | NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 99.990 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | | | | | Clínica San Felipe S.A. | .PER | NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 93.740 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | | | | | Clínica Sánchez Ferrer S.A. | .PER | NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 99.990 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | | | | | Clínica Santa María S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 99.433 | UnitedHealth Group Incorporated | | 2 |
| | | .0000 | | | | | Clínica Vespucio S.A. | .CHL | NIA | Inversiones Clínicas Santa María S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Clínica Vespucio S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1350671 | | | | CLISA – Clínica de Santo António, S.A. | .PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1337968 | | | | CMO – Centro Médico de Oftalmologia S/S Ltda. | .BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1337968 | | | | CMO – Centro Médico de Oftalmologia S/S Ltda. | .BRA | NIA | Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|-----------------------|-----------------------------------|--|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .00000 | 98-1277015 | | | | CMS – Central de Manipulação e Serviços Farmacêuticos S.A. | .BRA | .NIA | COI – Clínicas Oncológicas Integradas S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 71-0873411 | | | | CNIC Health Solutions, Inc. | .CO | .NIA | Rocky Mountain HealthCare Options, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 56-2674371 | | | | Coachella Valley Physicians of PrimeCare, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-1193028 | | 0001600967 | | Coalition for Advanced Pharmacy Services, Inc. | .DE | .NIA | Catamaran Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1276040 | | | | COI – Clínicas Oncológicas Integradas S.A. | .BRA | .NIA | COI Participações S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1275801 | | | | COI Participações S.A. | .BRA | .NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 89.102 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 27-2337616 | | | | Collaborative Care Holdings, LLC | .DE | .NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-2337487 | | | | Collaborative Care Services, Inc. | .DE | .NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-3470466 | | | | Collaborative Care Solutions, LLC | .DE | .NIA | Collaborative Care Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-2614005 | | | | Collaborative Realty, LLC | .NY | .NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Colmedica Medicina Prepagada | .COL | .NIA | Banmedica S.A. | Ownership | 76.270 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 84-1160450 | | | | Colorado Springs Surgery Center, Ltd. | .CO | .NIA | SCA-Colorado Springs, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 10 |
| | | .00000 | 11-3647007 | | | | Comfort Care Transportation, LLC | .TX | .NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 06-1592173 | | | | Connecticut Surgery Center, Limited Partnership | .CT | .NIA | Connecticut Surgical Center, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 11 |
| | | .00000 | 26-1313646 | | | | Connecticut Surgery Properties, LLC | .DE | .NIA | Connecticut Surgical Center, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 06-1156342 | | | | Connecticut Surgical Center, LLC | .DE | .NIA | Surgical Care Affiliates, LLC | Ownership | 75.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | | | | | Constructora e Inmobiliaria Magapoq S.A. | .CHL | .NIA | Banmedica S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Constructora e Inmobiliaria Magapoq S.A. | .CHL | .NIA | Inmobiliaria Apoquindo 3600 Ltda. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-0231080 | | | | Consumer Wellness Solutions, Inc. | .DE | .NIA | Optum Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Country Scan Ltda. | .COL | .NIA | Patrimonio Autónomo Nueva Clinica – PANC. | Ownership | 0.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 26-0080565 | | | | Cypress Care, Inc. | .DE | .NIA | Healthcare Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Day-Op Surgery Consulting Company, LLC | .DE | .NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-1811176 | | | | DBP Services of New York IPA, Inc. | .NY | .NIA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-1452809 | | | | Dental Benefit Providers of California, Inc. | .CA | .IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .52053 | 36-4008355 | | | | Dental Benefit Providers of Illinois, Inc. | .IL | .IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-2014834 | | | | Dental Benefit Providers, Inc. | .DE | .NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Diagnóstico Ecotomográfico Centromed Ltda. | .CHL | .NIA | Administradora Médica Centromed S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | | | | | Diasnóstico por Imágenes Centromed Ltda. | .CHL | .NIA | Administradora Médica Centromed S.A. | Ownership | 93.340 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Diasnóstico por Imágenes Centromed Ltda. | .CHL | .NIA | Centromed Quilpué S.A. | Ownership | 6.660 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1285762 | | | | Dilab Medicina Nuclear Ltda. | .BRA | .NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 85.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 27-4245123 | | | | DISC Surgery Center at Newport Beach, LLC | .CA | .NIA | SCA-Newport Beach, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 30-0238641 | | | | Distance Learning Network, Inc. | .DE | .NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Doctor + S.A.C. | .PER | .NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 99.990 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 27-2103713 | | | | Dublin Surgery Center, LLC | .OH | .NIA | SCA-Dublin, LLC | Ownership | 56.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | | | | | Duncan Printing Services, LLC | .SC | .NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 59-3625966 | | | | DWIC of Tampa Bay, Inc. | .FL | .NIA | MedExpress Development, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 59-3705426 | | | | E Street Endoscopy, LLC | .FL | .NIA | West Coast Endoscopy Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | | | | | Ear Professionals International Corporation | .DE | .NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 02-0593133 | | | | East Brunswick Surgery Center, LLC | .NJ | .NIA | ASC Holdings of New Jersey, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 71-0923682 | | | | eCode Solutions, LLC | .DE | .NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 84-1162764 | | | | Electronic Network Systems, Inc. | .DE | .NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1339173 | | | | Eual Participações S.A. | .BRA | .NIA | Anil Assistência Médica Internacional S.A. | Ownership | 60.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1339173 | | | | Eual Participações S.A. | .BRA | .NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 40.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Empire Physician Management Company, LLC | .CA | .NIA | North American Medical Management California, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|-----|--|---|-----------------------|-----------------------------------|---|--|--|--|-----------------------------------|-----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .00000 | | | | | Empremédica S. A. | .PER | NIA | Banmedica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | .1 |
| | | .00000 | 47-3495605 | | | | Endoscopy Center Affiliates, Inc. | .DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | EP Campus I, LLC | .DE | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1111172 | | | | Esho – Empresa de Serviços Hospitalares S.A. | .BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 99.630 | UnitedHealth Group Incorporated | | .12 |
| | | .00000 | 98-1122399 | | | | Etho – Empresa de Tecnologia Hospitalar Ltda. | .BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 90.061 | UnitedHealth Group Incorporated | | .2 |
| | | .00000 | 86-0964571 | | | | Evercare Collaborative Solutions, Inc. | .DE | NIA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1111239 | | | | Excellion Serviços Biomédicos Ltda. | .BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1111239 | | | | Excellion Serviços Biomédicos Ltda. | .BRA | NIA | Cemed Care – Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 11-3669765 | | | | Executive Health Resources, Inc. | .PA | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 30-0701899 | | | | Executive Surgery Center, LLC | .TX | NIA | SCA-Houston Executive, LLC | Ownership | 55.000 | UnitedHealth Group Incorporated | | .1 |
| | | .00000 | 98-1259260 | | | | Exploration for Mine Clearance LLC | .IRQ | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 88-0223385 | | | | Family Health Care Services | .NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 88-0257036 | | | | Family Home Hospice, Inc. | .NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-4106571 | | | | First Rx Specialty and Mail Services, LLC | .DE | NIA | Catamaran Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1551098 | | | | Florence Surgery Center, L.P. | .AL | NIA | SCA-Florence, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | .13 |
| | | .00000 | 32-0432993 | | | | Florida MedTrans Network LLC | .FL | NIA | Florida MedTrans Network MSO LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 36-4778512 | | | | Florida MedTrans Network MSO LLC | .FL | NIA | National MedTrans, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 35-2456267 | | | | FMG Holdings, LLC | .DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 86-0908902 | | | | For Health of Arizona, Inc. | .AZ | NIA | For Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0766617 | | | | For Health, Inc. | .DE | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-0695411 | | | | Fortify Technologies Asia, LLC | .PHL | NIA | Savvysherpa, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | .1 |
| | | .00000 | 20-3412606 | | | | Fortify Technologies, LLC | .MN | NIA | Savvysherpa, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Franklin Surgical Center, LLC | .NJ | NIA | SCA-Franklin, LLC | Ownership | 55.000 | UnitedHealth Group Incorporated | | .1 |
| | | .00000 | 98-1172769 | | | | Frontier Medex Tanzania Limited | .TZA | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 99.000 | UnitedHealth Group Incorporated | | .3 |
| | | .00000 | 68-0679514 | | | | FrontierMEDEX (RMS), Inc. | .DE | NIA | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-5339512 | | | | FrontierMEDEX Government Services, LLC | .DE | NIA | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1147103 | | | | FrontierMEDEX Kenya Limited | .KEN | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1147103 | | | | FrontierMEDEX Kenya Limited | .KEN | NIA | UnitedHealthcare International I B.V. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1101521 | | | | FrontierMEDEX Limited | .IRQ | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-1219808 | | | | FrontierMEDEX US, Inc. | .DE | NIA | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-2230470 | | | | FrontierMEDEX, Inc. | .MN | NIA | FrontierMEDEX US, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 63-0833765 | | | | Fundación Banmedica | .CHL | NIA | Banmedica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1488653 | | | | Gadsden Surgery Center, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1600268 | | | | Gadsden Surgery Center, Ltd. | .AL | NIA | Gadsden Surgery Center, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | .14 |
| | | .00000 | 37-0920164 | | | | Gainesville Surgery Center, L.P. | .TN | NIA | SCA-Northeast Georgia Health, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | .15 |
| | | .00000 | 65-1025514 | | | | gethealthinsurance.com Agency Inc. | .IN | NIA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1601450 | | | | Gladiolus Surgery Center, L.L.C. | .FL | NIA | SCA-Gladiolus, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | .1 |
| | | .00000 | 20-3420886 | | | | Glenwood-SC, Inc. | .TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 37-0855360 | | | | Golden Outlook, Inc. | .CA | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .62286 | 37-6028756 | 3057283 | | | Golden Rule Financial Corporation | .DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0529450 | | | | Golden Rule Insurance Company | .IN | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 01-0619096 | | | | Golden Triangle Surgicenter, L.P. | .CA | NIA | Surgery Centers-West Holdings, LLC | Ownership | 71.000 | UnitedHealth Group Incorporated | | .16 |
| | | .00000 | 98-0213198 | | | | Grove Place Surgery Center, L.L.C. | .FL | NIA | SCA-Grove Place, LLC | Ownership | 52.580 | UnitedHealth Group Incorporated | | .1 |
| | | .00000 | 26-1227494 | | | | H&W Indemnity (SPC), Ltd. | .CVM | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .79480 | 35-1279304 | | | | H.I. Investments Holding Company, LLC | .DE | NIA | Health Inventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | Harken Health Insurance Company | .WI | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|---|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .0000 | | | | | Hartford Surgery Center, LLC | CT | NIA | SunSurgery, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-5944768 | | | | Health Inventures Employment Solutions, LLC | DE | NIA | Health Inventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 04-3723090 | | | | Health Inventures, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-0153069 | | | | Health Net Services (Bermuda) Ltd. | BMU | NIA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .96342 | 88-0201035 | | | | Health Plan of Nevada, Inc. | NV | IA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 95-4763349 | | | | HealthAllies, Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 77-0693060 | | | | Healthcare Solutions, Inc. | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | HealthFirst IPA, Inc. | CO | NIA | New West Physicians, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Help S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Help S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Help Service S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Help Service S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 84-1472832 | | | | Highlands Ranch Healthcare, LLC | CO | NIA | Urgent Care MSO, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Home Medical S.A. | CHL | NIA | Help S.A. | Ownership | 99.998 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Home Medical S.A. | CHL | NIA | Saden S.A. | Ownership | 0.002 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-8910978 | | | | Hospice Inspiris Holdings, Inc. | TN | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1286038 | | | | Hospitais Associados de Pernambuco Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 90.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 98-1111920 | | | | Hospital Alvorada de Taguatinga Ltda. | BRA | NIA | Anil Assistência Médica Internacional S.A. | Ownership | 89.060 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1111920 | | | | Hospital Alvorada de Taguatinga Ltda. | BRA | NIA | Bosque Medical Center Ltda. | Ownership | 10.940 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1389272 | | | | Hospital Ana Costa S.A. | BRA | NIA | Plano de Saúde Ana Costa Ltda. | Ownership | 57.412 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 98-1389272 | | | | Hospital Ana Costa S.A. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 33.369 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 98-1310065 | | | | Hospital de Clínicas de Jacarepaguá Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1310065 | | | | Hospital de Clínicas de Jacarepaguá Ltda. | BRA | NIA | Cemed Care – Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1328324 | | | | Hospital Samaritano de São Paulo Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1328324 | | | | Hospital Samaritano de São Paulo Ltda. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1332673 | | | | Hospital Santa Helena S.A. | BRA | NIA | Elual Participações S.A. | Ownership | 65.210 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 98-1332673 | | | | Hospital Santa Helena S.A. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 33.600 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 26-2912304 | | 0001441730 | | Humedica, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 36-4331825 | | | | Hygeia Corporation | DE | NIA | UnitedHealth International, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1106075 | | | | Hygeia Corporation | CAN | NIA | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imed Star – Serviços de Desempenho Organizacional Ltda. | BRA | NIA | Optum Health & Technology Serviços do Brasil Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imed Star – Serviços de Desempenho Organizacional Ltda. | BRA | NIA | UHG Brasil Participações S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-4351923 | | | | Impel Consulting Experts, L.L.C. | TX | NIA | Impel Management Services, L.L.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2574317 | | | | Impel Management Services, L.L.C. | TX | NIA | USMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1484043 | | | | Indian River Surgery Center, Ltd. | FL | NIA | Surgery Center of Vero Beach, Inc. | Ownership | 59.000 | UnitedHealth Group Incorporated | | 17 |
| | | .00000 | 26-0711388 | | | | Indian River Surgery Properties, LLC. | FL | NIA | Surgery Center of Vero Beach, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4132005 | | | | Indiana Care Organization, LLC | IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1641102 | | | | Ingram & Associates, LLC | TN | NIA | Optum360, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0018673 | | | | Inland Surgery Center, L.P. | CA | NIA | Redlands Ambulatory Surgery Center | Ownership | 51.000 | UnitedHealth Group Incorporated | | 18 |
| | | .00000 | | | | | Imobiliária Apoquindo 3001 S.A. | CHL | NIA | Imobiliária Apoquindo S.A. | Ownership | 99.999 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imobiliária Apoquindo 3001 S.A. | CHL | NIA | Vida Tres Internacional S.A. | Ownership | 0.001 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imobiliária Apoquindo 3600 Ltda. | CHL | NIA | Banmédica S.A. | Ownership | 99.700 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imobiliária Apoquindo 3600 Ltda. | CHL | NIA | Imobiliária Apoquindo 3001 S.A. | Ownership | 0.300 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imobiliária Apoquindo S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imobiliária Apoquindo S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Imobiliária Clínica Santa María S.A. | CHL | NIA | Banmédica S.A. | Ownership | 99.433 | UnitedHealth Group Incorporated | | 2 |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|-----|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .0000 | | | | | Inmobiliaria e Inversiones Alameda S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 99.980 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Inmobiliaria e Inversiones Alameda S.A. | .CHL | NIA | Saden S.A. | Ownership | 0.020 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Inmobiliaria Viñamed Ltda. | .CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | 2 |
| | | .0000 | 88-0482274 | | | | inPharmative, Inc. | .NV | NIA | Catamaran Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 13-4138668 | | | | INSPIRIS of New York IPA, Inc. | .NY | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 13-4138665 | | | | INSPIRIS of New York Management, Inc. | .NY | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 26-2885572 | | | | INSPIRIS of Texas Physician Group | .TX | NIA | Inspiris Services Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 26-0683057 | | | | Inspiris Services Company | .TN | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 33-0766366 | | | | Inspiris, Inc. | .DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Inversiones Clínicas Santa María S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Inversiones Clínicas Santa María S.A. | .CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Isapre Banmédica S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Isapre Banmédica S.A. | .CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Isapre Vida Tres S.A. | .CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Isapre Vida Tres S.A. | .CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Laboratorio ROE S.A. | .PER | NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 99.990 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | | | | | Laboratorios Médicos Amed Quilpué S.A. | .CHL | NIA | Centromed Quilpué S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Laboratorios Médicos Amed Quilpué S.A. | .CHL | NIA | Servicios Médicos Amed Quilpué S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Lifeprint Accountable Care Organization, LLC | .DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 32-0409538 | | | | Lifeprint East, Inc. | .DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-3143218 | | | | LifePrint Health, Inc. | .DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-2309024 | | | | Logistics Health, Inc. | .WI | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 39-1974851 | | | | Lotten-Eyes Oftalmología Clínica e Cirugía Ltda. | .BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1337963 | | | | Lotten-Eyes Oftalmología Clínica e Cirugía Ltda. | .BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1337963 | | | | Louisville S.C., Ltd. | .KY | NIA | Surgery Center of Louisville, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 19 |
| | | .0000 | 62-1179566 | | | | Louisville-SC Properties, Inc. | .KY | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1179538 | | | | Loyola Ambulatory Surgery Center at Oakbrook, Inc. | .IL | NIA | ASC Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 36-4119519 | | | | Lusiadas – Parcerias Cascais, S.A. | .PRT | NIA | Lusiadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1137620 | | | | Lusiadas A.C.E. | .PRT | NIA | Lusiadas, SGPS, S.A. | Ownership | 55.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1139095 | | | | Lusiadas A.C.E. | .PRT | NIA | Lusiadas, S.A. | Ownership | 20.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1139095 | | | | Lusiadas A.C.E. | .PRT | NIA | CLISA – Clínica de Santo António, S.A. | Ownership | 10.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1139095 | | | | Lusiadas A.C.E. | .PRT | NIA | Lusiadas – Parcerias Cascais, S.A. | Ownership | 10.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1139095 | | | | Lusiadas A.C.E. | .PRT | NIA | Clínica Médico Cirúrgica de Santa Tecla, S.A. | Ownership | 5.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1139089 | | | | Lusiadas, S.A. | .PRT | NIA | Lusiadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1138570 | | | | Lusiadas, SGPS, S.A. | .PRT | NIA | Amil International | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 52-2129787 | | | | MAISI Insurance Resources, LLC | .MD | NIA | OneNet PPO, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .60321 | 52-1803283 | | | | MAISI Life and Health Insurance Company | .MD | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 14-1782475 | | | | Managed Physical Network, Inc. | .NY | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-2880404 | | | | March Holdings, Inc. | .CA | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-3042852 | | | | March Vision Care, Inc. | .CA | NIA | March Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-2601943 | | | | Marin Surgery Holdings, Inc. | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-1456812 | | | | Maryland Ambulatory Centers | .MD | NIA | Maryland-SCA Centers, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 52-1401791 | | | | Maryland-SCA Centers, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1600267 | | | | Massachusetts Assurace Co., Ltd. | .CYM | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | McKenzie Surgery Center, L.P. | .TN | NIA | SCA-Eugene, Inc. | Ownership | 51.000 | UnitedHealth Group Incorporated | | 20 |
| | | .00000 | 42-1741594 | | | | MD Ops, Inc. | .CA | NIA | North American Medical Management California, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .96310 | 52-1169135 | | | | MD-Individual Practice Association, Inc. | .MD | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|-----------------------|-----------------------------------|--|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .00000 | 98-1286220 | | | | Medalliance Net Ltda. | .BRA | NIA | Optum Health & Technology Serviços do Brasil Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1286220 | | | | Medalliance Net Ltda. | .BRA | NIA | UHG Brasil Participações S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-2178531 | | | | MEDEX Insurance Services, Inc. | .MD | NIA | FrontierMEDEX, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-3824377 | | | | MedExpress Development, LLC | .FL | NIA | Urgent Care MSO, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 32-0533926 | | | | MedExpress Urgent Care Alabama, LLC | .AL | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 82-1719888 | | | | MedExpress Urgent Care Maine, Inc. | .ME | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 82-0631738 | | | | MedExpress Urgent Care New Hampshire, Inc. | .NH | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-2545363 | | | | MedExpress Urgent Care of Boynton Beach, LLC | .FL | NIA | MedExpress Development, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-2545363 | | | | MedExpress Urgent Care, Inc. - Ohio | .OH | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .12756 | 20-3391186 | | | | Medica Health Plans of Florida, Inc. | .FL | .IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .12155 | 01-0788576 | | | | Medica HealthCare Plans, Inc. | .FL | .IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2566987 | | | | Medical Clinic of North Texas PLLC | .TX | NIA | USMD Affiliated Services | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Medical Hilfe S.A. | .CHL | NIA | Home Medical S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Medical Hilfe S.A. | .CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-0636717 | | | | Medical Surgical Centers of America, Inc. | .DE | NIA | ASC Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 32-0037402 | | | | Medical Transportation Services, LLC | .FL | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2515691 | | 0001012564 | | MedSynergies, LLC | .DE | NIA | Mustang Razorback Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 58-2101921 | | | | Melbourne Surgery Center, LLC | .GA | NIA | Surgical Care Partners of Melbourne, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1590322 | | | | Memphis-SC, LLC | .TN | NIA | SCA-Shelby Development Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1590324 | | | | Memphis-SP, LLC | .TN | NIA | Shelby Surgery Properties, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 59-3392313 | | | | Metro I Stone Management, Ltd. | .TX | NIA | USMD Inc. | Ownership | 60.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 52-2303928 | | | | Metropolitan Medical Partners, LLC | .MD | NIA | SCA-Chevy Chase, LLC | Ownership | 59.350 | UnitedHealth Group Incorporated | | 1 |
| | | | | | | | Metropolitan Medical Transportation IPA, LLC | | | | | | | | |
| | | .00000 | 20-8998927 | | | | MHC Real Estate Holdings, LLC | .NY | NIA | National MedTrans, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-2252446 | | | | Mississippi Surgery Holdings, LLC | .CA | NIA | Monarch Management Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-2439806 | | | | Mississippi Surgical Center Limited Partnership | .DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1417391 | | | | MN Waypoint Sports Physical Therapy, Inc. | .MS | NIA | Mississippi Surgery Holdings, LLC | Ownership | 59.000 | UnitedHealth Group Incorporated | | 21 |
| | | .00000 | 46-3949765 | | | | Modern Medical, Inc. | .DE | NIA | Orthology, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 31-1191553 | | | | Monarch Management Services, Inc. | .OH | NIA | Healthcare Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-3142852 | | | | MSLA Management LLC | .DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-1633765 | | | | Mt. Pleasant Surgery Center, L.P. | .DE | NIA | Logistics Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1506649 | | | | Mutiangio Ltda. | .TN | NIA | SCA-Mt. Pleasant, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 22 |
| | | .00000 | 98-1285432 | | | | Muskogee Surgical Investors, LLC | .NY | NIA | Esho - Empresa de Serviços Hospitalares S.A. | Ownership | 68.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 20-4209261 | | | | Mustang Razorback Holdings, Inc. | .BRA | NIA | Surgery Center of Muskogee, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 26-2564744 | | | | My Wellness Solutions, LLC | .DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-3236839 | | | | NAMM Holdings, Inc. | .DE | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1468431 | | | | Nashville-SCA Surgery Centers, Inc. | .DE | NIA | Aveta Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-2336925 | | | | National MedTrans, LLC | .TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95251 | 76-0196559 | | | | National Pacific Dental, Inc. | .NY | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 36-3549627 | | | | National Surgery Centers, LLC | .TX | .IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 68-0293690 | | | | National Surgery Centers-Santa Monica, LLC | .DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95123 | 65-0996107 | | | | Neighborhood Health Partnership, Inc. | .DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-4755277 | | | | Netwerkes, LLC | .FL | .IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95758 | 88-0228572 | | | | Nevada Pacific Dental | .TN | NIA | Payment Resolution Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 84-1250135 | | | | New West Physicians, Inc. | .NV | .IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Newton Holdings, LLC | .CO | NIA | Newton Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | North American Medical Management - Illinois, Inc. | .DE | NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 36-3984647 | | | | North American Medical Management - Illinois, Inc. | .IL | NIA | NAAM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|-----------------------|-----------------------------------|--|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .00000 | 33-0673955 | | | | North American Medical Management California, Inc. | ..TN | ..NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 88-0245121 | | | | Northern Nevada Health Network, Inc. | ..NV | ..NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 63-1240726 | | | | Northern Rockies Surgery Center, L.P. | ..TN | ..NIA | Northern Rockies Surgicenter, Inc. | Ownership | 51.000 | UnitedHealth Group Incorporated | | 23 |
| | | .00000 | 81-0399251 | | | | Northern Rockies Surgicenter, Inc. | ..MT | ..NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 37-1007387 | | | | Northwest Surgicare, LLC | ..DE | ..NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2494046 | | | | Northwest Surgicare, Ltd. | ..IL | ..NIA | Northwest Surgicare, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 24 |
| | | .00000 | 56-1754480 | | | | NSC Fayetteville, LLC | ..DE | ..NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 56-1775016 | | | | NSC Greensboro, LLC | ..DE | ..NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 36-4210296 | | | | NSC Lancaster, LLC | ..DE | ..NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 91-1553479 | | | | NSC Seattle, Inc. | ..WA | ..NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0812824 | | | | NSC Upland, LLC | ..DE | ..NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-5025690 | | | | NYSCA, LLC | ..NY | ..NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Omesa S.A. | ..CHL | ..NIA | Vidaintegra S.A. | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Omesa S.A. | ..CHL | ..NIA | Saden S.A. | Ownership | 0.010 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Oncocare S.A.C. | ..PER | ..NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 80.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 52-2129786 | | | | OneNet PPO, LLC | ..MD | ..NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .96940 | 52-1518174 | | | | Optimum Choice, Inc. | ..MD | ..IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-0858534 | 3202702 | | | Optum Bank, Inc. | ..UT | ..NIA | OptumHealth Financial Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 36-3437660 | | | | Optum Biometrics, Inc. | ..IL | ..NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 37-1782217 | | 0001641013 | | Optum Clinics Holdings, Inc. | ..DE | ..NIA | Collaborative Care Holdings, LLC | Ownership | 97.200 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 38-3969193 | | | | Optum Clinics Intermediate Holdings, Inc. | ..DE | ..NIA | Optum Clinics Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Optum Digital Health Holdings, LLC | ..DE | ..NIA | Consumer Wellness Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1325466 | | | | Optum Finance (Ireland) Unlimited Company | ..IRL | ..NIA | Optum Services (Ireland) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1103015 | | | | Optum Global Solutions (India) Private Limited | ..IND | ..NIA | Optum Global Solutions International B.V. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1103015 | | | | Optum Global Solutions (India) Private Limited | ..IND | ..NIA | UnitedHealth International, Inc. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1097776 | | | | Optum Global Solutions (Philippines), Inc. | ..PHL | ..NIA | Optum Global Solutions International B.V. | Ownership | 99.992 | UnitedHealth Group Incorporated | | 3 |
| | | .00000 | 98-1201187 | | | | Optum Global Solutions International B.V. | ..NLD | ..NIA | Optum Technology, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 04-3574101 | | | | Optum Government Solutions, Inc. | ..DE | ..NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1185943 | | | | Optum Health & Technology (Australia) Pty Ltd | ..AUS | ..NIA | Optum UK Solutions Group Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Optum Health & Technology (Hong Kong) Limited | ..HKG | ..NIA | OptumHealth International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1095799 | | | | Optum Health & Technology (India) Private Limited | ..IND | ..NIA | OptumHealth International B.V. | Ownership | 99.996 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1095799 | | | | Optum Health & Technology (India) Private Limited | ..IND | ..NIA | United Behavioral Health | Ownership | 0.004 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1097886 | | | | Optum Health & Technology (Singapore) Pte. Ltd. | ..SGP | ..NIA | OptumHealth International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1095879 | | | | Optum Health & Technology (UK) Limited | ..GBR | ..NIA | Optum UK Solutions Group Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-2149493 | | | | Optum Health & Technology (US), Inc. | ..MO | ..NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 43-1747235 | | | | Optum Health & Technology Holdings (US), Inc. | ..MO | ..NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1184561 | | | | Optum Health & Technology Serviços do Brasil Ltda. | ..BRA | ..NIA | Optum Global Solutions International B.V. | Ownership | 99.996 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1184561 | | | | Optum Health & Technology Serviços do Brasil Ltda. | ..BRA | ..NIA | OptumInsight, Inc. | Ownership | 0.004 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1276517 | | | | Optum Health and Technology FZ-LLC | ..ARE | ..NIA | Optum Global Solutions International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1097921 | | | | Optum Health Services (Canada) Ltd. | ..CAN | ..NIA | Optum Health & Technology Holdings (US), Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1406274 | | | | Optum Health Solutions (Australia) Pty Ltd | ..AUS | ..NIA | Optum UK Solutions Group Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1147355 | | | | Optum Health Solutions (UK) Limited | ..GBR | ..NIA | Optum UK Solutions Group Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | | |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|--|--|--|--|--|--|----------------------------------|---------------------------------|--|---|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * | | |
| 0707 | UnitedHealth Group Incorporated | .0000 | 58-2068880 | | 0001460656 | | Optum Healthcare of Illinois, Inc. | GA | NIA | Optum Women's and Children's Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .0000 | 20-0212381 | | | | Optum Hospice Pharmacy Services, LLC | DE | NIA | Catamaran Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .69647 | 31-0628424 | | | | Optum Insurance of Ohio, Inc. | OH | IA | OptumRx PBM of Maryland, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .00000 | 46-4734521 | | | | Optum Labs Dimensions, Inc. | DE | NIA | Optum Labs, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .00000 | 98-1249178 | | | | Optum Labs International (UK) Ltd. | GBR | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .00000 | 46-1615964 | | | | Optum Labs, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .00000 | 98-1209730 | | | | Optum Life Sciences (Canada) Inc. | CAN | NIA | OptumInsight Life Sciences, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .00000 | 98-1098190 | | | | Optum Management Consulting (Shanghai) Co., Ltd. | CHN | NIA | Optum Health & Technology Holdings (US), Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .00000 | 90-1001805 | | | | Optum Nevada Accountable Care Organization LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| | | .00000 | 58-1873062 | | | 0001156286 | | Optum of New York, Inc. | NY | NIA | Optum Women's and Children's Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 98-1097761 | | | | | Optum Operations (Ireland) Limited | IRL | NIA | Optum Global Solutions International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 20-8911466 | | | | | Optum Palliative and Hospice Care of Pennsylvania, Inc. | TN | NIA | Hospice Inspiris Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 20-8911303 | | | | | Optum Palliative and Hospice Care of Texas, Inc. | TN | NIA | Hospice Inspiris Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 20-4581265 | | | | | Optum Palliative and Hospice Care, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 46-3328009 | | | | | Optum Public Sector Solutions, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 26-0543382 | | | | | Optum Rocket, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 98-1307821 | | | | | Optum Senior Services, LLC | AL | NIA | Optum Hospice Pharmacy Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 98-1307821 | | | | | Optum Services (Ireland) Limited | IRL | NIA | UnitedHealthcare International IX S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 66-0870003 | | | | | Optum Services (Puerto Rico) LLC | | NIA | UnitedHealthcare International III B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 45-4683454 | | | | | Optum Services, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 98-1284698 | | | | | Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda. | BRA | NIA | Optum Global Solutions International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 98-1284698 | | | | | Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda. | BRA | NIA | OptumHealth International B.V. | Ownership | 0.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 98-0644599 | | | | | Optum Solutions UK Holdings Limited | GBR | NIA | Optum Health & Technology Holdings (US), Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 46-5713629 | | | | | Optum Technology, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 98-1097769 | | | | | Optum UK Solutions Group Limited | GBR | NIA | Optum Solutions UK Holdings Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | | |
| | | .00000 | 58-2205984 | | | | 0001460641 | | Optum Women's and Children's Health, LLC | DE | NIA | My Wellness Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 30-0580620 | | | 3119994 | | | Optum, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-3983926 | | | | | | Optum360 Services, Inc. | DE | NIA | Optum Rocket, Inc. | Ownership | 69.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 82-3446942 | | | | | | Optum360 Solutions, LLC | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-3328307 | | | | | | Optum360, LLC | DE | NIA | Optum Rocket, Inc. | Ownership | 75.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 41-1591944 | | | | | | OptumHealth Care Solutions, LLC | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-0858530 | | | | | | OptumHealth Financial Services, Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-1192395 | | | | | | OptumHealth Holdings, LLC | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1106868 | | | | | | OptumHealth International B.V. | NLD | NIA | UnitedHealthcare Europe S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 04-3383745 | | | | | | OptumInsight Holdings, LLC | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1858498 | | | | | | OptumInsight Life Sciences, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2578509 | | | | 0001600762 | | OptumInsight, Inc. | DE | NIA | OptumInsight Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 31-1728846 | | | | | | OptumRx Administrative Services, LLC | TX | NIA | Catamaran Holdings I, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-4734235 | | | | | | OptumRx Discount Card Services, LLC | DE | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-4734235 | | | | | | OptumRx Group Holdings, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .00000 | 47-1734077 | | | | | | OptumRx Holdings, LLC | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| .00000 | 34-1472211 | | | | | | OptumRx Home Delivery of Illinois, LLC | IL | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| .00000 | 20-0151096 | | | | | | OptumRx Home Delivery of Ohio, LLC | OH | NIA | First Rx Specialty and Mail Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| .00000 | 11-2581812 | | | | 0001600760 | | OptumRx NY IPA, Inc. | NY | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| .00000 | 88-0361447 | | | | 0001600759 | | OptumRx PBM of Illinois, Inc. | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |
| .00000 | | | | | | | OptumRx PBM of Maryland, LLC | NV | NIA | Catamaran Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | | | |

