

QUARTERLY STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Illinois

FOR THE QUARTER ENDED
SEPTEMBER 30, 2015

HEALTH

2015

QUARTERLY STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Iowa

FOR THE QUARTER ENDED
SEPTEMBER 30, 2015

HEALTH

2015

QUARTERLY STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Tennessee

FOR THE QUARTER ENDED
SEPTEMBER 30, 2015

HEALTH

2015

QUARTERLY STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Virginia

FOR THE QUARTER ENDED
SEPTEMBER 30, 2015

HEALTH

2015



HEALTH QUARTERLY STATEMENT
 AS OF SEPTEMBER 30, 2015
 OF THE CONDITION AND AFFAIRS OF THE
UnitedHealthcare Plan of the River Valley, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 95378 Employer's ID Number 36-3379945
(Current) (Prior)

Organized under the Laws of Illinois, State of Domicile or Port of Entry Illinois

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 08/05/1985 Commenced Business 12/19/1985

Statutory Home Office 1300 River Drive, Suite 200 Moline, IL, US 61265
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 9700 Health Care Lane, MN017-E900 Minnetonka, MN, US 55343
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address UHCRiverValley.com

Statutory Statement Contact Micah Forrest Wenck 952-979-6175
(Name) (Area Code) (Telephone Number)
micah_1_wenck@uhc.com 952-979-7825
(E-mail Address) (FAX Number)

OFFICERS

Secretary Christina Regina Palme-Krizak Treasurer Robert Worth Oberrender
 Chief Financial Officer James Wesley Kelly #

OTHER

Nyle Brent Cottingham # Vice President Charles David Ettelson M.D. # Chief Medical Officer Michelle Marie Huntley Assistant Secretary
Rita Faye Johnson-Mills President, Medicaid Division Steven Craig Walli President, Commercial

DIRECTORS OR TRUSTEES

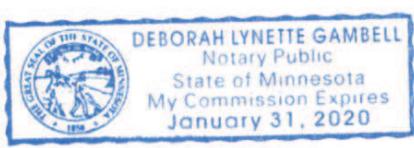
William Kenneth Appelgate Ph.D. Charles David Ettelson M.D. # James Edward Hecker
Rita Faye Johnson-Mills James Wesley Kelly # Steven Craig Walli
Cathie Sue Whiteside Scott Edward Williams

State of Missouri State of Minnesota State of Tennessee
 County of St. Louis County of Hennepin County of Davidson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that (1) state law may differ, or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Steven C. Walli Michelle Marie Huntley James Wesley Kelly
 President, Commercial Assistant Secretary Chief Financial Officer

Subscribed and sworn to before me this 26 day of October 2015 27 day of October
Cynthia L. Dalpiaz Leah Ann Smith James Wesley Kelly



a Is this an original filing? Yes [X] No []
 b If no:
 1. State the amendment number.....
 2. Date filed.....
 3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	615,835,433	0	615,835,433	771,961,443
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$14,433,493), cash equivalents (\$936,216) and short-term investments (\$52,806,845)	68,176,554	0	68,176,554	375,013,261
6. Contract loans (including \$0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	18,345,882	0	18,345,882	19,971,370
9. Receivables for securities	0	0	0	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	702,357,869	0	702,357,869	1,166,946,074
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	4,650,753	0	4,650,753	6,855,705
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	103,370,052	739,520	102,630,533	51,364,132
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	46,255,320	38,222	46,217,098	45,403,511
18.1 Current federal and foreign income tax recoverable and interest thereon	955,199	0	955,199	0
18.2 Net deferred tax asset	31,730,840	9,899,519	21,831,321	35,960,160
19. Guaranty funds receivable or on deposit	1,003,283	0	1,003,283	1,705,147
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$44,320,380) and other amounts receivable	68,505,201	24,184,822	44,320,380	33,954,624
25. Aggregate write-ins for other than invested assets	839,398	25,451	813,947	732,907
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	959,667,915	34,887,533	924,780,382	1,342,922,260
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	959,667,915	34,887,533	924,780,382	1,342,922,260
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Section 1343 ACA Risk Adjustment Receivable	724,322	0	724,322	349,323
2502. FEHBP Receivable	89,625	0	89,625	43,012
2503. Service Fee Receivable	25,451	25,451	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	340,572
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	839,398	25,451	813,947	732,907

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded)	283,814,889	0	283,814,889	366,649,616
2. Accrued medical incentive pool and bonus amounts	7,036,408	0	7,036,408	6,961,348
3. Unpaid claims adjustment expenses	4,184,290	0	4,184,290	5,159,859
4. Aggregate health policy reserves, including the liability of \$217,299 for medical loss ratio rebate per the Public Health Service Act	24,346,401	0	24,346,401	16,521,720
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	155,712	0	155,712	202,498
8. Premiums received in advance	27,541,478	0	27,541,478	187,072,160
9. General expenses due or accrued	45,721,390	0	45,721,390	62,855,606
10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized gains (losses))	0	0	0	26,763,004
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	280,033	0	280,033	364,503
12. Amounts withheld or retained for the account of others	3,644	0	3,644	65,965
13. Remittances and items not allocated	6,886	0	6,886	17,829
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	49,458,373	0	49,458,373	49,317,995
16. Derivatives	0	0	0	0
17. Payable for securities	1,236,011	0	1,236,011	3,676,962
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	14,561,979	0	14,561,979	16,964,546
23. Aggregate write-ins for other liabilities (including \$1,034,359 current)	1,034,359	0	1,034,359	0
24. Total liabilities (Lines 1 to 23)	459,381,853	0	459,381,853	742,593,611
25. Aggregate write-ins for special surplus funds	XXX	XXX	39,191,904	68,402,222
26. Common capital stock	XXX	XXX	610,000	610,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	44,977,137	44,977,137
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	1,500,000	1,500,000
31. Unassigned funds (surplus)	XXX	XXX	379,119,488	484,839,290
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0)	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	465,398,529	600,328,649
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	924,780,382	1,342,922,260
DETAILS OF WRITE-INS				
2301. Fines & Penalties - Accrued	563,664	0	563,664	0
2302. Section 1343 ACA Risk Adjustment Payable	459,369	0	459,369	0
2303. Unclaimed Property	11,325	0	11,325	0
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	1,034,359	0	1,034,359	0
2501. Section 9010 ACA Subsequent Fee Year Assessment	XXX	XXX	39,191,904	68,402,222
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	39,191,904	68,402,222
3001. Statutory Fund	XXX	XXX	1,500,000	1,500,000
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	1,500,000	1,500,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	5,553,686	6,935,785	9,397,189
2. Net premium income (including \$0 non-health premium income)	XXX	2,583,795,659	3,146,695,653	4,252,549,640
3. Change in unearned premium reserves and reserve for rate credits	XXX	(7,824,682)	(14,597,243)	(7,990,891)
4. Fee-for-service (net of \$0 medical expenses)	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	394,000	922,823	1,269,295
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	2,576,364,977	3,133,021,233	4,245,828,044
Hospital and Medical:				
9. Hospital/medical benefits	0	1,886,992,071	2,332,948,377	3,164,649,576
10. Other professional services	0	11,322,567	12,749,160	17,131,554
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	0	0	0	0
13. Prescription drugs	0	62,726,067	82,642,340	97,525,457
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	7,186,941	7,769,774	9,609,623
16. Subtotal (Lines 9 to 15)	0	1,968,227,647	2,436,109,651	3,288,916,210
Less:				
17. Net reinsurance recoveries	0	0	0	0
18. Total hospital and medical (Lines 16 minus 17)	0	1,968,227,647	2,436,109,651	3,288,916,210
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$62,448,503 cost containment expenses	0	103,694,129	132,681,735	171,150,494
21. General administrative expenses	0	281,807,902	315,934,416	409,387,812
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only)	0	0	0	0
23. Total underwriting deductions (Lines 18 through 22)	0	2,353,729,678	2,884,725,802	3,869,454,516
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	222,635,299	248,295,431	376,373,528
25. Net investment income earned	0	8,677,122	10,356,048	13,673,245
26. Net realized capital gains (losses) less capital gains tax of \$1,390,378	0	2,588,638	2,176,561	2,835,953
27. Net investment gains (losses) (Lines 25 plus 26)	0	11,265,760	12,532,609	16,509,198
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$2,481) (amount charged off \$(334,360))]	0	(331,879)	(399,854)	(683,012)
29. Aggregate write-ins for other income or expenses	0	(466,786)	(564,458)	(729,708)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	233,102,394	259,863,728	391,470,006
31. Federal and foreign income taxes incurred	XXX	87,696,016	100,317,350	154,484,191
32. Net income (loss) (Lines 30 minus 31)	XXX	145,406,378	159,546,378	236,985,815
DETAILS OF WRITE-INS				
0601. TennCare Incentives	XXX	394,000	922,823	1,269,295
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	394,000	922,823	1,269,295
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Fines & Penalties – Paid and Accrued	0	(470,348)	(564,483)	(729,733)
2902. Investment Settlement Proceeds	0	3,561	25	25
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	(466,786)	(564,458)	(729,708)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	600,328,649	513,401,130	513,401,130
34. Net income or (loss) from Line 32	145,406,378	159,546,378	236,985,815
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	(17,221,028)	(1,406,519)	10,126,408
39. Change in nonadmitted assets	11,884,530	(3,579,202)	(13,184,704)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in	0	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	(275,000,000)	(147,000,000)	(147,000,000)
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital & surplus (Lines 34 to 47)	(134,930,120)	7,560,657	86,927,519
49. Capital and surplus end of reporting period (Line 33 plus 48)	465,398,529	520,961,787	600,328,649
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	2,372,650,410	3,107,352,616	4,417,093,414
2. Net investment income	19,886,221	19,878,268	25,216,202
3. Miscellaneous income	394,000	922,823	1,269,295
4. Total (Lines 1 to 3)	2,392,930,631	3,128,153,707	4,443,578,911
5. Benefit and loss related payments	2,051,914,436	2,467,119,844	3,339,089,077
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	405,803,700	459,265,828	578,140,155
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	116,804,597	103,452,881	133,375,883
10. Total (Lines 5 through 9)	2,574,522,733	3,029,838,553	4,050,605,115
11. Net cash from operations (Line 4 minus Line 10)	(181,592,101)	98,315,154	392,973,796
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	302,505,705	209,678,849	302,404,451
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	302,505,705	209,678,849	302,404,451
13. Cost of investments acquired (long-term only):			
13.1 Bonds	149,896,004	317,135,429	403,512,214
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	2,440,951	5,982,588	6,223,005
13.7 Total investments acquired (Lines 13.1 to 13.6)	152,336,955	323,118,017	409,735,219
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	150,168,750	(113,439,168)	(107,330,768)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	275,000,000	147,000,000	147,000,000
16.6 Other cash provided (applied)	(413,357)	3,568,399	2,590,924
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(275,413,356)	(143,431,601)	(144,409,076)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(306,836,707)	(158,555,616)	141,233,951
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	375,013,261	233,779,310	233,779,310
19.2 End of period (Line 18 plus Line 19.1)	68,176,554	75,223,694	375,013,261

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	813,770	8,782	39,683	0	0	0	3,498	113,088	648,719	0
2. First Quarter	625,301	9,173	37,771	0	0	0	3,417	100,257	474,683	0
3. Second Quarter	623,641	9,407	36,789	0	0	0	3,396	100,924	473,125	0
4. Third Quarter	628,359	9,446	35,857	0	0	0	3,369	101,569	478,118	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	5,553,686	88,845	329,730	0	0	0	30,333	906,696	4,198,082	0
Total Member Ambulatory Encounters for Period:										
7. Physician	5,556,868	34,103	146,399	0	0	0	18,600	1,729,651	3,628,115	0
8. Non-Physician	2,989,201	7,924	44,474	0	0	0	3,729	812,659	2,120,415	0
9. Total	8,546,069	42,027	190,873	0	0	0	22,329	2,542,310	5,748,530	0
10. Hospital Patient Days Incurred	2,459,451	290	7,797	0	0	0	984	171,701	2,278,679	0
11. Number of Inpatient Admissions	188,612	79	1,711	0	0	0	190	39,058	147,574	0
12. Health Premiums Written (a)	2,586,399,854	17,746,922	121,966,109	0	0	0	16,225,466	905,935,505	1,524,739,460	(213,608)
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	2,578,575,172	17,746,922	121,893,745	0	0	0	16,225,466	900,685,142	1,522,237,505	(213,608)
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	2,051,914,435	9,702,110	93,453,375	0	0	0	12,600,515	701,659,840	1,234,779,319	(280,724)
18. Amount Incurred for Provision of Health Care Services	1,968,227,647	9,423,817	86,688,498	0	0	0	11,928,897	690,578,216	1,169,900,016	(291,798)

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$905,935,505

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	11,970,769	90,815,352	327,094	11,919,432	12,297,864	18,955,256
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	979,668	11,590,553	595,258	1,170,745	1,574,927	2,318,195
6. Title XVIII - Medicare	63,879,600	632,562,797	3,301,564	100,898,617	67,181,165	106,517,315
7. Title XIX - Medicaid	183,445,138	1,049,839,401	6,155,964	159,601,926	189,601,102	239,050,274
8. Other health	(280,724)	0	0	0	(280,724)	11,074
9. Health subtotal (Lines 1 to 8)	259,994,451	1,784,808,103	10,379,881	273,590,720	270,374,332	366,852,114
10. Healthcare receivables (a)	11,000,947	51,177,382	0	5,713,373	11,000,947	67,011,365
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	5,519,644	1,592,237	3,467,950	3,568,459	8,987,593	6,961,348
13. Totals (Lines 9-10+11+12)	254,513,148	1,735,222,958	13,847,831	271,445,806	268,360,979	306,802,097

(a) Excludes \$ 613,500 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Accounting Practices

The statutory basis financial statements of UnitedHealthcare Plan of the River Valley, Inc. (the "Company") are presented on the basis of accounting practices prescribed or permitted by the Illinois Department of Insurance (the "Department").

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Illinois, for determining and reporting the financial condition and results of operations of a health maintenance organization, for determining its solvency under Illinois Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed or permitted by the State of Illinois and those prescribed or permitted by the NAIC SAP that materially affect the statutory basis net income and capital and surplus as illustrated in the table below:

	State of Domicile	September, 30 2015	December 31, 2014
Net Income			
(1) Company state basis	Illinois	\$ 145,406,378	\$ 236,985,815
(2) State prescribed practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(3) State permitted practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(4) NAIC SAP (1-2-3=4)	Illinois	\$ 145,406,378	\$ 236,985,815
Capital and Surplus			
(5) Company state basis	Illinois	\$ 465,398,529	\$ 600,328,649
(6) State prescribed practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(7) State permitted practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(8) NAIC SAP (5-6-7=8)	Illinois	\$ 465,398,529	\$ 600,328,649

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

C. Accounting Policy

(1–5) No significant change.

(6) U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets. Total investments in mortgage-backed securities or asset-backed securities cannot exceed more than 30% of total cash and invested assets.

(7–13) No significant change.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. No significant change.

4. DISCONTINUED OPERATIONS

(1–5) No significant change.

5. INVESTMENTS AND OTHER INVESTED ASSETS

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt Restructuring

No significant change.

C. Reverse Mortgages

No significant change.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include loan-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.
- (2) The Company did not recognize any other-than-temporary impairments (“OTTIs”) on loan-backed securities as of September 30, 2015.
- (3) As of September 30, 2015, the Company did not have any loan-backed securities with an OTTI to report by CUSIP.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the loan-backed securities have been in a continuous unrealized loss position as of September 30, 2015 and December 31, 2014:

	September 30, 2015
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (194,027)
2. 12 Months or longer	(287,850)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	24,915,110
2. 12 Months or longer	14,900,862
	December 31, 2014
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (107,132)
2. 12 Months or longer	(336,167)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	48,006,405
2. 12 Months or longer	14,010,922

- (5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of September 30, 2015 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions

The Company does not have any repurchase agreements and/or securities lending transactions.

F. Real Estate

No significant change.

G. Low-Income Housing Tax Credits

No significant change.

H. Restricted Assets

No significant change.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

K. Structured Notes

The Company does not have any structured notes.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. No significant change.

7. INVESTMENT INCOME

A–B. No significant change.

8. DERIVATIVE INSTRUMENTS

A–F. No significant change.

9. INCOME TAXES

A–G. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net gain before federal income taxes and net realized capital gains primarily as a result of the annual health insurer fee under Section 9010 of the Patient Protection and Affordable Care Act (“ACA”) which is non-deductible for tax purposes. The Company is required to expense 100% of the estimated annual fee on January 1, 2015. The Company’s health insurer fee impact is \$68,257,666 in 2015, which was paid in September 2015.

The Company’s net deferred tax asset decreased \$17,221,028 from December 31, 2014 to September 30, 2015 as a result of the decrease in unearned premiums, tax amortization, and nonadmitted assets. The change in net deferred income taxes, excluding the impact of the change in nonadmitted assets, had a corresponding impact on the current federal income tax provision.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–L. Material Related Party Transactions

No significant change.

11. DEBT

A–B. No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–I. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. (“UHS”), which provides services to the Company under the terms of a management agreement.

13. CAPITAL AND SURPLUS, SHAREHOLDERS’ DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–3) No significant change.

(4) On March 2, 2015, the Company declared an ordinary cash dividend of \$75,000,000 to the sole shareholder, UnitedHealthcare Services Company of the River Valley, Inc. The dividend was paid on March 16, 2015. The ordinary dividend complied with the provisions set forth in the statutes of Illinois and Tennessee. The dividend was recorded as a reduction to unassigned surplus.

On May 18, 2015, the Company declared an extraordinary cash dividend of \$200,000,000 to the sole shareholder, UnitedHealthcare Services Company of the River Valley, Inc. The extraordinary dividend complied with the provisions set forth in the statutes of Illinois and Tennessee and was approved by the Department and the State of Tennessee Bureau of TennCare ("TennCare") on June 15, 2015 and June 17, 2015, respectively. The dividend was paid on June 24, 2015 and was recorded as a reduction to unassigned surplus.

(5-13) No significant change.

14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS

A-F. No significant change.

15. LEASES

A-B. No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1-4) No significant change.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A. The Company did not have any transfers of receivables reported as sales as of September 30, 2015 or December 31, 2014.

B. The Company did not have any transfer and servicing of financial assets as of September 30, 2015 or December 31, 2014.

C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of September 30, 2015 or the year ended December 31, 2014.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A. ASO Plans

Prior to January 1, 2009, the Company had an ASO contract in eastern Tennessee for the TennCare product, for which the State of Tennessee retains all health care service risk, while the Company assumes administrative risk. Related to this ASO contract claims run-out activity, the Company has recorded payables of \$132 and \$29,589 included in liability for amounts held under uninsured plans in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus at September 30, 2015 and December 31, 2014, respectively.

Under the terms of the agreement with TennCare, the Company recorded receivables of \$0 and \$1,595,849 from TennCare as of September 30, 2015 and December 31, 2014, respectively, related to claims paid on behalf of TennCare members that were outside the twelve month retroactive eligibility period which is included in amounts receivable related to uninsured plans in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus. Pursuant to the terms of the agreement, the Company is not at risk for these payments and will receive reimbursement for claims paid outside of the retroactive eligibility period in accordance with the contractual terms.

The Company did not report any gains from operations of the uninsured portion of ASO plans and the uninsured portion of partially insured plans at September 30, 2015 and December 31, 2014.

B. The Company has no operations from Administrative Services Contracts.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a receivable of \$38,265,886 and \$33,889,517 in amounts receivable relating to uninsured plans and a payable of \$0 and \$650 in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus as of September 30, 2015 and December 31, 2014, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a receivable of \$2,467,256 and \$3,606,356 and a payable of \$9,423,869 and \$9,224,453 as of September 30, 2015 and December 31, 2014, respectively, for the Medicare Part D Coverage Gap Discount Program in amounts receivable relating to uninsured plans and liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company participates in administering the payment for the TennCare Pharmacy Benefit Manager (“PBM”). There is no risk to the Company as a result of these transactions. The Company recorded premium tax receivables from TennCare and a corresponding premium tax payable of \$5,483,956 and \$5,483,956 and \$6,311,789 and \$6,311,789 as of September 30, 2015 and December 31, 2014, respectively, which is included in amounts receivable relating to uninsured plans and general expenses due or accrued, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Additionally, the Company recorded unreimbursed PBM receivables and corresponding payable of \$0 and \$5,137,979 and \$0 and \$7,709,854 as of September 30, 2015 and December 31, 2014, respectively, which is included in amounts receivables relating to uninsured plans and liability for amounts held for uninsured plans, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (“pricing service”), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source; prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company’s internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company’s assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value**(1) Fair Value Measurements at Reporting Date**

The Company does not have financial assets measured and reported at fair value at September 30, 2015. The following table presents information about the Company's financial assets that are measured and reported at fair value at December 31, 2014 in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values:

Description for Each Class of Asset or Liability	December 31, 2014			
	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value:				
Perpetual preferred stock				
Industrial and misc	\$ -	\$ -	\$ -	\$ -
Parent, subsidiaries, and affiliates	-	-	-	-
Total perpetual preferred stocks	-	-	-	-
Bonds:				
U.S. governments	-	-	-	-
Industrial and misc	-	910,512	-	910,512
Hybrid securities	-	-	-	-
Parent, subsidiaries, and affiliates	-	-	-	-
Total bonds	-	910,512	-	910,512
Common stock:				
Industrial and misc	-	-	-	-
Parent, subsidiaries, and affiliates	-	-	-	-
Total common stock	-	-	-	-
Derivative assets:				
Interest rate contracts	-	-	-	-
Foreign exchange contracts	-	-	-	-
Credit contracts	-	-	-	-
Commodity futures contracts	-	-	-	-
Commodity forward contracts	-	-	-	-
Total derivatives	-	-	-	-
Separate account assets	-	-	-	-
Total assets at fair value	<u>\$ -</u>	<u>\$ 910,512</u>	<u>\$ -</u>	<u>\$ 910,512</u>
b. Liabilities at fair value:				
Derivative liabilities	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Total liabilities at fair value	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

There were no transfers between Levels 1 and 2 during the nine months ended September 30, 2015 and the year ended December 31, 2014.

- (2)** The Company does not have any financial assets with a fair value hierarchy of Level 3 that were measured and reported at fair value.
- (3)** The Company's financial assets with fair value hierarchy of level 3 represents investments in low-income housing tax credit investments. These investments are recorded and reported at cost of \$18,345,882 and \$19,971,370 at September 30, 2015 and December 31, 2014, respectively, which approximates fair value, as there is no readily available market.

- (4) **Investments** — Fair values of debt securities are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (“pricing service”), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, and, if necessary, makes adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, benchmark yields, credit spreads, default rates, prepayment speeds and non-binding broker quotes. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by a secondary pricing source, such as its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company’s internal price verification procedures and reviews of fair value methodology documentation provided by independent pricing services have not historically resulted in adjustment in the prices obtained from the pricing service.

Low-Income Tax Credit Housing Investments — The fair values of Level 3 investments in low income tax credit housing securities are deemed held-to-maturity as there is no active market and they will not be sold. Because of this, these securities are held at amortized cost. Should any contractual breakage occur that jeopardizes the ability to receive the tax credits associated with these securities, an impairment will be made. As of September 30, 2015, these investments are performing in accordance with their original contract terms.

- (5) The Company has no derivative assets and liabilities to disclose.