16.10

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|--|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .00000 | 03-0592263 | | 0001601641 | | OptumRx PBM of Pennsylvania, LLC | PA | NIA | Catamaran of Pennsylvania, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 38-3693753 | | | | OptumRx PBM of Wisconsin, LLC | WI | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 61-1485410 | | | | OptumRx PBM of Maryland, Inc. | NV | NIA | OptumRx PBM of Maryland, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 88-0373347 | | | | OptumRx Pharmacy of Nevada, Inc. | NV | NIA | Catamaran Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-3146510 | | | | OptumRx Pharmacy, Inc. | DE | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0441200 | | | | OptumRx, Inc. | CA | NIA | OptumRx Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 59-3125869 | | | | Orlando Center for Outpatient Surgery, L.P. | GA | NIA | Surgical Health of Orlando, Inc. | Ownership | 66.166 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 46-2881462 | | | | Orthology Mid-Atlantic, Inc. | DE | NIA | Orthology, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-2742615 | | | | Orthology, Inc. | DE | NIA | UnitedHealth Group Ventures, LLC | Ownership | 98.000 | UnitedHealth Group Incorporated | | 25 |
| | | .00000 | 13-3960641 | | 0001342696 | | OrthoNet Holdings, Inc. | DE | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 13-3818652 | | | | OrthoNet LLC | NY | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 13-4025898 | | | | OrthoNet New York IPA, Inc. | NY | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 30-0029448 | | | | OrthoNet of the Mid-Atlantic, Inc. | DE | IA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-2884306 | | | | OrthoNet of the South, Inc. | DE | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-1581769 | | | | OrthoNet Services, Inc. | DE | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-0221966 | | | | OrthoNet West, Inc. | DE | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Outpatient Surgery Center of Hilton Head, LLC | SC | NIA | SCA-Hilton Head, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 41-1921007 | | | | Ovations, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 06-1587795 | | | | Oxford Benefit Management, Inc. | CT | NIA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .78026 | 22-2797560 | | | | Oxford Health Insurance, Inc. | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .96798 | 06-1181201 | | | | Oxford Health Plans (CT), Inc. | CT | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95506 | 22-2745725 | | | | Oxford Health Plans (NJ), Inc. | NJ | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95479 | 06-1181200 | | | | Oxford Health Plans (NY), Inc. | NY | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-2443751 | | | | Oxford Health Plans LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-3531389 | | | | P2 Lower Acquisition, LLC | DE | NIA | Progressive Enterprises Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-0008097 | | | | P2P Link, LLC | DE | NIA | SRPS, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .70785 | 35-1137395 | | | | PacifiCare Life and Health Insurance Company | IN | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .84506 | 95-2829463 | | | | PacifiCare Life Assurance Company | CO | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95617 | 94-3267522 | | 0001225819 | | PacifiCare of Arizona, Inc. | AZ | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95434 | 84-1011378 | | 0001225822 | | PacifiCare of Colorado, Inc. | CO | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95685 | 86-0875231 | | 0001225835 | | PacifiCare of Nevada, Inc. | NV | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Pacifico S.A. Entidad Prestadora de Salud | PER | NIA | Empremédica S. A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 62-1595402 | | | | Paoli Ambulatory Surgery Center | | NIA | SCA-Paoli, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 20-4127100 | | | | Parkway Surgery Center, LLC | DE | NIA | SCA-Hagerstown, LLC | Ownership | 63.077 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 63-1271644 | | | | Pasteur Plaza Surgery Center GP, Inc. | DE | NIA | ASC Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 63-1275972 | | | | Pasteur Plaza Surgery Center, L.P. | CA | NIA | Pasteur Plaza Surgery Center GP, Inc. | Ownership | 51.000 | UnitedHealth Group Incorporated | | 26 |
| | | .00000 | | | | | Patrimonio Autónomo Nueva Clínica - PANC. | COL | NIA | Clínica del Country S.A. | Ownership | 65.180 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | | | | | Patrimonio Autónomo Nueva Clínica - PANC. | COL | NIA | Banmedica Internacional SpA | Ownership | 9.590 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 62-1451147 | | | | Payment Resolution Services, LLC | TN | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-3265056 | | | | PCCCV, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-2447772 | | | | PCN DE Corp. | DE | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Perham Physical Therapy, LTD. | IN | NIA | Orthology, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 68-0044962 | | | | Pharmaceutical Care Network | CA | NIA | PCN DE Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 23-2171049 | | | | Pharmacy Software Holdco, Inc. | PA | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 35-2288416 | | | | PHC Subsidiary Holdings, LLC | TX | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-2902954 | | | | Physician Alliance of the Rockies, LLC | CO | NIA | New West Physicians, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 80-0654665 | | | | Physician Care Partners, Inc. | IL | NIA | Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .11494 | 04-3677255 | | | | Physicians Health Choice of Texas, LLC | TX | IA | PHC Subsidiary Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-1162824 | | | | Physicians Health Plan of Maryland, Inc. | MD | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4916946 | | | | Physicians Plaza Holdings, LLC | DE | NIA | Bakersfield Physicians Plaza Surgical Center, L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

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STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|-----|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .00000 | 98-1388873 | | | | Plano de Saúde Ana Costa Ltda. Plus One Health Management Puerto Rico, Inc. | .BRA | .NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 66-0742844 | | | | | .PR | .NIA | Plus One Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 13-3613705 | | | | Plus One Holdings, Inc. | .DE | .NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 80-0670247 | | | | PMI Acquisition, LLC | .DE | .NIA | P2 Lower Acquisition, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-3148744 | | | | PMSI Holdings, LLC | .DE | .NIA | P2 Lower Acquisition, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 59-3166848 | | | | PMSI Settlement Solutions, LLC | .FL | .NIA | PMSI Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 56-2422696 | | | | PMSI, LLC | .FL | .NIA | PMSI Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1083164 | | | | Polar II Fundo de Investimento em Participações Multiestrategia | .BRA | .NIA | UnitedHealthcare International IV S.á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-5563848 | | | | Polo Holdco, LLC | .DE | .NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 46-5415205 | | | | POMCO Network, Inc. | .NY | .NIA | POMCO, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 65-0392785 | | | | POMCO of Florida Ltd., Inc. | .FL | .NIA | UMR, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-2975338 | | | | POMCO West, Inc. | .DE | .NIA | POMCO, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 15-0581348 | | | | POMCO, Inc. | .NY | .NIA | UMR, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-0482796 | | | | Pomcoplus, LLC | .NY | .NIA | UMR, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0261822 | | | | Pomerado Outpatient Surgical Center, Inc. | .CA | .NIA | ASC Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0752699 | | | | Pomerado Outpatient Surgical Center, L.P. | .CA | .NIA | Pomerado Outpatient Surgical Center, Inc. | Ownership | 50.750 | UnitedHealth Group Incorporated | | 27 |
| | | .00000 | 65-0683927 | | | | Preferred Care Partners Holding, Corp. | .FL | .NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-1845018 | | | | Preferred Care Partners Medical Group, Inc. | .FL | .NIA | Preferred Care Partners Holding, Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .11176 | 65-0885893 | | | | Preferred Care Partners, Inc. | .FL | .IA | Preferred Care Partners Holding, Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-3265059 | | | | Premier Choice ACO, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 88-0253112 | | | | Prime Health, Inc. | .NV | .NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0607478 | | | | PrimeCare Medical Network, Inc. | .CA | .IA | NAAM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 87-0757397 | | | | PrimeCare of Citrus Valley, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 80.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 33-0674407 | | | | PrimeCare of Corona, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0674401 | | | | PrimeCare of Hemet Valley, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0674408 | | | | PrimeCare of Inland Valley, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0674402 | | | | PrimeCare of Moreno Valley, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0674400 | | | | PrimeCare of Redlands, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0674404 | | | | PrimeCare of Riverside, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 14-1915328 | | | | PrimeCare of San Bernardino, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0698439 | | | | PrimeCare of Sun City, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-0674409 | | | | PrimeCare of Temecula, Inc. | .CA | .NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 14-1873402 | | | | Procura Management, Inc. | .DE | .NIA | Healthcare Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-4371197 | | | | Progressive Enterprises Holdings, Inc. | .DE | .NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 31-1192384 | | | | Progressive Medical, LLC | .OH | .NIA | PMI Acquisition, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 02-0229091 | | | | ProHEALTH Fitness of Lake Success, LLC | .NY | .NIA | ProHEALTH Medical Management, LLC | Ownership | 82.620 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 47-1049961 | | | | ProHEALTH Medical Management, LLC | .DE | .NIA | Collaborative Care Holdings, LLC | Ownership | 80.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 45-5470737 | | | | ProHealth Physicians ACO, LLC | .CT | .NIA | ProHealth Physicians, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 06-1446075 | | | | ProHealth Physicians, Inc. | .CT | .NIA | Polo Holdco, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 32-0455430 | | | | ProHealth Proton Center Management, LLC | .DE | .NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Promotora Country S.A. | .COL | .NIA | Banmedica S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 22-3493126 | | | | Pronounced Health Solutions, Inc. | .DE | .NIA | My Wellness Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 93-1068319 | | | | Prosemedic S.A.C. | .PER | .NIA | Pacifico S.A. Entidad Prestadora de Salud | Ownership | 80.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 52-2016292 | | | | Pueblo-SCA Surgery Center, LLC | .DE | .NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 35-2493256 | | | | Quality Software Services, Inc. | .MD | .NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 80-0947972 | | | | Rally Health, Inc. | .DE | .NIA | Optum Digital Health Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Real Appeal, Inc. | .DE | .NIA | Rally Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Recaudación y Cobranzas Honodav Ltda. | .CHL | .NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 94-3115625 | | | | Recaudación y Cobranzas Honodav Ltda. | .CHL | .NIA | Servicios Integrados de Salud Ltda. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 94-3115625 | | | | Redlands Ambulatory Surgery Center | .CA | .NIA | Redlands-SCA Surgery Centers, Inc. | Ownership | 54.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 94-3115627 | | | | Redlands-SCA Surgery Centers, Inc. | .CA | .NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|-----------------------|-----------------------------------|--|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| | | .0000 | 82-3745100 | | | | Reliant MSO, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 32-0543788 | | | | Renai Health Holdings, Inc. | DE | NIA | UnitedHealth Group Ventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 82-3161933 | | | | Renai Health IPA, LLC | DE | NIA | Renai Health Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 82-3130872 | | | | Renai Health Management, LLC | DE | NIA | Renai Health Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 32-0500242 | | | | Riverside Medical Management, LLC | DE | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95482 | 84-0614905 | | | | Rocky Mountain Health Maintenance Organization, Incorporated | CO | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 84-1009248 | | | | Rocky Mountain Health Management Corporation | CO | NIA | Rocky Mountain Health Maintenance Organization, Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 47004 | 84-1224718 | | | | Rocky Mountain HealthCare Options, Inc. | CO | IA | Rocky Mountain Health Maintenance Organization, Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Saden S.A. | CHL | NIA | Banmedica S.A. | Ownership | 99.920 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Saden S.A. | CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 0.080 | UnitedHealth Group Incorporated | | |
| | | .0000 | 74-2462470 | | | | Salem Surgery Center, LLC | OR | NIA | Surgicare of Salem, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 45-2219585 | | 0001522802 | | Salveo Specialty Pharmacy, Inc. | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Santa Helena Assistência Médica S.A. | BRA | NIA | Elual Participações S.A. | Ownership | 65.210 | UnitedHealth Group Incorporated | | 2 |
| | | .0000 | | | | | Santa Helena Assistência Médica S.A. | BRA | NIA | Anil Assistência Médica Internacional S.A. | Ownership | 33.600 | UnitedHealth Group Incorporated | | 2 |
| | | .0000 | | | | | Santa Helena Assistência Médica S.A. | BRA | NIA | Anil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-4028885 | | | | Santos Administração e Participações S.A. | BRA | NIA | Savvysherpa, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Savvysherpa Administrative Services, LLC | MN | NIA | Savvysherpa, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 41-1934238 | | | | Savvysherpa Asia, Inc. | PHL | NIA | Savvysherpa, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Savvysherpa, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Sawbill Holdings, LLC | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1149229 | | | | SC Affiliates, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5537316 | | | | SCA Athens, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5014406 | | | | SCA Austin Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5051685 | | | | SCA Austin Medical Center Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-2110605 | | | | SCA California Surgical Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-3916468 | | | | SCA Capital, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5030792 | | | | SCA Cedar Park Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 04-3170801 | | | | SCA Danbury Surgical Center, LLC | DE | NIA | SunSurgery, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1535981 | | | | SCA Development, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1372069 | | | | SCA eCode Solutions Private Limited | IND | NIA | eCode Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230987 | | | | SCA EHSC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230987 | | | | SCA EHSC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230987 | | | | SCA EHSC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4230987 | | | | SCA EHSC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5512838 | | | | SCA EIASC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5512838 | | | | SCA EIASC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5512838 | | | | SCA EIASC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5512838 | | | | SCA EIASC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-5064834 | | | | SCA Hays Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 36-4869243 | | | | SCA HoldCo, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-1664837 | | | | SCA Holding Company, Inc. | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 35-2442147 | | | | SCA Idaho Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|-----|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | SCA Nashville ASC, LLC | TN | NIA | Nashville-SCA Surgery Centers, Inc. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | SCA Nashville ASC, LLC | TN | NIA | SC Affiliates, LLC | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1505276 | | | | SCA of Clarksville, Inc. | TN | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-1707364 | | | | SCA Pacific Holdings, Inc. | CA | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-1686425 | | | | SCA Pennsylvania Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | SCA Premier Surgery Center of Louisville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 72-1386840 | | | | SCA ROCS Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4252645 | | | | SCA ROCS Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4252645 | | | | SCA ROCS Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4252645 | | | | SCA ROCS Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-4928368 | | | | SCA Southwestern PA, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-3165040 | | | | SCA Specialists of Florida, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-4898819 | | | | SCA SSC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-4898819 | | | | SCA SSC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-4898819 | | | | SCA SSC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-4898819 | | | | SCA SSC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-5038680 | | | | SCA Stonegate Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 63-1286887 | | | | SCA Surgery Center of Cullman, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | SCA Surgery Centers, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-2602268 | | | | SCA Surgery Holdings, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2501088 | | | | SCA Surgicare of Laguna Hills, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-2096767 | | | | SCA Teamate Support Network | AL | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-1807383 | | | | SCA-Alliance, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1530120 | | | | SCA-Blue Ridge, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-1535510 | | | | SCA-Central Florida, LLC | FL | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 58-1709758 | | | | SCA-Charleston, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-3301058 | | | | SCA-Chevy Chase, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1516306 | | | | SCA-Citrus, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 93-1047471 | | | | SCA-Colorado Springs, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-4028383 | | | | SCA-Davenport, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-1594261 | | | | SCA-Dublin, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-3301305 | | | | SCA-Encinitas, Inc. | DE | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1541235 | | | | SCA-Eugene, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1551099 | | | | SCA-Florence, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 93-1067967 | | | | SCA-Fort Collins, Inc. | CO | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1502719 | | | | SCA-Fort Walton, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-3641516 | | | | SCA-Franklin, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-2727879 | | | | SCA-Frederick, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1547690 | | | | SCA-Gainesville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-1956407 | | | | SCA-Gladiolus, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-2376695 | | | | SCA-Grove Place, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-3466283 | | | | SCA-Hagerstown, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 81-4605501 | | | | SCA-Hamden, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-5229514 | | | | SCA-Hilton Head, LLC | SC | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1506650 | | | | SCA-Honolulu, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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|------------|------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .0000 | 81-3445356 | | | | SCA-Houston Executive, LLC | DE | NIA | SCA Pacific Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-4905342 | | | | SCAI Holdings, LLC | DE | NIA | SCA HoldCo, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-1666861 | | | | SCA-Illinois, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-4292506 | | | | SCA-Main Street, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-1751165 | | | | SCA-Marina Del Ray LLC | FL | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1184188 | | | | SCA-Mecklenburg Development Corp. | NC | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-1771410 | | | | SCA-Merritt, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 80-0070617 | | | | SCA-Mobile, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-1320467 | | | | SCA-Mokena Properties, LLC | DE | NIA | SCA-Mokena, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-1065674 | | | | SCA-Mokena, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1506655 | | | | SCA-Mt. Pleasant, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-2850365 | | | | SCA-Naperville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-1347328 | | | | SCA-ND VBP, Inc. | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-4418919 | | | | SCA-New Jersey, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-1760663 | | | | SCA-Newport Beach, LLC | CA | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Scanner Centromed S.A. | CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 84.500 | UnitedHealth Group Incorporated | | 2 |
| | | .0000 | 62-1589343 | | | | SCA-Northeast Georgia Health, LLC | TN | NIA | SCA-Gainesville, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 62-1538850 | | | | SCA-Paoli, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-2785908 | | | | SCA-Phoenix, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-4304317 | | | | SCA-River Valley, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-1647512 | | | | SCA-Rockville, LLC | FL | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-2963561 | | | | SCA-San Diego, Inc. | DE | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 94-3138088 | | | | SCA-San Luis Obispo, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-1685878 | | | | SCA-Sand Lake, LLC | FL | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 88-0185362 | | | | SCA-Santa Rosa, Inc. | NV | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1179532 | | | | SCA-Shelby Development Corp. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-3300613 | | | | SCA-Somerset, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 22-3117714 | | | | SCA-South Jersey, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-3148262 | | | | SCA-Sovereign Santa Monica, LLC | DE | NIA | National Surgery Centers-Santa Monica, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-2676325 | | | | SCA-Sparta, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-4106989 | | | | SCA-St. Louis, LLC | MO | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 47-2890788 | | | | SCA-Wilson, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-1159878 | | | | SCA-Winchester, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1525777 | | | | SCA-Winter Park, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-2635371 | | | | SCP Specialty Infusion, LLC | DE | NIA | BriovaRx Infusion Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1097822 | | | | ScriptSwitch Limited | GBR | NIA | Optum UK Solutions Group Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1202716 | | | | Seisa Serviços Integrados de Saúde Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 98-1202716 | | | | Seisa Serviços Integrados de Saúde Ltda. | BRA | NIA | Cemed Care - Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-4763091 | | | | Senior Care Partners, Inc. | IL | NIA | Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 27-1533951 | | 0001487203 | | Serquinox Holdings LLC | DE | NIA | BriovaRx Infusion Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios de Entrenamiento en Competencias Clínicas Ltda. | CHL | NIA | Clínica Santa María S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios de Entrenamiento en Competencias Clínicas Ltda. | CHL | NIA | Sociedad de Inversiones Santa María S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Integrados de Salud Ltda. | CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Integrados de Salud Ltda. | CHL | NIA | Clínica Santa María S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Amed Quilpué S.A. | CHL | NIA | Centromed Quilpué S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Amed Quilpué S.A. | CHL | NIA | Laboratorios Médicos Amed Quilpué S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Bio Bio Limitada | CHL | NIA | Clínica Bio Bio S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Bio Bio Limitada | CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |

16.15

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .0000 | | | | | Servicios Médicos Ciudad del Mar Ltda. | .CHL | NIA | Clínica Ciudad del Mar S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Ciudad del Mar Ltda. | .CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Santa María Limitada | .CHL | NIA | Sociedad de Inversiones Santa María S.A. | Ownership | 99.176 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Santa María Limitada | .CHL | NIA | Clínica Santa María S.A. | Ownership | 0.824 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Vespucio Ltda. | .CHL | NIA | Clínica Vespucio S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Servicios Médicos Vespucio Ltda. | .CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-4233576 | | | | SharedClarity LLC | .DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 58-1978974 | | | | SHC Atlanta, LLC | .DE | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 58-2013443 | | | | SHC Austin, Inc. | .TX | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 58-2062812 | | | | SHC Hawthorn, Inc. | .IL | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 58-2101924 | | | | SHC Melbourne, Inc. | .FL | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1223273 | | | | Shelby Surgery Properties, Inc. | .TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .71420 | 94-0734860 | | | | Sierra Health and Life Insurance Company, Inc. | .NV | IA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 88-0200415 | | 0000754009 | | Sierra Health Services, Inc. | .NV | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 88-0254322 | | | | Sierra Health-Care Options, Inc. | .NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 88-0385705 | | | | Sierra Home Medical Products, Inc. | .NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 88-0264562 | | | | Sierra Nevada Administrators, Inc. | .NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Sistema de Administración Hospitalaria S.A.C. | .PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 99.870 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | | | | | Sociedad de Inversiones Santa María S.A. | .CHL | NIA | Clínica Santa María S.A. | Ownership | 99.743 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Sociedad de Inversiones Santa María S.A. | .CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 0.257 | UnitedHealth Group Incorporated | | |
| | | .0000 | | | | | Sociedad Editorial para la Ciencia Limitada | .COL | NIA | Banmédica S.A. | Ownership | 76.290 | UnitedHealth Group Incorporated | | 2 |
| | | .0000 | 20-8433398 | | | | Somerset Outpatient Surgery, L.L.C. | .NJ | NIA | SCA-Somerset, LLC | Ownership | 59.999 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 88-0201420 | | | | Southwest Medical Associates, Inc. | .NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 38-2609888 | | | | Southwest Michigan Health Network Inc. | .MI | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 36-4369359 | | | | Southwest Surgery Center, LLC | .IL | NIA | SCA-Mokena, LLC | Ownership | 60.000 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | | | | | Southwest Surgical Center of Bakersfield, L.P. | | NIA | Bakersfield Physicians Plaza Surgical Center, L.P. | Ownership | 99.000 | UnitedHealth Group Incorporated | | 28 |
| | | .0000 | 77-0458353 | | | | Space Coast Surgical Center, Ltd. | .FL | NIA | SCA-Merritt, LLC | Ownership | 50.080 | UnitedHealth Group Incorporated | | 29 |
| | | .0000 | 75-2516426 | | | | Specialists in Urology Surgery Center, LLC | .FL | NIA | SCA Specialists of Florida, LLC | Ownership | 60.000 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 55-0790742 | | | | Specialty Benefits, LLC | .DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 41-1921983 | | | | Specialty Surgical Center, LLC | .NJ | NIA | SCA-Sparta, LLC | Ownership | 57.449 | UnitedHealth Group Incorporated | | 1 |
| | | .0000 | 20-3412545 | | | | Spectera of New York, IPA, Inc. | .NY | NIA | Spectera, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 71-0886811 | | | | Spectera, Inc. | .MD | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 52-1260282 | | | | SPINETRACK 20/20 Inc. | .CA | NIA | SCA-ND VBP, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 81-1387232 | | | | Sports and Spinal Physical Therapy, Inc. | .DC | NIA | Orthology, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 46-5587702 | | | | Spotlite, Inc. | .DE | NIA | Rally Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1770924 | | | | SRPS, LLC | .DE | NIA | P2 Lower Acquisition, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 41-1348916 | | | | St. Cloud Surgical Center, LLC | .DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 20-1211544 | | | | Stonegate Surgery Center, L.P. | .TX | NIA | SCA Stonegate Holdings, LLC | Ownership | 52.440 | UnitedHealth Group Incorporated | | 30 |
| | | .0000 | 27-2944223 | | | | Streamlines Health, LLC | .MN | NIA | Savvysherpa, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 06-1082848 | | | | SunSurgery, LLC | .DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1739361 | | | | Surgery Center Holding, LLC | .DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 45-0581955 | | | | Surgery Center of Athens, LLC | .GA | NIA | Athens ASC Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1509341 | | | | Surgery Center of Boca Raton, Inc. | .FL | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1506370 | | | | Surgery Center of Clarksville, L.P. | .TN | NIA | SCA of Clarksville, Inc. | Ownership | 51.000 | UnitedHealth Group Incorporated | | 31 |
| | | .0000 | 63-1214140 | | | | Surgery Center of Colorado Springs, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 42-1166764 | | | | Surgery Center of Des Moines, LLC | .DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 72-1349752 | | | | Surgery Center of Easton, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 62-1179537 | | | | Surgery Center of Louisville, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 63-1231944 | | | | Surgery Center of Maui, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 63-1194204 | | | | Surgery Center of Muskogee, LLC | .DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .0000 | 11-3701564 | | | | Surgery Center of Rockville, L.L.C. | .MD | NIA | SCA-Rockville, LLC | Ownership | 59.000 | UnitedHealth Group Incorporated | | 1 |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .00000 | 63-1212214 | | | | Surgery Center of Southern Pines, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 63-1184216 | | | | Surgery Center of Summerlin, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 62-1491963 | | | | Surgery Center of Vero Beach, Inc. | TN | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-1767806 | | | | Surgery Center of Wilson, LLC | NC | NIA | SCA-Wilson, LLC | Ownership | 76.450 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 36-3562598 | | | | Surgery Centers of Des Moines, Ltd., an Iowa Limited Partnership | IA | NIA | Surgery Center of Des Moines, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 32 |
| | | .00000 | 68-0282268 | | | | Surgery Centers-West Holdings, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 33-1187498 | | | | Surgical Care Affiliates Political Action Committee | AL | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-8922307 | | | | Surgical Care Affiliates, LLC | DE | NIA | SCA1 Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-2971080 | | | | Surgical Care Partners of Melbourne, LLC | DE | NIA | SHC Melbourne, Inc. | Ownership | 68.327 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 22-2709324 | | | | Surgical Center of South Jersey, Limited Partnership | NJ | NIA | SCA-South Jersey, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 32 |
| | | .00000 | 63-1138507 | | | | Surgical Center of Tuscaloosa Holdings, LLC | AL | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 58-1997354 | | | | Surgical Health of Orlando, Inc. | FL | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 58-1941168 | | | | Surgical Health, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-5429310 | | | | Surgical Holdings, Inc. | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 27-0168681 | | | | Surgical Hospital Holdings of Oklahoma, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 73-1521890 | | | | Surgical Hospital of Oklahoma, L.L.C. | OK | NIA | Surgical Hospital Holdings of Oklahoma, LLC | Ownership | 56.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 75-2157730 | | | | Surgicare of Belleville, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 64-0629000 | | | | Surgicare of Jackson, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2287141 | | | | Surgicare of Joliet, Inc. | IL | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2501191 | | | | Surgicare of La Veta, Inc. | CA | NIA | Surgery Centers-West Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1437636 | | | | Surgicare of Minneapolis, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1624905 | | | | Surgicare of Minneapolis, Ltd., a Minnesota Limited Partnership | MIN | NIA | Surgicare of Minneapolis, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | 33 |
| | | .00000 | 75-2459713 | | | | Surgicare of Mobile, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2448926 | | | | Surgicare of Oceanside, Inc. | CA | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2184730 | | | | Surgicare of Owensboro, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 75-2200171 | | | | Surgicare of Salem, LLC | | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 95-3329855 | | | | Surgicenters of Southern California, Inc. | CA | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-1536748 | | | | Symphonix Health Holdings, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 84549 | 38-2044243 | | | | Symphonix Health Insurance, Inc. | IL | IA | Symphonix Health Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-4751035 | | | | TeamMD Holdings, Inc. | DE | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-4850893 | | | | TeamMD Iowa, Inc. | DE | NIA | TeamMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 35-2584893 | | | | TeamMD Physicians of Texas, Inc. | TX | NIA | TeamMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 30-0445773 | | | | TeamMD Physicians, P.C. | IA | NIA | TeamMD Iowa, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Tecnologías de Información en Salud S.A. | CHL | NIA | Clínica Dávila y Servicios Médicos S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | Tecnologías de Información en Salud S.A. | CHL | NIA | Clínica Santa María S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | | | | | The Advisory Board (Chile) SpA | CHL | NIA | The Advisory Board Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 52-1468699 | | | | The Advisory Board Company | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 56-1970224 | | 0001284028 | | The Lewin Group, Inc. | NC | NIA | Optum Public Sector Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 72-1349755 | | | | The Surgery Center of Easton, L.P. | TN | NIA | Surgery Center of Easton, LLC | Ownership | 57.000 | UnitedHealth Group Incorporated | | 34 |
| | | .00000 | 26-1479919 | | | | Thomas Johnson Surgery Center, LLC | MD | NIA | SCA-Frederick, LLC | Ownership | 60.000 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 77-0367271 | | | | Thousand Oaks Endoscopy Center, LLC | CA | NIA | Endoscopy Center Affiliates, Inc. | Ownership | 56.400 | UnitedHealth Group Incorporated | | 1 |
| | | .00000 | 25-1825549 | | | | Three Rivers Holdings, Inc. | DE | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 63-1194203 | | | | Three Rivers Surgical Care, L.P. | TN | NIA | Muskogee Surgical Investors, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 35 |
| | | .00000 | 59-3143128 | | | | Tmesys, LLC | FL | NIA | PMSI Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1287904 | | | | Topimagem Diagnóstico por Imagem Ltda. | BRA | NIA | Esho - Empresa de Serviços Hospitalares S.A. | Ownership | 89.000 | UnitedHealth Group Incorporated | | 2 |
| | | .00000 | 52-1431155 | | | | Travel Express Incorporated | MD | NIA | FrontierMEDEX, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-5088596 | | | | TriMed, LLC | UT | NIA | National MedTrans, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-5530255 | | | | Trio Motion, LLC | DE | NIA | Savvysherpa, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

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STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .00000 | 94-3077084 | | | | U.S. Behavioral Health Plan, California | CA | IA | United Behavioral Health | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1388279 | | | | UHC Finance (Ireland) Unlimited Company | IRL | NIA | UHCFI LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1113428 | | | | UHC Global Health Services BC Ltd. | CAN | NIA | UnitedHealthcare Global Canada Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1913059 | | | | UHC International Services, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 95-2931460 | | 0001225831 | | UHC of California | CA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 82-3143073 | | | | UHCFI LLC | DE | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1103713 | | | | UHC - FZE | ARE | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1372063 | | | | UHC Holdings (Ireland) Limited | IRL | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1372064 | | | | UHC Services (Ireland) Limited | IRL | NIA | UHC Holdings (Ireland) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1122490 | | | | UHG Brasil Participações S.A. | BRA | NIA | Participações Multiestrategia | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1921008 | | | | UHC Holdings, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 39-1995276 | | | | UMR, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-5917714 | | | | Unidad Médica Diagnóstico S.A. | COL | NIA | Colmedica Medicina Prepagada | Ownership | 0.000 | UnitedHealth Group Incorporated | | 2 |
| .0707 | UnitedHealth Group Incorporated | .91529 | 52-1996029 | | | | Unimerica Insurance Company | WI | IA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 01-0637149 | | | | Unimerica Life Insurance Company of New York | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .11596 | 01-0637149 | | | | Unimerica Life Insurance Company of New York | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 25-1877716 | | | | Unison Administrative Services, LLC | PA | NIA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 20-5917714 | | | | Unison Health Plan of Delaware, Inc. | DE | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 94-2649097 | | | | United Behavioral Health | CA | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1868911 | | | | United Behavioral Health of New York, I.P.A., Inc. | NY | NIA | United Behavioral Health | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1941615 | | | | United Health Foundation | MN | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1289245 | 3410132 | 0001310133 | | United HealthCare Services, Inc. | MN | UIP | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 30-0318238 | | | | United Resource Networks IPA of New York, Inc. | NY | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 01-0538317 | | | | UnitedHealth Advisors, LLC | ME | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1321939 | 4665014 | 0000731766 | New York Stock Exchange | UnitedHealth Group Incorporated | DE | UIP | United HealthCare Services, Inc. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1079826 | | | | UnitedHealth Group International Finance (Ireland) Unlimited Company | IRL | NIA | UnitedHealthcare Europe S á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1080118 | | | | UnitedHealth Group International GP | CYM | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-3311984 | | | | UnitedHealth Group International L.P. | CYM | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1917398 | | | | UnitedHealth Group Ventures, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 26-2574977 | | | | UnitedHealth International, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-0559902 | | | | UnitedHealth Military & Veterans Services, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95174 | 33-0115163 | | 0001225840 | | UnitedHealth UK Limited | GBR | NIA | Optum Solutions UK Holdings Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 47-3221444 | | | | UnitedHealthcare Benefits of Texas, Inc. | TX | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 46-4348775 | | | | UnitedHealthcare Benefits Plan of California | CA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .13168 | 26-2688274 | | | | UnitedHealthcare Community Plan of California, Inc. | CA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .12323 | 56-2451429 | | | | UnitedHealthcare Community Plan of Georgia, Inc. | GA | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .11141 | 91-2008361 | | | | UnitedHealthcare Community Plan of Ohio, Inc. | OH | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95467 | 38-3204052 | | | | UnitedHealthcare Community Plan of Texas, L.L.C. | TX | IA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .00000 | 98-1200034 | | | | UnitedHealthcare Community Plan of Texas, Inc. | MI | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1199879 | | | | UnitedHealthcare Consulting & Assistance Service (Beijing) Co., Ltd. | CHN | NIA | UnitedHealthcare International I B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1104429 | | | | UnitedHealthcare Europe S á r.l. | LUX | NIA | UnitedHealthcare International I S á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1104429 | | | | UnitedHealthcare Global Canada Limited | CAN | NIA | UnitedHealthcare International I B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

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STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | .00000 | 98-1029201 | | | | UnitedHealthcare Global Medical (UK) Limited | GBR | NIA | UnitedHealthcare International I B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1099116 | | | | UnitedHealthcare India Private Limited | IND | NIA | UnitedHealthcare International II B.V. | Ownership | 99.994 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1099116 | | | | UnitedHealthcare India Private Limited | IND | NIA | UnitedHealth International, Inc. | Ownership | 0.007 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .79413 | 36-2739571 | | | | UnitedHealthcare Insurance Company | CT | IA | UHC Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | UnitedHealthcare Insurance Company of Illinois | IL | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .60318 | 36-3800349 | | | | UnitedHealthcare Insurance Company of New York | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .60093 | 11-3283886 | | | | UnitedHealthcare Insurance Company of the River Valley | IL | IA | UnitedHealthcare Services Company of the River Valley, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | UnitedHealthcare Insurance Designated Activity Company | IRL | NIA | UHC Holdings (Ireland) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1372065 | | | | UnitedHealthcare Integrated Services, Inc. | AZ | IA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 86-0618309 | | | | UnitedHealthcare International Asia, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 41-1988797 | | | | UnitedHealthcare International I B.V. | NLD | NIA | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | UnitedHealthcare International VI S.à r.l. | LUX | NIA | UnitedHealthcare International VI S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1079595 | | | | UnitedHealthcare International II B.V. | NLD | NIA | OptumHealth International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | UnitedHealthcare International III S.à r.l. | LUX | NIA | UnitedHealthcare International VI S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1079459 | | | | UnitedHealthcare International III B.V. | NLD | NIA | UnitedHealthcare Europe S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1340853 | | | | UnitedHealthcare International III S.à r.l. | LUX | NIA | Optum Services (Ireland) Limited | Ownership | 69.999 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1077436 | | | | UnitedHealthcare International III S.à r.l. | LUX | NIA | UnitedHealthcare International II S.à r.l. | Ownership | 30.001 | UnitedHealth Group Incorporated | | |
| | | | | | | | UnitedHealthcare International III S.à r.l. | LUX | NIA | UnitedHealthcare International V S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1077436 | | | | UnitedHealthcare International IV B.V. | NLD | NIA | UnitedHealthcare International IV S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1372054 | | | | UnitedHealthcare International IV S.à r.l. | LUX | NIA | UnitedHealthcare International IV B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | UnitedHealthcare International IV S.à r.l. | LUX | NIA | UnitedHealth Group International Finance (Ireland) Unlimited Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1387355 | | | | UnitedHealthcare International IX S.à r.l. | LUX | NIA | UnitedHealthcare International III S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | UnitedHealthcare International V S.à r.l. | LUX | NIA | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1257473 | | | | UnitedHealthcare International VI S.à r.l. | LUX | NIA | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1372058 | | | | UnitedHealthcare International VII S.à r.l. | LUX | NIA | UnitedHealth Group International L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .00000 | 98-1372060 | | | | UnitedHealthcare International VIII S.à r.l. | LUX | NIA | UnitedHealthcare International VII S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .00000 | 98-1372062 | | | | UnitedHealthcare International VIII S.à r.l. | LUX | NIA | UnitedHealthcare International VII S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | .97179 | 86-0207231 | | | | UnitedHealthcare Life Insurance Company | WI | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95784 | 63-0899562 | | | | UnitedHealthcare of Alabama, Inc. | AL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .96016 | 86-0507074 | | | | UnitedHealthcare of Arizona, Inc. | AZ | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95446 | 63-1036819 | | | | UnitedHealthcare of Arkansas, Inc. | AR | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95090 | 84-1004639 | | | | UnitedHealthcare of Colorado, Inc. | CO | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95264 | 59-1293865 | | | | UnitedHealthcare of Florida, Inc. | FL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95850 | 58-1653544 | | | | UnitedHealthcare of Georgia, Inc. | GA | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95776 | 36-3280214 | | | | UnitedHealthcare of Illinois, Inc. | IL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .96644 | 62-1240316 | | | | UnitedHealthcare of Kentucky, Ltd. | KY | IA | UnitedHealthcare, Inc. | Ownership | 5.820 | UnitedHealth Group Incorporated | | 36 |
| .0707 | UnitedHealth Group Incorporated | .96644 | 62-1240316 | | | | UnitedHealthcare of Kentucky, Ltd. | KY | IA | United HealthCare Services, Inc. | Ownership | 94.180 | UnitedHealth Group Incorporated | | 36 |
| .0707 | UnitedHealth Group Incorporated | .95833 | 72-1074008 | | | | UnitedHealthcare of Louisiana, Inc. | LA | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95716 | 63-1036817 | | | | UnitedHealthcare of Mississippi, Inc. | MS | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95149 | 05-0413469 | | | | UnitedHealthcare of New England, Inc. | RI | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .13214 | 26-2697886 | | | | UnitedHealthcare of New Mexico, Inc. | NM | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95085 | 06-1172891 | | | | UnitedHealthcare of New York, Inc. | NY | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95103 | 56-1461010 | | | | UnitedHealthcare of North Carolina, Inc. | NC | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95186 | 31-1142815 | | | | UnitedHealthcare of Ohio, Inc. | OH | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .96903 | 33-0115166 | | | | UnitedHealthcare of Oklahoma, Inc. | OK | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | .95893 | 93-0938819 | | 0001225824 | | UnitedHealthcare of Oregon, Inc. | OR | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------------------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|---|--|--|--|-----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | * |
| .0707 | UnitedHealth Group Incorporated | 95220 | 25-1756858 | | | | UnitedHealthcare of Pennsylvania, Inc. | PA | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95765 | 95-3939697 | | | | UnitedHealthcare of Texas, Inc. | TX | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95025 | 52-1130183 | | | | UnitedHealthcare of the Mid-Atlantic, Inc. | MD | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95591 | 47-0676824 | | | | UnitedHealthcare of the Midlands, Inc. | NE | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 96385 | 43-1361841 | | | | UnitedHealthcare of the Midwest, Inc. | MO | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95501 | 41-1488563 | | | | UnitedHealthcare of Utah, Inc. | UT | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 48038 | 91-1312551 | | 0001225843 | | UnitedHealthcare of Washington, Inc. | WA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95710 | 39-1555888 | | | | UnitedHealthcare of Wisconsin, Inc. | WI | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| .0707 | UnitedHealth Group Incorporated | 95378 | 36-3379945 | | | | UnitedHealthcare Plan of the River Valley, Inc. | IL | RE | UnitedHealthcare Services Company of the River Valley, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0854646 | | | | UnitedHealthcare Service LLC | DE | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-3355110 | | | | UnitedHealthcare Services Company of the River Valley, Inc. | DE | LDP | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 01-0518346 | | | | UnitedHealthcare Specialty Benefits, LLC | ME | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1922511 | | | | UnitedHealthcare, Inc. | DE | UIP | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4219191 | | | | UpFront Insurance, Agency, LLC | MN | NIA | Savvysherpa, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0812827 | | | | Upland Outpatient Surgical Center, L.P. | CA | NIA | NSC Upland, LLC | Ownership | 80.000 | UnitedHealth Group Incorporated | | 37 |
| | | 00000 | 26-0382877 | | 0001453198 | | Urgent Care Holdings, Inc. | DE | NIA | Optum Clinics Intermediate Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3667220 | | | | Urgent Care MSO, LLC | DE | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2613230 | | | | Urology Associates of North Texas, P.L.L.C. | TX | NIA | USMD Affiliated Services | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8048861 | | | | USMD Administrative Services, L.L.C. | TX | NIA | USMD Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2956222 | | | | USMD Affiliated Services | TX | NIA | USMD Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2866866 | | 0001507881 | | USMD Holdings, Inc. | DE | NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8050318 | | 0001404693 | | USMD Inc. | TX | NIA | USMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2446102 | | | | USMD PPM, LLC | TX | NIA | USMD Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 87-0757396 | | | | Valley Physicians Network, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Vida Tres Internacional S.A. | CHL | NIA | Banmedica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Vida Tres Internacional S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Vidaintegra S.A. | CHL | NIA | Banmedica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Vidaintegra S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Virtual Therapeutics Corporation | DE | NIA | Savvysherpa, LLC | Ownership | 66.600 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 36-3469841 | | | | Wauwatosa Outpatient Surgery Center, LLC | DE | NIA | Surgery Centers-West Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-3469839 | | | | Wauwatosa Surgery Center, Limited Partnership | WI | NIA | Wauwatosa Outpatient Surgery Center, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 38 |
| | | 00000 | 20-5429310 | | | | Wayland Square Surgicare Acquisition, L.P. | RI | NIA | Wayland Square Surgicare GP, Inc. | Ownership | 59.000 | UnitedHealth Group Incorporated | | 39 |
| | | 00000 | 75-2500274 | | | | Wayland Square Surgicare GP, Inc. | RI | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-2797745 | | | | WellMed Medical Management of Florida, Inc. | FL | NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-2786364 | | | | WellMed Medical Management, Inc. | TX | NIA | Collaborative Care Holdings, LLC | Ownership | 80.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 41-2250215 | | | | WellMed Networks - DFW, Inc. | TX | NIA | WellMed Medical Management, Inc. | Ownership | 0.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 27-2809113 | | | | West Coast Endoscopy Holdings, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-0636596 | | | | WESTMED Practice Partners LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-5548304 | | | | Winchester Endoscopy, LLC | IL | NIA | SCA-Winchester, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 62-1587564 | | | | Winter Park, LLC | TN | NIA | SCA-Winter Park, Inc. | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 52-2102846 | | 0001314524 | | XLHealth Corporation | MD | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1107695 | | | | XLHealth Corporation India Private Limited | IND | NIA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-3764012 | | | | Your Health Options Insurance Services, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0172594 | | | | Your Partner in Health Services, Inc. | IL | NIA | North American Medical Management - Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | | | | | | | | | | | 0.000 | | | |
| | | | | | | | | | | | | 0.000 | | | |
| | | | | | | | | | | | | 0.000 | | | |
| | | | | | | | | | | | | 0.000 | | | |

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STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|-----------|--------------|-----|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | | | | | | | | | | | 0.000 | | | |

| Asterisk | Explanation |
|----------|--|
| 1 | TBD – Minority owned information will be disclosed in a future reporting period. |
| 2 | The remaining percentage is owned by either: 1) non-affiliated entity(ies), 2) external shareholders, or 3) outside investors. |
| 3 | The remaining percentage is owned by Company Officers and/or Directors |
| 4 | The remaining percentage is owned by the former controlling shareholders. |
| 5 | NSC Lancaster, LLC holds a 81.24% limited partnership interest and a 1% general partnership interest in Antelope Valley Surgery Center, L.P. |
| 6 | Surgery Center Holding, LLC holds a 51% general partnership interest and a 23.94% limited partnership interest in B.R.A.S.S. Partnership in Commendam |
| 7 | Bakersfield-SC, LLC holds a 25% general partnership interest and a 71% limited partnership interest in Bakersfield Physicians Plaza Surgical Center, L.P. |
| 8 | Blackstone Valley Surgicare GP, LLC holds a 40% general partnership interest and a 59% limited partnership interest in Blackstone Valley Surgicare Acquisition, L.P. |
| 9 | SCA-Citrus, Inc. holds a 52% general partnership interest and a 5% limited partnership interest in Citrus Regional Surgery Center, L.P. |
| 10 | SCA-Colorado Springs, LLC holds a 51% general partnership interest and a 44% limited partnership interest in Colorado Springs Surgery Center, Ltd. |
| 11 | Connecticut Surgical Center, LLC holds a 51% general partnership interest and a 14% limited partnership interest in Connecticut Surgery Center, Limited Partnership. |
| 12 | The remaining percentage is owned by: External Shareholders (0.353520%) and Treasury Shares (0.016254%). |
| 13 | SCA-Florence, LLC holds a 50% general partnership interest in Florence Surgery Center, L.P. |
| 14 | Gadsden Surgery Center, LLC holds a 51% general partnership interest and a 6.5% limited partnership interest in Gadsden Surgery Center, Ltd. |
| 15 | SCA-Northeast Georgia Health, LLC holds a 51% general partnership interest and a 35% limited partnership interest in Gainesville Surgery Center, L.P. |
| 16 | Surgery Centers-West Holdings, LLC holds a 71% limited partnership interest and a 1% general partnership interest in Golden Triangle Surgicenter, L.P. |
| 17 | Surgery Center of Vero Beach, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Indian River Surgery Center, Ltd. |
| 18 | Redlands Ambulatory Surgery Center holds a 51% general partnership interest and a 7.22% limited partnership interest in Inland Surgery Center, L.P. SC Affiliates also holds a 13.03% limited partnership interest in Inland Surgery Center, L.P. |
| 19 | Surgery Center of Louisville, LLC holds a 51% general partnership interest and a 14.4% limited partnership interest in Louisville S.C., Ltd. |
| 20 | SCA-Eugene, Inc. holds a 51% general partnership interest and a 41% limited partnership interest in McKenzie Surgery Center, L.P. |
| 21 | Mississippi Surgery Holdings, LLC holds a 41% general partnership interest and a 59% limited partnership interest in Mississippi Surgical Center Limited Partnership. |
| 22 | SCA-Mt. Pleasant, LLC holds a 51% general partnership interest and a 44.41% limited partnership interest in Mt. Pleasant Surgery Center, L.P. |
| 23 | Northern Rockies Surgicenter, Inc. holds a 51% general partnership interest and a 4.25% limited partnership interest in Northern Rockies Surgery Center, L.P. |
| 24 | Northwest Surgicare, LLC holds a 51% general partnership interest and a 5% limited partnership interest in Northwest Surgicare, Ltd., an IL Limited Partnership |
| 25 | The remaining 2% is owned by external shareholders. Corporate secretarial services for this entity are the responsibility of the portfolio company. |
| 26 | Pasteur Plaza Surgery Center GP, Inc. holds a 51% general partnership interest and a 27.706% limited partnership interest in Pasteur Plaza Surgery Center, L.P. |
| 27 | Pomerado Outpatient Surgical Center, Inc. holds a 50.75% general partnership interest and 6% limited partnership interest in Pomerado Outpatient Surgical Center, L.P. |
| 28 | Bakersfield Physicians Plaza Surgical Center, L.P. holds a 99% general partnership interest and Physicians Plaza Holdings, LLC holds a 1% limited partnership interest in Southwest Surgical Center of Bakersfield, L.P. |
| 29 | SCA-Merritt, LLC holds a 50.08% general partnership interest in Space Coast Surgery Center, Ltd. |
| 30 | SCA Stonegate Holdings, LLC holds a 52% general partnership interest in Stonegate Surgery Center, L.P. |
| 31 | SCA of Clarksville, Inc. holds a 51% general partnership interest and a 49% limited partnership interest in Surgery Center of Clarksville, L.P. |
| 32 | Surgery Center of Des Moines, LLC holds a 51% general partnership interest and a 14.85% limited partnership interest in Surgery Centers of Des Moines, Ltd., an IA Limited Partnership |
| 33 | Surgicare of Minneapolis, LLC holds a 50% general partnership interest and a 30.5% limited partnership interest in Surgicare of Minneapolis, Ltd., a MN Limited Partnership. |
| 34 | Surgery Center of Easton, LLC holds a 57% general partnership interest in The Surgery Center of Easton, L.P. |
| 35 | Muskogee Surgical Investors, LLC holds a 51% general partnership interest and a 17.5% limited partnership interest in Three Rivers Surgical Care, L.P. |
| 36 | The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.82%. |
| 37 | NSC Upland, LLC holds an 80% general partnership interest and a 12.81% limited partnership interest in Upland Outpatient Surgical Center, L.P. |
| 38 | Wauwatosa Outpatient Surgery Center, LLC holds a 51% limited partnership interest in Wauwatosa Surgery Center, Limited Partnership. |
| 39 | Wayland Square Surgicare GP, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Wayland Square Surgicare Acquisition, L.P. |

16.21

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | Response |
|---|----------|
| 1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |

Explanation:

1. The Company does not offer stand-alone Medicare Part D product.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

| | Current Statement Date | | | 4 Prior Year Net Admitted Assets |
|---|------------------------|-------------------------|---|--|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 2504. Prepaid Commissions | 7,633 | 7,633 | 0 | 0 |
| 2505. Service Fee Billing | 5,966 | 5,966 | 0 | 0 |
| 2506. Miscellaneous Receivables | 2,932 | 2,932 | 0 | 0 |
| 2597. Summary of remaining write-ins for Line 25 from overflow page | 16,531 | 16,531 | 0 | 0 |

SCHEDULE A - VERIFICATION

Real Estate

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Current year change in encumbrances | | |
| 4. Total gain (loss) on disposals | | |
| 5. Deduct amounts received on disposals | | |
| 6. Total foreign exchange change in book/adjusted carrying value | | |
| 7. Deduct current year's other than temporary impairment recognized | | |
| 8. Deduct current year's depreciation | | |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) | | |
| 10. Deduct total nonadmitted amounts | | |
| 11. Statement value at end of current period (Line 9 minus Line 10) | | |

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees | | |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest | | |
| 10. Deduct current year's other than temporary impairment recognized | | |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. Total valuation allowance | | |
| 13. Subtotal (Line 11 plus Line 12) | | |
| 14. Deduct total nonadmitted amounts | | |
| 15. Statement value at end of current period (Line 13 minus Line 14) | | |

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | 13,660,076 | 15,752,782 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | 0 | 0 |
| 2.2 Additional investment made after acquisition | 49,180 | 0 |
| 3. Capitalized deferred interest and other | 0 | 0 |
| 4. Accrual of discount | 0 | 0 |
| 5. Unrealized valuation increase (decrease) | 0 | 0 |
| 6. Total gain (loss) on disposals | 0 | 0 |
| 7. Deduct amounts received on disposals | 0 | 2,424 |
| 8. Deduct amortization of premium and depreciation | 517,430 | 2,090,282 |
| 9. Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 10. Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 13,191,826 | 13,660,076 |
| 12. Deduct total nonadmitted amounts | 0 | 0 |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 13,191,826 | 13,660,076 |

SCHEDULE D - VERIFICATION

Bonds and Stocks

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 577,108,274 | 622,912,802 |
| 2. Cost of bonds and stocks acquired | 71,427,286 | 166,980,704 |
| 3. Accrual of discount | 68,407 | 131,312 |
| 4. Unrealized valuation increase (decrease) | 0 | 0 |
| 5. Total gain (loss) on disposals | (13,432) | 963,977 |
| 6. Deduct consideration for bonds and stocks disposed of | 76,338,414 | 205,250,586 |
| 7. Deduct amortization of premium | 1,789,154 | 8,629,935 |
| 8. Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. Deduct current year's other than temporary impairment recognized | 49,839 | 0 |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees | 0 | 0 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) | 570,413,128 | 577,108,274 |
| 12. Deduct total nonadmitted amounts | 0 | 0 |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 570,413,128 | 577,108,274 |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1 Book/Adjusted Carrying Value Beginning of Current Quarter | 2 Acquisitions During Current Quarter | 3 Dispositions During Current Quarter | 4 Non-Trading Activity During Current Quarter | 5 Book/Adjusted Carrying Value End of First Quarter | 6 Book/Adjusted Carrying Value End of Second Quarter | 7 Book/Adjusted Carrying Value End of Third Quarter | 8 Book/Adjusted Carrying Value December 31 Prior Year |
|-------------------------------------|---|--|--|--|---|--|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 543,055,202 | 1,668,909,497 | 1,679,948,015 | (1,726,914) | 530,289,770 | 0 | 0 | 543,055,202 |
| 2. NAIC 2 (a) | 64,873,695 | 11,349,024 | 8,875,765 | 890,294 | 68,237,248 | 0 | 0 | 64,873,695 |
| 3. NAIC 3 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. NAIC 4 (a) | 0 | 941,428 | 0 | (941,428) | 0 | 0 | 0 | 0 |
| 5. NAIC 5 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. NAIC 6 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. Total Bonds | 607,928,897 | 1,681,199,949 | 1,688,823,780 | (1,778,048) | 598,527,018 | 0 | 0 | 607,928,897 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9. NAIC 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10. NAIC 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11. NAIC 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12. NAIC 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13. NAIC 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Total Preferred Stock | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock | 607,928,897 | 1,681,199,949 | 1,688,823,780 | (1,778,048) | 598,527,018 | 0 | 0 | 607,928,897 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$23,948,921 ; NAIC 2 \$4,164,968 ; NAIC 3 \$0 ; NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

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SCHEDULE DA - PART 1

Short-Term Investments

| | 1 | 2 | 3 | 4 | 5 |
|----------------|---------------------------------|-----------|-------------|------------------------------------|--|
| | Book/Adjusted Carrying Value | Par Value | Actual Cost | Interest Collected Year-to-Date | Paid for Accrued Interest Year-to-Date |
| 9199999 Totals | 27,342,837 | xxx | 27,367,278 | 37,240 | 26,623 |

SCHEDULE DA - VERIFICATION

Short-Term Investments

| | 1 | 2 |
|---|---------------|---------------------------------|
| | Year To Date | Prior Year Ended December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year | 25,322,173 | 72,588,794 |
| 2. Cost of short-term investments acquired | 1,609,001,138 | 7,340,713,104 |
| 3. Accrual of discount | 21,176 | 39,765 |
| 4. Unrealized valuation increase (decrease) | 0 | 0 |
| 5. Total gain (loss) on disposals | 0 | 0 |
| 6. Deduct consideration received on disposals | 1,606,971,935 | 7,387,919,970 |
| 7. Deduct amortization of premium | 29,715 | 99,520 |
| 8. Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 27,342,837 | 25,322,173 |
| 11. Deduct total nonadmitted amounts | 0 | 0 |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 27,342,837 | 25,322,173 |

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

| | 1 | 2 |
|---|---------------|---------------------------------|
| | Year To Date | Prior Year Ended December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year | 136,578,552 | 7,924,332 |
| 2. Cost of cash equivalents acquired | 1,340,536,440 | 137,781,407 |
| 3. Accrual of discount | 1,874 | 1,320 |
| 4. Unrealized valuation increase (decrease) | (4,460) | (2,995) |
| 5. Total gain (loss) on disposals | 0 | 0 |
| 6. Deduct consideration received on disposals | 1,304,594,265 | 9,125,000 |
| 7. Deduct amortization of premium | 797 | 512 |
| 8. Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 172,517,344 | 136,578,552 |
| 11. Deduct total nonadmitted amounts | 0 | 0 |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 172,517,344 | 136,578,552 |

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

| 1 CUSIP Identification | 2 Name or Description | 3 Location | | 5 Name of Vendor or General Partner | 6 NAIC Designation | 7 Date Originally Acquired | 8 Type and Strategy | 9 Actual Cost at Time of Acquisition | 10 Additional Investment Made After Acquisition | 11 Amount of Encumbrances | 12 Commitment for Additional Investment | 13 Percentage of Ownership |
|------------------------------|--|---------------|-------|---|--------------------------|-------------------------------------|------------------------------|---|--|---------------------------------|---|----------------------------------|
| | | City | State | | | | | | | | | |
| | Enterprise N Guar Fed LIHTC PrvPlc CS | | US | Enterprise | | 02/01/2018 | | 0 | 49,180 | 0 | 0 | 0.000 |
| | 3399999. Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated | | | | | | | 0 | 49,180 | 0 | 0 | XXX |
| | 4499999. Total - Unaffiliated | | | | | | | 0 | 49,180 | 0 | 0 | XXX |
| | 4599999. Total - Affiliated | | | | | | | 0 | 0 | 0 | 0 | XXX |
| 4699999 - Totals | | | | | | | | | | | | |

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

| 1 CUSIP Identification | 2 Name or Description | 3 Location | | 5 Name of Purchaser or Nature of Disposal | 6 Date Originally Acquired | 7 Disposal Date | 8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year | 9 Change in Book/Adjusted Carrying Value | | | | | | 15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal | 16 Consid- eration | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Invest- ment Income |
|------------------------------|--|---------------|-------|---|-------------------------------------|-----------------------|--|---|--|---|--|--|---|---|--------------------------|---|--|---|---------------------------------|
| | | City | State | | | | | 9 Unrealized Valuation Increase (De- crease) | 10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion | 11 Current Year's Other Than Temporary Impair- ment Recog- nized | 12 Capital- ized Deferred Interest and Other | 13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12) | 14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value | | | | | | |
| | US Bancorp Guar Fed LIHTC Fd 2012-5 Prv P | | MO | Paydown | 07/02/2012 | | 178,913 | 0 | (178,913) | 0 | 0 | (178,913) | 0 | 178,913 | 0 | 0 | 0 | 0 | 0 |
| | 3199999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated | | | | | | 178,913 | 0 | (178,913) | 0 | 0 | (178,913) | 0 | 178,913 | 0 | 0 | 0 | 0 | 0 |
| | Enterprise N Guar Fed LIHTC PrvPlc CS | | US | Paydown | 08/27/2013 | | 338,517 | 0 | (338,517) | 0 | 0 | (338,517) | 0 | 338,517 | 0 | 0 | 0 | 0 | 0 |
| | 3399999. Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated | | | | | | 338,517 | 0 | (338,517) | 0 | 0 | (338,517) | 0 | 338,517 | 0 | 0 | 0 | 0 | 0 |
| | 4499999. Total - Unaffiliated | | | | | | 517,430 | 0 | (517,430) | 0 | 0 | (517,430) | 0 | 517,430 | 0 | 0 | 0 | 0 | 0 |
| | 4599999. Total - Affiliated | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4699999 - Totals | | | | | | | | | | | | | | | | | | | |