B. Fair Value Combination — Not applicable.

C. Aggregate Fair Value Hierarchy

The aggregate fair value by hierarchy of all financial instruments as of September 30, 2015 and December 31, 2014, is presented in the table below:

Types of Financial Investment	September 30, 2015					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
U.S. government and agency securities	\$ 180,210,910	\$ 179,692,007	\$ 99,129,076	\$ 81,081,834	\$ -	\$ -
State and agency municipalities	143,765,073	141,439,074	-	143,765,073	-	-
City and county municipalities	102,410,207	100,027,085	-	102,410,207	-	-
Corporate debt securities (includes commercial paper)	226,010,016	226,281,538	-	226,010,016	-	-
Money-market funds	21,202,574	21,202,574	21,202,574	-	-	-
Other invested assets	18,345,882	18,345,882	-	-	18,345,882	-
Total bonds, short-term investments, and other invested assets	<u>\$ 691,944,662</u>	<u>\$ 686,988,160</u>	<u>\$ 120,331,650</u>	<u>\$ 553,267,130</u>	<u>\$ 18,345,882</u>	<u>\$ -</u>
Types of Financial Investment	December 31, 2014					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
U.S. government and agency securities	\$ 186,551,736	\$ 185,983,468	\$ 109,312,447	\$ 77,239,289	\$ -	\$ -
State and agency municipalities	156,417,021	153,983,547	-	156,417,021	-	-
City and county municipalities	168,298,852	163,770,656	-	168,298,852	-	-
Corporate debt securities (includes commercial paper)	290,319,683	288,613,248	-	290,319,683	-	-
Money-market funds	138,631,638	138,631,638	138,631,638	-	-	-
Other invested assets	19,971,370	19,971,370	-	-	19,971,370	-
Total bonds, short-term investments, and other invested assets	<u>\$ 960,190,300</u>	<u>\$ 950,953,927</u>	<u>\$ 247,944,085</u>	<u>\$ 692,274,845</u>	<u>\$ 19,971,370</u>	<u>\$ -</u>

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy table above are U.S. Treasury securities of \$99,129,076 and \$109,312,447 as of September 30, 2015 and December 31, 2014, respectively.

Included as Level 2 in corporate debt securities in the fair value hierarchy table above are commercial paper investments of \$996,080 and \$999,982 as of September 30, 2015 and December 31, 2014, respectively. The commercial paper investments reflected in the table above are included in short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

The Company recorded receivables related to retroactive policies and estimated withholds of \$37,739,337 and \$2,185,079 as of December 31, 2014 for the TennCare Medicaid and CHOICES programs, respectively. As of September 30, 2015, the Company has collected \$23,782,087 and \$2,772,778 related to retroactive policies and estimated withholds related to these prior year balances for Medicaid and CHOICES programs, respectively. Currently, there is \$1,067,207 and \$14,262 accrued for TennCare retroactive receivables and estimated withholds for Medicaid and CHOICES programs, respectively, remaining for the prior year. These amounts are included in premiums and considerations in the statutory basis financial statements.

The Company has recorded payables for estimated recoupments of \$19,488,646 for TennCare Medicaid and CHOICES, net as of December 31, 2014. Currently, there is \$16,348,880 in estimated recoupments for Medicaid and CHOICES, net, remaining for the prior year based on new information communicated from TennCare. The estimated recoupments are included in premiums received in advance in the statutory basis financial statements.

The Company continues to refine the estimated recoupments on the CHOICES product. The impact of further refining the estimates due to updated information and additional history with the CHOICES product could result in a reduction to the amounts previously reported as net premium income. However, the Company is unable to accurately estimate the financial impact of the change at this time and any change would be reflected in operating results in the period in which the change in the estimate is identified.

A–G. No significant change.

22. EVENTS SUBSEQUENT

The Company has signed and entered into a contract with the Iowa Department of Human Services to deliver healthcare services for the Iowa Medicaid, Iowa Health and Wellness Plan, and Healthy and Well Kids in Iowa programs. The contract is effective January 1, 2016 through December 31, 2018 and may be extended for two one-year periods. The Company estimates it membership will increase by approximately 143,000 members or 22% effective January 1, 2016 as a result of the new contract.

23. REINSURANCE

A–D. No significant change.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

A–B. No significant change.

C. The Company has Medicare Part D program business which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Part D direct premiums written subject to retrospective rating was \$78,002,558 and \$95,397,196 representing 3.0% and 2.2% of total direct premiums written as of September 30, 2015 and December 31, 2014, respectively.

Pursuant to the Health Reform Legislation, the state elected to administer primary care physician enhanced rate payments through prospective capitation increases with risk-sharing that incorporates a retrospective reconciliation model. Under this model, the state pays the Company prospectively but also reconciles retrospectively and any excess or shortage, subject to risk corridor thresholds, is remitted or received back from the state. This legislative requirement to pay enhanced rates to primary care physicians ended as of January 1, 2015. The amount of Medicaid direct premiums written subject to this retrospective rating feature was \$2,766,600,445 representing 65% of total direct premiums written as of December 31, 2014. The Company has accrued estimated retrospective premiums adjustments of \$14,272,175 and \$11,770,220 related to this legislation as of September 30, 2015 and December 31, 2014, respectively, which is included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The change in the accrual is due to retroactivity recorded in the current year related to prior year service dates.

- D. The Company is required to maintain specific minimum loss ratios on the comprehensive and Medicare lines of business. The following table discloses the minimum medical loss ratio rebate liability which is included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus for the nine months ended September 30, 2015 and the year ended December 31, 2014:

	1 Individual	2 Small Group Employer	3 Large Group Employer	4 Other Categories with Rebates	5 Total
Prior reporting year:					
(1) Medical loss ratio rebates incurred	\$ -	\$ (659,869)	\$ 13,207	\$ -	\$ (646,662)
(2) Medical loss ratio rebates paid	-	-	-	-	-
(3) Medical loss rebates unpaid	-	-	13,207	-	13,207
(4) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(5) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(6) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	13,207
Current reporting year-to-date:					
(7) Medical loss ratio rebates incurred	\$ -	\$ 56,463	\$ 325,563	\$ -	\$ 382,025
(8) Medical loss ratio rebates paid	-	-	177,934	-	177,934
(9) Medical loss rebates unpaid	-	56,463	160,836	-	217,299
(10) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(11) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(12) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	217,299

E. Risk Sharing Provisions of the Affordable Care Act

- (1) The Company has accident and health insurance premiums in 2015 subject to the risk-sharing provisions of the ACA.

The ACA imposed fees and premium stabilization provisions on health insurance issuers offering commercial health insurance. The three premium stabilization programs are commonly referred to as the 3Rs – risk adjustment, risk corridors, and reinsurance.

Risk Adjustment – The permanent risk adjustment program, designed to mitigate the potential impact of adverse selection and provide stability for health insurance issuers, applies to all non-grandfathered not subject to transitional relief plans in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk adjustment program are accounted for as premium subject to redetermination and user fees are accounted for as assessments.

Risk Corridors – The temporary risk corridors program, designed to provide some aggregate protection against variability for issuers in the individual and small group markets during the period 2014 through 2016, applies to Qualified Health Plans in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk corridors program are accounted for as premium adjustments for retrospectively rated contracts.

Reinsurance – The transitional reinsurance program was designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations. The transitional reinsurance program is effective from 2014 through 2016 and applies to all issuers of major medical commercial products and third party administrators. Contributions attributable to enrollees in ACA compliant individual plans, including program administrative costs are accounted for as ceded premium and payments received are accounted for as ceded benefit recoveries. The portion of the individual contributions earmarked for the U.S. Treasury is accounted for as an assessment. Contributions made for enrollees in fully insured plans other than ACA compliant individual plans, including program administrative costs and payments to the U.S. Treasury, are treated as assessments.

- (2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations.

a. Permanent ACA Risk Adjustment Program	September 30, 2015
<u>Assets</u>	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 724,322
<u>Liabilities</u>	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 1,811
3. Premium adjustments payable due to ACA Risk Adjustment	\$ 459,369
<u>Operations (Revenue & Expense)</u>	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$ (726,149)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 2,213
b. Transitional ACA Reinsurance Program	
<u>Assets</u>	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ -
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	\$ -
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ -
<u>Liabilities</u>	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ 1,864,050
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ -
6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance	\$ -
<u>Operations (Revenue & Expense)</u>	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ -
8. Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments	\$ -
9. ACA Reinsurance contributions - not reported as ceded premium	\$ 1,321,095
c. Temporary ACA Risk Corridors Program	
<u>Assets</u>	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ -
<u>Liabilities</u>	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ -
<u>Operations (Revenue & Expense)</u>	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$ -
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ -

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(3) The following table is a rollforward of prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances:

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
	1	2	3	4	Prior Year Accrued Less Payments (Col 1-3)	Prior Year Accrued Less Payments (Col 2-4)	To Prior Year Balances	To Prior Year Balances	9	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
	Receivable	(Payable)	Receivable	(Payable)	5	6	7	8	Ref	10	11
a. Permanent ACA Risk Adjustment Program											
1. Premium Adjustment Receivable	\$ 349,323	\$ -	\$ -	\$ -	\$ 349,323	\$ -	\$ (349,323)	\$ -	A	\$ -	\$ -
2. Premium Adjustment (Payable)	-	-	-	(641,778)	-	641,778	-	(641,778)	B	-	-
3. Subtotal ACA Permanent Risk Adjustment Program	349,323	-	-	(641,778)	349,323	641,778	(349,323)	(641,778)		-	-
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	-	-	-	-	-	-	-	-	C	-	-
2. Amounts recoverable for claims unpaid (contra liability)	-	-	-	-	-	-	-	-	D	-	-
3. Amounts receivable relating to uninsured plans	-	-	-	-	-	-	-	-	E	-	-
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	-	(3,257,730)	-	(2,714,775)	-	(542,955)	-	-	F	-	(542,955)
5. Ceded reinsurance premiums payable	-	-	-	-	-	-	-	-	G	-	-
6. Liability for amounts held under uninsured plans	-	-	-	-	-	-	-	-	H	-	-
7. Subtotal ACA Transitional Reinsurance Program	-	(3,257,730)	-	(2,714,775)	-	(542,955)	-	-		-	(542,955)
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	-	-	-	-	-	-	-	-	I	-	-
2. Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	J	-	-
3. Subtotal ACA Risk Corridors Program	-	-	-	-	-	-	-	-		-	-
d. Total for ACA Risk Sharing Provisions	\$ 349,323	\$ (3,257,730)	\$ -	\$ (3,356,553)	\$ 349,323	\$ 98,823	\$ (349,323)	\$ (641,778)		\$ -	\$ (542,955)

Explanation of Adjustments

A. The risk adjustment factor receivable as of December 2014 was reported based on estimated state risk transfer factors by risk pool utilizing paid claims data through October 31, 2014. The adjustments reflect true-ups based on the CMS final summary report for the benefit year 2014. In addition, the receivable balance was adjusted for CMS settling the risk adjustment liability at the state and market level in Q3 '15.

B. The risk adjustment factor payable as of December 2014 was reported based on estimated state risk transfer factors by risk pool utilizing paid claims data through October 31, 2014. The adjustments reflect true-ups based on the CMS final summary report for the benefit year 2014. In addition, the payable was adjusted for CMS settling the risk adjustment payable at the state and market level in Q3 '15.

C. NA

D. NA

E. NA

F. NA

G. NA

H. NA

I. NA

J. NA

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, and health care receivable (excluding provider loans and advances) at September 30, 2015 and December 31, 2014:

	September 30, 2015		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (373,813,462)	\$ (373,813,462)
Paid claims, net of health care receivable*	1,786,400,341	265,514,095	2,051,914,436
End of year claim reserve	<u>277,159,178</u>	<u>13,847,831</u>	<u>291,007,009</u>
Incurred claims excluding the change in health care receivable	2,063,559,519	(94,451,536)	1,969,107,983
Beginning of year health care receivable	-	67,011,365	67,011,365
End of year health care receivable*, (excluding provider loans and advances)	<u>(56,890,755)</u>	<u>(11,000,946)</u>	<u>(67,891,701)</u>
Total incurred claims	<u>\$ 2,006,668,764</u>	<u>\$ (38,441,117)</u>	<u>\$ 1,968,227,647</u>

*Health care receivable excludes provider loans and advances of \$613,500

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	December 31, 2014		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (401,679,427)	\$ (401,679,427)
Paid claims, net of health care receivable	3,056,913,086	282,175,992	3,339,089,078
End of year claim reserve	<u>355,865,150</u>	<u>17,948,312</u>	<u>373,813,462</u>
Incurred claims excluding the change in health care receivable	3,412,778,236	(101,555,123)	3,311,223,113
Beginning of year health care receivable	-	44,704,462	44,704,462
End of year health care receivable	<u>(62,745,548)</u>	<u>(4,265,817)</u>	<u>(67,011,365)</u>
Total incurred claims	<u>\$ 3,350,032,688</u>	<u>\$ (61,116,478)</u>	<u>\$ 3,288,916,210</u>

The liabilities for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, and health care receivable as of December 31, 2014 were \$306,802,097. As of September 30, 2015 \$265,514,095 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of healthcare receivable (excluding provider loans and advances) are \$2,846,885 as a result of re-estimation of unpaid claims. Therefore, there has been \$38,441,117 favorable prior year development since December 31, 2014 to September 30, 2015. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of \$15,459,563 and favorable development of \$21,629,430 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2014, the Company recorded \$61,116,478 of favorable development related to retroactivity for inpatient, outpatient, physician, and pharmacy claims of \$48,454,922 and favorable development as a result of a change in the provision for adverse deviations in experience of \$19,877,338 partially offset by unfavorable development of \$2,962,882 in provider settlements. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, including the medical loss ratio rebate accruals. Included in this favorable development is the impact related to retrospectively rated policies, which also has a corresponding impact on medical loss ratio rebates. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses of \$103,694,129 and \$171,150,494 for the nine months ended September 30, 2015 and the year ended December 31, 2014, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement. The following tables disclose paid claims adjustment expense ("CAE"), incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for the nine months ended September 30, 2015 and the year ended December 31, 2014:

	September 30, 2015	December 31, 2014
Total claims adjustment expenses incurred	\$ 103,694,129	\$ 171,150,494
Less current year unpaid claims adjustment expenses	(4,184,290)	(5,159,859)
Add prior year unpaid claims adjustment expenses	<u>5,159,859</u>	<u>7,501,050</u>
Total claims adjustment expenses paid	<u>\$ 104,669,698</u>	<u>\$ 173,491,685</u>

26. INTERCOMPANY POOLING ARRANGEMENTS

A-G. No significant change.

27. STRUCTURED SETTLEMENTS

A-B. No significant change.

28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE

A. Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has non-admitted all pharmacy rebates receivable that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

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For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria, the transaction history is summarized as follows:

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received within 90 Days of Billing	Actual Rebates Received within 91 to 180 Days of Billing	Actual Rebates Received More than 180 Days After Billing
9/30/2015	\$ 31,024,670	\$ -	\$ -	\$ -	\$ -
6/30/2015	28,470,991	27,368,203	16,294,962	-	-
3/31/2015	25,604,082	25,114,294	19,404,499	4,280,847	-
12/31/2014	23,452,508	23,885,897	18,533,141	4,195,358	727,874
9/30/2014	21,552,754	21,909,959	16,485,039	4,499,416	637,407
6/30/2014	19,502,895	19,877,967	15,009,763	3,381,198	1,201,804
3/31/2014	17,808,381	18,200,036	13,664,127	3,380,354	936,800
12/31/2013	19,062,222	18,807,411	15,681,007	2,073,330	964,129
9/30/2013	17,016,230	16,961,536	13,534,902	2,405,565	904,907
6/30/2013	14,665,060	14,669,447	12,012,180	2,053,390	604,351
3/31/2013	13,175,389	13,136,938	10,152,972	2,242,705	739,032
12/31/2012	13,830,948	14,342,360	11,719,287	1,800,865	819,484

Of the amount reported as health care receivable, \$42,171,867 and \$32,251,034 relates to pharmacy rebates receivable as of September 30, 2015 and December 31, 2014, respectively. The increase in pharmacy rebates receivable is primarily due to the increase in membership and utilization, most notably on the Special Needs Program product. Claim overpayments of \$2,148,513 and \$1,703,590 were determined to be admissible and are included in health care receivable in the accompanying statutory statements of admitted assets, liabilities, and capital and surplus as of September 30, 2015 and December 31 2014.

B. No significant change.

29. PARTICIPATING POLICIES

No significant change.

30. PREMIUM DEFICIENCY RESERVES

The Company has not recorded any premium deficiency reserves as of September 30, 2015 or December 31, 2014. The analysis of premium deficiency reserves was completed as of September 30, 2015 and December 31, 2014. The Company did consider anticipated investment income when calculating the premium deficiency reserves.

The following table summarizes the Company's premium deficiency reserves as of September 30, 2015 and December 31, 2014:

	September 30, 2015
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	<u>9/30/2015</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	December 31, 2014
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	<u>12/31/2014</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No []
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
July 1, 2015: UnitedHealth Group Incorporated, a Minnesota corporation, reincorporated from Minnesota to Delaware.
July 14, 2015: OptumRx Group Holdings, Inc., a Delaware corporation, was formed as a wholly owned subsidiary of Optum, Inc. a Delaware corporation.
July 23, 2015: 1031387 B.C. Unlimited Liability Company, a Canadian (British Columbia) unlimited liability company, acquired 100% of the outstanding shares of Catamaran Corporation, a Canadian (Yukon) corporation.
Catamaran Corporation is the sole shareholder of Catamaran Holdings I, LLC, a Delaware limited liability company, which is the sole shareholder of Catamaran LLC, a Texas limited liability company, which is the sole shareholder of Catamaran Health Solutions, LLC, a Delaware limited liability company. Catamaran Health Solutions, LLC has a 19.9% ownership interest in Script Relief, LLC, a Delaware limited liability company, with the remaining 80.1% being owned by an outside third party.
July 27, 2015: UnitedHealth Group Incorporated, a Delaware corporation, transferred its 100% ownership interest in 1031387 B.C. Unlimited Liability Company, a Canadian unlimited liability company, to United HealthCare Services, Inc., a Minnesota corporation. United HealthCare Services, Inc. transferred its 100% ownership interest in 1031387 B.C. Unlimited Liability Company to Optum, Inc., a Delaware corporation. Optum, Inc. transferred its 100% ownership interest in 1031387 B.C. Unlimited Liability Company to OptumRx Group Holdings, Inc., a Delaware corporation.
August 31, 2015: Collaborative Care Holdings, LLC, a Delaware limited liability company, acquired the remaining 51% ownership interest in AppleCare Medical Management, LLC, a California limited liability company, and the remaining 51% ownership interest in Golden Outlook, Inc., a California corporation. As a result, Collaborative Care Holdings, LLC owns a 100% ownership interest in both AppleCare Medical Management, LLC and Golden Outlook, Inc.
September 14, 2015: Catamaran Corporation continued its existence from the jurisdiction of the Yukon territories to British Columbia, Canada and changed its name to Catamaran Holdings Corporation. Catamaran Holdings Corporation then converted from a British Columbia corporation to a British Columbia unlimited liability company and changed its name to Catamaran Holdings ULC.
September 25, 2015: 1031387 B.C. Unlimited Liability Company, a British Columbia unlimited liability company, and Catamaran Holdings ULC, a British Columbia unlimited liability company, were amalgamated as one company under the name 1031387 B.C. Unlimited Liability Company.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1
Name of Entity | 2
NAIC Company Code | 3
State of Domicile |
|---------------------|------------------------|------------------------|
| | | |
5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2011
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2011
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 09/23/2013
- 6.4 By what department or departments?
Illinois Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

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1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Optum Bank, Inc.	Salt Lake City, UT	NO	NO	YES	NO

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No []
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0
13. Amount of real estate and mortgages held in short-term investments: \$0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$0 | \$0 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$0 | \$0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
 - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
 - 16.3 Total payable for securities lending reported on the liability page\$0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon	Global Liquidity Services, 1 Wall St., 14th Floor, New York, NY 10286
Northern Trust	50 S. LaSalle, Chicago, IL 60675

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107038	JPMorgan Investment Management Inc.	245 Park Avenue, New York, NY 10167
113972	Standish Mellon Asset Management Company	201 Washington Street, Suite 2900, Boston, MA 02108-4408

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent78.8 %
1.2 A&H cost containment percent2.4 %
1.3 A&H expense percent excluding cost containment expenses12.5 %

2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date\$.....0

2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

2.4 If yes, please provide the balance of the funds administered as of the reporting date\$.....0

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
NONE								

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

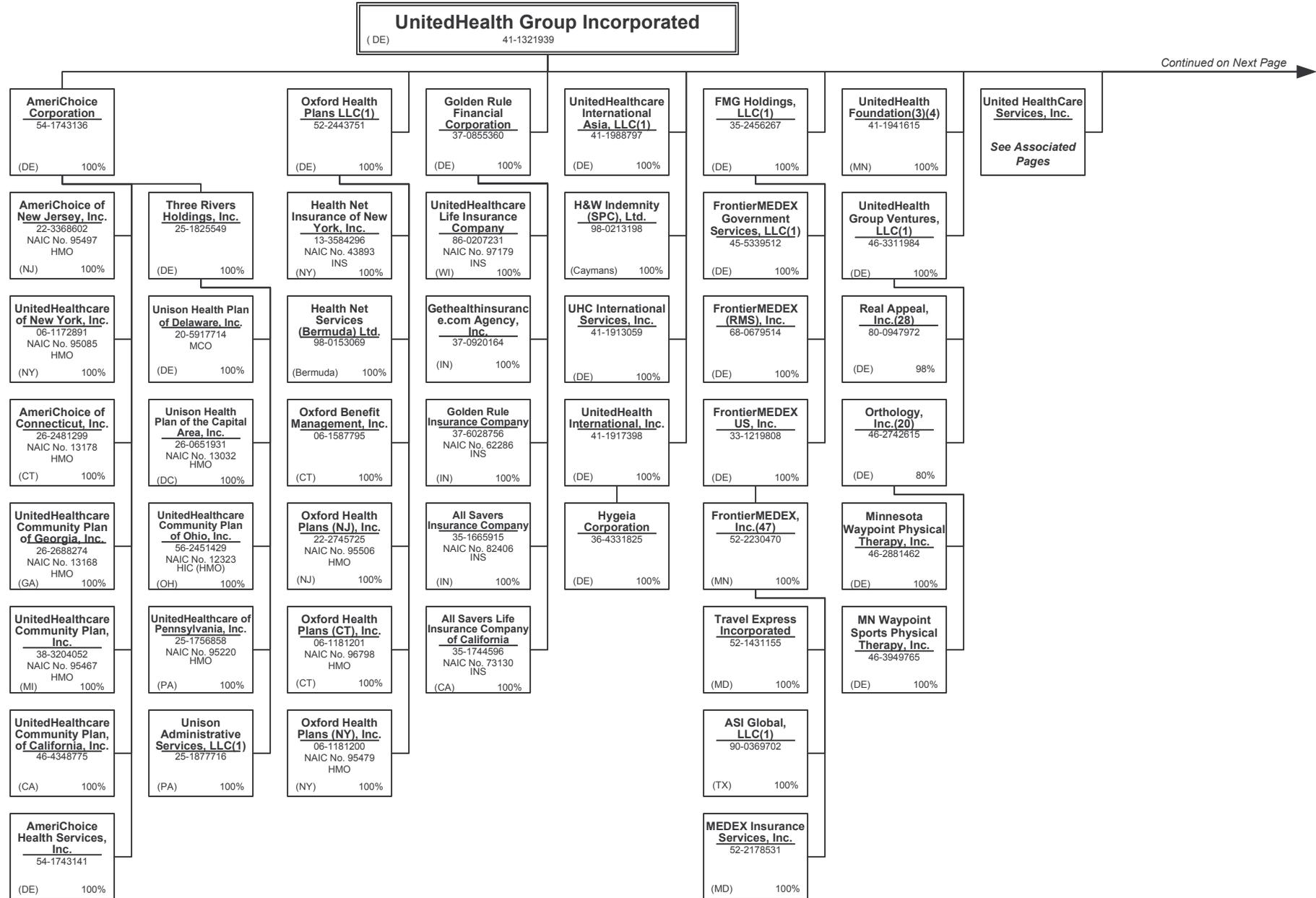
1	Direct Business Only									
	2	3	4	5	6	7	8	9		
States, etc.	Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts	
1. Alabama	AL	N	0	0	0	0	0	0	0	
2. Alaska	AK	N	0	0	0	0	0	0	0	
3. Arizona	AZ	N	0	0	0	0	0	0	0	
4. Arkansas	AR	N	0	0	0	0	0	0	0	
5. California	CA	N	0	0	0	0	0	0	0	
6. Colorado	CO	N	0	0	0	0	0	0	0	
7. Connecticut	CT	N	0	0	0	0	0	0	0	
8. Delaware	DE	N	0	0	0	0	0	0	0	
9. District of Columbia	DC	N	0	0	0	0	0	0	0	
10. Florida	FL	N	0	0	0	0	0	0	0	
11. Georgia	GA	N	0	0	0	0	0	0	0	
12. Hawaii	HI	N	0	0	0	0	0	0	0	
13. Idaho	ID	N	0	0	0	0	0	0	0	
14. Illinois	IL	L	4,309,777	60,187,181	0	0	0	64,496,958	0	
15. Indiana	IN	N	0	0	0	0	0	0	0	
16. Iowa	IA	L	117,672,916	106,552,979	0	16,225,466	0	240,451,361	0	
17. Kansas	KS	N	0	0	0	0	0	0	0	
18. Kentucky	KY	N	0	0	0	0	0	0	0	
19. Louisiana	LA	N	0	0	0	0	0	0	0	
20. Maine	ME	N	0	0	0	0	0	0	0	
21. Maryland	MD	N	0	0	0	0	0	0	0	
22. Massachusetts	MA	N	0	0	0	0	0	0	0	
23. Michigan	MI	N	0	0	0	0	0	0	0	
24. Minnesota	MN	N	0	0	0	0	0	0	0	
25. Mississippi	MS	N	0	0	0	0	0	0	0	
26. Missouri	MO	N	0	0	0	0	0	0	0	
27. Montana	MT	N	0	0	0	0	0	0	0	
28. Nebraska	NE	N	0	0	0	0	0	0	0	
29. Nevada	NV	N	0	0	0	0	0	0	0	
30. New Hampshire	NH	N	0	0	0	0	0	0	0	
31. New Jersey	NJ	N	0	0	0	0	0	0	0	
32. New Mexico	NM	N	0	0	0	0	0	0	0	
33. New York	NY	N	0	0	0	0	0	0	0	
34. North Carolina	NC	N	0	0	0	0	0	0	0	
35. North Dakota	ND	N	0	0	0	0	0	0	0	
36. Ohio	OH	N	0	0	0	0	0	0	0	
37. Oklahoma	OK	N	0	0	0	0	0	0	0	
38. Oregon	OR	N	0	0	0	0	0	0	0	
39. Pennsylvania	PA	N	0	0	0	0	0	0	0	
40. Rhode Island	RI	N	0	0	0	0	0	0	0	
41. South Carolina	SC	N	0	0	0	0	0	0	0	
42. South Dakota	SD	N	0	0	0	0	0	0	0	
43. Tennessee	TN	L	3,640,332	668,334,786	1,524,739,460	0	0	2,196,714,578	0	
44. Texas	TX	N	0	0	0	0	0	0	0	
45. Utah	UT	N	0	0	0	0	0	0	0	
46. Vermont	VT	N	0	0	0	0	0	0	0	
47. Virginia	VA	L	13,876,398	70,860,559	0	0	0	84,736,957	0	
48. Washington	WA	N	0	0	0	0	0	0	0	
49. West Virginia	WV	N	0	0	0	0	0	0	0	
50. Wisconsin	WI	N	0	0	0	0	0	0	0	
51. Wyoming	WY	N	0	0	0	0	0	0	0	
52. American Samoa	AS	N	0	0	0	0	0	0	0	
53. Guam	GU	N	0	0	0	0	0	0	0	
54. Puerto Rico	PR	N	0	0	0	0	0	0	0	
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0	
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0	
57. Canada	CAN	N	0	0	0	0	0	0	0	
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0	
59. Subtotal	XXX	139,499,423	905,935,505	1,524,739,460	16,225,466	0	0	2,586,399,854	0	
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0	
61. Totals (Direct Business)	(a) 4	139,499,423	905,935,505	1,524,739,460	16,225,466	0	0	2,586,399,854	0	
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

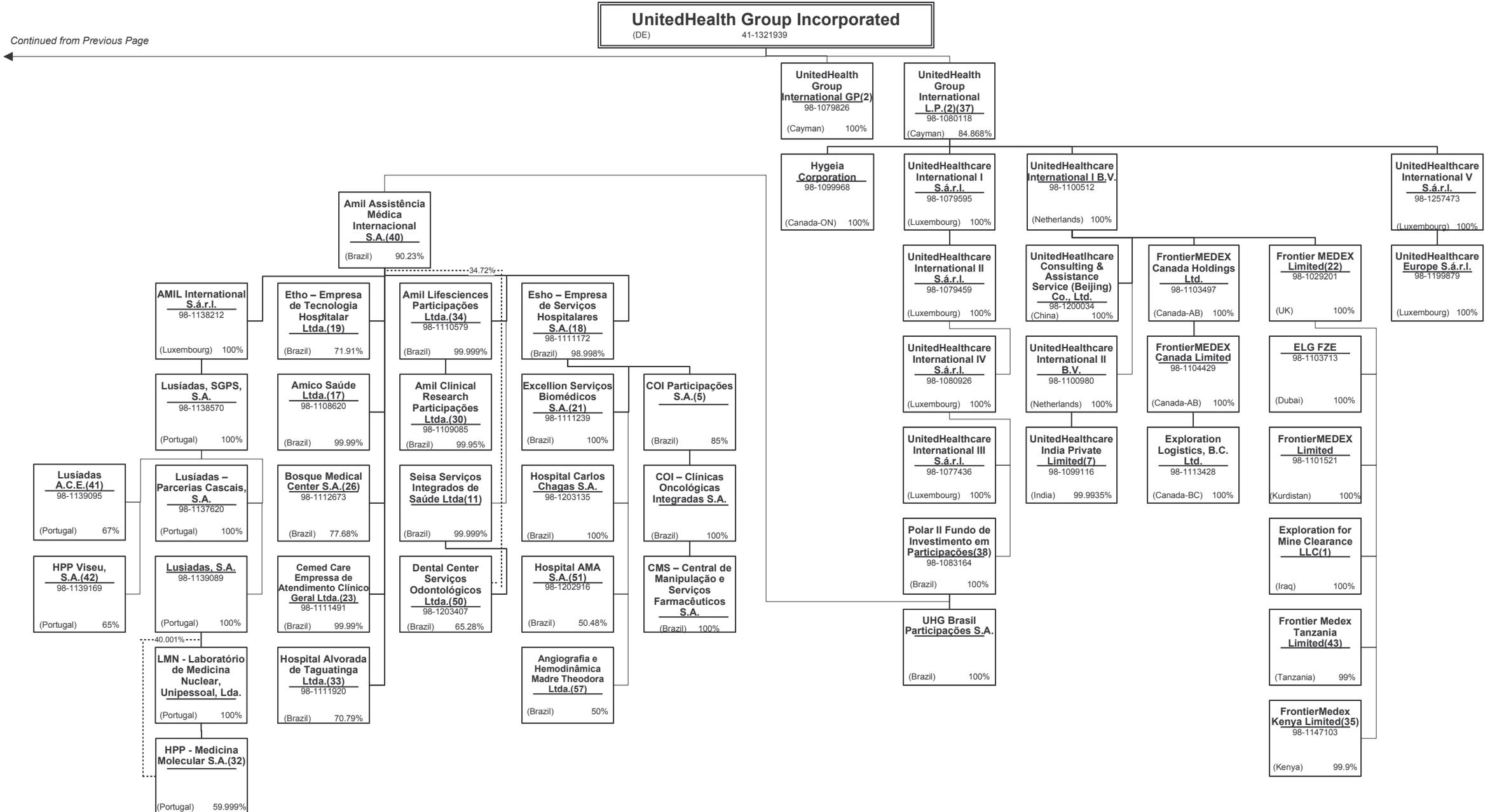
Premiums are allocated based upon geographic market.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



Continued on Next Page →

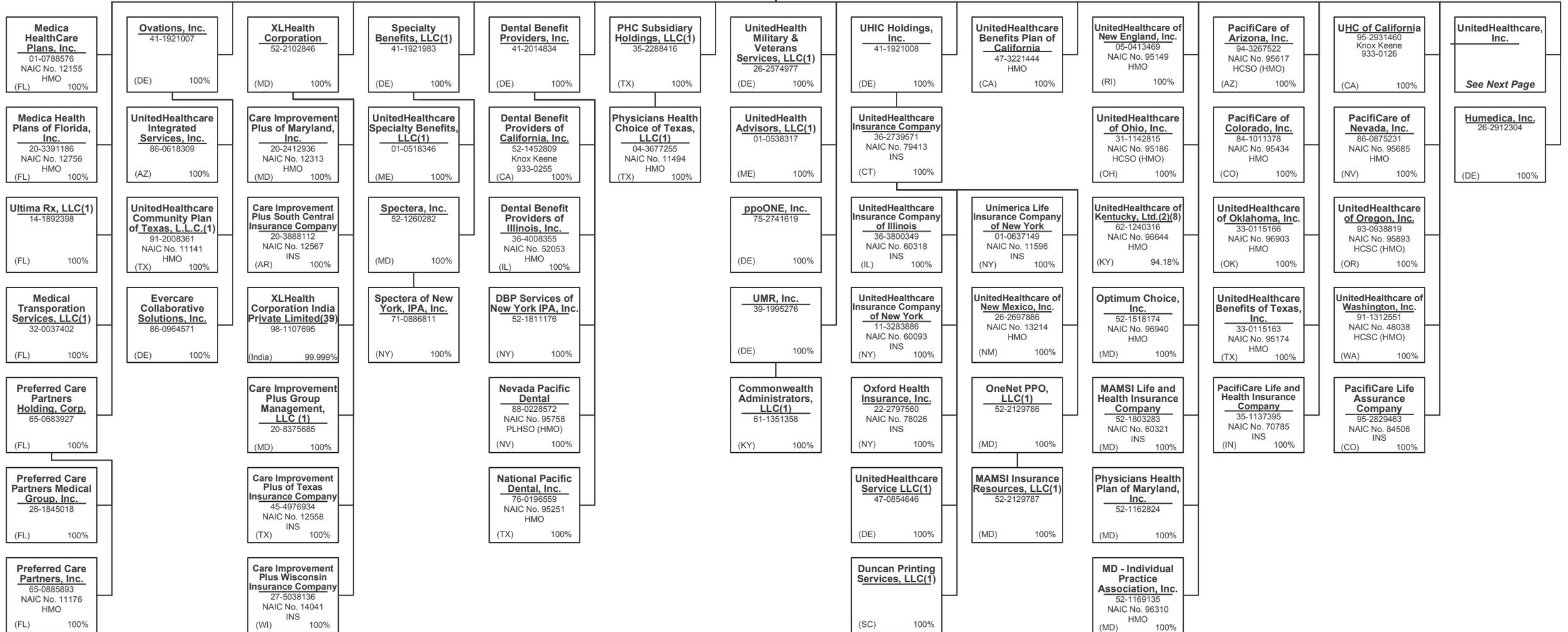
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



15.1

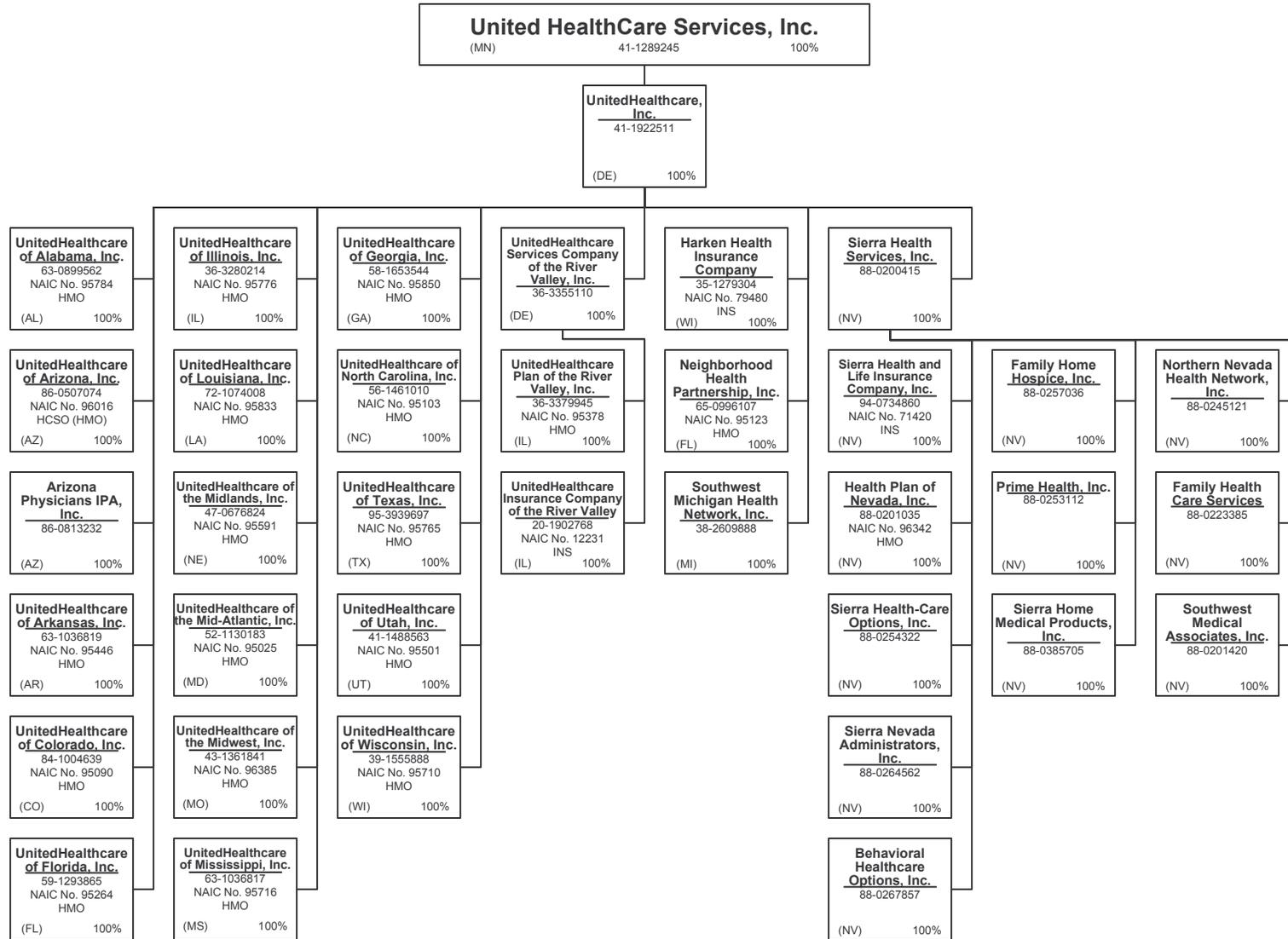
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