E03

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|--|---------|---------------|------------------------|---------------------------|-------------|------------|---|--|
| CUSIP Identification | Description | Foreign | Date Acquired | Name of Vendor | Number of Shares of Stock | Actual Cost | Par Value | Paid for Accrued Interest and Dividends | NAIC Designation or Market Indicator (a) |
| 912828-3F-5 | US Treasury Note 2.250% 11/15/27 | | 02/06/2018 | Various | | 2,689,381 | 2,770,000 | 11,264 | 1 |
| 912828-D2-3 | US Treasury Note 1.625% 04/30/19 | | 01/31/2018 | Harris Nesbitt Corp | | 1,195,141 | 1,200,000 | 4,471 | 1 |
| 912828-W9-7 | US Treasury Note 1.250% 03/31/19 | | 01/31/2018 | Nomura Securities | | 1,189,922 | 1,200,000 | 5,110 | 1 |
| 912828-XU-9 | US Treasury Note 1.500% 06/15/20 | | 02/09/2018 | Bank of New York | | 96,413,203 | 97,000,000 | 89,959 | 1 |
| 0599999. Subtotal - Bonds - U.S. Governments | | | | | | 41,487,647 | 42,170,000 | 110,804 | XXX |
| 35880C-XA-7 | FRIISCO TX INDEP GO Non Call 5.000% 08/15/23 | | 01/09/2018 | Morgan Stanley | | 1,518,166 | 1,300,000 | 42,611 | 1FE |
| 2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions | | | | | | 1,518,166 | 1,300,000 | 42,611 | XXX |
| 341507-P3-9 | FLORIDA ST BRD 0 Rev Bond Non Call 5.000% 07/01/23 | | 01/12/2018 | JP Morgan Chase | | 4,458,267 | 3,825,000 | 8,500 | 1FE |
| 3199999. Subtotal - Bonds - U.S. Special Revenues | | | | | | 4,458,267 | 3,825,000 | 8,500 | XXX |
| 00912X-AL-8 | AIR LEASE CORP Corp Note Call MW 3.375% 01/15/19 | | 01/08/2018 | Merrill Lynch | | 104,035 | 103,000 | 1,690 | 2FE |
| 0258MO-DK-2 | AMERICAN EXPRESS Corp Note Non Call 2.125% 03/18/19 | | 02/22/2018 | Goldman Sachs | | 448,092 | 450,000 | 4,197 | 1FE |
| 040555-CL-6 | ARIZONA PUB SERV Corp Note MW 8.750% 03/01/19 | | 02/14/2018 | Market Axess | | 153,037 | 144,000 | 5,775 | 1FE |
| 05565E-AD-7 | BMW US CAP LLC Corp Note MW Prv Plc 1.500% 04/11/19 | | 01/16/2018 | Merrill Lynch | | 124,041 | 125,000 | 505 | 1FE |
| 06051G-HB-8 | BANK OF AMER CRP Corp Note Call 2.561% 02/05/26 | | 01/31/2018 | Merrill Lynch | | 1,200,000 | 1,200,000 | 0 | 1FE |
| 126650-CX-6 | CVS HEALTH CORP Corp Note Call MW 4.300% 03/25/28 | | 03/06/2018 | Merrill Lynch | | 1,395,105 | 1,415,000 | 0 | 2FE |
| 126650-DB-3 | CVS HEALTH CORP Corp Note Non Call 2.687% 03/09/20 | | 03/06/2018 | Merrill Lynch | | 245,000 | 245,000 | 0 | 2FE |
| 17325F-AA-6 | CITIBANK NA Corp Note Call 2.000% 03/20/19 | | 02/21/2018 | Various | | 596,836 | 600,000 | 5,100 | 1FE |
| 20030N-CH-2 | COMCAST CORP Corp Note Call MW 3.550% 05/01/28 | | 02/01/2018 | Barclays Group Inc | | 250,114 | 251,000 | 0 | 1FE |
| 210518-CR-5 | CONSUMERS ENERGY Corp Note MW 6.125% 03/15/19 | | 02/13/2018 | Cantor Fitzgerald | | 152,751 | 147,000 | 3,752 | 1FE |
| 233851-CL-6 | DAIMLER FINANCE Corp Note Non Call Prv Plc 2.387% 10/30/19 | | 02/26/2018 | Goldman Sachs | | 589,375 | 586,000 | 1,127 | 1FE |
| 233851-DC-5 | DAIMLER FINANCE Corp Note Non Call Prv Plc 2.354% 02/22/21 | | 02/15/2018 | CitiGroup | | 725,000 | 725,000 | 0 | 1FE |
| 25466A-AK-7 | DISCOVER BANK Corp Note Call 3.350% 02/06/23 | | 01/31/2018 | CitiGroup | | 1,144,897 | 1,145,000 | 0 | 2FE |
| 25468P-DA-1 | WALT DISNEY CO Corp Note MW 1.850% 05/30/19 | | 01/18/2018 | Wells Fargo | | 796,728 | 800,000 | 2,138 | 1FE |
| 26884A-BC-6 | ERP OPERATING LP Corp Note Call MW 2.375% 07/01/19 | | 02/13/2018 | Cantor Fitzgerald | | 204,758 | 205,000 | 595 | 1FE |
| 26884A-BJ-1 | ERP OPERATING LP Corp Note Call MW 3.500% 03/01/28 | | 02/01/2018 | UBS Financial Services | | 720,665 | 725,000 | 0 | 1FE |
| 29379V-BP-7 | ENTERPRISE PRODU Corp Note MW 2.800% 02/15/21 | | 02/01/2018 | JP Morgan Chase | | 1,259,320 | 1,260,000 | 0 | 2FE |
| 316770-BB-4 | FIFTH THIRD BANK Corp Note Call 2.375% 04/25/19 | | 03/05/2018 | Market Axess | | 597,990 | 600,000 | 5,225 | 1FE |
| 361886-BK-9 | GMF FLOORPLAN OW GFORT 2018-2 A1 ABS Prv Plc 3.130% 03/15/23 | | 03/21/2018 | JP Morgan Chase | | 2,389,968 | 2,390,000 | 0 | 1FE |
| 39154T-AQ-1 | GREAT AMERICA LE GALT 2018-1 A3 ABS Prv Plc 2.600% 06/15/21 | | 02/05/2018 | Wachovia Bank | | 1,579,799 | 1,580,000 | 0 | 1FE |
| 42217K-AY-2 | HEALTH CARE REIT Corp Note Call 4.125% 04/01/19 | | 02/13/2018 | Wachovia Bank | | 251,375 | 248,000 | 3,808 | 2FE |
| 452308-AU-3 | ILLINOIS TOOL WK Corp Note MW 1.950% 03/01/19 | | 02/14/2018 | Market Axess | | 307,758 | 309,000 | 2,762 | 1FE |
| 46849L-SH-5 | JACKSON NAT LIFE Corp Note Non Call Prv Plc 2.300% 04/16/19 | | 02/21/2018 | Market Axess | | 897,399 | 900,000 | 7,303 | 1FE |
| 49456B-AP-6 | KINDER MORGAN Corp Note Call MW 4.300% 03/01/28 | | 02/22/2018 | Mizuho Investor Sec Co | | 941,428 | 945,000 | 0 | 2FE |
| 55279H-AG-5 | MTB-CALL02/18 Corp Note Call 2.250% 07/25/19 | | 02/21/2018 | Market Axess | | 248,663 | 250,000 | 438 | 1FE |
| 55336V-AQ-3 | MPLX LP Corp Note Call MW 3.375% 03/15/23 | | 02/05/2018 | Barclays Group Inc | | 1,184,182 | 1,185,000 | 0 | 2FE |
| 718549-AF-5 | PHILLIPS 66 PTNR Corp Note Call 3.750% 03/01/28 | | 01/24/2018 | Goldman Sachs | | 520,112 | 525,000 | 5,633 | 2FE |
| 75884R-AX-1 | REGENCY CENTERS Corp Note Call MW 4.125% 03/15/28 | | 02/28/2018 | JP Morgan Chase | | 514,161 | 515,000 | 0 | 2FE |
| 774341-AB-7 | ROCKWELL COLLINS Corp Note MW 5.250% 07/15/19 | | 02/07/2018 | Merrill Lynch | | 259,465 | 250,000 | 875 | 2FE |
| 816851-BE-8 | SEMPRA ENERGY Corp Note MW 2.400% 02/01/20 | | 01/09/2018 | RBC Capital Markets | | 599,184 | 600,000 | 0 | 2FE |
| 90331H-NJ-8 | US BANK NA OHIO Corp Note Call 2.350% 01/23/20 | | 01/18/2018 | US Bank | | 499,775 | 500,000 | 0 | 1FE |
| 92276M-AY-1 | VENTAS REALTY LP Corp Note Cont Call 4.000% 04/30/19 | | 02/06/2018 | Various | | 355,435 | 350,000 | 3,811 | 2FE |
| 92277G-AM-9 | VENTAS REALTY LP Corp Note Call MW 4.000% 03/01/28 | | 02/13/2018 | Jefferies and Company | | 719,439 | 725,000 | 0 | 2FE |
| 959802-AT-6 | WESTERN UNION CO Corp Note MW 3.350% 05/22/19 | | 03/09/2018 | CitiGroup | | 287,468 | 286,000 | 2,622 | 2FE |
| 98161V-AB-6 | World Omni Auto WQART 2018-A A2 ABS 2.190% 05/17/21 | | 01/30/2018 | Wachovia Bank | | 1,699,851 | 1,700,000 | 0 | 1FE |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | | 23,963,206 | 23,984,000 | 57,356 | XXX |
| 8399997. Total - Bonds - Part 3 | | | | | | 71,427,286 | 71,279,000 | 219,271 | XXX |
| 8399998. Total - Bonds - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 8399999. Total - Bonds | | | | | | 71,427,286 | 71,279,000 | 219,271 | XXX |
| 8999997. Total - Preferred Stocks - Part 3 | | | | | | 0 | XXX | 0 | XXX |
| 8999998. Total - Preferred Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 8999999. Total - Preferred Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9799997. Total - Common Stocks - Part 3 | | | | | | 0 | XXX | 0 | XXX |
| 9799998. Total - Common Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 9799999. Total - Common Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9899999. Total - Preferred and Common Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9999999 - Totals | | | | | | 71,427,286 | XXX | 219,271 | XXX |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0

E04

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|----------------------|---|---------|---------------|-------------------|---------------------------|---------------|------------|-------------|---|--|---|---|---|---|---|--|----------------------------------|-------------------------------|--|----------------------------------|--|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase/(Decrease) | Current Year's (Amortization)/Accretion | Current Year's Other Than Temporary Impairment Recognized | Total Change in Book/Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book/Adjusted Carrying Value | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| 36220N-VU-8 | GNMA Pool 283327 MBS 9.000% 12/15/19 | | 03/01/2018 | Paydown | | 52 | 52 | 52 | 52 | 0 | 0 | 0 | 0 | 0 | 52 | 0 | 0 | 0 | 0 | 12/15/2019 | 1 |
| 91282B-HR-4 | US Treasury Note 3.500% 02/15/18 | | 02/15/2018 | Maturity | | 42,400,000 | 42,400,000 | 47,291,156 | 42,531,139 | 0 | (131,139) | 0 | (131,139) | 0 | 42,400,000 | 0 | 0 | 0 | 742,000 | 02/15/2018 | 1 |
| 91282B-04-5 | US Treasury Note 0.875% 03/31/18 | | 03/31/2018 | Maturity | | 2,350,000 | 2,350,000 | 2,349,924 | 2,350,000 | 0 | 76 | 0 | 76 | 0 | 2,350,000 | 0 | 0 | 0 | 10,281 | 03/31/2018 | 1 |
| 91282B-UJ-7 | US Treasury Note 0.875% 01/31/18 | | 01/31/2018 | Maturity | | 3,000,000 | 3,000,000 | 3,002,344 | 3,000,127 | 0 | (127) | 0 | (127) | 0 | 3,000,000 | 0 | 0 | 0 | 13,125 | 01/31/2018 | 1 |
| 91282B-UR-9 | US Treasury Note 0.750% 02/28/18 | | 02/28/2018 | Maturity | | 2,350,000 | 2,350,000 | 2,348,537 | 2,349,761 | 0 | 239 | 0 | 239 | 0 | 2,350,000 | 0 | 0 | 0 | 8,813 | 02/28/2018 | 1 |
| 0599999 | Subtotal - Bonds - U.S. Governments | | | | | 50,100,052 | 50,100,052 | 54,991,814 | 50,231,003 | 0 | (130,951) | 0 | (130,951) | 0 | 50,100,052 | 0 | 0 | 0 | 774,220 | XXX | XXX |
| 751091-JN-0 | RALEIGH NC GO Non Call 5.000% 02/01/18 | | 02/01/2018 | Maturity | | 2,180,000 | 2,180,000 | 2,556,246 | 2,187,048 | 0 | (7,048) | 0 | (7,048) | 0 | 2,180,000 | 0 | 0 | 0 | 54,500 | 02/01/2018 | 1FE |
| 2499999 | Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions | | | | | 2,180,000 | 2,180,000 | 2,556,246 | 2,187,048 | 0 | (7,048) | 0 | (7,048) | 0 | 2,180,000 | 0 | 0 | 0 | 54,500 | XXX | XXX |
| 3128PC-2X-9 | FHLMC Pool J01690 MBS 5.500% 04/01/21 | | 03/01/2018 | Paydown | | 1,878 | 1,878 | 1,877 | 1,875 | 0 | 3 | 0 | 3 | 0 | 1,878 | 0 | 0 | 0 | 17 | 04/01/2021 | 1 |
| 3128PE-4K-1 | FHLMC Pool J03526 MBS 6.000% 10/01/21 | | 03/01/2018 | Paydown | | 6,557 | 6,557 | 6,666 | 6,590 | 0 | (33) | 0 | (33) | 0 | 6,557 | 0 | 0 | 0 | 70 | 10/01/2021 | 1 |
| 3128PF-4P-0 | FHLMC Pool J03530 MBS 6.000% 10/01/21 | | 03/01/2018 | Paydown | | 1,422 | 1,422 | 1,443 | 1,428 | 0 | (6) | 0 | (6) | 0 | 1,422 | 0 | 0 | 0 | 14 | 10/01/2021 | 1 |
| 3128PL-PR-7 | FHLMC Pool J08532 MBS 5.500% 08/01/23 | | 03/01/2018 | Paydown | | 17,949 | 17,949 | 18,150 | 18,053 | 0 | (104) | 0 | (104) | 0 | 17,949 | 0 | 0 | 0 | 181 | 08/01/2023 | 1 |
| 3128PU-WF-5 | FHLMC Pool J15146 MBS 4.500% 04/01/26 | | 03/01/2018 | Paydown | | 1,745 | 1,745 | 1,823 | 1,745 | 0 | (78) | 0 | (78) | 0 | 1,745 | 0 | 0 | 0 | 13 | 04/01/2026 | 1 |
| 3128PV-AA-2 | FHLMC Pool J15427 MBS 4.500% 05/01/26 | | 03/01/2018 | Paydown | | 3,736 | 3,736 | 3,973 | 3,908 | 0 | (172) | 0 | (172) | 0 | 3,736 | 0 | 0 | 0 | 29 | 05/01/2026 | 1 |
| 3128PV-F3-9 | FHLMC Pool J15586 MBS 4.500% 06/01/26 | | 03/01/2018 | Paydown | | 5,774 | 5,774 | 6,138 | 6,039 | 0 | (265) | 0 | (265) | 0 | 5,774 | 0 | 0 | 0 | 44 | 06/01/2026 | 1 |
| 312906-FZ-8 | FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21 | | 02/01/2018 | Paydown | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 07/01/2021 | 1 |
| 312926-2P-2 | FHLMC Pool A80782 MBS 6.000% 08/01/38 | | 03/01/2018 | Paydown | | 500 | 500 | 509 | 508 | 0 | (8) | 0 | (8) | 0 | 500 | 0 | 0 | 0 | 5 | 08/01/2038 | 1 |
| 31292L-YA-7 | FHLMC Pool C04305 MBS 3.000% 11/01/42 | | 03/01/2018 | Paydown | | 11,021 | 11,021 | 11,493 | 11,459 | 0 | (439) | 0 | (439) | 0 | 11,021 | 0 | 0 | 0 | 59 | 11/01/2042 | 1 |
| 312972-LE-0 | FHLMC Pool B19325 MBS 5.000% 05/01/20 | | 03/01/2018 | Paydown | | 2,821 | 2,821 | 2,764 | 2,797 | 0 | 24 | 0 | 24 | 0 | 2,821 | 0 | 0 | 0 | 22 | 05/01/2020 | 1 |
| 31323F-US-7 | FHLMC Pool Q02073 MBS 4.500% 07/01/41 | | 03/01/2018 | Paydown | | 115,135 | 115,135 | 124,616 | 124,518 | 0 | (9,383) | 0 | (9,383) | 0 | 115,135 | 0 | 0 | 0 | 896 | 07/01/2041 | 1 |
| 31323V-JF-0 | FHLMC Pool Q09862 MBS 3.500% 08/01/42 | | 03/01/2018 | Paydown | | 14,716 | 14,716 | 15,730 | 15,657 | 0 | (941) | 0 | (941) | 0 | 14,716 | 0 | 0 | 0 | 49 | 08/01/2042 | 1 |
| 313240-DB-5 | FHLMC Pool Q13698 MBS 3.000% 12/01/42 | | 03/01/2018 | Paydown | | 8,820 | 8,820 | 9,206 | 9,209 | 0 | (389) | 0 | (389) | 0 | 8,820 | 0 | 0 | 0 | 44 | 12/01/2042 | 1 |
| 313240-MA-1 | FHLMC Pool Q13979 MBS 3.000% 12/01/42 | | 03/01/2018 | Paydown | | 5,587 | 5,587 | 5,849 | 5,831 | 0 | (244) | 0 | (244) | 0 | 5,587 | 0 | 0 | 0 | 27 | 12/01/2042 | 1 |
| 31324A-PH-9 | FHLMC Pool Q19036 MBS 3.500% 06/01/43 | | 03/01/2018 | Paydown | | 11,542 | 11,542 | 11,964 | 11,950 | 0 | (408) | 0 | (408) | 0 | 11,542 | 0 | 0 | 0 | 67 | 06/01/2043 | 1 |
| 31324A-VC-2 | FHLMC Pool Q29911 MBS 3.500% 11/01/44 | | 03/01/2018 | Paydown | | 34,184 | 34,184 | 35,968 | 35,900 | 0 | (1,716) | 0 | (1,716) | 0 | 34,184 | 0 | 0 | 0 | 210 | 11/01/2044 | 1 |
| 31324L-BR-3 | FHLMC Pool Q30047 MBS 3.500% 11/01/44 | | 03/01/2018 | Paydown | | 4,581 | 4,581 | 4,743 | 4,738 | 0 | (157) | 0 | (157) | 0 | 4,581 | 0 | 0 | 0 | 28 | 11/01/2044 | 1 |
| 31324M-BF-3 | FHLMC Pool Q31769 MBS 4.000% 03/01/45 | | 03/01/2018 | Paydown | | 78,426 | 78,426 | 83,364 | 83,221 | 0 | (4,795) | 0 | (4,795) | 0 | 78,426 | 0 | 0 | 0 | 527 | 03/01/2045 | 1 |
| 31324N-XX-2 | FHLMC Pool Q32493 MBS 4.000% 04/01/45 | | 03/01/2018 | Paydown | | 4,761 | 4,761 | 5,057 | 5,051 | 0 | (289) | 0 | (289) | 0 | 4,761 | 0 | 0 | 0 | 33 | 04/01/2045 | 1 |
| 3136AN-GF-8 | FNMA 2015-22 HT CMO 3.000% 04/25/45 | | 03/01/2018 | Paydown | | 26,708 | 26,708 | 27,806 | 27,407 | 0 | (698) | 0 | (698) | 0 | 26,708 | 0 | 0 | 0 | 129 | 04/25/2045 | 1 |
| 3137BP-VM-8 | FHLMC FHR K152 A1 CMB 2.830% 05/25/30 | | 03/01/2018 | Paydown | | 6,664 | 6,664 | 6,797 | 6,772 | 0 | (108) | 0 | (108) | 0 | 6,664 | 0 | 0 | 0 | 33 | 05/25/2030 | 1 |
| 31385X-EH-3 | FNMA Pool 555549 MBS 5.000% 06/01/18 | | 03/01/2018 | Paydown | | 16,308 | 16,308 | 16,899 | 16,319 | 0 | (11) | 0 | (11) | 0 | 16,308 | 0 | 0 | 0 | 131 | 06/01/2018 | 1 |
| 3138A2-BQ-1 | FNMA Pool AH0946 MBS 4.000% 12/01/40 | | 03/01/2018 | Paydown | | 6,523 | 6,523 | 6,856 | 6,835 | 0 | (312) | 0 | (312) | 0 | 6,523 | 0 | 0 | 0 | 44 | 12/01/2040 | 1 |
| 3138AP-OP-0 | FNMA Pool AI19109 MBS 4.000% 05/01/42 | | 03/01/2018 | Paydown | | 20,633 | 20,633 | 22,267 | 22,154 | 0 | (1,521) | 0 | (1,521) | 0 | 20,633 | 0 | 0 | 0 | 137 | 05/01/2042 | 1 |
| 3138AT-CX-6 | FNMA Pool AJ1885 MBS 3.000% 03/01/42 | | 03/01/2018 | Paydown | | 89 | 89 | 95 | 94 | 0 | (5) | 0 | (5) | 0 | 89 | 0 | 0 | 0 | 0 | 03/01/2042 | 1 |
| 3138AW-EN-9 | FNMA Pool AJ4640 MBS 4.000% 10/01/41 | | 03/01/2018 | Paydown | | 2,913 | 2,913 | 3,075 | 3,065 | 0 | (152) | 0 | (152) | 0 | 2,913 | 0 | 0 | 0 | 23 | 10/01/2041 | 1 |
| 3138E9-YH-7 | FNMA Pool AK5211 MBS 3.000% 04/01/27 | | 03/01/2018 | Paydown | | 43,286 | 43,286 | 45,525 | 44,917 | 0 | (1,631) | 0 | (1,631) | 0 | 43,286 | 0 | 0 | 0 | 213 | 04/01/2027 | 1 |
| 3138EE-TJ-2 | FNMA Pool AK9896 MBS 3.500% 07/01/42 | | 03/01/2018 | Paydown | | 5,683 | 5,683 | 6,063 | 6,036 | 0 | (353) | 0 | (353) | 0 | 5,683 | 0 | 0 | 0 | 33 | 07/01/2042 | 1 |
| 3138EN-LE-7 | FNMA Pool AL5724 MBS 4.500% 10/01/44 | | 03/01/2018 | Paydown | | 28,864 | 28,864 | 31,398 | 31,335 | 0 | (2,472) | 0 | (2,472) | 0 | 28,864 | 0 | 0 | 0 | 268 | 10/01/2044 | 1 |
| 3138EQ-K8-4 | FNMA Pool AL7518 MBS 3.500% 10/01/45 | | 03/01/2018 | Paydown | | 26,981 | 26,981 | 28,785 | 28,753 | 0 | (1,772) | 0 | (1,772) | 0 | 26,981 | 0 | 0 | 0 | 160 | 10/01/2045 | 1 |
| 3138ER-FX-3 | FNMA Pool AL9181 MBS 3.000% 10/01/46 | | 03/01/2018 | Paydown | | 50,170 | 50,170 | 51,981 | 51,940 | 0 | (1,770) | 0 | (1,770) | 0 | 50,170 | 0 | 0 | 0 | 258 | 10/01/2046 | 1 |
| 3138MA-E3-2 | FNMA Pool AP1053 MBS 3.500% 07/01/42 | | 03/01/2018 | Paydown | | 5,898 | 5,898 | 6,294 | 6,265 | 0 | (368) | 0 | (368) | 0 | 5,898 | 0 | 0 | 0 | 34 | 07/01/2042 | 1 |
| 3138MA-TC-6 | FNMA Pool AP1446 MBS 3.500% 08/01/42 | | 03/01/2018 | Paydown | | 33,440 | 33,440 | 35,776 | 35,610 | 0 | (2,170) | 0 | (2,170) | 0 | 33,440 | 0 | 0 | 0 | 280 | 08/01/2042 | 1 |
| 3138M7-6J-9 | FNMA Pool AP4472 MBS 3.500% 08/01/42 | | 03/01/2018 | Paydown | | 4,459 | 4,459 | 4,757 | 4,736 | 0 | (277) | 0 | (277) | 0 | 4,459 | 0 | 0 | 0 | 37 | 08/01/2042 | 1 |
| 3138M9-3C-3 | FNMA Pool AP6194 MBS 3.500% 08/01/42 | | 03/01/2018 | Paydown | | 2,043 | 2,043 | 2,191 | 2,181 | 0 | (137) | 0 | (137) | 0 | 2,043 | 0 | 0 | 0 | 12 | 08/01/2042 | 1 |
| 3138M9-VP-3 | FNMA Pool AP6021 MBS 3.000% 04/01/43 | | 03/01/2018 | Paydown | | 50,752 | 50,752 | 52,005 | 51,944 | 0 | (1,191) | 0 | (1,191) | 0 | 50,752 | 0 | 0 | 0 | 335 | 04/01/2043 | 1 |
| 3138MK-VC-7 | FNMA Pool AQ4210 MBS 3.000% 11/01/42 | | 03/01/2018 | Paydown | | 14,506 | 14,506 | 15,281 | 15,224 | 0 | (718) | 0 | (718) | 0 | 14,506 | 0 | 0 | 0 | 72 | 11/01/2042 | 1 |
| 3138MS-AA-1 | FNMA Pool AQ9326 MBS 3.000% 12/01/42 | | 03/01/2018 | Paydown | | 3,839 | 3,839 | 4,037 | 4,023 | 0 | (185) | 0 | (185) | 0 | 3,839 | 0 | 0 | 0 | 19 | 12/01/2042 | 1 |
| 3138NW-YS-3 | FNMA Pool AR0688 MBS 3.000% 12/01/42 | | 03/01/2018 | Paydown | | 32,159 | 32,159 | 33,762 | 33,647 | 0 | (1,487) | 0 | (1,487) | 0 | 32,159 | 0 | 0 | 0 | 159 | 12/01/2042 | 1 |
| 3138NF-F3-2 | FNMA Pool AS2885 MBS 3.500% 07/01/44 | | 03/01/2018 | Paydown | | 25,662 | 25,662 | 26,303 | 26,274 | 0 | (612) | 0 | (612) | 0 | | | | | | | |