United HealthCare Services, Inc.
 (MN) 41-1289245 100%

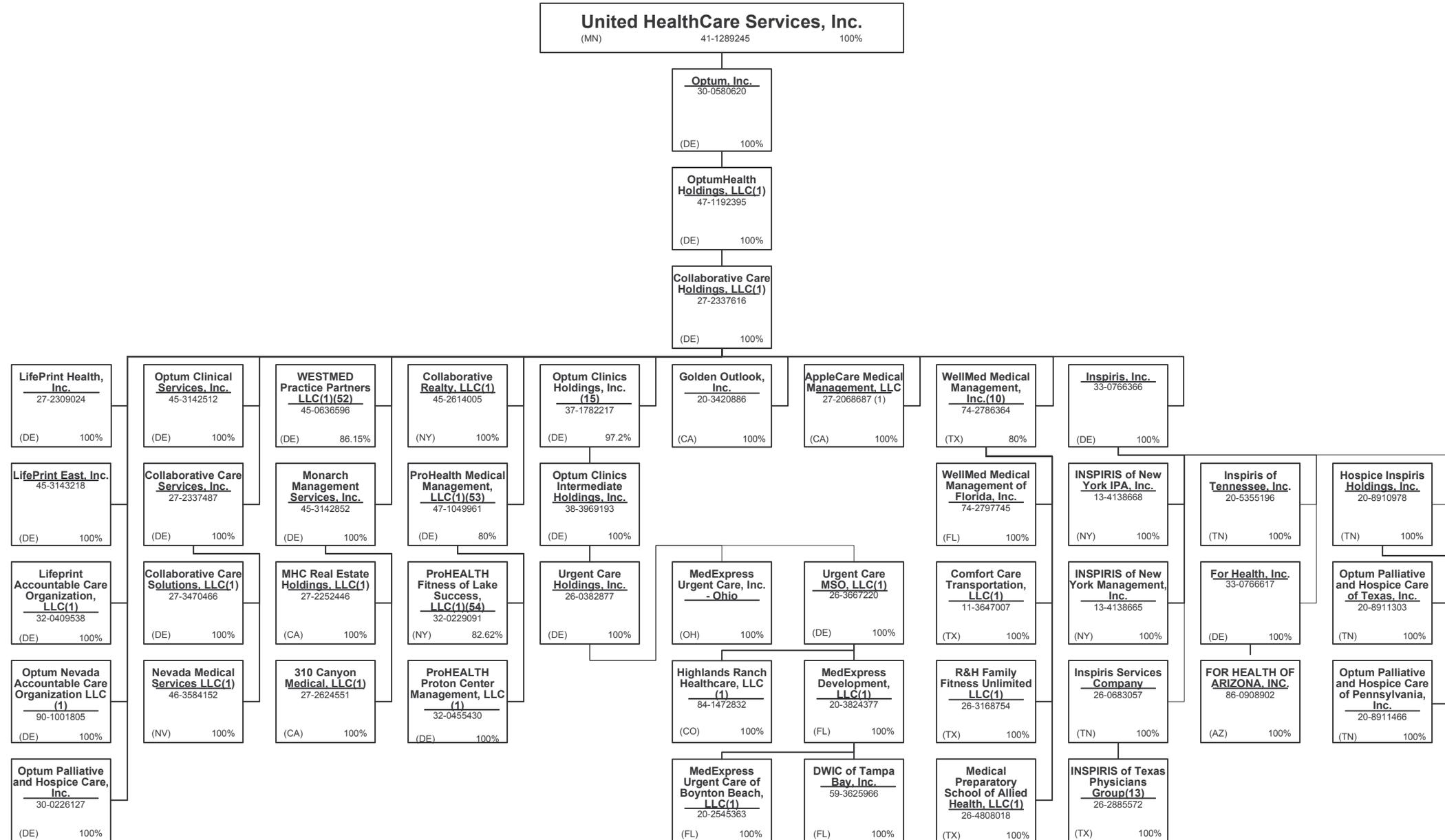


15.2

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

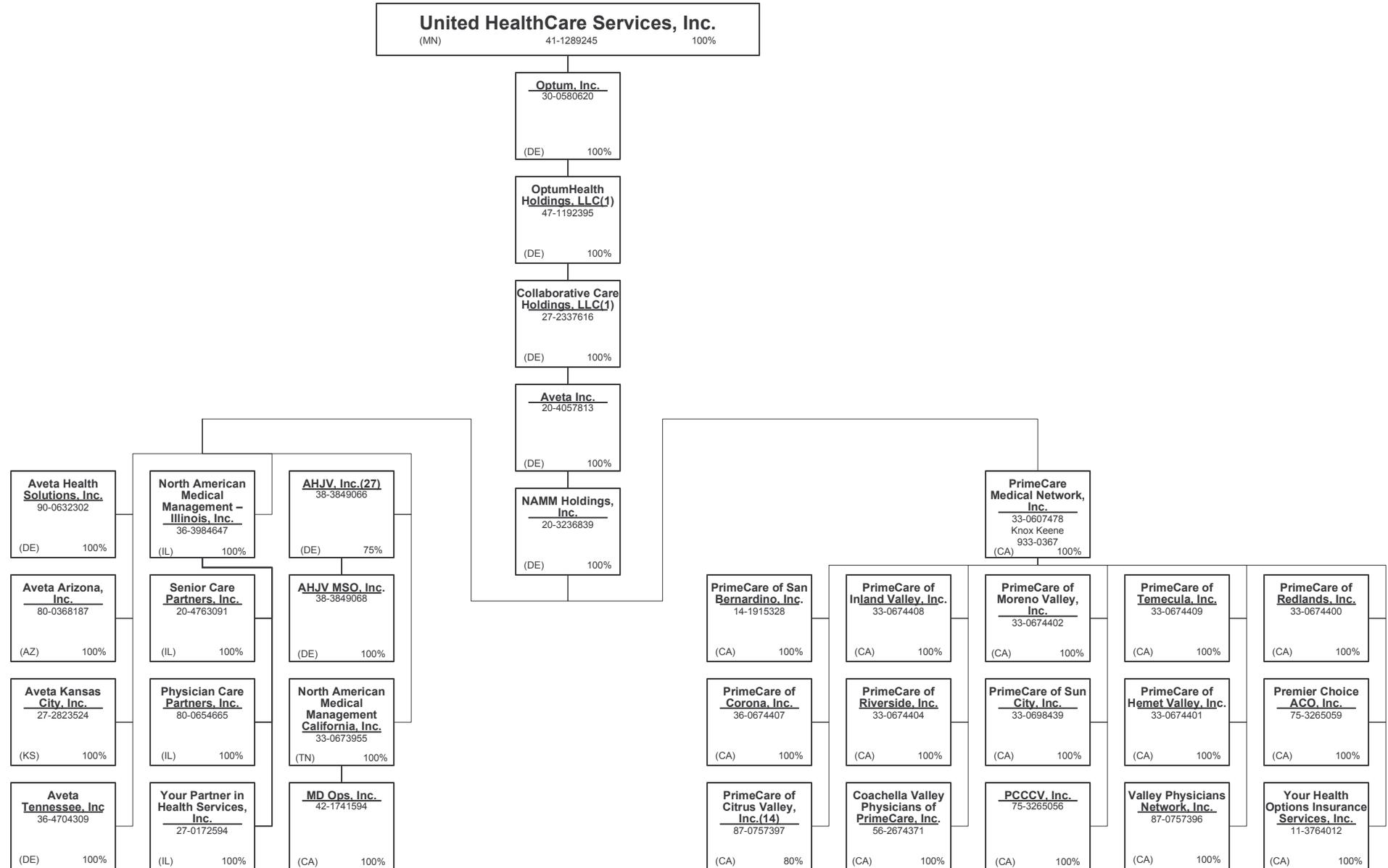


SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



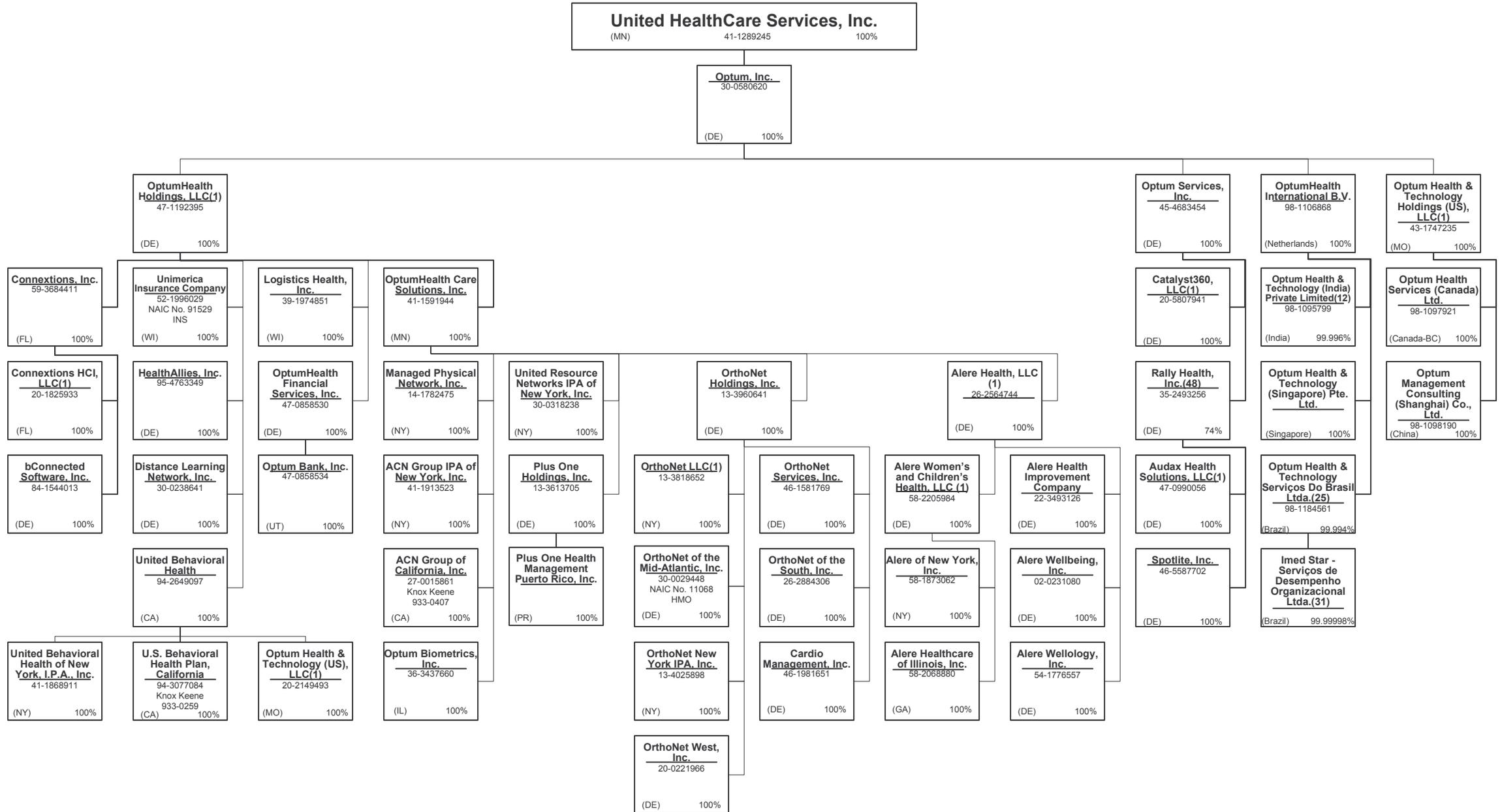
15.4

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



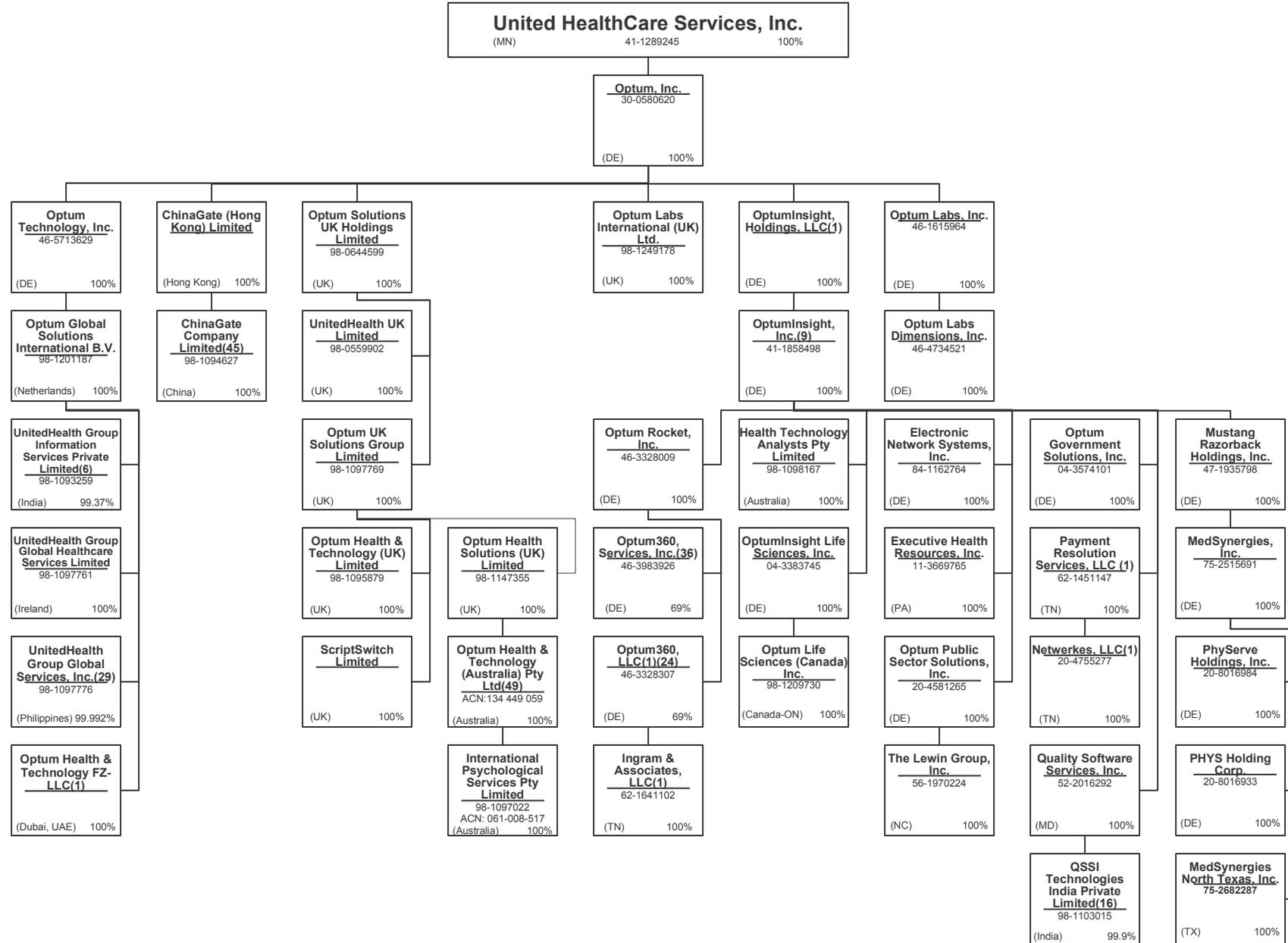
15.5

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



15.6

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) COI Participações S.A. is 85% owned by Etho – Empresa de Tecnologia Hospitalar Ltda and 15% owned by COIPAR Participações S.A.
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by Optum Global Solutions International B.V. The remaining 0.63% is owned by UnitedHealth International, Inc.
- (7) UnitedHealthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0065% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Branch office located in Abu Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Seisa Serviços Integrados de Saúde Ltda is 99.999994% owned by Amil Assistência Médica Internacional S.A. and 0.000004% owned by Dental Center Serviços Odontológicos Ltda.
- (12) Optum Health & Technology (India) Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
- (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
- (15) Optum Clinics Holdings, Inc. is 97.2% owned by Collaborative Care Holdings, LLC and 2.8% is owned by external shareholders.
- (16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.
- (17) Amico Saúde Ltda. is 99.99996% owned by Amil Assistência Médica Internacional S.A. and 0.000004% owned by Cemed Care – Empresa de Atendimento Clinico Geral Ltda.
- (18) Esho – Empresa de Serviços Hospitalares S.A. is 98.998588% owned by Amil Assistência Médica Internacional S.A.; 0.041294% owned by Treasury Shares and .960118% owned by external shareholders.
- (19) Etho – Empresa de Tecnologia Hospitalar Ltda. 71.91% owned by Amil Assistência Médica Internacional S.A. and 28.08% owned by an external shareholder.
- (20) Orthology, Inc. is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.

- (21) Excellion Serviços Biomédicos S.A. is 100% owned by Esho – Empresa de Serviços Hospitalares S.A.
- (22) Branch offices in Iraq and Uganda.
- (23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 99.999999% owned by Amil Assistência Médica Internacional S.A. and 0.000001% owned by Amico Saúde Ltda.
- (24) Optum 360, LLC is 69% owned by Optum Rocket, Inc; the remaining 31% is owned by external interest holders.
- (25) Optum Health & Technology Serviços Do Brasil Ltda. is 99.994% owned by OptumHealth International B.V. and .006% owned by OptumInsight, Inc.
- (26) Bosque Medical Center S.A. is 77.68% owned by Amil Assistência Médica Internacional S.A. and 22.319% owned by Etsho – Empresa de Servicios Hospitalarea S.A.
- (27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.
- (28) Real Appeal, Inc. is majority-owned by UHG or one of its affiliates and the remaining 2% is owned by Real Appeal Management.
- (29) UnitedHealth Group Global Services, Inc. is 99.992% owned by Optum Global Solutions International B.V., and the remaining 0.008% is held by the company's directors.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and 0.05% owned by an officer of Amil.
- (31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.99998% owned by Optum Health & Technology Serviços do Brasil Ltda and 0.00002% owned by UHG Brasil Participações S.A.
- (32) HPP – Medicina Molecular, S.A. is 59.99852% owned by LMN - Laboratórios de Medicina Nuclear, Unipessoal, Lda. And 40.00148% owned by Lusíadas, S.A. and 5% by an officer of Amil.
- (33) Hospital Alvorada Taguatinga Ltda. is 70.79% owned by Amil Assistência Médica Internacional S.A., 29.204% by Bosque Medical Center S.A.
- (34) Amil Lifesciences Participações Ltda. Is 99.999707% owned by Amil Assistência Médica Internacional S.A. and 0.000293% owned by Cemed Care Empresa de Atendimento Clinico Geral Ltda.
- (35) FrontierMedex Kenya Limited is 99.9% owned by FrontierMEDEX Limited and 0.1% owned by UnitedHealthcare International I B.V.
- (36) Optum360 Services, Inc. is 69% owned by Optum Rocket, Inc.; and the remaining 31% is owned by external interest shareholders.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.9292%), Hygeia Corporation (DE) (0.2028%) and UnitedHealth Group Incorporated (84.868%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) XLHealth Corporation India Private Limited is 99.999% held by XLHealth Corporation and 0.001% is held by an officer of the Corporation.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Notes - Continued

- (40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.
- (41) Lusiadas A.C.E. is 67% owned by Lusiadas, SGPS, S.A., 10% owned by Lusiadas, S.A., 10% owned by Lusiadas – Parcerias Cascais, S.A., 5% owned by LMN - Laboratórios de Medicina Nuclear, Unipessoal, Lda., 5% owned by HPP – Medicina Molecular, S.A. and 3% owned by HPP Viseu, S.A.
- (42) HPP Viseu, S.A. is 65% owned by Lusiadas, SGPS, S.A. The remaining 35% is jointly owned VISABEIRA Saúde - Serviços de Saúde, S.A., VISABEIRA Participações Financeiras, SGPS, S.A., VISABEIRA Investimentos Financeiros SGPS, S.A. and Ciclorama - Estudos, Projectos e Produções, Lda.
- (43) Frontier Medex Tanzania Limited is 99% owned by FrontierMEDEX Limited. The remaining 1% is owned by a former officer of FrontierMEDEX Limited and is being transferred to UnitedHealthcare International I BV.
- (44) TBD
- (45) Liaison office located in Beijing.
- (46) TBD
- (47) Representative office in Beijing
- (48) The remaining 26% is owned by internal and external investors.
- (49) Branch office located in Hong Kong.
- (50) Dental Center Serviços Odontológicos Ltda. is 65.278% owned by Seisa Serviços Integrados de Saúde Ltda. and 34.72% owned by Ami Assistência Médica Internacional S.A.
- (51) Hospital AMA S.A. is 50.48% owned by Esho – Empresa de Serviços Hospitalares S.A. and 49.52% owned by Seisa Serviços Integrados de Saúde Ltda.
- (52) WESTMED Practice Partners LLC is 86.15% owned by Collaborative Care Holdings, LLC and 13.85% owned by external shareholders.
- (53) ProHealth Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.
- (54) PROHEALTH FITNESS OF LAKE SUCCESS, LLC IS 82.62% owned by ProHealth Medical Management, LLC and 17.38% by an external shareholder.
- (55) TBD
- (56) Hospital Geral e Maternidade Madre Maria Theodora Ltda. is 99.9999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00001% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.
- (57) Angiografia e Hemodinâmica Madre Theodora Ltda. Is 50% owned by Esho – Empresa de Serviços Hospitalares S.A. and 50% owned by 28 individual partners.
- (58) TBD

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	98-1258208				1031387 B.C. Unlimited Liability Company	.CAN	NIA	OptumRx Group Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	27-2624551				310 Canyon Medical, LLC	.CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	41-1913523				ACN Group IPA of New York, Inc.	.NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	27-0015861				ACN Group of California, Inc.	.CA	IA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	38-3849068				AHJV MSO, Inc.	.DE	NIA	AHJV, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	38-3849066				AHJV, Inc.	.DE	NIA	NAMM Holdings, Inc.	Ownership	75.000	UnitedHealth Group Incorporated	1
		.00000	22-3493126				Alere Health Improvement Company	.DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	26-2564744				Alere Health, LLC	.DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	58-2068880				Alere Healthcare of Illinois, Inc.	.GA	NIA	Alere Women's and Children's Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	58-1873062				Alere of New York, Inc.	.NY	NIA	Alere Women's and Children's Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	02-0231080				Alere Wellbeing, Inc.	.DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	54-1776557				Alere Wellology, Inc.	.DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	58-2205984				Alere Women's and Children's Health, LLC	.DE	NIA	Alere Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	82406	35-1665915				All Savers Insurance Company	.IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	35-1744596				All Savers Life Insurance Company of California	.CA	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.73130	54-1743136				AmeriChoice Corporation	.DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	54-1743141				AmeriChoice Health Services, Inc.	.DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.13178	26-2481299				AmeriChoice of Connecticut, Inc.	.CT	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95497	22-3368602				AmeriChoice of New Jersey, Inc.	.NJ	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1108620				Amico Saúde Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1108620				Amico Saúde Ltda.	.BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		.00000					Amil Assistência Médica Internacional S.A.	.BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	90.230	UnitedHealth Group Incorporated	2
		.00000	98-1109085				Amil Clinical Research Participações Ltda.	.BRA	NIA	Amil Lifesciences Participações Ltda.	Ownership	99.950	UnitedHealth Group Incorporated	3
		.00000	98-1138212				AMIL International S.á.r.l.	.LUX	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1110579				Amil Lifesciences Participações Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.997	UnitedHealth Group Incorporated	
		.00000	98-1110579				Amil Lifesciences Participações Ltda.	.BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.003	UnitedHealth Group Incorporated	
		.00000					Angiografia e Hemodinâmica Madre Theodora Ltda.	.BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	50.000	UnitedHealth Group Incorporated	4
		.00000	27-2068687				AppleCare Medical Management, LLC	.CA	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	86-0813232				Arizona Physicians IPA, Inc.	.AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	90-0369702				ASI Global, LLC	.TX	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	26-3878957				AssuranceRx, LLC	.AL	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	47-0990056				Audax Health Solutions, LLC	.DE	NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	80-0368187				Aveta Arizona, Inc.	.AZ	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	90-0632302				Aveta Health Solutions Inc.	.DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-4057813				Aveta Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	27-2823524				Aveta Kansas City, Inc.	.KS	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	36-4704309				Aveta Tennessee, Inc	.DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	84-1544013				bConnected Software, Inc.	.DE	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	88-0267857				Behavioral Healthcare Options, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	77.681	UnitedHealth Group Incorporated	
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	22.319	UnitedHealth Group Incorporated	
		00000	27-1930321				BriovaRx of Georgia, LLC	GA	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2348504				BriovaRx of Hawaii, LLC	HI	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2731176				BriovaRx of Indiana, LLC	IN	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2790537				BriovaRx of Louisiana, LLC	LA	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0516051				BriovaRx of Maine, Inc.	ME	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3331130				BriovaRx of Massachusetts, LLC	MA	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-2532834				BriovaRx of Nevada, LLC	NV	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	55-0824381				BriovaRx, LLC	AL	NIA	BriovaRx of Maine, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-1981651				Cardio Management, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12313	20-2412936				Care Improvement Plus of Maryland, Inc.	MD	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12558	45-4976934				Care Improvement Plus of Texas Insurance Company	TX	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12567	20-3888112				Care Improvement Plus South Central Insurance Company	AR	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	14041	27-5038136				Care Improvement Plus Wisconsin Insurance Company	WI	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5807941				Catalyst360, LLC	DE	NIA	Optum Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	31-1728846				Catamaran Discount Card Services, LLC	DE	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-0666840				Catamaran Health Solutions, LLC	DE	NIA	Catamaran LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0870454				Catamaran Holdings I, LLC	DE	NIA	1031387 B.C. Unlimited Liability Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3647935				Catamaran Home Delivery of Florida, Inc.	DE	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-1734077				Catamaran Home Delivery of Illinois, LLC	IL	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	34-1472211				Catamaran Home Delivery of Ohio, Inc.	OH	NIA	First Rx Specialty & Mail Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2719823				Catamaran Home Delivery of Texas, Inc.	TX	NIA	Catamaran Home Delivery of Florida, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	69647	20-0212381				Catamaran Hospice Services, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	31-0628424				Catamaran Insurance of Ohio, Inc.	OH	IA	Catamaran PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0218027				Catamaran IPA III, Inc.	NV	NIA	Catamaran PBM of Illinois II, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2578509				Catamaran LLC	TX	NIA	Catamaran Holdings I, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3419292				Catamaran of Pennsylvania, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4049815				Catamaran PBM of Illinois II, Inc.	IL	NIA	Catamaran PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-2581812				Catamaran PBM of Illinois, Inc.	DE	NIA	Catamaran LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0361447				Catamaran PBM of Maryland, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	03-0592263				Catamaran PBM of Pennsylvania, LLC	PA	NIA	Catamaran of Pennsylvania, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-1424534				Catamaran PBM of Puerto Rico, LLC	NV	NIA	Catamaran PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3693753				Catamaran PBM Services, LLC	WI	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1485410				Catamaran PD of Maryland, Inc.	NV	NIA	Catamaran PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	16-1767416				Catamaran PD of Pennsylvania, LLC	PA	NIA	Catamaran of Pennsylvania, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-1438879				Catamaran PD of Puerto Rico, LLC	NV	NIA	Catamaran PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0373347				Catamaran Pharmacy of Nevada, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3633484				Catamaran Rebate Management, Inc.	NV	NIA	Catamaran PBM of Maryland, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1069737				Catamaran S.á.r.l.	LUX	NIA	1031387 B.C. Unlimited Liability Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0543382				Catamaran Senior Services, LLC	AL	NIA	Catamaran Hospice Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1111491				Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1111491				Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000					ChinaGate (Hong Kong) Limited	HKG	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094627				ChinaGate Company Limited	CHN	NIA	ChinaGate (Hong Kong) Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					CMS - Central de Manipulação e Serviços Farmacêuticos S.A.	BRA	NIA	COI - Clínicas Oncológicas Integradas S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-2674371				Coachella Valley Physicians of PrimeCare, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1193028				Coalition For Advanced Pharmacy Services, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					COI - Clínicas Oncológicas Integradas S.A.	BRA	NIA	COI Participações S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					COI Participações S.A.	BRA	NIA	ESHO - Empresa de Serviços Hospitalares S.A.	Ownership	85.000	UnitedHealth Group Incorporated	1
		00000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2337487				Collaborative Care Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3470466				Collaborative Care Solutions, LLC	DE	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-2614005				Collaborative Realty, LLC	NY	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3647007				Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1351358				Commonwealth Administrators, LLC	KY	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-1825933				Connexions HCl, LLC	FL	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3684411				Connexions, Inc.	FL	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5654789				Crescent Drug Corp.	NY	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0080565				Cypress Care, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1811176				DBP Services of New York IPA, Inc.	NY	NIA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	00000	52-1452809				Dental Benefit Providers of California, Inc.	CA	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	52053	36-4008355				Dental Benefit Providers of Illinois, Inc.	IL	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-2014834				Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1203407				Dental Center Serviços Odontológicos Ltda.	BRA	NIA	Seisa Serviços Integrados de Saúde Ltda.	Ownership	65.280	UnitedHealth Group Incorporated	
		00000	98-1203407				Dental Center Serviços Odontológicos Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	34.720	UnitedHealth Group Incorporated	
		00000	30-0238641				Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Duncan Printing Services, LLC	SC	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3625966				DWIC of Tampa Bay, Inc.	FL	NIA	MedExpress Development, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1162764				Electronic Network Systems, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1103713				ELG FZE	ARE	NIA	Frontier MEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1111172				Esho - Empresa de Serviços Hospitalares S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	98.990	UnitedHealth Group Incorporated	5
		00000					Etho - Empresa de Tecnologia Hospitalar Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	71.920	UnitedHealth Group Incorporated	6
		00000	86-0964571				Evercare Collaborative Solutions, Inc.	DE	NIA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1111239				Excellion Serviços Biomédicos S.A.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3669765				Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Exploration for Mine Clearance LLC	JRQ	NIA	Frontier MEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1113428				Exploration Logistics B.C. Ltd.	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	88-0223385				Family Health Care Services	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	88-0257036				Family Home Hospice, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	26-4106571				First Rx Specialty & Mail Services, LLC	.DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	35-2456267				FMG Holdings, LLC	.DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	86-0908902				FOR HEALTH OF ARIZONA, INC.	.AZ	NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	33-0766617				For Health, Inc.	.DE	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Frontier MEDEX Limited	.GBR	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Frontier Medex Tanzania Limited	.TZA	NIA	Frontier MEDEX Limited	Ownership	99.000	UnitedHealth Group Incorporated	3
		.00000	68-0679514				FrontierMEDEX (RMS), Inc.	.DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1103497				FrontierMEDEX Canada Holdings Ltd.	.CAN	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1104429				FrontierMEDEX Canada Limited	.CAN	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-5339512				FrontierMEDEX Government Services, LLC	.DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1147103				FrontierMedex Kenya Limited	.KEN	NIA	Frontier MEDEX Limited	Ownership	99.900	UnitedHealth Group Incorporated	
		.00000	98-1147103				FrontierMedex Kenya Limited	.KEN	NIA	UnitedHealthcare International I B.V.	Ownership	0.100	UnitedHealth Group Incorporated	
		.00000	98-1101521				FrontierMEDEX Limited		NIA	Frontier MEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	33-1219808				FrontierMEDEX US, Inc.	.DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	52-2230470				FrontierMEDEX, Inc.	.MN	NIA	FrontierMEDEX US, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	37-0920164				gethealthinsurance.com Agency, Inc	.IN	NIA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-3420886				Golden Outlook, Inc.	.CA	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.62286	37-0855360	3057283			Golden Rule Financial Corporation	.DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	37-6028756				Golden Rule Insurance Company	.IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.79480	98-0213198				H&W Indemnity (SPC), Ltd.	.CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	35-1279304				Harken Health Insurance Company	.WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	23-2171049				Health Business Systems, Inc.	.PA	NIA	Catamaran LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.43893	13-3584296				Health Net Insurance of New York, Inc.	.NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-0153069				Health Net Services (Bermuda) Ltd.	.BMU	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96342	88-0201035				Health Plan of Nevada, Inc.	.NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1098167				Health Technology Analysts Pty Limited	.AUS	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	95-4763349				HealthAllies, Inc.	.DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	77-0693060				Healthcare Solutions, Inc.	.DE	NIA	Catamaran LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	84-1472832				Highlands Ranch Healthcare, LLC	.CO	NIA	Urgent Care MSO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-8910978				Hospice Inspiris Holdings, Inc.	.TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	70.796	UnitedHealth Group Incorporated	
		.00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	.BRA	NIA	Bosque Medical Center S.A.	Ownership	29.204	UnitedHealth Group Incorporated	
		.00000	98-1202916				Hospital AMA S.A.	.BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	50.480	UnitedHealth Group Incorporated	
		.00000	98-1202916				Hospital AMA S.A.	.BRA	NIA	Seisa Serviços Integrados de Saúde Ltda.	Ownership	49.520	UnitedHealth Group Incorporated	
		.00000	98-1203135				Hospital Carlos Chagas S.A.	.BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					HPP - Medicina Molecular, S.A.	.PRT	NIA	LMN - Laboratório de Medicina Nuclear, Unipessoal, Lda.	Ownership	59.999	UnitedHealth Group Incorporated	
		.00000					HPP - Medicina Molecular, S.A.	.PRT	NIA	Lusíadas, S.A.	Ownership	40.001	UnitedHealth Group Incorporated	
		.00000	98-1139169				HPP Viseu, S.A.	.PRT	NIA	Lusíadas, S.A.	Ownership	65.000	UnitedHealth Group Incorporated	1
		.00000	26-2912304				Humedica, Inc.	.DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	36-4331825				Hygeia Corporation	.DE	NIA	UnitedHealth International, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1099968				Hygeia Corporation	.CAN	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Imed Star - Serviços de Desempenho Organizacional Ltda.	.BRA	NIA	Optum Health & Technology Serviços Do Brasil Ltda.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000					Imed Star – Serviços de Desempenho Organizacional Ltda.	BRA	NIA	UHG Brasil Participações S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1641102				Ingram & Associates, LLC	TN	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0482274				inPharmative, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138668				INSPIRIS of New York IPA, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138665				INSPIRIS of New York Management, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5355196				Inspiris of Tennessee, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2885572				INSPIRIS of Texas Physician Group	TX	NIA	Inspiris Services Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0683057				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766366				Inspiris, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097022				International Psychological Services Pty Limited	AUS	NIA	Optum Health & Technology (Australia) Pty Ltd	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					IRX Financing I LLC	DE	NIA	Catamaran LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0409538				Lifefprint Accountable Care Organization, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3143218				Lifefprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2309024				Lifefprint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					LMN – Laboratório de Medicina Nuclear, Unipessoal, Lda.	PRT	NIA	Lusiadas, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	67.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas, S.A.	Ownership	10.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas-Parcerias Cascais, S.A.	Ownership	10.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	HPP – Medicina Molecular, S.A.	Ownership	5.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	LMN – Laboratório de Medicina Nuclear, Unipessoal, Lda.	Ownership	5.000	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	HPP Viseu, S.A.	Ownership	3.000	UnitedHealth Group Incorporated	
		00000	98-1139089				Lusiadas, S.A.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1138570				Lusiadas, SGPS, S.A.	PRT	NIA	Amil Internacional S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1137620				Lusiadas-Parcerias Cascais, S.A.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2129787				MAMSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	60321	52-1803283				MAMSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1782475				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	42-1741594				MD Ops, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96310	52-1169135				MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2178531				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-3824377				MedExpress Development, LLC	FL	NIA	Urgent Care ISO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					MedExpress Urgent Care of Boynton Beach, LLC	FL	NIA	MedExpress Development, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2545363				MedExpress Urgent Care, Inc. – Ohio	OH	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	12756	20-3391186				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	12155	01-0788576				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-4808018				Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0037402				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2682287				MedSynergies North Texas, Inc.	TX	NIA	MedSynergies, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2515691				MedSynergies, Inc.	DE	NIA	Mustang Razorback Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2252446				MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2881462				Minnesota Waypoint Physical Therapy, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4515146				Mission Road Pharmacy, Inc.	CA	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	46-3949765				MN Waypoint Sports Physical Therapy, Inc.	.DE	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	31-1191553				Modern Medical, Inc.	.OH	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-3142852				Monarch Management Services, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	47-1935798				Mustang Razorback Holdings, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-3236839				NAMM Holdings, Inc.	.DE	NIA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95251	76-0196559				National Pacific Dental, Inc.	.TX	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95123	65-0996107				Neighborhood Health Partnership, Inc.	.FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-4755277				Netwerkes, LLC	.TN	NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	46-3584152				Nevada Medical Services LLC	.NV	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95758	88-0228572				Nevada Pacific Dental	.NV	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	36-3984647				North American Medical Management - Illinois, Inc.	.IL	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	33-0673955				North American Medical Management California, Inc.	.TN	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	88-0245121				Northern Nevada Health Network, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	52-2129786				OneNet PPO, LLC	.MD	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96940	52-1518174				Optimum Choice, Inc.	.MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	47-0858534	3202702			Optum Bank, Inc.	.UT	NIA	OptumHealth Financial Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	36-3437660				Optum Biometrics, Inc.	.IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-3142512				Optum Clinical Services, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	37-1782217				Optum Clinics Holdings, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	97.200	UnitedHealth Group Incorporated	7
		.00000	38-3969193				Optum Clinics Intermediate Holdings, Inc.	.DE	NIA	Optum Clinics Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1201187				Optum Global Solutions International B.V.	.NLD	NIA	Optum Technology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	04-3574101				Optum Government Solutions, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology (Australia) Pty Ltd	.AUS	NIA	Optum Health Solutions (UK) Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1095799				Optum Health & Technology (India) Private Limited	.IND	NIA	OptumHealth International B.V.	Ownership	99.996	UnitedHealth Group Incorporated	
		.00000	98-1095799				Optum Health & Technology (India) Private Limited	.IND	NIA	United Behavioral Health	Ownership	0.004	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology (Singapore) Pte. Ltd.	.SGP	NIA	OptumHealth International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1095879				Optum Health & Technology (UK) Limited	.GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-2149493				Optum Health & Technology (US), LLC	.MO	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	88-0492251				Optum Health & Technology FZ-LLC		NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	43-1747235				Optum Health & Technology Holdings (US), LLC	.MO	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1184561				Optum Health & Technology Serviços Do Brasil Ltda.	.BRA	NIA	OptumHealth International B.V.	Ownership	99.000	UnitedHealth Group Incorporated	
		.00000	98-1184561				Optum Health & Technology Serviços Do Brasil Ltda.	.BRA	NIA	OptumInsight, Inc.	Ownership	1.000	UnitedHealth Group Incorporated	
		.00000	98-1097921				Optum Health Services (Canada) Ltd.	.CAN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1147355				Optum Health Solutions (UK) Limited	.GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	46-4734521				Optum Labs Dimensions, Inc.	.DE	NIA	Optum Labs, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1249178				Optum Labs International (UK) Ltd.	.GBR	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	46-1615964				Optum Labs, Inc.	.DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1209730				Optum Life Sciences (Canada) Inc.	.CAN	NIA	OptumInsight Life Sciences, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1098190				Optum Management Consulting (Shanghai) Co., Ltd.	.CHN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	100.000	UnitedHealth Group Incorporated	

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	90-1001805				Optum Nevada Accountable Care Organization LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911466				Optum Palliative and Hospice Care of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911303				Optum Palliative and Hospice Care of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0226127				Optum Palliative and Hospice Care, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4581265				Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3328009				Optum Rocket, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-4683454				Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0644599				Optum Solutions UK Holdings Limited	GBR	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-5713629				Optum Technology, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097769				Optum UK Solutions Group Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0580620	3119994			Optum, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3983926				Optum360 Services, Inc.	DE	NIA	Optum Rocket, Inc.	Ownership	75.000	UnitedHealth Group Incorporated	6
		00000	46-3328307				Optum360, LLC	DE	NIA	Optum Rocket, Inc.	Ownership	75.000	UnitedHealth Group Incorporated	6
		00000	41-1591944				OptumHealth Care Solutions, Inc.	MN	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0858530				OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-1192395				OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1106868				OptumHealth International B.V.	NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-4734235				OptumRx Group Holdings, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-3146510				OptumRx Pharmacy, Inc.	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-3960641				OrthoNet Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-3818652				OrthoNet LLC	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4025898				OrthoNet New York IPA, Inc.	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0029448				OrthoNet of the Mid-Atlantic, Inc.	DE	IA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2884306				OrthoNet of the South, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-1581769				OrthoNet Services, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0221966				OrthoNet West, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96798	06-181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	70785	35-1137395				PacifiCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	84506	95-2829463				PacifiCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95617	94-3267522				PacifiCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95434	84-1011378				PacifiCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95685	86-0875231				PacifiCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-3265056				PCCCV, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2447772				PCN DE Corp.	DE	NIA	Catamaran PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.0000	68-0044962				Pharmaceutical Care Network	CA	NIA	PCN DE Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	UnitedHealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	20-8016933				PHYS Holding Corp.	DE	NIA	MedSynergies, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	20-8016984				PhyServe Holdings, Inc.	DE	NIA	MedSynergies, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	80-0654665				Physician Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11494	04-3677255				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	52-1162824				Physicians Health Plan of Maryland, Inc.	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					Plus One Health Management Puerto Rico, Inc.	PR	NIA	Plus One Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	98-1083164				Polar II Fundo de Investimento em Participações	BRA	NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	75-2741619				ppoONE, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	65-0683927				Preferred Care Partners Holding, Corp.	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	26-1845018				Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11176	65-0885893				Preferred Care Partners, Inc.	FL	IA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	75-3265059				Premier Choice ACO, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	88-0253112				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.0000	33-0607478				PrimeCare Medical Network, Inc.	CA	IA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	87-0757397				PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	80.000	UnitedHealth Group Incorporated	1
		.0000	33-0674407				PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674408				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674402				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674400				PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674404				PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0698439				PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674409				PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	14-1873402				Procura Management, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	32-0229091				ProHEALTH Fitness of Lake Success, LLC	NY	NIA	ProHealth Medical Management, LLC	Ownership	82.620	UnitedHealth Group Incorporated	6
		.0000	47-1049961				ProHealth Medical Management, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated	1
		.0000	32-0455430				ProHealth Proton Center Management, LLC	DE	NIA	ProHealth Medical Management, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	98-1103015				QSSI Technologies India Private Limited	IND	NIA	Quality Software Services, Inc.	Ownership	99.900	UnitedHealth Group Incorporated	6
		.0000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	35-2493256				Rally Health, Inc.	DE	NIA	Optum Services, Inc.	Ownership	74.000	UnitedHealth Group Incorporated	8
		.0000	80-0947972				Real Appeal, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	98.000	UnitedHealth Group Incorporated	2
		.0000	74-3103518				RxCare Providers Corp.	NY	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	45-2219585				Salveo Specialty Pharmacy, Inc.	DE	NIA	Catamaran LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	88-0492251				ScriptNet, LLC	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Dental Center Serviços Odontológicos Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		.0000	20-4763091				Senior Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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0707	UnitedHealth Group Incorporated	71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0200415				Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0264562				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-2609888				Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921983				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	71-0886811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1260282				Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-5587702				Spotlite, Inc.	DE	NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					SXC Comet, LLC	DE	NIA	Catamaran LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-1970224				The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	00000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	00000	95-2931460				UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UHG Brasil Participações S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1892398				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
							Unimerica Life Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11596	01-0637149				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1877716				Unison Health Plan of Delaware, Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5917714				Unison Health Plan of the Capital Area, Inc.	DC	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13032	26-0651931				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	94-2649097				United Behavioral Health of New York, I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1868911				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1941615				United HealthCare Services, Inc.	MN	UIP	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1289245	3410132			United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0318238				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0538317				UnitedHealth Group Global Healthcare Services Limited	JRL	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097761				UnitedHealth Group Global Services, Inc.	PHL	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097776				UnitedHealth Group Global Services, Inc.	PHL	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1321939		0000731766	New York Stock Exchange	UnitedHealth Group Incorporated	DE	UIP	Optum Global Solutions International B.V.	Ownership	0.000		
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	IND	NIA	Optum Global Solutions International B.V.	Ownership	99.370	UnitedHealth Group Incorporated	
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.630	UnitedHealth Group Incorporated	
		00000	98-1079826				UnitedHealth Group International GP	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	84.868	UnitedHealth Group Incorporated	9

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	FMG Holdings, LLC	Ownership	14.929	UnitedHealth Group Incorporated	9
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	Hygeia Corporation	Ownership	0.203	UnitedHealth Group Incorporated	9
		00000	46-3311984				UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2574977				UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0559902				UnitedHealth UK Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	TX	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-3221444				UnitedHealthcare Benefits Plan of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-4348775				UnitedHealthcare Community Plan of California, Inc.	CA	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	13168	26-2688274				UnitedHealthcare Community Plan of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc.	MI	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1200034				UnitedHealthcare Consulting & Assistance Service (Beijing) Co., Ltd.	CHN	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1199879				UnitedHealthcare Europe S.á.r.l.	LUX	NIA	UnitedHealthcare International V S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1099116				UnitedHealthcare India Private Limited	JND	NIA	UnitedHealthcare International II B.V.	Ownership	99.994	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	79413	98-1099116				UnitedHealthcare India Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	0.007	UnitedHealth Group Incorporated	
		00000	36-2739571				UnitedHealthcare Insurance Company	CT	IA	UHC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	60318	36-3800349				UnitedHealthcare Insurance Company of Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	60093	11-3283886				UnitedHealthcare Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	12231	20-1902768				UnitedHealthcare Insurance Company of the River Valley	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0618309				UnitedHealthcare Integrated Services, Inc.	AZ	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1988797				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1079595				UnitedHealthcare International I S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100980				UnitedHealthcare International II B.V.	NLD	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1079459				UnitedHealthcare International II S.á.r.l.	LUX	NIA	UnitedHealthcare International I S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1077436				UnitedHealthcare International III	LUX	NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1080926				UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	97179	86-0207231				UnitedHealthcare International V S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare Life Insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95776	36-3280214				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	United HealthCare Services, Inc.	Ownership	94.180	UnitedHealth Group Incorporated	10
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	5.820	UnitedHealth Group Incorporated	10
0707	UnitedHealth Group Incorporated	95833	72-1074008				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare of the Mid-Atlantic, Inc.							
0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Midlands, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL	RE	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0854646				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	UDP	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0518346				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1922511				UnitedHealthcare, Inc.	DE	UIP	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0382877				Urgent Care Holdings, Inc.	DE	NIA	Optum Clinics Intermediate Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3667220				Urgent Care MSO, LLC	DE	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	87-0757396				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2797745				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2786364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated	1
		00000	45-0636596				WESTMED Practice Partners LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	86.150	UnitedHealth Group Incorporated	1
		00000	52-2102846				XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1107695				XLHealth Corporation India Private Limited							
		00000	11-3764012				Your Health Options Insurance Services, Inc.	IND	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0172594				Your Partner in Health Services, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000						IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

Asterisk	Explanation
1	The remaining percentage is/are owned by one or more unaffiliated companies.
2	The remaining percentage is owned by the former controlling shareholders of the original holding company.

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

Asterisk	Explanation
3	The remaining percentage is owned by an officer of the original holding company.
4	The remaining percentage is owned by 28 individual partners.
5	The remaining percentage: 0.960118% owned by external shareholders and 0.041294% owned by Treasury Shares.
6	The remaining percentage is owned by one or more external shareholder(s).
7	The remaining percentage is owned by 21 shareholders.
8	The remaining percentage is owned by internal and external investors.
9	The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC, Hygeia Corporation (DE), and UnitedHealth Group Incorporated. UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
10	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.82%.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1. The Company does not offer stand-alone Medicare Part D product.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Premium Tax Receivable	0	0	0	340,572
2597. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	340,572

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	19,971,369	21,263,841
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	1,625,487	1,292,472
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	18,345,882	19,971,369
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	18,345,882	19,971,369

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	771,961,443	676,536,942
2. Cost of bonds and stocks acquired	149,896,004	403,512,206
3. Accrual of discount	80,830	88,844
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	3,979,016	4,618,058
6. Deduct consideration for bonds and stocks disposed of	302,505,705	302,404,450
7. Deduct amortization of premium	7,576,155	10,254,864
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	135,293
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	615,835,433	771,961,443
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	615,835,433	771,961,443

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	589,700,838	1,666,063,830	1,665,447,260	(2,360,473)	889,636,841	589,700,838	587,956,935	845,131,043
2. NAIC 2 (a)	87,300,658	6,820,003	12,449,021	(50,081)	88,702,492	87,300,658	81,621,559	86,706,392
3. NAIC 3 (a)	0	0	0	0	0	0	0	0
4. NAIC 4 (a)	0	0	0	0	0	0	0	0
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	677,001,496	1,672,883,833	1,677,896,281	(2,410,554)	978,339,333	677,001,496	669,578,494	931,837,435
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	677,001,496	1,672,883,833	1,677,896,281	(2,410,554)	978,339,333	677,001,496	669,578,494	931,837,435

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 20,098,606 ; NAIC 2 \$ 4,941,314 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

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SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	52,806,845	xxx	53,077,169	259,947	95,977

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	159,021,114	116,451,767
2. Cost of short-term investments acquired	4,890,082,149	7,960,469,262
3. Accrual of discount	5,209	2,754
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	4,995,918,701	7,917,559,784
7. Deduct amortization of premium	382,926	342,885
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	52,806,845	159,021,114
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	52,806,845	159,021,114

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	854,878	74,999,771
2. Cost of cash equivalents acquired	2,636,459	26,804,277
3. Accrual of discount	288	1,431
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	2,550,000	100,950,000
7. Deduct amortization of premium	5,409	601
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	936,216	854,878
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	936,216	854,878

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		City	State									
NONE												
4699999 - Totals												XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	9 Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income
		City	State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other Than Temporary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
000000-00-0	US Bancorp Guar Fed LIHTC Fd 2012-5 Prv P 0.000% 12/31/23			Paydown	07/02/2012	07/15/2015	223,731	0	(223,731)	0	0	(223,731)	0	223,731	0	0	0	0	0
3199999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							223,731	0	(223,731)	0	0	(223,731)	0	223,731	0	0	0	0	0
000000-00-0	Enterprise N Guar Fed LIHTC PrvPlc GS Low Income Housing Tax Credit- Unaffiliated 0.000% 12/31/29			Paydown	08/27/2013	07/15/2015	318,098	0	(318,098)	0	0	(318,098)	0	318,098	0	0	0	0	0
3399999. Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							318,098	0	(318,098)	0	0	(318,098)	0	318,098	0	0	0	0	0
4499999. Total - Unaffiliated							541,829	0	(541,829)	0	0	(541,829)	0	541,829	0	0	0	0	0
4599999. Total - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0
4699999 - Totals							541,829	0	(541,829)	0	0	(541,829)	0	541,829	0	0	0	0	0