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|----------------------|--|---------|---------------|-------------------|---------------------------|---------------|-----------|-------------|---|--|---|---|---|---|---|--|----------------------------------|-------------------------------|--|----------------------------------|--|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase/(Decrease) | Current Year's (Amortization)/Accretion | Current Year's Other Than Temporary Impairment Recognized | Total Change in Book/Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book/Adjusted Carrying Value | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| 314020-R6-0 | FNMA Pool 735009 MBS 5.000% 05/01/19 | | 03/01/2018 | Paydown | | 2,184 | 2,184 | 2,146 | 2,170 | .0 | .14 | .0 | .14 | .0 | 2,184 | .0 | .0 | .0 | .17 | 05/01/2019 | 1 |
| 31407N-FX-4 | FNMA Pool 835470 MBS 5.000% 09/01/20 | | 03/01/2018 | Paydown | | 3,711 | 3,711 | 3,636 | 3,674 | .0 | .37 | .0 | .37 | .0 | 3,711 | .0 | .0 | .0 | .27 | 09/01/2020 | 1 |
| 31407S-GA-4 | FNMA Pool 839093 MBS 5.000% 10/01/20 | | 03/01/2018 | Paydown | | 3,981 | 3,981 | 3,903 | 3,942 | .0 | .39 | .0 | .39 | .0 | 3,981 | .0 | .0 | .0 | .33 | 10/01/2020 | 1 |
| 3140E0-6Q-3 | FNMA Pool AZ8078 MBS 3.500% 08/01/45 | | 03/01/2018 | Paydown | | 1,279 | 1,279 | 1,329 | 1,328 | .0 | (49) | .0 | (49) | .0 | 1,279 | .0 | .0 | .0 | .8 | 08/01/2045 | 1 |
| 3140EW-AM-7 | FNMA Pool BC1811 MBS 3.000% 04/01/46 | | 03/01/2018 | Paydown | | 6,688 | 6,688 | 6,940 | 6,935 | .0 | (246) | .0 | (246) | .0 | 6,688 | .0 | .0 | .0 | .33 | 04/01/2046 | 1 |
| 3140F0-US-1 | FNMA Pool BC5092 MBS 3.500% 11/01/43 | | 03/01/2018 | Paydown | | 47,234 | 47,234 | 50,291 | 50,220 | .0 | (2,966) | .0 | (2,966) | .0 | 47,234 | .0 | .0 | .0 | .161 | 11/01/2043 | 1 |
| 3140F1-YH-9 | FNMA Pool BC6111 MBS 3.500% 06/01/46 | | 03/01/2018 | Paydown | | 35,714 | 35,714 | 38,353 | 38,300 | .0 | (2,586) | .0 | (2,586) | .0 | 35,714 | .0 | .0 | .0 | .209 | 06/01/2046 | 1 |
| 3140F5-DT-7 | FNMA Pool BC9113 MBS 3.500% 05/01/46 | | 03/01/2018 | Paydown | | 82,191 | 82,191 | 87,995 | 87,870 | .0 | (5,680) | .0 | (5,680) | .0 | 82,191 | .0 | .0 | .0 | .247 | 05/01/2046 | 1 |
| 3140F9-RX-5 | FNMA Pool BD2301 MBS 3.000% 06/01/46 | | 03/01/2018 | Paydown | | 10,320 | 10,320 | 10,761 | 10,749 | .0 | (429) | .0 | (429) | .0 | 10,320 | .0 | .0 | .0 | .53 | 06/01/2046 | 1 |
| 3140GP-4K-1 | FNMA Pool BH1725 MBS 4.000% 06/01/47 | | 03/01/2018 | Paydown | | 21,488 | 21,488 | 22,685 | 22,685 | .0 | (1,198) | .0 | (1,198) | .0 | 21,488 | .0 | .0 | .0 | .87 | 06/01/2047 | 1 |
| 31410X-VR-4 | FNMA Pool 900724 MBS 5.500% 08/01/21 | | 03/01/2018 | Paydown | | 2,047 | 2,047 | 2,047 | 2,043 | .0 | .4 | .0 | .4 | .0 | 2,047 | .0 | .0 | .0 | .16 | 08/01/2021 | 1 |
| 31412V-AG-3 | FNMA Pool 935707 MBS 5.000% 11/01/24 | | 03/01/2018 | Paydown | | 8,620 | 8,620 | 9,182 | 9,173 | .0 | (352) | .0 | (352) | .0 | 8,620 | .0 | .0 | .0 | .73 | 11/01/2024 | 1 |
| 31414F-K9-1 | FNMA Pool 964820 MBS 5.000% 08/01/23 | | 03/01/2018 | Paydown | | 27,042 | 27,042 | 27,296 | 27,153 | .0 | (111) | .0 | (111) | .0 | 27,042 | .0 | .0 | .0 | .302 | 08/01/2023 | 1 |
| 31416B-RB-6 | FNMA Pool 995182 MBS 5.500% 06/01/20 | | 03/01/2018 | Paydown | | 11,143 | 11,143 | 12,185 | 11,521 | .0 | (378) | .0 | (378) | .0 | 11,143 | .0 | .0 | .0 | .98 | 06/01/2020 | 1 |
| 31416T-2S-7 | FNMA Pool AA9784 MBS 5.000% 07/01/24 | | 03/01/2018 | Paydown | | 12,402 | 12,402 | 12,879 | 12,690 | .0 | (287) | .0 | (287) | .0 | 12,402 | .0 | .0 | .0 | .103 | 07/01/2024 | 1 |
| 31416V-V6-5 | FNMA Pool AB0732 MBS 4.000% 06/01/39 | | 03/01/2018 | Paydown | | 145,291 | 145,291 | 154,417 | 154,295 | .0 | (9,004) | .0 | (9,004) | .0 | 145,291 | .0 | .0 | .0 | 1,366 | 06/01/2039 | 1 |
| 31417A-QE-2 | FNMA Pool AB4052 MBS 4.000% 12/01/41 | | 03/01/2018 | Paydown | | 37,309 | 37,309 | 39,775 | 39,688 | .0 | (2,379) | .0 | (2,379) | .0 | 37,309 | .0 | .0 | .0 | .274 | 12/01/2041 | 1 |
| 31417G-TY-2 | FNMA Pool AB9566 MBS 3.000% 06/01/43 | | 03/01/2018 | Paydown | | 20,349 | 20,349 | 20,851 | 20,827 | .0 | (478) | .0 | (478) | .0 | 20,349 | .0 | .0 | .0 | .107 | 06/01/2043 | 1 |
| 3199999 | Subtotal - Bonds - U.S. Special Revenues | | | | | 2,041,055 | 2,041,055 | 2,186,912 | 2,178,530 | 0 | (137,473) | 0 | (137,473) | 0 | 2,041,055 | 0 | 0 | 0 | 12,906 | XXX | XXX |
| 00206R-CW-0 | AT&T INC Corp Note MW 1.750% 01/15/18 | | 01/15/2018 | Maturity | | 1,320,000 | 1,320,000 | 1,313,664 | 1,319,867 | .0 | 133 | .0 | 133 | .0 | 1,320,000 | .0 | .0 | .0 | 11,550 | 01/15/2018 | 2FE |
| 03064A-AE-8 | AmeriCredit Auto AMCAR 2014-2 C ABS 2.180% 06/08/20 | | 03/08/2018 | Paydown | | 599,490 | 599,490 | 599,387 | 599,467 | .0 | 23 | .0 | 23 | .0 | 599,490 | .0 | .0 | .0 | 2,322 | 06/08/2020 | 1FE |
| 03065C-AF-6 | AmeriCredit Auto AMCAR 2013-4 D ABS 3.310% 10/08/19 | | 03/08/2018 | Paydown | | 2,550,000 | 2,550,000 | 2,597,813 | 2,561,128 | .0 | (11,128) | .0 | (11,128) | .0 | 2,550,000 | .0 | .0 | .0 | 19,692 | 10/08/2019 | 1FE |
| 06051G-ET-2 | BANK OF AMER CRP Corp Note Non Call 2.000% 01/11/18 | | 01/11/2018 | Maturity | | 750,000 | 750,000 | 755,468 | 750,105 | .0 | (105) | .0 | (105) | .0 | 750,000 | .0 | .0 | .0 | 7,500 | 01/11/2018 | 1FE |
| 06051G-FZ-7 | BANK OF AMER CRP Corp Note Call MW 2.503% 10/21/22 | | 01/31/2018 | Merrill Lynch | | 1,201,710 | 1,230,000 | 1,216,064 | 1,217,398 | .0 | 216 | .0 | 216 | .0 | 1,217,614 | .0 | (15,904) | (15,904) | 8,637 | 10/21/2022 | 1FE |
| 067383-AD-1 | CR BARD INC Corp Note MW 1.375% 01/15/18 | | 01/15/2018 | Maturity | | 250,000 | 250,000 | 250,038 | 250,001 | .0 | (1) | .0 | (1) | .0 | 250,000 | .0 | .0 | .0 | 1,719 | 01/15/2018 | 2FE |
| 12591V-AA-9 | COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19 | | 03/01/2018 | Paydown | | 224,636 | 224,636 | 224,631 | 224,564 | .0 | .72 | .0 | .72 | .0 | 224,636 | .0 | .0 | .0 | .571 | 02/10/2019 | 1FM |
| 12594D-AB-4 | CNH Equipment Tr CNH 2016-B A2A ABS 1.310% 10/15/19 | | 03/15/2018 | Paydown | | 497,835 | 497,835 | 497,833 | 497,828 | .0 | .7 | .0 | .7 | .0 | 497,835 | .0 | .0 | .0 | 993 | 10/15/2019 | 1FE |
| 12631D-AW-3 | COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47 | | 03/01/2018 | Paydown | | 102,432 | 102,432 | 102,431 | 102,401 | .0 | .31 | .0 | .31 | .0 | 102,432 | .0 | .0 | .0 | 227 | 05/10/2047 | 1FM |
| 14042E-4L-1 | COF-CALL02/18 Corp Note Call 1.650% 02/05/18 | | 01/05/2018 | Call | 100.0000 | 1,700,000 | 1,700,000 | 1,699,660 | 1,699,989 | .0 | .11 | .0 | .11 | .0 | 1,700,000 | .0 | .0 | .0 | 11,688 | 02/05/2018 | 2FE |
| 17275R-AZ-5 | CISCO SYSTEMS Corp Note Non Call 2.485% 02/21/18 | | 02/21/2018 | Maturity | | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | .0 | .0 | .0 | .0 | .0 | 1,500,000 | .0 | .0 | .0 | 7,823 | 02/21/2018 | 1FE |
| 172967-JH-5 | CITIGROUP INC Corp Note Non Call 1.800% 02/05/18 | | 02/05/2018 | Maturity | | 600,000 | 600,000 | 602,814 | 600,181 | .0 | (181) | .0 | (181) | .0 | 600,000 | .0 | .0 | .0 | 5,400 | 02/05/2018 | 2FE |
| 17322M-AS-5 | Citigroup Commercial Mtge Tr CGOINT 2014-GC21 A1 CMBS 1.242% 05/10/47 | | 03/01/2018 | Paydown | | 166,522 | 166,522 | 166,518 | 166,475 | .0 | .47 | .0 | .47 | .0 | 166,522 | .0 | .0 | .0 | .360 | 05/10/2047 | 1FM |
| 17322V-AR-7 | CITIGROUP COMMERCIAL MORTGAGE CGOINT 2014-GC23 A2 CMBS 2.851% 07/10/47 | | 03/01/2018 | Paydown | | 243,393 | 243,393 | 250,691 | 245,619 | .0 | (2,227) | .0 | (2,227) | .0 | 243,393 | .0 | .0 | .0 | 959 | 07/10/2047 | 1FM |
| 17326F-AA-5 | CITIGROUP COMMER CGOINT 2017-C4 A1 CMBS 2.121% 10/12/50 | | 03/01/2018 | Paydown | | 22,626 | 22,626 | 22,626 | 22,626 | .0 | .0 | .0 | .0 | .0 | 22,626 | .0 | .0 | .0 | .84 | 10/12/2050 | 1FM |
| 20030N-AR-2 | COMCAST CORP Corp Note MW 5.875% 02/15/18 | | 02/15/2018 | Maturity | | 249,000 | 249,000 | 260,984 | 250,348 | .0 | (1,348) | .0 | (1,348) | .0 | 249,000 | .0 | .0 | .0 | 7,314 | 02/15/2018 | 1FE |
| 233851-DC-5 | DAIMLER FINANCE Corp Note Non Call Prv Plc 2.354% 02/22/21 | | 03/06/2018 | Wachovia Bank | | 726,102 | 725,000 | 725,000 | .0 | .0 | .0 | .0 | .0 | 725,000 | .0 | 1,102 | 1,102 | .664 | 02/22/2021 | 1FE | |
| 24422E-SB-6 | JOHN DEERE CAP Corp Note Non Call 1.300% 03/12/18 | | 03/12/2018 | Maturity | | 500,000 | 500,000 | 501,835 | 500,197 | .0 | (197) | .0 | (197) | .0 | 500,000 | .0 | .0 | .0 | 3,250 | 03/12/2018 | 1FE |
| 25746U-CJ-1 | DOMINION RES Corp Note Non Call Prv Plc 2.556% 06/01/19 | | 03/06/2018 | Wachovia Bank | | 401,398 | 400,000 | 400,000 | 400,000 | .0 | .0 | .0 | .0 | .0 | 400,000 | .0 | 1,398 | 1,398 | 2,229 | 06/01/2019 | 2FE |
| 26208B-AJ-9 | Drive Auto Recei DRIVE 2016-CA B ABS Prv Plc 2.370% 11/16/20 | | 03/15/2018 | Paydown | | 425,154 | 425,154 | 425,102 | 425,131 | .0 | .23 | .0 | .23 | .0 | 425,154 | .0 | .0 | .0 | 2,238 | 11/16/2020 | 1FE |

E05.1

STATEMENT AS OF MARCH 31, 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 | |
|----------------------|--|---------|---------------|-------------------|---------------------------|---------------|------------|-------------|---|--|---|---|---|--|---|--|----------------------------------|-------------------------------|--|----------------------------------|--|-----|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | | |
| CUSIP Identification | Description | Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase/(Decrease) | Current Year's (Amortization)/Accretion | Current Year's Other Than Temporary Impairment Recognized | Total Change in Book/Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book /Adjusted Carrying Value | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) | |
| 30231G-AM-4 | EXXON MOBIL CORP Corp Note Non Call 2.056% 03/01/18 | | 03/01/2018 | Maturity | | 1,000,000 | 1,000,000 | 997,180 | 999,823 | .0 | .177 | .0 | .177 | .0 | 1,000,000 | .0 | .0 | .0 | 3,827 | 03/01/2018 | 1FE | |
| 31677Q-AV-1 | FIFTH THIRD BANK Corp Note Call 1.450% 02/28/18 | | 02/28/2018 | Maturity | | 700,000 | 700,000 | 700,336 | 700,012 | .0 | (12) | .0 | (12) | .0 | 700,000 | .0 | .0 | .0 | 5,075 | 02/28/2018 | 1FE | |
| 36254A-AC-8 | GM Financial Sec GMCAR 2017-1A A2B ABS Prv Plc 1.906% 03/16/20 | | 03/16/2018 | Paydown | | 270,508 | 270,508 | 270,508 | 270,508 | .0 | .0 | .0 | .0 | .0 | 270,508 | .0 | .0 | .0 | 727 | 03/16/2020 | 1FE | |
| 446438-RL-9 | HUNTINGTON NATL Corp Note Call 1.700% 02/26/18 | | 02/26/2018 | Maturity | | 265,000 | 265,000 | 266,261 | 265,059 | .0 | (59) | .0 | (59) | .0 | 265,000 | .0 | .0 | .0 | 2,253 | 02/26/2018 | 1FE | |
| 44891B-AD-7 | Hyundai Auto Lea HALST 2016-B A3 ABS Prv Plc 1.520% 10/15/19 | | 03/15/2018 | Paydown | | 94,910 | 94,910 | 94,884 | 94,895 | .0 | .15 | .0 | .15 | .0 | 94,910 | .0 | .0 | .0 | 300 | 10/15/2019 | 1FE | |
| 45687A-AA-0 | INGERSOLL-RAND Corp Note MW 50BP 6.875% 08/15/18 | | 03/15/2018 | Call | 101.8618 | 891,291 | 875,000 | 1,038,242 | 901,110 | .0 | (9,820) | .0 | (9,820) | .0 | 891,291 | .0 | .0 | .0 | 35,091 | 08/15/2018 | 2FE | |
| 61763K-AW-4 | MORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CIBS 1.313% 04/15/47 | | 03/01/2018 | Paydown | | 146,463 | 146,463 | 146,460 | 146,391 | .0 | .72 | .0 | .72 | .0 | 146,463 | .0 | .0 | .0 | 333 | 04/15/2047 | 1FM | |
| 693304-AL-1 | PECO ENERGY CO Corp Note MW 5.350% 03/01/18 | | 03/01/2018 | Maturity | | 600,000 | 600,000 | 624,180 | 603,880 | .0 | (3,880) | .0 | (3,880) | .0 | 600,000 | .0 | .0 | .0 | 16,050 | 03/01/2018 | 1FE | |
| 694308-GN-1 | PACIFIC GAS&ELEC Corp Note MW 8.250% 10/15/18 | | 02/18/2018 | Call | 103.7870 | 112,090 | 108,000 | 116,046 | 113,423 | .0 | (1,334) | .0 | (1,334) | .0 | 112,090 | .0 | .0 | .0 | 3,044 | 10/15/2018 | 1FE | |
| 756109-AM-6 | REALTY INCOME Corp Note Call MW 25BP 2.000% 01/31/18 | | 01/31/2018 | Maturity | | 665,000 | 665,000 | 656,235 | 664,819 | .0 | .181 | .0 | .181 | .0 | 665,000 | .0 | .0 | .0 | 6,650 | 01/31/2018 | 2FE | |
| 760759-AL-4 | REPUBLIC SVCS Corp Note MW 20BP 3.800% 05/15/18 | | 03/08/2018 | CitiGroup | | 952,043 | 950,000 | 1,014,857 | 956,541 | .0 | (3,270) | .0 | (3,270) | .0 | 953,270 | .0 | (1,229) | (1,229) | 11,432 | 05/15/2018 | 2FE | |
| 80284M-AE-0 | Santander Drive SDART 2015-4 B ABS 2.260% 06/15/20 | | 03/15/2018 | Paydown | | 614,452 | 614,452 | 614,418 | 614,442 | .0 | .10 | .0 | .10 | .0 | 614,452 | .0 | .0 | .0 | 2,295 | 06/15/2020 | 1FE | |
| 92276M-AY-1 | VENTAS REALTY LP Corp Note Cont Call 4.000% 04/30/19 | | 02/23/2018 | Redemption | 101.8300 | 356,405 | 350,000 | 355,435 | .0 | .0 | (230) | .0 | (230) | .0 | 355,204 | .0 | 1,201 | 1,201 | 4,394 | 04/30/2019 | 2FE | |
| 92976W-BH-8 | WACHOVIA CORP Corp Note Non Call 5.750% 02/01/18 | | 02/01/2018 | Maturity | | 900,000 | 900,000 | 958,869 | 903,383 | .0 | (3,383) | .0 | (3,383) | .0 | 900,000 | .0 | .0 | .0 | 25,875 | 02/01/2018 | 1FE | |
| 98161T-AC-9 | World Omni Auto WQART 2017-B A2B ABS 1.877% 02/16/21 | | 03/15/2018 | Paydown | | 18,847 | 18,847 | 18,847 | 18,847 | .0 | .0 | .0 | .0 | .0 | 18,847 | .0 | .0 | .0 | 77 | 02/16/2021 | 1FE | |
| 111013-AG-3 | BRIT SKY BROADCA Corp Note MW 40BP Prv Plc 6.100% 02/15/18 | D | 02/15/2018 | Maturity | | 400,000 | 400,000 | 349,516 | 399,066 | .0 | .934 | .0 | .934 | .0 | 400,000 | .0 | .0 | .0 | 12,200 | 02/15/2018 | 2FE | |
| 3899999 | Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | 22,017,307 | 22,014,268 | 22,334,833 | 20,985,524 | 0 | (35,223) | 0 | (35,223) | 0 | 22,030,737 | 0 | (13,432) | (13,432) | 224,843 | XXX | XXX | |
| 8399997 | Total - Bonds - Part 4 | | | | | 76,338,414 | 76,335,375 | 82,069,805 | 75,582,105 | 0 | (310,695) | 0 | (310,695) | 0 | 76,351,844 | 0 | (13,432) | (13,432) | 1,066,469 | XXX | XXX | |
| 8399998 | Total - Bonds - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 8399999 | Total - Bonds | | | | | 76,338,414 | 76,335,375 | 82,069,805 | 75,582,105 | 0 | (310,695) | 0 | (310,695) | 0 | 76,351,844 | 0 | (13,432) | (13,432) | 1,066,469 | XXX | XXX | |
| 8999997 | Total - Preferred Stocks - Part 4 | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 8999998 | Total - Preferred Stocks - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 8999999 | Total - Preferred Stocks | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9799997 | Total - Common Stocks - Part 4 | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9799998 | Total - Common Stocks - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 9799999 | Total - Common Stocks | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9899999 | Total - Preferred and Common Stocks | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9999999 | Totals | | | | | 76,338,414 | XXX | 82,069,805 | 75,582,105 | 0 | (310,695) | 0 | (310,695) | 0 | 76,351,844 | 0 | (13,432) | (13,432) | 1,066,469 | XXX | XXX | |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