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
912828-K7-4	US Treasury US Treasury Note 2.000% 08/15/25		.08/31/2015	CitiGroup		5,284,835	5,370,000	4,961	1
912828-PM-4	US Treasury Note 1.000% 10/31/16		.09/15/2015	Deutsche Bank		1,005,078	1,000,000	3,777	1
912828-RU-6	US Treasury Note 0.875% 11/30/16		.09/09/2015	Scotiamcleod Corporation		1,004,492	1,000,000	2,439	1
912828-VW-7	US Treasury Note 0.875% 09/15/16		.09/09/2015	Wells Fargo		1,004,453	1,000,000	4,256	1
912828-XM-7	US Treasury Note 1.625% 07/31/20		.08/31/2015	Deutsche Bank		10,560,087	10,510,000	14,851	1
0599999. Subtotal - Bonds - U.S. Governments						18,858,945	18,880,000	30,284	XXX
882723-YY-9	TEXAS ST GO Non Call 5.000% 10/01/21		.09/17/2015	JP Morgan Chase		2,801,146	2,375,000	0	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						2,801,146	2,375,000	0	XXX
31320N-XX-2	FHLMC Pool Q32493 MBS 4.000% 04/01/45		.07/29/2015	JP Morgan Chase		1,214,798	1,143,676	3,685	1
3138YA-FD-2	FNMA Pool AX8263 MBS 4.000% 12/01/44		.07/28/2015	Merrill Lynch		1,323,687	1,242,898	3,867	1
3138YB-DG-5	FNMA Pool AX9102 MBS 4.500% 11/01/44		.09/01/2015	Barclays Group Inc.		3,560,988	3,270,712	409	1
3138YE-HD-2	FNMA Pool AY1127 MBS 3.500% 04/01/45		.09/01/2015	Wachovia Bank		3,931,308	3,776,699	367	1
45203H-4Q-2	ILLINOIS ST FIN Rev Bond Non Call 5.000% 11/15/22		.09/17/2015	Barclays Group Inc.		1,745,668	1,525,000	0	1FE
64577B-KT-0	NEW JERSEY ST EC Rev Bond Cont Call 5.000% 06/15/26		.08/26/2015	Merrill Lynch		3,117,480	3,000,000	0	1FE
64990E-H8-3	NEW YORK ST DORM Rev Bond Non Call 5.000% 02/15/23		.07/01/2015	JP Morgan Chase		5,936,900	5,000,000	12,500	1FE
64990E-Y4-3	NEW YORK ST DORM Rev Bond Non Call 5.000% 03/15/25		.09/02/2015	JP Morgan Chase		2,424,680	2,000,000	0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						23,255,509	20,958,985	20,828	XXX
02006Y-AB-1	ALLY AUTO RECEIV ALLYA 2015-1 A2 ABS 0.920% 02/15/18		.07/15/2015	Merrill Lynch		2,014,975	2,015,000	0	1FE
0258MO-DC-0	AMERICAN EXPRESS Corp Note Non Call 2.800% 09/19/16		.09/11/2015	Merrill Lynch		254,365	250,000	3,442	1FE
02665W-AY-7	AMERICAN HONDA F Corp Note Non Call 0.795% 09/20/17		.09/21/2015	Deutsche Bank		1,127,000	1,127,000	0	1FE
032511-BJ-5	ANADARKO PETRO Corp Note Call MW 3.450% 07/15/24		.07/27/2015	Mesirow Financial		82,644	85,000	122	2FE
032511-BJ-5	ANADARKO PETRO Corp Note Call MW 3.450% 07/15/24		.07/28/2015	Mesirow Financial		116,390	120,000	184	2FE
032511-BJ-5	ANADARKO PETRO Corp Note Call MW 3.450% 07/15/24		.07/31/2015	JP Morgan Chase		1,103,726	1,125,000	2,156	2FE
06050T-LS-9	BANK OF AMERICA Corp Note Non Call 0.779% 11/14/16		.08/18/2015	Merrill Lynch		249,895	250,000	38	1FE
06050T-LS-9	BANK OF AMERICA Corp Note Non Call 0.779% 11/14/16		.09/16/2015	RBC Capital Markets		249,841	250,000	206	1FE
06051G-FS-3	BANK OF AMER CRP Corp Note Non Call 3.875% 08/01/25		.07/27/2015	Merrill Lynch		2,051,280	2,055,000	0	1FE
126650-CL-2	CVS HEALTH CORP Corp Note Call MW 3.875% 07/20/25		.07/14/2015	JP Morgan Chase		1,299,206	1,305,000	0	2FE
26207V-AE-7	DRIVE AUTO RECEI DRIVE 2015-CA B ABS Prv Plc 2.230% 09/16/19		.07/15/2015	CitiGroup		1,879,834	1,880,000	0	1FE
30231G-AM-4	EXXON MOBIL CORP Corp Note Non Call 0.374% 03/01/18		.07/15/2015	Castleock Securities		997,180	1,000,000	453	1FE
345397-WV-9	FORD MOTOR CRED Corp Note Non Call 3.664% 09/08/24		.07/24/2015	RBC Capital Markets		535,381	535,000	7,678	2FE
38148L-AE-6	GOLDMAN SACHS GP Corp Note Call 3.750% 05/22/25		.07/24/2015	Merrill Lynch		638,802	645,000	4,502	1FE
421915-EG-0	HCP INC Corp Note MW 6.300% 09/15/16		.09/10/2015	Wells Fargo		210,403	201,000	0	2FE
46625H-KC-3	JPMORGAN CHASE Corp Note Call 3.125% 01/23/25		.07/22/2015	Banco Bilbao Viscaya Argentar		1,056,653	1,110,000	385	1FE
56585A-AG-7	MARATHON PETRO Corp Note Call MW 3.625% 09/15/24		.07/23/2015	Barclays Group Inc.		1,045,127	1,065,000	14,263	2FE
581557-BE-4	MCKESSON CORP Corp Note Call MW 3.796% 03/15/24		.07/24/2015	Credit Suisse		527,805	520,000	7,347	2FE
654740-AE-9	NISSAN MOTOR ACC Corp Note Non Call Prv Plc 1.026% 09/26/16		.08/05/2015	Castleock Securities		200,772	200,000	245	1FE
80284M-AE-0	SANTANDER DRIVE SDART 2015-4 B ABS 2.260% 06/15/20		.08/20/2015	Wachovia Bank		2,059,887	2,060,000	0	1FE
86787E-AP-2	SUNTRUST BANK Corp Note Call 1.350% 02/15/17		.08/19/2015	Sun Trust		399,580	400,000	135	2FE
90327Q-CW-7	USAA CAP CORP Corp Note Non Call Prv Plc 2.250% 12/13/16		.09/15/2015	Morgan Stanley		892,452	878,000	9,219	1FE
94974B-GH-7	WELLS FARGO CO Corp Note Non Call 3.000% 02/19/25		.07/22/2015	Wachovia Bank		1,053,993	1,105,000	14,549	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						20,037,191	20,181,000	64,924	XXX
8399997. Total - Bonds - Part 3						64,952,791	62,394,985	116,036	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						64,952,791	62,394,985	116,036	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						64,952,791	XXX	116,036	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
36179R-BW-8	GNMA Pool MA2753 MBS 3.000% 04/20/45		07/01/2015	Paydown		9,788	9,788	10,116	.0	.0	(328)	.0	(328)	.0	9,788	.0	.0	.0	.73	04/20/2045	1
36179R-BW-8	GNMA Pool MA2753 MBS 3.000% 04/20/45		08/01/2015	Paydown		11,952	11,952	12,353	.0	.0	(401)	.0	(401)	.0	11,952	.0	.0	.0	.120	04/20/2045	1
36179R-BW-8	GNMA Pool MA2753 MBS 3.000% 04/20/45		09/01/2015	Paydown		13,820	13,820	14,283	.0	.0	(463)	.0	(463)	.0	13,820	.0	.0	.0	.173	04/20/2045	1
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		07/01/2015	Paydown		.87	.87	.88	.87	.0	.0	.0	.0	.87	.0	.0	.0	.0	.5	12/15/2019	1
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		08/01/2015	Paydown		.73	.73	.73	.73	.0	.0	.0	.0	.73	.0	.0	.0	.0	.4	12/15/2019	1
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		09/01/2015	Paydown		.89	.89	.89	.89	.0	.0	.0	.0	.89	.0	.0	.0	.0	.6	12/15/2019	1
912828-G3-8	US Treasury Note 2.250% 11/15/24		08/26/2015	Deutsche Bank		10,196,266	10,100,000	10,035,691	10,036,379	.0	3,786	.0	3,786	.0	10,040,165	.0	156,101	156,101	177,848	11/15/2024	1
912828-J5-0	US Treasury Note 1.375% 02/29/20		08/26/2015	Deutsche Bank		6,827,933	6,825,000	6,760,482	.0	.0	5,823	.0	5,823	.0	6,766,305	.0	61,627	61,627	45,902	02/29/2020	1
912828-NZ-9	US Treasury Note 1.250% 09/30/15		09/30/2015	Maturity		2,000,000	2,000,000	2,029,688	2,015,390	.0	(15,390)	.0	(15,390)	.0	2,000,000	.0	.0	.0	25,000	09/30/2015	1FE
912828-TK-6	US Treasury Note 0.250% 08/15/15		08/15/2015	Maturity		1,500,000	1,500,000	1,501,699	1,500,000	.0	(818)	.0	(818)	.0	1,500,000	.0	.0	.0	3,750	08/15/2015	1
912828-VU-1	US Treasury Note 0.375% 08/31/15		08/31/2015	Maturity		3,000,000	3,000,000	3,008,906	3,004,548	.0	(4,548)	.0	(4,548)	.0	3,000,000	.0	.0	.0	11,250	08/31/2015	1
912828-VY-3	US Treasury Note 0.250% 09/30/15		09/30/2015	Maturity		1,000,000	1,000,000	1,000,664	1,000,384	.0	(384)	.0	(384)	.0	1,000,000	.0	.0	.0	2,500	09/30/2015	1FE
0599999 Subtotal - Bonds - U.S. Governments						24,560,008	24,460,809	24,374,132	17,557,768	0	(12,723)	0	(12,723)	0	24,342,279	0	217,728	217,728	266,631	XXX	XXX
709141-08-1	PA St GO Cont Call 5.000% 09/01/15		09/01/2015	Maturity		3,000,000	3,000,000	3,447,330	3,000,000	.0	.0	.0	.0	.0	3,000,000	.0	.0	.0	150,000	09/01/2015	1FE
1799999 Subtotal - Bonds - U.S. States, Territories and Possessions						3,000,000	3,000,000	3,447,330	3,000,000	0	0	0	0	0	3,000,000	0	0	0	150,000	XXX	XXX
438670-J4-4	HONOLULU CITY & GO Cont Call 5.000% 11/01/24		09/25/2015	Morgan Stanley		6,417,860	5,350,000	6,272,768	6,201,011	.0	(72,799)	.0	(72,799)	.0	6,128,213	.0	289,647	289,647	242,979	11/01/2024	1FE
2499999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						6,417,860	5,350,000	6,272,768	6,201,011	0	(72,799)	0	(72,799)	0	6,128,213	0	289,647	289,647	242,979	XXX	XXX
3128MJ-VZ-0	FHLMC Pool G08631 MBS 3.000% 03/01/45		07/01/2015	Paydown		4,741	4,741	4,844	.0	.0	(103)	.0	(103)	.0	4,741	.0	.0	.0	.36	03/01/2045	1
3128MJ-VZ-0	FHLMC Pool G08631 MBS 3.000% 03/01/45		08/01/2015	Paydown		6,129	6,129	6,262	.0	.0	(133)	.0	(133)	.0	6,129	.0	.0	.0	.61	03/01/2045	1
3128MJ-VZ-0	FHLMC Pool G08631 MBS 3.000% 03/01/45		09/01/2015	Paydown		6,610	6,610	6,753	.0	.0	(144)	.0	(144)	.0	6,610	.0	.0	.0	.83	03/01/2045	1
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		07/01/2015	Paydown		985	985	984	.984	.0	.1	.0	.1	.985	.0	.0	.0	.42	04/01/2021	1	
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		08/01/2015	Paydown		1,113	1,113	1,112	1,112	.0	.1	.0	.1	1,113	.0	.0	.0	.31	04/01/2021	1	
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		09/01/2015	Paydown		1,121	1,121	1,120	1,120	.0	.1	.0	.1	1,121	.0	.0	.0	.46	04/01/2021	1	
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		07/01/2015	Paydown		1,699	1,699	1,716	1,699	.0	(17)	.0	(17)	.0	1,699	.0	.0	.0	.59	10/01/2021	1
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		08/01/2015	Paydown		1,845	1,845	1,864	1,845	.0	(19)	.0	(19)	.0	1,845	.0	.0	.0	.74	10/01/2021	1
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		09/01/2015	Paydown		1,595	1,595	1,622	1,595	.0	(16)	.0	(16)	.0	1,595	.0	.0	.0	.72	10/01/2021	1
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		07/01/2015	Paydown		732	732	742	739	.0	(7)	.0	(7)	.0	732	.0	.0	.0	.26	10/01/2021	1
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		08/01/2015	Paydown		736	736	746	743	.0	(7)	.0	(7)	.0	736	.0	.0	.0	.29	10/01/2021	1
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		09/01/2015	Paydown		748	748	758	755	.0	(7)	.0	(7)	.0	748	.0	.0	.0	.34	10/01/2021	1
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		07/01/2015	Paydown		5,514	5,514	5,576	5,561	.0	(46)	.0	(46)	.0	5,514	.0	.0	.0	.177	08/01/2023	1
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		08/01/2015	Paydown		5,573	5,573	5,636	5,620	.0	(47)	.0	(47)	.0	5,573	.0	.0	.0	.204	08/01/2023	1
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		09/01/2015	Paydown		5,647	5,647	5,711	5,695	.0	(47)	.0	(47)	.0	5,647	.0	.0	.0	.233	08/01/2023	1
3128PT-MF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		07/01/2015	Paydown		5,190	5,190	5,359	5,333	.0	(143)	.0	(143)	.0	5,190	.0	.0	.0	.121	12/01/2025	1
3128PT-MF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		08/01/2015	Paydown		36,876	36,876	38,080	37,894	.0	(1,018)	.0	(1,018)	.0	36,876	.0	.0	.0	983	12/01/2025	1
3128PT-MF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		09/01/2015	Paydown		4,591	4,591	4,741	4,718	.0	(127)	.0	(127)	.0	4,591	.0	.0	.0	138	12/01/2025	1
3128PU-WF-5	FHLMC Pool J15146 MBS 4.500% 04/01/26		07/01/2015	Paydown		2,078	2,078	2,209	2,196	.0	(118)	.0	(118)	.0	2,078	.0	.0	.0	.55	04/01/2026	1
3128PU-WF-5	FHLMC Pool J15146 MBS 4.500% 04/01/26		08/01/2015	Paydown		36,937	36,937	39,263	39,032	.0	(2,094)	.0	(2,094)	.0	36,937	.0	.0	.0	1,108	04/01/2026	1
3128PU-WF-5	FHLMC Pool J15146 MBS 4.500% 04/01/26		09/01/2015	Paydown		1,768	1,768	1,868	1,879	.0	(100)	.0	(100)	.0	1,768	.0	.0	.0	.60	04/01/2026	1
3128PV-AA-2	FHLMC Pool J15427 MBS 4.500% 05/01/26		07/01/2015	Paydown		2,249	2,249	2,392	2,376	.0	(127)	.0	(127)	.0	2,249	.0	.0	.0	.59	05/01/2026	1
3128PV-AA-2	FHLMC Pool J15427 MBS 4.500% 05/01/26		08/01/2015	Paydown		2,262	2,262	2,405	2,389	.0	(128)	.0	(128)	.0	2,262	.0	.0	.0	.68	05/01/2026	1
3128PV-AA-2	FHLMC Pool J15427 MBS 4.500% 05/01/26		09/01/2015	Paydown		2,255	2,255	2,398	2,382	.0	(127)	.0	(127)	.0	2,255	.0	.0	.0	.76	05/01/2026	1
3128PV-F3-9	FHLMC Pool J15586 MBS 4.500% 06/01/26		07/01/2015	Paydown		3,736	3,736	3,972	3,946	.0	(210)	.0	(210)	.0	3,736	.0	.0	.0	.98	06/01/2026	1
3128PV-F3-9	FHLMC Pool J15586 MBS 4.500% 06/01/26		08/01/2015	Paydown		3,442	3,442	3,659	3,636	.0	(193)	.0	(193)	.0	3,442	.0	.0	.0	.103	06/01/2026	1
3128PV-F3-9	FHLMC Pool J15586 MBS 4.500% 06/01/26		09/01/2015	Paydown		3,840	3,840	4,082	4,056	.0	(216)	.0	(216)	.0	3,840	.0	.0	.0	.130	06/01/2026	1
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		07/01/2015	Paydown		.7	.7	.7	.7	.0	.0	.0	.0	.7	.0	.0	.0	.0	.0	07/01/2021	1
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		08/01/2015	Paydown		.7	.7	.7	.7	.0	.0	.0	.0	.7	.0	.0	.0	.0	.0	07/01/2021	1
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		09/01/2015	Paydown		.8	.8	.8	.8	.0	.0	.0	.0	.8	.0	.0	.0	.0	.0	07/01/2021	1
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		07/01/2015	Paydown		209	209	213	213	.0	(4)	.0	(4)	.0	209	.0	.0	.0	.7	08/01/2038	1
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		08/01/2015	Paydown		209	209	213	213	.0	(4)	.0	(4)	.0	209	.0	.0	.0			

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3130A0-P3-8	FHLB Note Non Call 0.260% 07/21/15		07/21/2015	Maturity		1,000,000	1,000,000	999,850	999,945	.0	.56	.0	.56	.0	1,000,000	.0	.0	.0	2,600	07/21/2015	1
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		07/01/2015	Paydown			17,734	18,957	18,912	.0	(1,178)	.0	(1,178)	.0	17,734	.0	.0	.0	362	08/01/2042	1
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		08/01/2015	Paydown			874	934	932	.0	(58)	.0	(58)	.0	874	.0	.0	.0	20	08/01/2042	1
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		09/01/2015	Paydown			882	943	940	.0	(59)	.0	(59)	.0	882	.0	.0	.0	23	08/01/2042	1
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		07/01/2015	Paydown			2,842	2,976	2,972	.0	(130)	.0	(130)	.0	2,842	.0	.0	.0	50	12/01/2042	1
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		08/01/2015	Paydown			37,268	39,026	38,969	.0	(1,701)	.0	(1,701)	.0	37,268	.0	.0	.0	745	12/01/2042	1
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		09/01/2015	Paydown			2,780	2,912	2,907	.0	(127)	.0	(127)	.0	2,780	.0	.0	.0	63	12/01/2042	1
3132HQ-MA-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		07/01/2015	Paydown			2,016	2,110	2,107	.0	(91)	.0	(91)	.0	2,016	.0	.0	.0	35	12/01/2042	1
3132HQ-MA-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		08/01/2015	Paydown			1,562	1,635	1,633	.0	(71)	.0	(71)	.0	1,562	.0	.0	.0	31	12/01/2042	1
3132HQ-MA-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		09/01/2015	Paydown			1,566	1,640	1,637	.0	(71)	.0	(71)	.0	1,566	.0	.0	.0	35	12/01/2042	1
3132MA-VC-2	FHLMC Pool Q29911 MBS 3.500% 11/01/44		07/01/2015	Paydown			3,268	3,438	3,438	.0	(171)	.0	(171)	.0	3,268	.0	.0	.0	57	11/01/2044	1
3132MA-VC-2	FHLMC Pool Q29911 MBS 3.500% 11/01/44		08/01/2015	Paydown			7,301	7,682	7,301	.0	(381)	.0	(381)	.0	7,301	.0	.0	.0	149	11/01/2044	1
3132MA-VC-2	FHLMC Pool Q29911 MBS 3.500% 11/01/44		09/01/2015	Paydown			9,543	10,041	9,543	.0	(498)	.0	(498)	.0	9,543	.0	.0	.0	223	11/01/2044	1
3132ON-XX-2	FHLMC Pool Q32493 MBS 4.000% 04/01/45		08/01/2015	Paydown			2,133	2,266	2,133	.0	(133)	.0	(133)	.0	2,133	.0	.0	.0	7	04/01/2045	1
3132ON-XX-2	FHLMC Pool Q32493 MBS 4.000% 04/01/45		09/01/2015	Paydown			1,849	1,964	1,849	.0	(115)	.0	(115)	.0	1,849	.0	.0	.0	12	04/01/2045	1
3136AN-GF-8	FNMA Note 3.000% 04/25/45		07/01/2015	Paydown			21,647	22,536	22,536	.0	(890)	.0	(890)	.0	21,647	.0	.0	.0	162	04/25/2045	1
3136AN-GF-8	FNMA Note 3.000% 04/25/45		08/01/2015	Paydown			22,632	23,562	23,562	.0	(930)	.0	(930)	.0	22,632	.0	.0	.0	226	04/25/2045	1
3136AN-GF-8	FNMA Note 3.000% 04/25/45		09/01/2015	Paydown			21,190	22,060	22,060	.0	(871)	.0	(871)	.0	21,190	.0	.0	.0	265	04/25/2045	1
31385X-EW-3	FNMA Pool 555549 MBS 5.000% 06/01/18		07/01/2015	Paydown			20,409	21,149	20,784	.0	(375)	.0	(375)	.0	20,409	.0	.0	.0	595	06/01/2018	1
31385X-EW-3	FNMA Pool 555549 MBS 5.000% 06/01/18		08/01/2015	Paydown			19,738	20,453	20,101	.0	(363)	.0	(363)	.0	19,738	.0	.0	.0	658	06/01/2018	1
31385X-EW-3	FNMA Pool 555549 MBS 5.000% 06/01/18		09/01/2015	Paydown			19,688	20,402	20,050	.0	(362)	.0	(362)	.0	19,688	.0	.0	.0	738	06/01/2018	1
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		07/01/2015	Paydown			6,552	6,887	6,880	.0	(328)	.0	(328)	.0	6,552	.0	.0	.0	153	12/01/2040	1
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		08/01/2015	Paydown			6,509	6,842	6,835	.0	(326)	.0	(326)	.0	6,509	.0	.0	.0	174	12/01/2040	1
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		09/01/2015	Paydown			6,086	6,397	6,391	.0	(305)	.0	(305)	.0	6,086	.0	.0	.0	183	12/01/2040	1
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		07/01/2015	Paydown			8,792	9,255	9,246	.0	(454)	.0	(454)	.0	8,792	.0	.0	.0	205	03/01/2041	1
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		08/01/2015	Paydown			7,109	7,476	7,476	.0	(367)	.0	(367)	.0	7,109	.0	.0	.0	190	03/01/2041	1
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		09/01/2015	Paydown			5,426	5,712	5,706	.0	(280)	.0	(280)	.0	5,426	.0	.0	.0	163	03/01/2041	1
3138AM-SW-1	FNMA Pool A18060 MBS 3.500% 10/01/26		07/01/2015	Paydown			127,873	133,807	133,028	.0	(5,155)	.0	(5,155)	.0	127,873	.0	.0	.0	2,611	10/01/2026	1
3138AM-SW-1	FNMA Pool A18060 MBS 3.500% 10/01/26		08/01/2015	Paydown			9,027	9,446	9,391	.0	(364)	.0	(364)	.0	9,027	.0	.0	.0	211	10/01/2026	1
3138AM-SW-1	FNMA Pool A18060 MBS 3.500% 10/01/26		09/01/2015	Paydown			8,955	9,370	9,316	.0	(361)	.0	(361)	.0	8,955	.0	.0	.0	235	10/01/2026	1
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		07/01/2015	Paydown			7,214	7,785	7,764	.0	(550)	.0	(550)	.0	7,214	.0	.0	.0	168	05/01/2042	1
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		08/01/2015	Paydown			7,239	7,813	7,791	.0	(552)	.0	(552)	.0	7,239	.0	.0	.0	193	05/01/2042	1
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		09/01/2015	Paydown			7,266	7,842	7,820	.0	(554)	.0	(554)	.0	7,266	.0	.0	.0	218	05/01/2042	1
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		07/01/2015	Paydown			29	30	30	.0	(2)	.0	(2)	.0	29	.0	.0	.0	1	03/01/2042	1
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		08/01/2015	Paydown			28	30	30	.0	(2)	.0	(2)	.0	28	.0	.0	.0	1	03/01/2042	1
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		09/01/2015	Paydown			27	29	29	.0	(2)	.0	(2)	.0	27	.0	.0	.0	1	03/01/2042	1
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		07/01/2015	Paydown			77,482	81,150	80,651	.0	(3,169)	.0	(3,169)	.0	77,482	.0	.0	.0	1,582	11/01/2026	1
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		08/01/2015	Paydown			78,808	82,539	82,031	.0	(3,223)	.0	(3,223)	.0	78,808	.0	.0	.0	1,839	11/01/2026	1
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		09/01/2015	Paydown			74,919	78,466	77,983	.0	(3,064)	.0	(3,064)	.0	74,919	.0	.0	.0	1,967	11/01/2026	1
3138AV-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		07/01/2015	Paydown			737	778	777	.0	(40)	.0	(40)	.0	737	.0	.0	.0	1	10/01/2041	1
3138AV-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		08/01/2015	Paydown			743	785	784	.0	(40)	.0	(40)	.0	743	.0	.0	.0	20	10/01/2041	1
3138AV-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		09/01/2015	Paydown			7,107	7,501	7,493	.0	(386)	.0	(386)	.0	7,107	.0	.0	.0	213	10/01/2041	1
3138AX-BX-8	FNMA Pool AJ5453 MBS 4.500% 11/01/41		07/01/2015	Paydown			76,918	83,060	82,978	.0	(6,059)	.0	(6,059)	.0	76,918	.0	.0	.0	2,019	11/01/2041	1
3138AX-BX-8	FNMA Pool AJ5453 MBS 4.500% 11/01/41		08/01/2015	Paydown			49,361	53,302	53,250	.0	(3,889)	.0	(3,889)	.0	49,361	.0	.0	.0	1,481	11/01/2041	1
3138AX-BX-8	FNMA Pool AJ5453 MBS 4.500% 11/01/41		08/26/2015	Barclays Group Inc.		4,910,697	4,472,529	4,829,632	4,824,866	.0	.45	.0	.45	.0	4,824,911	.0	85,786	85,786	148,712	11/01/2041	1
3138EO-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		07/01/2015	Paydown			7,685	8,095	8,087	.0	(401)	.0	(401)	.0	7,685	.0	.0	.0	179	12/01/2041	1
3138EO-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		08/01/2015	Paydown			6,434	6,770	6,770	.0	(336)	.0	(336)	.0	6,434	.0	.0	.0	172	12/01/2041	1
3138EO-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		09/01/2015	Paydown			5,205	5,483	5,477	.0	(272)	.0	(272)	.0	5,205	.0	.0	.0	156	12/01/2041	1
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		07/01/2015	Paydown			17,034	17,915	17,812	.0	(778)	.0	(778)	.0	17,034	.0	.0	.0	298	04/01/2027	1
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		08/01/2015	Paydown			16,766	17,634	17,532	.0	(766)	.0	(766)	.0	16,766	.0	.0	.0	335	04/01/2027	1
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		09/01/2015	Paydown			101,165	10													

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		08/01/2015	Paydown		47,912	47,912	51,131	51,014	.0	(3,102)	.0	(3,102)	.0	47,912	.0	.0	.0	1,118	07/01/2042	1
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		09/01/2015	Paydown		2,434	2,434	2,598	2,592	.0	(158)	.0	(158)	.0	2,434	.0	.0	.0	64	07/01/2042	1
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		07/01/2015	Paydown		1,631	1,631	1,741	1,741	.0	(110)	.0	(110)	.0	1,631	.0	.0	.0	33	08/01/2042	1
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		08/01/2015	Paydown		1,732	1,732	1,853	1,849	.0	(117)	.0	(117)	.0	1,732	.0	.0	.0	40	08/01/2042	1
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		09/01/2015	Paydown		1,984	1,984	2,123	2,118	.0	(134)	.0	(134)	.0	1,984	.0	.0	.0	52	08/01/2042	1
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		07/01/2015	Paydown		350	350	374	373	.0	(23)	.0	(23)	.0	350	.0	.0	.0	7	08/01/2042	1
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		08/01/2015	Paydown		274	274	292	292	.0	(18)	.0	(18)	.0	274	.0	.0	.0	6	08/01/2042	1
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		09/01/2015	Paydown		283	283	302	301	.0	(18)	.0	(18)	.0	283	.0	.0	.0	7	08/01/2042	1
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		07/01/2015	Paydown		1,573	1,573	1,687	1,683	.0	(110)	.0	(110)	.0	1,573	.0	.0	.0	32	08/01/2042	1
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		08/01/2015	Paydown		1,344	1,344	1,442	1,438	.0	(94)	.0	(94)	.0	1,344	.0	.0	.0	31	08/01/2042	1
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		09/01/2015	Paydown		893	893	957	955	.0	(62)	.0	(62)	.0	893	.0	.0	.0	23	08/01/2042	1
3138M9-VP-3	FNMA Pool AP6021 MBS 3.000% 04/01/43		07/01/2015	Paydown		19,225	19,225	19,699	19,699	.0	(475)	.0	(475)	.0	19,225	.0	.0	.0	288	04/01/2043	1
3138M9-VP-3	FNMA Pool AP6021 MBS 3.000% 04/01/43		08/01/2015	Paydown		6,679	6,679	6,844	6,844	.0	(165)	.0	(165)	.0	6,679	.0	.0	.0	117	04/01/2043	1
3138M9-VP-3	FNMA Pool AP6021 MBS 3.000% 04/01/43		09/01/2015	Paydown		40,195	40,195	41,187	41,187	.0	(992)	.0	(992)	.0	40,195	.0	.0	.0	804	04/01/2043	1
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		07/01/2015	Paydown		70,330	70,330	74,089	73,945	.0	(3,615)	.0	(3,615)	.0	70,330	.0	.0	.0	1,231	11/01/2042	1
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		08/01/2015	Paydown		4,587	4,587	4,832	4,823	.0	(236)	.0	(236)	.0	4,587	.0	.0	.0	92	11/01/2042	1
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		09/01/2015	Paydown		4,582	4,582	4,827	4,817	.0	(235)	.0	(235)	.0	4,582	.0	.0	.0	103	11/01/2042	1
3138MS-AA-1	FNMA Pool A0926 MBS 3.000% 12/01/42		07/01/2015	Paydown		1,382	1,382	1,453	1,451	.0	(69)	.0	(69)	.0	1,382	.0	.0	.0	24	12/01/2042	1
3138MS-AA-1	FNMA Pool A0926 MBS 3.000% 12/01/42		08/01/2015	Paydown		1,414	1,414	1,487	1,485	.0	(71)	.0	(71)	.0	1,414	.0	.0	.0	28	12/01/2042	1
3138MS-AA-1	FNMA Pool A0926 MBS 3.000% 12/01/42		09/01/2015	Paydown		1,403	1,403	1,476	1,473	.0	(70)	.0	(70)	.0	1,403	.0	.0	.0	32	12/01/2042	1
3138NI-YS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		07/01/2015	Paydown		860	860	903	901	.0	(41)	.0	(41)	.0	860	.0	.0	.0	15	12/01/2042	1
3138NI-YS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		08/01/2015	Paydown		986	986	1,035	1,033	.0	(47)	.0	(47)	.0	986	.0	.0	.0	20	12/01/2042	1
3138NI-YS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		09/01/2015	Paydown		887	887	932	930	.0	(43)	.0	(43)	.0	887	.0	.0	.0	20	12/01/2042	1
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		07/01/2015	Paydown		199,899	199,899	207,613	207,432	.0	(7,533)	.0	(7,533)	.0	199,899	.0	.0	.0	4,081	02/01/2043	1
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		08/01/2015	Paydown		6,921	6,921	7,188	7,182	.0	(261)	.0	(261)	.0	6,921	.0	.0	.0	162	02/01/2043	1
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		09/01/2015	Paydown		6,884	6,884	7,149	7,143	.0	(259)	.0	(259)	.0	6,884	.0	.0	.0	181	02/01/2043	1
3138WC-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		07/01/2015	Paydown		2,607	2,607	2,672	2,671	.0	(64)	.0	(64)	.0	2,607	.0	.0	.0	53	07/01/2044	1
3138WC-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		08/01/2015	Paydown		24,627	24,627	25,242	25,235	.0	(609)	.0	(609)	.0	24,627	.0	.0	.0	575	07/01/2044	1
3138WC-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		09/01/2015	Paydown		2,550	2,550	2,614	2,613	.0	(63)	.0	(63)	.0	2,550	.0	.0	.0	67	07/01/2044	1
3138WC-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		07/01/2015	Paydown		6,811	6,811	6,968	6,966	.0	(155)	.0	(155)	.0	6,811	.0	.0	.0	139	07/01/2044	1
3138WC-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		08/01/2015	Paydown		6,831	6,831	6,988	6,986	.0	(155)	.0	(155)	.0	6,831	.0	.0	.0	159	07/01/2044	1
3138WC-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		08/26/2015	Barclays Group Inc.		3,745,196	3,598,176	3,680,822	3,679,855	.0	(344)	.0	(344)	.0	3,679,511	.0	65,685	65,685	93,053	07/01/2044	1
3138WV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		07/01/2015	Paydown		4,123	4,123	4,217	4,216	.0	(94)	.0	(94)	.0	4,123	.0	.0	.0	84	09/01/2043	1
3138WV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		08/01/2015	Paydown		2,624	2,624	2,684	2,684	.0	(60)	.0	(60)	.0	2,624	.0	.0	.0	61	09/01/2043	1
3138WV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		09/01/2015	Paydown		25,099	25,099	25,675	25,668	.0	(570)	.0	(570)	.0	25,099	.0	.0	.0	659	09/01/2043	1
3138XE-TZ-1	FNMA Pool AV3267 MBS 5.000% 12/01/43		07/01/2015	Paydown		6,672	6,672	7,476	7,476	.0	(804)	.0	(804)	.0	6,672	.0	.0	.0	56	12/01/2043	1
3138XE-TZ-1	FNMA Pool AV3267 MBS 5.000% 12/01/43		08/01/2015	Paydown		5,808	5,808	6,507	6,507	.0	(700)	.0	(700)	.0	5,808	.0	.0	.0	73	12/01/2043	1
3138XE-TZ-1	FNMA Pool AV3267 MBS 5.000% 12/01/43		09/01/2015	Paydown		430,051	430,051	481,858	481,858	.0	(51,808)	.0	(51,808)	.0	430,051	.0	.0	.0	7,168	12/01/2043	1
3138YA-FD-2	FNMA Pool AX8263 MBS 4.000% 12/01/44		08/01/2015	Paydown		1,704	1,704	1,815	1,815	.0	(111)	.0	(111)	.0	1,704	.0	.0	.0	6	12/01/2044	1
3138YA-FD-2	FNMA Pool AX8263 MBS 4.000% 12/01/44		09/01/2015	Paydown		1,711	1,711	1,822	1,822	.0	(111)	.0	(111)	.0	1,711	.0	.0	.0	11	12/01/2044	1
3139BQ-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		07/01/2015	Paydown		101,509	101,509	103,127	102,415	.0	(906)	.0	(906)	.0	101,509	.0	.0	.0	1,421	07/15/2037	1
3139BQ-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		08/01/2015	Paydown		90,031	90,031	91,465	90,834	.0	(803)	.0	(803)	.0	90,031	.0	.0	.0	1,440	07/15/2037	1
3139BQ-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		09/01/2015	Paydown		76,530	76,530	77,750	77,213	.0	(683)	.0	(683)	.0	76,530	.0	.0	.0	1,378	07/15/2037	1
3140ZQ-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		07/01/2015	Paydown		3,289	3,289	3,231	3,251	.0	36	.0	36	.0	3,289	.0	.0	.0	96	05/01/2019	1
3140ZQ-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		08/01/2015	Paydown		3,117	3,117	3,062	3,081	.0	36	.0	36	.0	3,117	.0	.0	.0	104	05/01/2019	1
3140ZQ-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		09/01/2015	Paydown		3,177	3,177	3,121	3,140	.0	36	.0	36	.0	3,177	.0	.0	.0	119	05/01/2019	1
3140ZR-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		07/01/2015	Paydown		31,158	31,158	33,880	32,575	.0	(1,417)	.0	(1,417)	.0	31,158	.0	.0	.0	1,000	12/01/2017	1
3140ZR-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		08/01/2015	Paydown		31,410	31,410	34,154	32,839	.0	(1,428)	.0	(1,428)	.0	31,410	.0	.0	.0	1,152	12/01/2017	1
3140ZR-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		09/01/2015	Paydown		28,637	28,637	31,138	29,939	.0	(1,302)	.0	(1,302)	.0	28,637	.0	.0	.0	1,181	12/01/2017	1
31407N-FK-4	FNMA																				

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		07/01/2015	Paydown		2,456	2,456	2,617	2,583	0	(127)	0	(127)	0	2,456	0	0	0	72	11/01/2024	1
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		08/01/2015	Paydown		2,491	2,491	2,654	2,620	0	(128)	0	(128)	0	2,491	0	0	0	83	11/01/2024	1
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		09/01/2015	Paydown		2,504	2,504	2,667	2,633	0	(129)	0	(129)	0	2,504	0	0	0	94	11/01/2024	1
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		07/01/2015	Paydown		2,864	2,864	2,891	2,885	0	(21)	0	(21)	0	2,864	0	0	0	84	08/01/2023	1
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		08/01/2015	Paydown		2,860	2,860	2,887	2,881	0	(20)	0	(20)	0	2,860	0	0	0	95	08/01/2023	1
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		09/01/2015	Paydown		23,536	23,536	23,757	23,704	0	(169)	0	(169)	0	23,536	0	0	0	883	08/01/2023	1
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		07/01/2015	Paydown		12,811	12,811	14,008	13,636	0	(825)	0	(825)	0	12,811	0	0	0	411	06/01/2020	1
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		08/01/2015	Paydown		12,564	12,564	13,738	13,372	0	(809)	0	(809)	0	12,564	0	0	0	461	06/01/2020	1
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		09/01/2015	Paydown		12,133	12,133	13,267	12,914	0	(781)	0	(781)	0	12,133	0	0	0	500	06/01/2020	1
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		07/01/2015	Paydown		8,425	8,425	8,692	8,692	0	(267)	0	(267)	0	8,425	0	0	0	246	06/01/2024	1
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		08/01/2015	Paydown		12,871	12,871	13,386	13,278	0	(407)	0	(407)	0	12,871	0	0	0	429	06/01/2024	1
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		09/01/2015	Paydown		9,870	9,870	10,265	10,182	0	(312)	0	(312)	0	9,870	0	0	0	370	06/01/2024	1
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		07/01/2015	Paydown		7,727	7,727	8,024	7,967	0	(240)	0	(240)	0	7,727	0	0	0	225	07/01/2024	1
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		08/01/2015	Paydown		67,903	67,903	70,513	70,014	0	(2,111)	0	(2,111)	0	67,903	0	0	0	2,263	07/01/2024	1
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		09/01/2015	Paydown		7,426	7,426	7,711	7,656	0	(231)	0	(231)	0	7,426	0	0	0	278	07/01/2024	1
31417G-TY-2	FNMA Pool AB9566 MBS 3.000% 06/01/43		07/01/2015	Paydown		6,485	6,485	6,645	6,645	0	(160)	0	(160)	0	6,485	0	0	0	97	06/01/2043	1
31417G-TY-2	FNMA Pool AB9566 MBS 3.000% 06/01/43		08/01/2015	Paydown		27,554	27,554	28,234	28,234	0	(680)	0	(680)	0	27,554	0	0	0	482	06/01/2043	1
31417G-TY-2	FNMA Pool AB9566 MBS 3.000% 06/01/43		09/01/2015	Paydown		30,414	30,414	31,165	31,165	0	(751)	0	(751)	0	30,414	0	0	0	608	06/01/2043	1
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		07/01/2015	Paydown		4,081	4,081	4,315	4,273	0	(192)	0	(192)	0	4,081	0	0	0	107	06/01/2025	1
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		08/01/2015	Paydown		4,138	4,138	4,375	4,333	0	(195)	0	(195)	0	4,138	0	0	0	124	06/01/2025	1
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		09/01/2015	Paydown		4,213	4,213	4,455	4,412	0	(199)	0	(199)	0	4,213	0	0	0	142	06/01/2025	1
544712-Y3-0	LA Cnty CA Met Trans Rev Bond Non Call 5.000% 07/01/15		07/01/2015	Maturity		3,775,000	3,775,000	4,337,437	3,827,253	0	(52,253)	0	(52,253)	0	3,775,000	0	0	0	188,750	07/01/2015	1FE
977109-BU-0	WI St Petro Rev Bond Non Call 5.000% 07/01/15		07/01/2015	Maturity		3,000,000	3,000,000	3,416,340	3,038,848	0	(38,848)	0	(38,848)	0	3,000,000	0	0	0	150,000	07/01/2015	1FE
3199999	Subtotal - Bonds - U.S. Special Revenues					19,448,091	18,862,903	20,461,265	18,809,212	0	(257,264)	0	(257,264)	0	19,296,620	0	151,471	151,471	652,482	XXX	XXX
00206R-BR-2	AT&T INC Corp Note MW 10BP 0.900% 02/12/16		09/14/2015	Call	100.2270	400,908	400,000	400,944	400,829	0	79	0	79	0	400,908	0	0	0	3,920	02/12/2016	2FE
02666Q-G6-4	AMER HONDA FIN Corp Note Non Call Prv Plc 2.500% 09/21/15		09/21/2015	Maturity		300,000	300,000	309,615	304,295	0	(4,295)	0	(4,295)	0	300,000	0	0	0	7,500	09/21/2015	1FE
031162-BT-6	AMGEN INC Corp Note Non Call 0.929% 05/22/19		07/30/2015	Goldman Sachs		998,471	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	(1,529)	(1,529)	6,074	05/22/2019	2FE
032511-AX-5	ANADARKO PETRO Corp Note MW 25BP 5.950% 09/15/16		07/31/2015	Barclays Group Inc.		1,259,160	1,200,000	1,396,572	1,287,783	0	(30,185)	0	(30,185)	0	1,257,598	0	1,562	1,562	63,467	09/15/2016	2FE
037389-AV-5	AON Corp Note MW 35BP 3.500% 09/30/15		09/30/2015	Maturity		880,000	880,000	875,750	879,330	0	670	0	670	0	880,000	0	0	0	30,800	09/30/2015	1FE
039483-AY-8	Archer Daniels Corp Note MW 30BP PPut 5.450% 03/15/18		09/04/2015	Call	110.5390	139,279	126,000	143,042	140,861	0	(1,582)	0	(1,582)	0	139,279	0	0	0	6,657	03/15/2018	1FE
06051G-ED-7	BANK OF AMER CRP Corp Note Non Call 3.700% 09/01/15		09/01/2015	Maturity		350,000	350,000	360,626	356,955	0	(6,955)	0	(6,955)	0	350,000	0	0	0	12,950	09/01/2015	1FE
06051G-EY-1	BANK OF AMER CRP Corp Note Non Call 1.329% 01/15/19		07/27/2015	Goldman Sachs		2,016,580	2,000,000	2,024,760	2,020,904	0	(2,917)	0	(2,917)	0	2,017,988	0	(1,408)	(1,408)	20,715	01/15/2019	1FE
12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		07/01/2015	Paydown		47,503	47,503	47,502	47,498	0	5	0	5	0	47,503	0	0	0	400	02/10/2019	1FM
12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		08/01/2015	Paydown		43,241	43,241	43,240	43,236	0	5	0	5	0	43,241	0	0	0	417	02/10/2019	1FM
12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		09/01/2015	Paydown		43,429	43,429	43,427	43,424	0	5	0	5	0	43,429	0	0	0	471	02/10/2019	1FM
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		07/01/2015	Paydown		20,059	20,059	20,059	20,057	0	2	0	2	0	20,059	0	0	0	149	05/10/2047	1FM
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		08/01/2015	Paydown		18,541	18,541	18,541	18,539	0	2	0	2	0	18,541	0	0	0	158	05/10/2047	1FM
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		09/01/2015	Paydown		18,619	18,619	18,619	18,617	0	2	0	2	0	18,619	0	0	0	178	05/10/2047	1FM
17275R-AM-4	CISCO SYSTEMS Corp Note Non Call 0.384% 09/03/15		09/03/2015	Maturity		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	1,179	09/03/2015	1FE
17322M-AS-5	Citigroup Commercial Mtge Tr CGOMT 2014-GC21 A1 CMBS 1.242% 05/10/47		07/01/2015	Paydown		40,907	40,907	40,906	40,904	0	4	0	4	0	40,907	0	0	0	296	05/10/2047	1FM

E05.3

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
17322M-AS-5	Citigroup Commercial Mtge Tr CGOINT 2014-GC21 A1 CMBS 1.242% 05/10/47		08/01/2015	Paydown		37,014	37,014	37,013	37,010	0	3	0	3	0	37,014	0	0	0	306	05/10/2047	1FM
17322M-AS-5	Citigroup Commercial Mtge Tr CGOINT 2014-GC21 A1 CMBS 1.242% 05/10/47		09/01/2015	Paydown		37,169	37,169	37,168	37,166	0	3	0	3	0	37,169	0	0	0	346	05/10/2047	1FM
200340-AN-7	GEORGIA POWER Corp Note MW 10BP 0.750% 09/16/15		09/16/2015	Maturity		830,000	830,000	853,841	843,806	0	(13,806)	0	(13,806)	0	830,000	0	0	0	24,900	09/16/2015	1FE
373334-JY-8	GOLDMAN SACHS GP Corp Note Non Call 1.497% 04/30/18		08/10/2015	Maturity		500,000	500,000	501,425	500,831	0	(831)	0	(831)	0	500,000	0	0	0	3,750	08/10/2015	1FE
38141G-VK-7	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		07/24/2015	RBC Capital Markets		646,221	640,000	644,469	643,403	0	(574)	0	(574)	0	642,830	0	3,392	3,392	7,036	04/30/2018	1FE
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		07/01/2015	Paydown		33,428	33,428	33,428	33,428	0	0	0	0	0	33,428	0	0	0	247	04/15/2047	1FM
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		08/01/2015	Paydown		30,675	30,675	30,675	30,675	0	0	0	0	0	30,675	0	0	0	259	04/15/2047	1FM
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		09/01/2015	Paydown		30,807	30,807	30,807	30,807	0	0	0	0	0	30,807	0	0	0	293	04/15/2047	1FM
46849L-SF-9	JACKSON NAT LIFE Corp Note Non Call Prv Plc 0.677% 09/30/15		09/30/2015	Maturity		900,000	900,000	900,000	900,000	0	0	0	0	0	900,000	0	0	0	4,251	09/30/2015	1FE
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.595% 10/28/41		07/27/2015	Paydown		13,824	13,824	13,678	13,743	0	81	0	81	0	13,824	0	0	0	58	10/28/2041	1FE
55315C-AB-3	MIAF EQUIPMENT F MIAF 2014-AA A2 ABS Prv Plc 0.520% 04/10/17		07/08/2015	Paydown		149,931	149,931	149,919	149,924	0	7	0	7	0	149,931	0	0	0	455	04/10/2017	1FE
55315C-AB-3	MIAF EQUIPMENT F MIAF 2014-AA A2 ABS Prv Plc 0.520% 04/10/17		08/08/2015	Paydown		140,752	140,752	140,740	140,745	0	7	0	7	0	140,752	0	0	0	488	04/10/2017	1FE
55315C-AB-3	MIAF EQUIPMENT F MIAF 2014-AA A2 ABS Prv Plc 0.520% 04/10/17		09/08/2015	Paydown		223,850	223,850	223,831	223,840	0	10	0	10	0	223,850	0	0	0	873	04/10/2017	1FE
56585A-AE-2	MARATHON PETRO Corp Note Non Call 3.500% 03/01/16		07/23/2015	Barclays Group Inc.		928,075	915,000	963,294	940,234	0	(12,282)	0	(12,282)	0	927,952	0	124	124	29,089	03/01/2016	2FE
581557-BA-2	MCKESSON CORP Corp Note Non Call 0.732% 09/10/15		09/10/2015	Maturity		2,160,000	2,160,000	2,160,000	2,160,000	0	0	0	0	0	2,160,000	0	0	0	10,877	09/10/2015	2FE
59217G-AC-3	MET LIFE GLOB Corp Note Non Call Prv Plc 2.500% 09/29/15		09/29/2015	Maturity		400,000	400,000	409,704	405,858	0	(5,858)	0	(5,858)	0	400,000	0	0	0	10,000	09/29/2015	1FE
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		07/01/2015	Paydown		34,269	34,269	34,269	34,264	0	5	0	5	0	34,269	0	0	0	263	04/15/2047	1FM
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		08/01/2015	Paydown		30,970	30,970	30,970	30,966	0	5	0	5	0	30,970	0	0	0	271	04/15/2047	1FM
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		09/01/2015	Paydown		31,108	31,108	31,107	31,103	0	5	0	5	0	31,108	0	0	0	306	04/15/2047	1FM
665772-CF-4	NORTHERN ST PR-M Corp Note MW 5BP 1.950% 08/15/15		08/15/2015	Maturity		625,000	625,000	635,325	629,933	0	(4,933)	0	(4,933)	0	625,000	0	0	0	12,188	08/15/2015	1FE
713448-CA-4	PEPSICO INC Corp Note MW 0.700% 08/13/15		08/13/2015	Maturity		750,000	750,000	753,075	751,523	0	(1,523)	0	(1,523)	0	750,000	0	0	0	5,250	08/13/2015	1FE
74005P-AV-6	PRAXAIR INC Corp Note MW 15BP 3.250% 09/15/15		09/15/2015	Maturity		815,000	815,000	812,905	814,736	0	264	0	264	0	815,000	0	0	0	26,488	09/15/2015	1FE
74153W-CA-5	PRICOA GLOB FUND Corp Note Non Call Prv Plc 0.603% 08/19/15		08/19/2015	Maturity		600,000	600,000	600,000	600,000	0	0	0	0	0	600,000	0	0	0	2,389	08/19/2015	1FE
872287-AC-1	TCI Communications Inc Corp Note Non Call PPut 8.750% 08/01/15		08/01/2015	Maturity		350,000	350,000	388,945	366,717	0	(16,717)	0	(16,717)	0	350,000	0	0	0	30,625	08/01/2015	1FE
887317-AJ-4	TIIX-CALL08/15 Corp Note MW 25BP 3.150% 07/15/15		07/15/2015	Maturity		1,300,000	1,300,000	1,343,082	1,318,024	0	(18,024)	0	(18,024)	0	1,300,000	0	0	0	40,950	07/15/2015	2FE
90331H-ME-0	US BANK NA OHIO Corp Note Call 0.344% 10/01/15		09/01/2015	Call	100.0000	500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	1,485	10/01/2015	1FE
92276M-AV-7	VENTAS REALTY LP Corp Note MW 40BP 3.125% 11/30/15		09/01/2015	Call	100.6630	503,315	500,000	513,855	510,837	0	(7,522)	0	(7,522)	0	503,315	0	0	0	11,762	11/30/2015	2FE
931422-AE-9	WALGREEN CO Corp Note MW 5.250% 01/15/19		08/10/2015	Call	111.7342	958,679	958,679	972,131	949,583	0	9,096	0	9,096	0	958,679	0	0	0	48,173	01/15/2019	2FE
878742-AY-1	TECK RESOURC Corp Note Call MW 3.750% 02/01/23	A	09/17/2015	Pershing		232,314	315,000	300,034	301,010	0	1,065	0	1,065	0	302,075	0	(69,762)	(69,762)	13,486	02/01/2023	2FE
878742-AY-1	TECK RESOURC Corp Note Call MW 3.750% 02/01/23	A	09/17/2015	Robert Baird & Co		305,025	415,000	395,283	396,569	0	1,403	0	1,403	0	397,972	0	(92,947)	(92,947)	17,767	02/01/2023	2FE