E05.2

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Americhoice - Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

| | <u>East</u> | <u>Middle</u> | <u>West</u> | <u>Total TN</u> |
|---|--------------------|--------------------|--------------------|---------------------|
| Member Months | 468,029 | 508,058 | 381,127 | 1,357,214 |
| Revenues: | | | | |
| TennCare Capitation | 176,163,853 | 187,002,542 | 135,650,289 | 498,816,685 |
| Other Revenue - ECF Administration Revenue | 504,875 | 398,576 | 386,549 | 1,290,000 |
| Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee | 3,889,679 | 4,207,279 | 2,957,847 | 11,054,806 |
| Total Revenues | <u>180,558,407</u> | <u>191,608,397</u> | <u>138,994,686</u> | <u>511,161,490</u> |
| Estimated Expenses: | | | | |
| Hospital and Medical (w/o Mental Health) | | | | |
| Capitated Physician Services | - | - | - | - |
| Fee-for Service Physician Services | 26,187,872 | 26,624,300 | 16,567,051 | 69,379,222 |
| Inpatient Hospital Services | 24,238,030 | 30,191,065 | 20,562,857 | 74,991,952 |
| Outpatient Hospital Services | 13,080,103 | 15,304,644 | 10,608,740 | 38,993,488 |
| Emergency Room Services | 10,635,712 | 8,949,082 | 6,759,904 | 26,344,698 |
| Dental Services | - | - | - | - |
| Vision Services | 530,938 | 713,915 | 690,660 | 1,935,514 |
| Pharmacy Services | - | - | - | - |
| Home Health Services | 1,650,088 | 853,064 | 685,662 | 3,188,815 |
| Chiropractic Services | - | - | - | - |
| Radiology Services | 1,737,918 | 2,017,797 | 988,201 | 4,743,916 |
| Laboratory Services | 2,275,321 | 2,582,849 | 1,582,911 | 6,441,082 |
| Durable Medical Equipment Services | 1,909,181 | 1,899,842 | 1,478,885 | 5,287,909 |
| Transportation Services | 728,806 | 753,870 | 794,693 | 2,277,369 |
| Outside Referrals | - | - | - | - |
| Medical Incentive Pool and Withhold Adj Occupancy, Depreciation and Amortization | - | - | - | - |
| Nursing Facility Care | 28,766,342 | 23,903,845 | 22,074,733 | 74,744,919 |
| HCBS Services | 7,623,761 | 7,959,283 | 8,323,934 | 23,906,977 |
| Other Medical and Hospital Services - Write-Ins | 9,494,358 | 11,943,214 | 10,103,708 | 31,541,280 |
| Subtotal Medical and Hospital | <u>128,858,430</u> | <u>133,696,771</u> | <u>101,221,939</u> | <u>363,777,140</u> |
| Mental Health and Substance Abuse Services | | | | |
| Inpatient Psychiatric Facility Services | 2,118,790 | 2,770,965 | 3,612,297 | 8,502,052 |
| Inpatient Substance Abuse Treatment and Detox | 215,649 | 373,828 | 129,480 | 718,957 |
| Outpatient Mental Health Services | 4,360,144 | 6,993,387 | 2,102,213 | 13,455,745 |
| Outpatient Substance Abuse Treatment and Detox | 298,388 | 447,895 | 174,774 | 921,057 |
| Housing/Residential Treatment | 1,114,547 | 1,645,115 | 914,717 | 3,674,380 |
| Specialized Crisis Services | 34,805 | 51,743 | 21,772 | 108,320 |
| Psychiatric Rehab and Support Services | 1,644,343 | 3,184,424 | 2,162,430 | 6,991,197 |
| Case Management | 140,331 | 690,219 | 610,522 | 1,441,071 |
| Forensics | - | - | - | - |
| Other Judicial | - | - | - | - |
| Pharmacy | - | - | - | - |
| Lab Services | - | - | - | - |
| Transportation | 72,570 | 55,319 | 35,613 | 163,501 |
| Medical Incentive Pool and Withhold Adjustments | - | - | - | - |
| Occupancy, Depreciation and Amortization | - | - | - | - |
| Other Mental Health and Substance Abuse Services PCP and Specialist Services | 1,002,916 | 221,295 | 90,477 | 1,314,688 |
| Other Mental Health Services - Write-Ins | 912,818 | 902,842 | 384,043 | 2,199,703 |
| Subtotal MH&SAS | <u>11,915,301</u> | <u>17,337,032</u> | <u>10,238,338</u> | <u>39,490,671</u> |
| Subtotal Hospital, Medical, MH&SAS, CHOICES | <u>140,773,731</u> | <u>151,033,803</u> | <u>111,460,277</u> | <u>403,267,810</u> |
| LESS: | | | | |
| Net Reinsurance Recoveries Incurred | - | - | - | - |
| Copayments | - | - | - | - |
| Subrogation and Coordination of Benefits | - | - | - | - |
| Subtotal Reinsurance, Copay, Subrogation | <u>-</u> | <u>-</u> | <u>-</u> | <u>-</u> |
| Total Hospital, Medical, MHS&S | <u>140,773,731</u> | <u>151,033,803</u> | <u>111,460,277</u> | <u>403,267,810</u> |
| Administration: | | | | |
| Compensation | - | - | - | - |
| Direct and Allocated Admin expenses | - | - | - | - |
| Marketing | - | - | - | - |
| Interest Expense | - | - | - | - |
| Premium Tax Expense | 15,288,616 | 16,412,141 | 10,945,428 | 42,646,185 |
| Occupancy, Depreciation, and Amortization | - | - | - | - |
| Insurer Fee | 10,665,163 | 11,497,800 | 8,099,184 | 30,262,148 |
| Other Administration - Write-Ins | 19,915,908 | 21,544,446 | 15,485,716 | 56,946,070 |
| Fines and Penalties - Other Write-Ins | 45,000 | 52,800 | 500 | 98,300 |
| Total Administration Expenses | <u>45,914,687</u> | <u>49,507,187</u> | <u>34,530,829</u> | <u>129,952,703</u> |
| Total Expenses | <u>186,688,418</u> | <u>200,540,990</u> | <u>145,991,105</u> | <u>533,220,514</u> |
| Extraordinary Item | - | - | - | - |
| Provision for Income Tax | 952,382 | 538,694 | 231,581 | 1,722,656 |
| Net Income (Loss) | <u>(7,082,393)</u> | <u>(9,471,286)</u> | <u>(7,228,000)</u> | <u>(23,781,679)</u> |
| Write-Ins for Other Revenues: | | | | |
| Total Other Revenues | <u>-</u> | <u>-</u> | <u>-</u> | <u>-</u> |
| Write-Ins for Other Medical and Hospital: | | | | |
| Other Miscellaneous | 8,489,619 | 10,846,758 | 9,652,834 | 28,989,211 |
| Other Capitated Services | 1,004,739 | 1,096,456 | 450,874 | 2,552,069 |
| Total Other Medical and Hospital | <u>9,494,358</u> | <u>11,943,214</u> | <u>10,103,708</u> | <u>31,541,280</u> |
| Detail of Other MH and SAS: | | | | |
| Total Other MH and SAS | <u>-</u> | <u>-</u> | <u>-</u> | <u>-</u> |
| Write-Ins for Other Administration: | | | | |
| Administrative Services Fee | 14,900,195 | 15,932,466 | 11,429,617 | 42,262,277 |
| Behavioral Healthcare Services | 1,394,810 | 1,635,508 | 1,139,917 | 4,170,234 |
| Spectera Administration Fees | - | - | - | - |
| Other Miscellaneous | 3,620,903 | 3,976,473 | 2,916,183 | 10,513,559 |
| Total Other Administration | <u>19,915,908</u> | <u>21,544,446</u> | <u>15,485,716</u> | <u>56,946,070</u> |

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 21% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2018 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$30,262,148 in 2018 YTD, which will be payable in September 2018 and is included in Other Administration - Write-Ins in the Report 2A.

UnitedHealthcare Community Plan - East Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

| | Current Qtr YTD Total as of 03/31/2018 | Current Qtr YTD Total as of 03/31/2018 | Prior Year as of 12/31/2017 |
|---|--|--|--------------------------------|
| Member Months | 468,029 | 468,029 | 1,875,305 |
| Revenues: | | | |
| TennCare Capitation | 176,163,853 | 176,163,853 | 689,711,514 |
| Other Revenue - TennCare Bonuses | 1 | - | 1,126,520 |
| Other Revenue - ECF Administration Revenue | 2 | 504,875 | - |
| Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee | 3,889,679 | 3,889,679 | 1,102,944 |
| Total Revenues | <u>180,558,407</u> | <u>180,558,407</u> | <u>691,940,978</u> |
| Estimated Expenses: | | | |
| Hospital and Medical (w/o Mental Health) | | | |
| Capitated Physician Services | - | - | - |
| Fee-for Service Physician Services | 26,187,872 | 26,187,872 | 105,705,608 |
| Inpatient Hospital Services | 24,238,030 | 24,238,030 | 87,095,335 |
| Outpatient Hospital Services | 13,080,103 | 13,080,103 | 57,049,474 |
| Emergency Room Services | 10,635,712 | 10,635,712 | 45,504,345 |
| Dental Services | - | - | - |
| Vision Services | 530,938 | 530,938 | 2,672,815 |
| Pharmacy Services | - | - | - |
| Home Health Services | 1,650,088 | 1,650,088 | 6,167,275 |
| Chiropractic Services | - | - | - |
| Radiology Services | 1,737,918 | 1,737,918 | 7,305,547 |
| Laboratory Services | 2,275,321 | 2,275,321 | 7,947,218 |
| Durable Medical Equipment Services | 1,909,181 | 1,909,181 | 8,444,920 |
| Transportation Services | 728,806 | 728,806 | 3,611,013 |
| Outside Referrals | - | - | - |
| Medical Incentive Pool and Withhold Adj | - | - | - |
| Occupancy, Depreciation and Amortization | - | - | - |
| Nursing Facility Care | 28,766,342 | 28,766,342 | 116,075,126 |
| HCBS Services | 7,623,761 | 7,623,761 | 33,700,593 |
| Other Medical and Hospital Services - Write-Ins | 9,494,358 | 9,494,358 | 28,908,389 |
| Subtotal Medical and Hospital | <u>128,858,430</u> | <u>128,858,430</u> | <u>510,187,656</u> |
| Mental Health and Substance Abuse Services | | | |
| Inpatient Psychiatric Facility Services | 2,118,790 | 2,118,790 | 6,799,888 |
| Inpatient Substance Abuse Treatment and Detox | 215,649 | 215,649 | 846,898 |
| Outpatient Mental Health Services | 4,360,144 | 4,360,144 | 12,208,609 |
| Outpatient Substance Abuse Treatment and Detox | 298,388 | 298,388 | 945,067 |
| Housing/Residential Treatment | 1,114,547 | 1,114,547 | 3,124,338 |
| Specialized Crisis Services | 34,805 | 34,805 | 43,748 |
| Psychiatric Rehab and Support Services | 1,644,343 | 1,644,343 | 5,216,788 |
| Case Management | 140,331 | 140,331 | (147,208) |
| Forensics | - | - | - |
| Other Judicial | - | - | - |
| Pharmacy | - | - | - |
| Lab Services | - | - | - |
| Transportation | 72,570 | 72,570 | 135,605 |
| Medical Incentive Pool and Withhold Adjustments | - | - | - |
| Occupancy, Depreciation and Amortization | - | - | - |
| Other Mental Health and Substance Abuse Services | 1,002,916 | 1,002,916 | 3,624,498 |
| PCP and Specialist Services | - | - | - |
| Other Mental Health Services - Write-Ins | 912,818 | 912,818 | 6,643,824 |
| Subtotal MH&SAS | <u>11,915,301</u> | <u>11,915,301</u> | <u>39,442,055</u> |
| Subtotal Hospital, Medical, MH&SAS, CHOICES | <u>140,773,731</u> | <u>140,773,731</u> | <u>549,629,712</u> |
| LESS: | | | |
| Net Reinsurance Recoveries Incurred | - | - | - |
| Copayments | - | - | - |
| Subrogation and Coordination of Benefits | - | - | - |
| Subtotal Reinsurance, Copay, Subrogation | <u>-</u> | <u>-</u> | <u>-</u> |
| Total Hospital, Medical, MHS&S | <u>140,773,731</u> | <u>140,773,731</u> | <u>549,629,712</u> |
| Administration: | | | |
| Compensation | - | - | - |
| Direct and Allocated Admin expenses | - | - | - |
| Marketing | - | - | - |
| Interest Expense | - | - | - |
| Premium Tax Expense | 15,288,616 | 15,288,616 | 47,925,076 |
| Occupancy, Depreciation, and Amortization | - | - | - |
| Insurer Fee | 10,665,163 | 10,665,163 | - |
| Other Administration - Write-Ins | 19,915,908 | 19,915,908 | 75,570,911 |
| Fines and Penalties - Other Write-Ins | 45,000 | 45,000 | 136,808 |
| Total Administration Expenses | <u>45,914,687</u> | <u>45,914,687</u> | <u>123,632,796</u> |
| Total Expenses | <u>186,688,418</u> | <u>186,688,418</u> | <u>673,262,507</u> |
| Extraordinary Item | - | - | - |
| Provision for Income Tax | 2 | 952,382 | 6,537,465 |
| Net Income (Loss) | <u>(7,082,393)</u> | <u>(7,082,393)</u> | <u>12,141,006</u> |
| Write-Ins for Other Revenues: | | | |
| Total Other Revenues | <u>-</u> | <u>-</u> | <u>-</u> |
| Write-Ins for Other Medical and Hospital: | | | |
| Other Miscellaneous | 8,489,619 | 8,489,619 | 28,811,751 |
| Other Capitated Services | 1,004,739 | 1,004,739 | 96,638 |
| Total Other Medical and Hospital | <u>9,494,358</u> | <u>9,494,358</u> | <u>28,908,389</u> |
| Detail of Other MH and SAS: | | | |
| Other Miscellaneous | - | - | 6,643,824 |
| Total Other MH and SAS | <u>-</u> | <u>-</u> | <u>6,643,824</u> |
| Write-Ins for Other Administration: | | | |
| Administrative Services Fee | 14,900,195 | 14,900,195 | 59,283,514 |
| Behaviorial Healthcare Services | 1,394,810 | 1,394,810 | 5,341,851 |
| Spectera Administration Fees | - | - | - |
| Other Miscellaneous | 3,620,903 | 3,620,903 | 10,945,546 |
| Total Other Administration | <u>19,915,908</u> | <u>19,915,908</u> | <u>75,570,911</u> |

UnitedHealthcare Community Plan - Middle Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

| | Current Qtr YTD Total as of 03/31/2018 | YTD Total as of 03/31/2018 | Prior Year as of 12/31/2017 |
|---|--|-------------------------------|--------------------------------|
| Member Months | 508,058 | 508,058 | 2,010,830 |
| Revenues: | | | |
| TennCare Capitation | 187,002,542 | 187,002,542 | 702,811,201 |
| Other Revenue - ECF Administration Revenue | 398,576 | 398,576 | - |
| Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee | 4,207,279 | 4,207,279 | 811,415 |
| Total Revenues | 191,608,397 | 191,608,397 | 703,622,615 |
| Estimated Expenses: | | | |
| Hospital and Medical (w/o Mental Health) | | | |
| Capitated Physician Services | - | - | - |
| Fee-for Service Physician Services | 26,624,300 | 26,624,300 | 115,415,366 |
| Inpatient Hospital Services | 30,191,065 | 30,191,065 | 123,075,182 |
| Outpatient Hospital Services | 15,304,644 | 15,304,644 | 64,066,457 |
| Emergency Room Services | 8,949,082 | 8,949,082 | 37,201,148 |
| Dental Services | - | - | - |
| Vision Services | 713,915 | 713,915 | 2,432,086 |
| Pharmacy Services | - | - | - |
| Home Health Services | 853,064 | 853,064 | 3,159,021 |
| Chiropractic Services | - | - | - |
| Radiology Services | 2,017,797 | 2,017,797 | 8,988,471 |
| Laboratory Services | 2,582,849 | 2,582,849 | 9,046,346 |
| Durable Medical Equipment Services | 1,899,842 | 1,899,842 | 8,912,525 |
| Transportation Services | 753,870 | 753,870 | 4,240,418 |
| Outside Referrals | - | - | - |
| Medical Incentive Pool and Withhold Adj Occupancy, Depreciation and Amortization | - | - | - |
| Nursing Facility Care | 23,903,845 | 23,903,845 | 97,007,868 |
| HCBS Services | 7,959,283 | 7,959,283 | 32,922,953 |
| Other Medical and Hospital Services - Write-Ins | 11,943,214 | 11,943,214 | 27,152,451 |
| Subtotal Medical and Hospital | 133,696,771 | 133,696,771 | 533,620,292 |
| Mental Health and Substance Abuse Services | | | |
| Inpatient Psychiatric Facility Services | 2,770,965 | 2,770,965 | 9,512,858 |
| Inpatient Substance Abuse Treatment and Detox | 373,828 | 373,828 | 1,483,522 |
| Outpatient Mental Health Services | 6,993,387 | 6,993,387 | 18,276,475 |
| Outpatient Substance Abuse Treatment and Detox | 447,895 | 447,895 | 1,160,723 |
| Housing/Residential Treatment | 1,645,115 | 1,645,115 | 4,221,759 |
| Specialized Crisis Services | 51,743 | 51,743 | 68,354 |
| Psychiatric Rehab and Support Services | 3,184,424 | 3,184,424 | 9,155,608 |
| Case Management | 690,219 | 690,219 | 2,716,301 |
| Forensics | - | - | - |
| Other Judicial | - | - | - |
| Pharmacy | - | - | - |
| Lab Services | - | - | - |
| Transportation | 55,319 | 55,319 | 99,891 |
| Medical Incentive Pool and Withhold Adjustments | - | - | - |
| Occupancy, Depreciation and Amortization | - | - | - |
| Other Mental Health and Substance Abuse Services | 221,295 | 221,295 | 688,642 |
| PCP and Specialist Services | - | - | - |
| Other Mental Health Services - Write-Ins | 902,842 | 902,842 | 4,000,990 |
| Subtotal MH&SAS | 17,337,032 | 17,337,032 | 51,385,123 |
| Subtotal Hospital, Medical, MH&SAS, CHOICES | 151,033,803 | 151,033,803 | 585,005,415 |
| LESS: | | | |
| Net Reinsurance Recoveries Incurred | - | - | - |
| Copayments | - | - | - |
| Subrogation and Coordination of Benefits | - | - | - |
| Subtotal Reinsurance, Copay, Subrogation | - | - | - |
| Total Hospital, Medical, MHS&S | 151,033,803 | 151,033,803 | 585,005,415 |
| Administration: | | | |
| Compensation | - | - | - |
| Direct and Allocated Admin expenses | - | - | - |
| Marketing | - | - | - |
| Interest Expense | - | - | - |
| Premium Tax Expense | 16,412,141 | 16,412,141 | 50,000,960 |
| Occupancy, Depreciation, and Amortization | - | - | - |
| Insurer Fee | 11,497,800 | 11,497,800 | - |
| Other Administration - Write-Ins | 21,544,446 | 21,544,446 | 77,555,325 |
| Fines and Penalties - Other Write-Ins | 52,800 | 52,800 | 158,567 |
| Total Administration Expenses | 49,507,187 | 49,507,187 | 127,714,852 |
| Total Expenses | 200,540,990 | 200,540,990 | 712,720,267 |
| Extraordinary Item | - | - | - |
| Provision for Income Tax | 538,694 | 538,694 | (3,184,178) |
| Net Income (Loss) | (9,471,286) | (9,471,286) | (5,913,474) |
| Write-Ins for Other Revenues: | | | |
| Total Other Revenues | - | - | - |
| Write-Ins for Other Medical and Hospital: | | | |
| Other Miscellaneous | 10,846,758 | 10,846,758 | 27,047,292 |
| Other Capitated Services | 1,096,456 | 1,096,456 | 105,158 |
| Total Other Medical and Hospital | 11,943,214 | 11,943,214 | 27,152,451 |
| Detail of Other MH and SAS: | | | |
| Other Miscellaneous | - | - | 4,000,990 |
| Total Other MH and SAS | - | - | 4,000,990 |
| Write-Ins for Other Administration: | | | |
| Administrative Services Fee | 15,932,466 | 15,932,466 | 59,939,151 |
| Behavioral Healthcare Services | 1,635,508 | 1,635,508 | 6,146,275 |
| Spectera Administration Fees | - | - | - |
| Other Miscellaneous | 3,976,473 | 3,976,473 | 11,469,900 |
| Total Other Administration | 21,544,446 | 21,544,446 | 77,555,325 |

UnitedHealthcare Community Plan - West Tennessee
Report 2A - TennCare Income Statement
West Tennessee CRA 2.30.14.3.3 and 2.30.14.3.4

| Member Months | Current Qtr YTD Total as of 03/31/2018 | YTD Total as of 03/31/2018 | Prior Year as of 12/31/2017 |
|---|--|-------------------------------|--------------------------------|
| Revenues: | 381,127 | 381,127 | 1,555,953 |
| TennCare Capitation | 135,650,289 | 135,650,289 | 540,222,752 |
| Other Revenue - ECF Administration Revenue | 386,549 | 386,549 | - |
| Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee | 2,957,847 | 2,957,847 | 666,877 |
| Total Revenues | 138,994,686 | 138,994,686 | 540,889,628 |
| Estimated Expenses: | | | |
| Hospital and Medical (w/o Mental Health) | | | |
| Capitated Physician Services | - | - | - |
| Fee-for Service Physician Services | 16,567,051 | 16,567,051 | 70,750,689 |
| Inpatient Hospital Services | 20,562,857 | 20,562,857 | 79,861,712 |
| Outpatient Hospital Services | 10,608,740 | 10,608,740 | 46,841,658 |
| Emergency Room Services | 6,759,904 | 6,759,904 | 27,806,216 |
| Dental Services | - | - | - |
| Vision Services | 690,660 | 690,660 | 2,506,179 |
| Pharmacy Services | - | - | - |
| Home Health Services | 685,662 | 685,662 | 2,140,787 |
| Chiropractic Services | - | - | - |
| Radiology Services | 988,201 | 988,201 | 4,642,747 |
| Laboratory Services | 1,582,911 | 1,582,911 | 6,041,899 |
| Durable Medical Equipment Services | 1,478,885 | 1,478,885 | 6,859,280 |
| Transportation Services | 794,693 | 794,693 | 4,624,573 |
| Outside Referrals | - | - | - |
| Medical Incentive Pool and Withhold Adj | - | - | - |
| Occupancy, Depreciation and Amortization | - | - | - |
| Nursing Facility Care | 22,074,733 | 22,074,733 | 87,824,910 |
| HCBS Services | 8,323,934 | 8,323,934 | 34,569,173 |
| Other Medical and Hospital Services - Write-Ins | 10,103,708 | 10,103,708 | 20,122,547 |
| Subtotal Medical and Hospital | 101,221,939 | 101,221,939 | 394,592,372 |
| Mental Health and Substance Abuse Services | | | |
| Inpatient Psychiatric Facility Services | 3,612,297 | 3,612,297 | 10,819,379 |
| Inpatient Substance Abuse Treatment and Detox | 129,480 | 129,480 | 528,723 |
| Outpatient Mental Health Services | 2,102,213 | 2,102,213 | 5,561,357 |
| Outpatient Substance Abuse Treatment and Detox | 174,774 | 174,774 | 465,630 |
| Housing/Residential Treatment | 914,717 | 914,717 | 3,777,379 |
| Specialized Crisis Services | 21,772 | 21,772 | 36,888 |
| Psychiatric Rehab and Support Services | 2,162,430 | 2,162,430 | 7,326,211 |
| Case Management | 610,522 | 610,522 | 2,819,852 |
| Forensics | - | - | - |
| Other Judicial | - | - | - |
| Pharmacy | - | - | - |
| Lab Services | - | - | - |
| Transportation | 35,613 | 35,613 | 108,274 |
| Medical Incentive Pool and Withhold Adjustments | - | - | - |
| Occupancy, Depreciation and Amortization | - | - | - |
| Other Mental Health and Substance Abuse Services | 90,477 | 90,477 | 298,392 |
| PCP and Specialist Services | - | - | - |
| Other Mental Health Services - Write-Ins | 384,043 | 384,043 | 2,426,998 |
| Subtotal MH&SAS | 10,238,338 | 10,238,338 | 34,169,083 |
| Subtotal Hospital, Medical, MH&SAS, CHOICES | 111,460,277 | 111,460,277 | 428,761,455 |
| LESS: | | | |
| Net Reinsurance Recoveries Incurred | - | - | - |
| Copayments | - | - | - |
| Subrogation and Corrdination of Benefits | - | - | - |
| Subtotal Reinsurance, Copay, Subrogation | - | - | - |
| Total Hospital, Medical, MHS&S | 111,460,277 | 111,460,277 | 428,761,455 |
| Administration: | | | |
| Compensation | - | - | - |
| Direct and Allocated Admin expenses | - | - | - |
| Marketing | - | - | - |
| Interest Expense | - | - | - |
| Premium Tax Expense | 10,945,428 | 10,945,428 | 36,860,062 |
| Occupancy, Depreciation, and Amortization | - | - | - |
| Insurer Fee | 8,099,184 | 8,099,184 | - |
| Other Administration - Write-Ins | 15,485,716 | 15,485,716 | 59,470,362 |
| Fines and Penalties - Other Write-Ins | 500 | 500 | (261,856) |
| Total Administration Expenses | 34,530,829 | 34,530,829 | 96,068,568 |
| Total Expenses | 145,991,105 | 145,991,105 | 524,830,023 |
| Extraordinary Item | - | - | - |
| Provision for Income Tax | 231,581 | 231,581 | 5,620,862 |
| Net Income (Loss) | (7,228,000) | (7,228,000) | 10,438,744 |
| Write-Ins for Other Revenues: | | | |
| Total Other Revenues | - | - | - |
| Write-Ins for Other Medical and Hospital: | | | |
| Other Miscellaneous | 9,652,834 | 9,652,834 | 20,044,499 |
| Other Capitated Services | 450,874 | 450,874 | 78,048 |
| Total Other Medical and Hospital | 10,103,708 | 10,103,708 | 20,122,547 |
| Detail of Other MH and SAS: | | | |
| Other Miscellaneous | - | - | 2,426,998 |
| Total Other MH and SAS | - | - | 2,426,998 |
| Write-Ins for Other Administration: | | | |
| Administrative Services Fee | 11,429,617 | 11,429,617 | 47,009,083 |
| Behaviorial Healthcare Services | 1,139,917 | 1,139,917 | 4,428,553 |
| Spectera Administration Fees | - | - | - |
| Other Miscellaneous | 2,916,183 | 2,916,183 | 8,032,726 |
| Total Other Administration | 15,485,716 | 15,485,716 | 59,470,362 |



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: April 13, 2018
Valuation Date: March 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of March 2018 – Risk Business – Eastern Tennessee – Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$15,538,985 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of March 2018, this block of business had approximately 3,800 members.

A handwritten signature in black ink, appearing to read 'Jonathan Rasmussen', written over a horizontal line.

Jonathan Rasmussen, FSA, MAAA
Director of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-6408
E-mail: jonathan_rasmussen@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: April 13, 2018
Valuation Date: March 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of March 2018 – Risk Business – Eastern Tennessee – Excluding Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$38,749,723 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of March 2018, this block of business had approximately 148,400 members.

Jonathan Rasmussen, FSA, MAAA
Director of Actuarial Services
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E-mail: jonathan_rasmussen@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: April 13, 2018
Valuation Date: March 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of March 2018 – Risk Business – Middle Tennessee – Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$13,373,105 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of March 2018, this block of business had approximately 3,400 members.

A handwritten signature in cursive script, reading 'Jonathan Rasmussen', written over a horizontal line.

Jonathan Rasmussen, FSA, MAAA

Director of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-6408

E-mail: jonathan_rasmussen@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: April 13, 2018
Valuation Date: March 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of March 2018 – Risk Business – Middle Tennessee – Excluding Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$46,818,163 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of March 2018, this block of business had approximately 161,900 members.

A handwritten signature in cursive script, reading 'Jonathan Rasmussen', written over a horizontal line.

Jonathan Rasmussen, FSA, MAAA

Director of Actuarial Services

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: April 13, 2018
Valuation Date: March 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of March 2018 – Risk Business – Western Tennessee – Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$12,956,251 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of March 2018, this block of business had approximately 3,100 members.

A handwritten signature in cursive script, appearing to read 'Jonathan Rasmussen', written over a horizontal line.

Jonathan Rasmussen, FSA, MAAA

Director of Actuarial Services

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: April 13, 2018
Valuation Date: March 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of March 2018 – Risk Business – Western Tennessee – Excluding Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$33,701,382 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of March 2018, this block of business had approximately 120,100 members.

A handwritten signature in black ink, appearing to read 'Jonathan Rasmussen', written over a horizontal line.

Jonathan Rasmussen, FSA, MAAA

Director of Actuarial Services

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April 13, 2018

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Long Term Care

As of and for the Period Ended March 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Eastern Tennessee, which had approximately 3,800 members in March 2018. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of March 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$15,538,985.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;

- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;
- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

April 13, 2018



Jonathan Rasmussen, FSA, MAAA

Director of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-6408

E-mail: jonathan_rasmussen@uhc.com



April 13, 2018

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Excluding Long Term Care

As of and for the Period Ended March 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Eastern Tennessee, which had approximately 148,400 members in March 2018. This business became effective July 1, 2009.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of March 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$38,749,723.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

April 13, 2018



Jonathan Rasmussen, FSA, MAAA

Director of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

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(952) 931-6408

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April 13, 2018

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Middle Tennessee, Long Term Care

As of and for the Period Ended March 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Middle Tennessee, which had approximately 3,400 members in March 2018. This business became effective March 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of March 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$13,373,105.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
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April 13, 2018

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Middle Tennessee, Excluding Long Term Care

As of and for the Period Ended March 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Middle Tennessee, which had approximately 161,900 members in March 2018. This business became effective April 1, 2007.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of March 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$46,818,163.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

April 13, 2018



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April 13, 2018

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Long Term Care

As of and for the Period Ended March 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Western Tennessee, which had approximately 3,100 members in March 2018. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of March 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$12,956,251.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

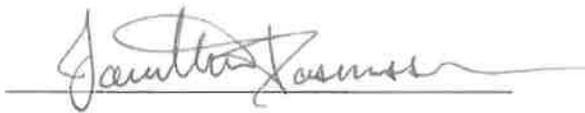
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

April 13, 2018



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April 13, 2018

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Excluding Long Term Care

As of and for the Period Ended March 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Western Tennessee, which had approximately 120,100 members in March 2018. This business became effective March 1, 2008.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of March 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$33,701,382.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

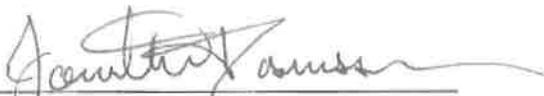
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

April 13, 2018



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STATEMENT AS OF MARCH 31, 2018 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

| Tennessee | 1 Total | Comprehensive (Hospital & Medical) | | 4 Medicare Supplement | 5 Vision Only | 6 Dental Only | 7 Federal Employees Health Benefit Plan | 8 Medicare | 9 Medicaid | 10 Other |
|---|----------------|---------------------------------------|----------------|---------------------------------|-------------------------|-------------------------|---|-------------------|-------------------|-----------------|
| | | 2 Individual | 3 Group | | | | | | | |
| Total Members at end of: | | | | | | | | | | |
| 1. Prior Year | 504,486 | | 462 | | | | | 51,581 | 452,443 | |
| 2. First Quarter | 495,765 | | 348 | | | | | 54,792 | 440,625 | |
| 3. Second Quarter | | | | | | | | | | |
| 4. Third Quarter | | | | | | | | | | |
| 5. Current Year | | | | | | | | | | |
| 6. Current Year Member Months | 1,520,229 | | 1,035 | | | | | 161,980 | 1,357,214 | |
| Total Member Ambulatory Encounters for Year: | | | | | | | | | | |
| 7. Physician | 1,528,824 | | 734 | | | | | 410,775 | 1,117,315 | |
| 8. Non-Physician | 965,966 | | 58 | | | | | 186,302 | 779,606 | |
| 9. Total | 2,494,790 | | 792 | | | | | 597,077 | 1,896,921 | |
| 10. Hospital Patient Days Incurred | 931,984 | | 43 | | | | | 53,631 | 878,310 | |
| 11. Number of Inpatient Admissions | 62,711 | | 4 | | | | | 7,198 | 55,509 | |
| 12. Health Premiums Written | 725,940,115 | | 464,733 | | | | | 215,603,892 | 509,871,490 | |
| 13. Life Premiums Direct | - | | - | | | | | - | - | |
| 14. Property/Casualty Premiums Written | - | | - | | | | | - | - | |
| 15. Health Premiums Earned | 726,410,591 | | 464,733 | | | | | 216,074,146 | 509,871,712 | |
| 16. Property/Casualty Premiums Earned | - | | - | | | | | - | - | |
| 17. Amount Paid for Provision of Health Care Services | 598,493,471 | | 231,957 | | | | | 178,569,897 | 419,691,617 | |
| 18. Amount Incurred for Provision of Health Care Services | 570,122,078 | | (6,003) | | | | | 166,860,271 | 403,267,810 | |

STATEMENT AS OF MARCH 31, 2018 FOR
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

EXHIBIT 2 - ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

Individually list all debtors with account balances the greater of 10% of gross Premiums Receivable or \$10,000.

| Name of Debtor | 1-30 Days | 31-60 Days | 61-90 Days | Over 90 Days | Non Admitted | Admitted |
|---|------------|------------|------------|--------------|--------------|-------------|
| Total Individuals | - | - | - | - | - | - |
| Group subscribers: | - | - | - | - | - | - |
| Federal Employees | 1,727,861 | 205,538 | - | - | - | 1,933,399 |
| Group subscriber subtotal | - | - | - | - | - | - |
| Premiums due and unpaid not individually listed | 379,281 | - | 7,830 | 6,989 | 7,868 | 386,232 |
| Total group | 2,107,142 | 205,538 | 7,830 | 6,989 | 7,868 | 2,319,631 |
| Premiums due and unpaid from Medicare entities | - | - | - | 4,564 | 4,564 | - |
| Premiums due and unpaid from Medicaid entities | 16,838,718 | 18,435,390 | 18,367,874 | 146,669,464 | - | 200,311,446 |
| Accident and health premiums due and unpaid (Page 2, Line 15) | 18,945,860 | 18,640,928 | 18,375,704 | 146,681,017 | 12,432 | 202,631,077 |

Note: Special Needs Program activity is reported within Premiums due and unpaid from Medicaid entities.

STATEMENT AS OF MARCH 31, 2018 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 3 - Health Care Receivables

| | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|---|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| OptumRx, Inc. | \$ 37,575,828 | \$ 1,216,715 | \$ 1,202,262 | \$ 2,532,323 | \$ 2,589,782 | \$ 39,937,346 |
| 0199998 Aggregate Pharmaceutical Rebate Receivables Not Individually Listed | - | - | - | - | - | - |
| 0199999 Total Pharmaceutical Rebate Receivables | 37,575,828 | 1,216,715 | 1,202,262 | 2,532,323 | 2,589,782 | 39,937,346 |
| 0299998 Aggregate Claim Overpayment Receivables Not Individually Listed | 11,736,370 | 821,874 | 1,058,583 | 11,659,962 | 18,281,938 | 6,994,851 |
| 0299999 Total Claim Overpayment Receivables | 11,736,370 | 821,874 | 1,058,583 | 11,659,962 | 18,281,938 | 6,994,851 |
| 0399998 Aggregate Loans and Advances to Providers Not Individually Listed | - | 499,432 | 71 | 523,846 | 1,023,349 | - |
| 0399999 Total Loans and Advances to Providers | - | 499,432 | 71 | 523,846 | 1,023,349 | - |
| 0499998 Aggregate Capitation Arrangement Receivables Not Individually Listed | - | - | - | - | - | - |
| 0499999 Total Capitation Arrangement Receivables | - | - | - | - | - | - |
| 0599998 Aggregate Risk Sharing Receivables Not Individually Listed | - | - | - | 330,903 | 330,903 | - |
| 0599999 Total Risk Sharing Receivables | - | - | - | 330,903 | 330,903 | - |
| 0699998 Aggregate Other Receivables Not Individually Listed | - | - | - | - | - | - |
| 0699999 Total Other Receivables | - | - | - | - | - | - |
| 0799999 Gross Health Care Receivables | \$ 49,312,198 | \$ 2,538,021 | \$ 2,260,916 | \$ 15,047,034 | \$ 22,225,972 | \$ 46,932,197 |

STATEMENT AS OF MARCH 31, 2018 OF THE UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 5 - Amounts Due From Parent, Subsidiaries and Affiliates

| | 1 Name of Affiliate | 2 1 - 30 Days | 3 31 -60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | Admitted | |
|---------|-------------------------------------|------------------|------------------|-------------------|-------------------|------------------|--------------|------------------|
| | | | | | | | 7 Current | 8 Non-Current |
| 0100001 | United HealthCare Services, Inc. | 268,874 | - | - | - | - | 268,874 | |
| 0199999 | Individually listed receivables | 268,874 | - | - | - | - | 268,874 | |
| 0299999 | Receivables not individually listed | | | | | | | |
| 0399999 | Total gross amounts receivable | 268,874 | - | - | - | - | 268,874 | |

STATEMENT AS OF MARCH 31, 2018 OF THE UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 6 - Amounts Due To Parent, Subsidiaries and Affiliates

| | 1 Affiliate | 2 Description | 3 Amount | 4 Current | 5 Non-Current |
|---------|----------------------------------|-----------------------|-------------|--------------|------------------|
| 0100001 | United HealthCare Services, Inc. | Intercompany Payables | 54,627,351 | 54,627,351 | |
| 0199999 | Individually listed payables | | 54,627,351 | 54,627,351 | |
| 0299999 | Payables not individually listed | | - | - | |
| 0399999 | Total gross payables | | 54,627,351 | 54,627,351 | |

United Healthcare C & S - Tennessee
Retroactive Revenue Accrual Summary
 March-18

| Total | | | | | | | | | | | | |
|--------------|---------------|---------------------|--------------|-------------------|----------------|---------------------|---------------|-------------------|----------------|---------------------|---------------|-------------------|
| IDB | Mid TN - TANF | | Mid TN - LTC | | West TN - TANF | | West TN - LTC | | East TN - TANF | | East TN - LTC | |
| | Mbrs | Rev | Mbrs | Rev | Mbrs | Rev | Mbrs | Rev | Mbrs | Rev | Mbrs | Rev |
| Oct-17 | 209 | 127,613.00 | 2 | 8,706.69 | 168 | 93,209.05 | 1 | 7,800.12 | 207 | 116,507.01 | 3 | 19,469.62 |
| Nov-17 | 483 | 276,504.15 | 5 | 26,046.36 | 404 | 206,368.76 | 4 | 23,529.11 | 474 | 257,422.76 | 10 | 54,776.99 |
| Dec-17 | 843 | 460,744.59 | 17 | 96,131.79 | 707 | 349,226.31 | 12 | 68,443.29 | 823 | 431,895.74 | 22 | 123,606.48 |
| Jan-18 | 1,374 | 708,666.03 | 41 | 236,496.12 | 1,141 | 528,228.75 | 23 | 143,766.64 | 1,289 | 659,617.22 | 44 | 247,305.38 |
| Feb-18 | 2,437 | 1,418,188.68 | 47 | 325,583.80 | 1,943 | 891,432.49 | 23 | 180,704.41 | 2,175 | 1,207,763.18 | 48 | 345,942.28 |
| Mar-18 | - | - | - | - | - | - | - | - | - | - | - | - |
| Total | 5,344 | 2,991,716.45 | 112 | 692,964.76 | 4,363 | 2,068,465.36 | 63 | 424,243.57 | 4,969 | 2,673,205.91 | 127 | 791,100.75 |

<== Check

| | | | | | | | | | | | | |
|-------------------------|-------|--------------|-----|------------|-------|--------------|----|------------|-------|--------------|-----|------------|
| Prior Month | 5,323 | 2,757,433.80 | 103 | 615,819.21 | 4,493 | 2,115,195.94 | 59 | 407,501.23 | 4,839 | 2,605,639.39 | 117 | 680,228.98 |
| Change from Prior Month | 21 | 234,282.65 | 9 | 77,145.55 | (130) | (46,730.58) | 4 | 16,742.34 | 130 | 67,566.52 | 10 | 110,871.77 |

| | Prior Month | | Current Month | | Inc/(Deer) in Accrual | |
|---------------|---------------|---------------------|---------------|---------------------|-----------------------|-------------------|
| | Mbrs | Revenue | Mbrs | Revenue | Mbrs | Revenue |
| TANI? | 14,655 | 7,478,269.13 | 14,676 | 7,733,387.72 | 21 | 255,118.59 |
| LTC | 279 | 1,703,549.42 | 303 | 1,908,309.08 | 24 | 204,759.66 |
| TOTALS | 14,934 | 9,181,818.55 | 14,978 | 9,641,696.80 | 45 | 459,878.25 |

Comments:

For purposes of switching our booking revenue from the 820 payment file to now what is in current membership, the current month is zeroed out. This is due to timing because membership is now taken in current month as opposed to the 820 payments based on TennCare's membership counts taken in prior month.

Retro Accrual in AR Paid YTD December 2018

12/31/2016 AR balance by component

| | TennCare Medicaid withhold | TennCare LTC withhold | Medicaid Accrual for LTC members not receiving services (held in account 55600-03000-21297 on 12/31/2017) | LTC Accrual for LTC members not receiving services (held in account 55600-03000-21297 on 12/31/2017) | Medicaid (A) Accrual for Future retro premium on 55600-03000-11070 12/31/2017 | LTC (A) Accrual for Future retro premium on 55600-03000-11070 12/31/2017 | Medicaid | LTC |
|--|----------------------------|-----------------------|--|---|---|--|--------------|------------------------|
| STAT reclass from A11027 to A11070 for rate change accrual | | | | | 46,261,775 | 2,357,985 | 48,619,760 | |
| TennCare withhold | - | - | | | 6,917,952 | 1,931,578 | 8,849,530 | |
| GL Accrual for future retroactive premium | | | | | 53,179,727 | 4,289,563 | 57,469,290 | 53,179,727 4,289,563 |
| GL Accrual for LTC members not receiving LTC services | | | 742,504.11 | (13,488,709) | | | (12,746,205) | 742,504 (13,488,709) |
| GL balance 12/31/2017 | | | 742,504 | (13,488,709) | 53,179,727 | 4,289,563 | 44,723,084 | 53,922,231 (9,199,146) |

| 2018 Cash receipts related to 2017 service dates | Prior Medicaid withhold paid | Prior LTC withhold paid | Current Medicaid withhold on 2017 service dates | Current LTC withhold on 2017 service dates | Premium to record sent in 820 remittance | Premium to record sent in 820 remittance | Total deposits related to 2017 | Medicaid | LTC |
|--|------------------------------|-------------------------|---|--|--|--|--------------------------------|--------------|-----------|
| Jan-18 | - | - | 147,727 | 18,221 | (5,909,078) | (728,854) | (6,471,984) | (5,761,351) | (710,633) |
| Feb-18 | (147,727) | (18,221) | 1,185,312 | (112,653) | (47,412,495) | 4,506,112 | (41,999,672) | (46,374,909) | 4,375,237 |
| Mar-18 | (1,185,312) | 112,653 | 63,313 | 23,118 | (2,532,536) | (924,723) | (4,443,486) | (3,654,535) | (788,952) |
| Apr-18 | - | - | - | - | - | - | - | - | - |
| May-18 | - | - | - | - | - | - | - | - | - |
| Jun-18 | - | - | - | - | - | - | - | - | - |
| Jul-18 | - | - | - | - | - | - | - | - | - |
| Aug-18 | - | - | - | - | - | - | - | - | - |
| Sep-18 | - | - | - | - | - | - | - | - | - |
| Oct-18 | - | - | - | - | - | - | - | - | - |
| Nov-18 | - | - | - | - | - | - | - | - | - |
| Dec-18 | - | - | - | - | - | - | - | - | - |
| Total 2018 cash deposits for 2017 retro cash | | | | | (55,854,109) | 2,852,535 | (52,915,143) | (55,790,795) | 2,875,653 |