E05.4

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
878742-AY-1	TECK RESOURC Corp Note Call MW 3.750% 02/01/23	A	09/24/2015	Barclays Group Inc.	469,800	720,000	685,793	688,024	0	2,498	0	2,498	0	690,522	0	(220,722)	(220,722)	31,350	02/01/2023	2FE		
223030-AG-5	Covidien Intl Fin Corp Note MW 25BP PPut 6.000% 10/15/17	F	09/08/2015	Robert Baird & Co	1,225,024	1,125,000	1,234,710	1,167,238	0	(10,013)	0	(10,013)	0	1,157,225	0	67,799	67,799	61,125	10/15/2017	1FE		
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				22,868,947	23,000,096	23,605,079	23,305,229	0	(122,791)	0	(122,791)	0	23,182,439	0	(313,491)	(313,491)	552,437		XXX	XXX	
8399997	Total - Bonds - Part 4				76,294,906	74,673,808	78,160,574	68,873,220	0	(465,577)	0	(465,577)	0	75,949,551	0	345,355	345,355	1,864,529		XXX	XXX	
8399998	Total - Bonds - Part 5				XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
8399999	Total - Bonds				76,294,906	74,673,808	78,160,574	68,873,220	0	(465,577)	0	(465,577)	0	75,949,551	0	345,355	345,355	1,864,529		XXX	XXX	
8999997	Total - Preferred Stocks - Part 4				0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
8999998	Total - Preferred Stocks - Part 5				XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
8999999	Total - Preferred Stocks				0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9799997	Total - Common Stocks - Part 4				0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9799998	Total - Common Stocks - Part 5				XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
9799999	Total - Common Stocks				0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9899999	Total - Preferred and Common Stocks				0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0		XXX	XXX
9999999	Totals				76,294,906	XXX	78,160,574	68,873,220	0	(465,577)	0	(465,577)	0	75,949,551	0	345,355	345,355	1,864,529		XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: October 12, 2015
Valuation Date: September 2015
Subject: TennCare Claim Reserve Estimate (IBNR) as of September 2015 – Risk Business – Eastern Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$15,108,260 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of September 2015, this block of business had approximately 3,900 members.

A handwritten signature in cursive script that reads 'Kevin Francis'.

Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: October 12, 2015
Valuation Date: September 2015
Subject: TennCare Claim Reserve Estimate (IBNR) as of September 2015 – Risk Business – Eastern Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$47,234,513 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of September 2015, this block of business had approximately 167,200 members.

A handwritten signature in cursive script that reads 'Kevin Francis'.

Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: October 12, 2015
Valuation Date: September 2015
Subject: TennCare Claim Reserve Estimate (IBNR) as of September 2015 – Risk Business – Middle Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$12,371,313 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of September 2015, this block of business had approximately 3,300 members.

A handwritten signature in cursive script that reads 'Kevin Francis'.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: October 12, 2015
Valuation Date: September 2015
Subject: TennCare Claim Reserve Estimate (IBNR) as of September 2015 – Risk Business – Middle Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$45,013,507 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of September 2015, this block of business had approximately 163,200 members.

A handwritten signature in cursive script that reads 'Kevin Francis'.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: October 12, 2015
Valuation Date: September 2015
Subject: TennCare Claim Reserve Estimate (IBNR) as of September 2015 – Risk Business – Western Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$12,139,282 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of September 2015, this block of business had approximately 3,200 members.

A handwritten signature in cursive script that reads 'Kevin Francis'.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

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Minnetonka, MN 55343

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: October 12, 2015
Valuation Date: September 2015
Subject: TennCare Claim Reserve Estimate (IBNR) as of September 2015 – Risk Business –
Western Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$33,627,219 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of September 2015, this block of business had approximately 137,200 members.

A handwritten signature in cursive script that reads 'Kevin Francis'.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

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STATEMENT AS OF September 30, 2015 FOR
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

EXHIBIT 2 - ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

Individually list all debtors with account balances the greater of 10% of gross Premiums Receivable or \$10,000.

Name of Debtor	1-30 Days	31-60 Days	61-90 Days	Over 90 Days	Non Admitted	Admitted
Total Individuals	-	-	-	-	-	-
Group subscribers:						
Group subscriber subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Premiums due and unpaid not individually listed	\$ 1,893,344	\$ 80,491	\$ -	\$ 20,047	\$ 87,198	\$ 1,906,684
Total group	\$ 1,893,344	\$ 80,491	\$ -	\$ 20,047	\$ 87,198	\$ 1,906,684
Premiums due and unpaid from Medicare entities	\$ 15,459,169	\$ 15,195	\$ 2,643,950	\$ 32,354	\$ 652,322	\$ 17,498,346
Premiums due and unpaid from Medicaid entities	\$ 78,874,370	\$ -	\$ -	\$ 4,351,132	\$ -	\$ 83,225,502
Accident and health premiums due and unpaid (Page 2, Line 15)	\$ 96,226,883	\$ 95,686	\$ 2,643,950	\$ 4,403,533	739,520	\$ 102,630,533

STATEMENT AS OF September 30, 2015 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 3 - Health Care Receivables

	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 Over 90 Days	6 Nonadmitted	7 Admitted
OptumRx, Inc.	40,447,524	918,139	784,262	3,759,564	3,737,622	42,171,867
0199998 Aggregate Pharmaceutical Rebate Receivables Not Individually Listed	0	0	0	0	0	0
0199999 Total Pharmaceutical Rebate Receivables	40,447,524	918,139	784,262	3,759,564	3,737,622	42,171,867
0299998 Aggregate Claim Overpayment Receivables Not Individually Listed	5,195,440	3,402,582	1,025,724	12,358,467	19,833,700	2,148,513
0299999 Total Claim Overpayment Receivables	5,195,440	3,402,582	1,025,724	12,358,467	19,833,700	2,148,513
0399998 Aggregate Loans and Advances to Providers Not Individually Listed	0	0	0	613,500	613,500	0
0399999 Total Loans and Advances to Providers	0	0	0	613,500	613,500	0
0499998 Aggregate Capitation Arrangement Receivables Not Individually Listed	0	0	0	0	0	0
0499999 Total Capitation Arrangement Receivables	0	0	0	0	0	0
0599998 Aggregate Risk Sharing Receivables Not Individually Listed	0	0	0	0	0	0
0599999 Total Risk Sharing Receivables	0	0	0	0	0	0
0699998 Aggregate Other Receivables Not Individually Listed	0	0	0	0	0	0
0699999 Total Other Receivables	0	0	0	0	0	0
0799999 Gross Health Care Receivables	45,642,964	4,320,721	1,809,986	16,731,531	24,184,822	44,320,380

STATEMENT AS OF September 30, 2015 FOR
 UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 5 - Amounts Due From Parent, Subsidiaries and Affiliates

	1 Name of Affiliate	2 1 - 30 Days	3 31 -60 Days	4 61 - 90 Days	5 Over 90 Days	6 Nonadmitted	7 Current	8 Non-Current
	0100001 United HealthCare Services, Inc.	4,776,554	-	-	-	-	4,776,554	-
	0199999 Individually listed receivables	4,776,554	-	-	-	-	4,776,554	-
	0299999 Receivables not individually listed							
	0399999 Total gross amounts receivable	4,776,554	-	-	-	-	4,776,554	-

STATEMENT AS OF September 30, 2015 FOR
 UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 6 - Amounts Due to Parent, Subsidiaries and Affiliates

	1 Affiliate	2 Description	3 Amount	4 Current	5 Non-Current
	0100001 United HealthCare Services, Inc.	Intercompany Payables	54,234,927	54,234,927	-
	0199999 Individually listed payables		54,234,927	54,234,927	-
	0299999 Payables not individually listed		-	-	-
	0399999 Total gross payables		54,234,927	54,234,927	-

STATEMENT AS OF September 30, 2015 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

Tennessee	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Medicare	9 Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	725,410		1,178				XXX	75,513	648,719	
2. First Quarter	544,619		1,390				XXX	68,546	474,683	
3. Second Quarter	543,728		1,086				XXX	69,517	473,125	
4. Third Quarter	549,525		1,031				XXX	70,376	478,118	
5. Current Year	-		-				XXX	-	-	
6. Current Year Member Months	4,830,636		9,723				XXX	622,831	4,198,082	
Total Member Ambulatory Encounters for Year:										
7. Physician	4,908,554		4,976				XXX	1,275,463	3,628,115	
8. Non-Physician	2,747,720		964				XXX	626,341	2,120,415	
9. Total	7,656,275		5,940				XXX	1,901,804	5,748,530	
10. Hospital Patient Days Incurred	2,424,601		240				XXX	145,682	2,278,679	
11. Number of Inpatient Admissions	177,751		38				XXX	30,139	147,574	
12. Health Premiums Written	2,196,714,578		3,853,939				XXX	668,334,786	1,524,739,460	(213,608)
13. Life Premiums Direct	-		-				XXX	-	-	-
14. Property/Casualty Premiums Written	-		-				XXX	-	-	-
15. Health Premiums Earned	2,189,486,766		3,853,939				XXX	663,608,929	1,522,237,505	(213,608)
16. Property/Casualty Premiums Earned	-		-				XXX	-	-	-
17. Amount Paid for Provision of Health Care Services	1,768,885,892		2,416,582				XXX	531,970,715	1,234,779,319	(280,724)
18. Amount Incurred for Provision of Health Care Services	1,683,541,152		2,174,025				XXX	511,758,909	1,169,900,016	(291,798)

Americhoice - Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	East	Middle	West	Total TN
	<u>1,499,822</u>	<u>1,461,446</u>	<u>1,236,814</u>	<u>4,198,082</u>
Revenues:				
TennCare Capitation	529,716,130	533,451,435	436,185,754	1,499,353,319
Other Revenue - TennCare Bonuses	-	-	-	-
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	17,063,353	18,290,987	14,636,291	49,990,631
STAT Adjustments	(13,179,311)	7,783,019	(19,208,198)	(24,604,490)
Total Revenues	<u>533,600,171</u>	<u>559,525,442</u>	<u>431,613,847</u>	<u>1,524,739,460</u>
Estimated Expenses:				
Hospital and Medical (w/o Mental Health)				
Capitated Physician Services	-	-	-	-
Fee-for Service Physician Services	84,480,180	87,704,302	55,330,821	227,515,303
Inpatient Hospital Services	78,575,207	86,442,379	70,410,956	235,428,542
Outpatient Hospital Services	43,011,687	48,555,679	37,341,150	128,908,516
Emergency Room Services	39,684,586	25,036,856	21,529,535	86,250,976
Dental Services	-	-	-	-
Vision Services	2,632,118	2,014,882	2,215,664	6,862,664
Pharmacy Services	-	-	-	-
Home Health Services	3,074,133	1,519,643	1,199,605	5,793,381
Chiropractic Services	-	-	-	-
Radiology Services	6,135,732	6,621,352	3,672,059	16,429,142
Laboratory Services	11,694,073	11,911,334	5,909,384	29,514,792
Durable Medical Equipment Services	7,594,213	7,269,347	5,128,483	19,992,043
Transportation Services	6,892,042	8,111,528	6,996,018	21,999,588
Outside Referrals	-	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-	-
Occupancy, Depreciation and Amortization	-	-	-	-
Nursing Facility Care	90,847,569	76,819,555	72,710,371	240,377,495
HCBS Services	22,340,297	23,943,231	23,880,536	70,164,065
Other Medical and Hospital Services - Write-Ins	(11,656,160)	430,150	(2,075,377)	(13,301,387)
Subtotal Medical and Hospital	<u>385,305,677</u>	<u>386,380,237</u>	<u>304,249,207</u>	<u>1,075,935,121</u>
Mental Health and Substance Abuse Services				
Inpatient Psychiatric Facility Services	5,442,808	4,346,388	7,038,229	16,827,425
Inpatient Substance Abuse Treatment and Detox	707,566	995,296	463,982	2,166,844
Outpatient Mental Health Services	2,985,977	5,223,281	1,246,010	9,455,268
Outpatient Substance Abuse Treatment and Detox	586,517	643,668	375,915	1,606,099
Housing/Residential Treatment	3,647,203	2,681,425	3,702,185	10,030,813
Specialized Crisis Services	277,576	86,034	57,101	420,710
Psychiatric Rehab and Support Services	4,134,393	5,417,897	6,362,422	15,914,713
Case Management	6,849,391	9,823,136	4,935,005	21,607,532
Forensics	-	-	-	-
Other Judicial	-	-	-	-
Pharmacy	-	-	-	-
Lab Services	-	-	-	-
Transportation	19,170	10,459	46,482	76,111
Medical Incentive Pool and Withhold Adjustments	-	-	-	-
Occupancy, Depreciation and Amortization	-	-	-	-
Other Mental Health and Substance Abuse Services	2,777,874	384,757	1,125,595	4,288,225
PCP and Specialist Services	-	-	-	-
Other Mental Health Services - Write-Ins	4,787,370	4,396,470	2,387,315	11,571,154
Subtotal MH&SAS	<u>32,215,843</u>	<u>34,008,811</u>	<u>27,740,241</u>	<u>93,964,895</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>417,521,520</u>	<u>420,389,048</u>	<u>331,989,448</u>	<u>1,169,900,016</u>
LESS:				
Net Reinsurance Recoveries Incurred	-	-	-	-
Copayments	-	-	-	-
Subrogation and Coordination of Benefits	-	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>417,521,520</u>	<u>420,389,048</u>	<u>331,989,448</u>	<u>1,169,900,016</u>
Administration:				
Compensation	-	-	-	-
Direct and Allocated Admin expenses	-	-	-	-
Marketing	-	-	-	-
Interest Expense	-	-	-	-
Premium Tax Expense	32,359,678	32,191,547	25,190,668	89,741,893
Occupancy, Depreciation, and Amortization	-	-	-	-
Insurer Fee	13,475,069	15,133,140	10,998,544	39,606,753
Other Administration - Write-Ins	43,319,804	44,147,515	33,243,885	120,711,204
Fines and Penalties - Other Write-Ins	206,687	164,699	98,961	470,348
Total Administration Expenses	<u>89,361,238</u>	<u>91,636,901</u>	<u>69,532,058</u>	<u>250,530,197</u>
Total Expenses	<u>506,882,758</u>	<u>512,025,950</u>	<u>401,521,506</u>	<u>1,420,430,214</u>
Extraordinary Item	-	-	-	-
Provision for Income Tax	14,067,369	21,921,421	14,381,809	50,370,600
Net Income (Loss)	<u>12,650,045</u>	<u>25,578,071</u>	<u>15,710,531</u>	<u>53,938,647</u>
Write-Ins for Other Revenues:				
Total Other Revenues	-	-	-	-
Write-Ins for Other Medical and Hospital:				
Other Miscellaneous	(11,657,860)	409,581	(2,075,377)	(13,323,655)
Other Capitated Services	1,700	20,569	-	22,269
Total Other Medical and Hospital	<u>(11,656,160)</u>	<u>430,150</u>	<u>(2,075,377)</u>	<u>(13,301,387)</u>
Detail of Other MH and SAS:				
Total Other MH and SAS	-	-	-	-
Write-Ins for Other Administration:				
Administrative Services Fee	31,324,906	32,845,510	25,344,352	89,514,768
Behavioral Healthcare Services	3,833,848	4,330,857	2,641,673	10,806,378
Spectera Administration Fees	-	-	-	-
Other Miscellaneous	8,161,050	6,971,149	5,257,860	20,390,059
Total Other Administration	<u>43,319,804</u>	<u>44,147,515</u>	<u>33,243,885</u>	<u>120,711,204</u>
	0.00	0.00	0.00	0.00

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2015 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$39,606,753 in 2015 YTD, which will be payable in December 2015 and is included in Other Administration - Write-Ins in the Report 2A.

UnitedHealthcare Community Plan - East Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

	Member Months	Current Qtr YTD Total as of 09/30/2015	YTD Total as of 09/30/2015	Prior Year as of 12/31/2014
		<u>506,096</u>	<u>1,499,822</u>	<u>2,549,912</u>
Revenues:				
TennCare Capitation		173,682,299	529,716,130	949,414,242
Other Revenue - TennCare Bonuses		-	-	461,955
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee		5,729,290	17,063,353	15,335,578
STAT Adjustments		(14,838,906)	(13,179,311)	(1,659,595)
Total Revenues		<u>164,572,683</u>	<u>533,600,171</u>	<u>963,552,180</u>
Estimated Expenses:				
Hospital and Medical (w/o Mental Health)				
Capitated Physician Services		-	-	-
Fee-for Service Physician Services		29,828,543	84,480,180	160,521,374
Inpatient Hospital Services		22,949,736	78,575,207	113,059,995
Outpatient Hospital Services		14,262,884	43,011,687	47,410,043
Emergency Room Services		12,904,981	39,684,586	70,938,427
Dental Services		-	-	-
Vision Services		735,694	2,632,118	4,302,861
Pharmacy Services		-	-	-
Home Health Services		931,547	3,074,133	10,191,487
Chiropractic Services		-	-	-
Radiology Services		2,079,309	6,135,732	15,060,372
Laboratory Services		3,996,340	11,694,073	15,159,961
Durable Medical Equipment Services		2,706,428	7,594,213	10,100,822
Transportation Services		2,543,732	6,892,042	13,355,631
Outside Referrals		-	-	-
Medical Incentive Pool and Withhold Adj		-	-	-
Occupancy, Depreciation and Amortization		-	-	-
Nursing Facility Care		28,706,308	90,847,569	186,844,336
HCBS Services		7,684,571	22,340,297	35,394,274
Other Medical and Hospital Services - Write-Ins		(6,571,357)	(11,656,160)	9,357,437
Subtotal Medical and Hospital		<u>122,758,717</u>	<u>385,305,677</u>	<u>691,697,020</u>
Mental Health and Substance Abuse Services				
Inpatient Psychiatric Facility Services		1,374,647	5,442,808	7,999,027
Inpatient Substance Abuse Treatment and Detox		137,149	707,566	1,483,449
Outpatient Mental Health Services		815,992	2,985,977	6,974,086
Outpatient Substance Abuse Treatment and Detox		160,703	586,517	171,781
Housing/Residential Treatment		592,215	3,647,203	6,699,587
Specialized Crisis Services		86,465	277,576	3,423,313
Psychiatric Rehab and Support Services		1,201,041	4,134,393	5,579,979
Case Management		1,919,551	6,849,391	21,105,131
Forensics		-	-	-
Other Judicial		-	-	-
Pharmacy		-	-	-
Lab Services		-	-	-
Transportation		9,051	19,170	1,598,287
Medical Incentive Pool and Withhold Adjustments		-	-	-
Occupancy, Depreciation and Amortization		-	-	-
Other Mental Health and Substance Abuse Services		628,927	2,777,874	1,039,318
PCP and Specialist Services		-	-	-
Other Mental Health Services - Write-Ins		1,615,524	4,787,370	-
Subtotal MH&SAS		<u>8,541,265</u>	<u>32,215,843</u>	<u>56,073,957</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES		<u>131,299,983</u>	<u>417,521,520</u>	<u>747,770,977</u>
LESS:				
Net Reinsurance Recoveries Incurred		-	-	-
Copayments		-	-	-
Subrogation and Corrdination of Benefits		-	-	-
Subtotal Reinsurance, Copay, Subrogation		<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S		<u>131,299,983</u>	<u>417,521,520</u>	<u>747,770,977</u>
Administration:				
Compensation		-	-	-
Direct and Allocated Admin expenses		-	-	-
Marketing		-	-	-
Interest Expense		-	-	-
Premium Tax Expense		16,251,355	32,359,678	56,180,020
Occupancy, Depreciation, and Amortization		-	-	-
Insurer Fee		223,276	13,475,069	9,108,359
Other Administration - Write-Ins		14,038,919	43,319,804	75,957,107
Fines and Penalties - Other Write-Ins		76,182	206,687	206,848
Total Administration Expenses		<u>30,589,731</u>	<u>89,361,238</u>	<u>141,452,334</u>
Total Expenses		<u>161,889,714</u>	<u>506,882,758</u>	<u>889,223,312</u>
Extraordinary Item		-	-	-
Provision for Income Tax		1,017,186	14,067,369	29,203,030
Net Income (Loss)		<u>1,665,783</u>	<u>12,650,045</u>	<u>45,125,839</u>
Write-Ins for Other Revenues:				
Total Other Revenues		-	-	-
Write-Ins for Other Medical and Hospital:				
Other Miscellaneous		(6,458,192)	(11,657,860)	9,357,251
Other Capitated Services		(113,165)	1,700	186
Total Other Medical and Hospital		<u>(6,571,357)</u>	<u>(11,656,160)</u>	<u>9,357,437</u>
Detail of Other MH and SAS:				
Total Other MH and SAS		-	-	-
Write-Ins for Other Administration:				
Administrative Services Fee		9,677,390	31,324,906	58,016,095
Behaviorial Healthcare Services		1,450,616	3,833,848	6,005,575
Spectera Administration Fees		-	-	-
Other Miscellaneous		2,910,912	8,161,050	11,935,437
Total Other Administration		<u>14,038,919</u>	<u>43,319,804</u>	<u>75,957,107</u>

UnitedHealthcare Community Plan - Middle Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD	YTD Total as of	Prior Year as of
	Total as of 09/30/2015	09/30/2015	12/31/2014
	<u>498,022</u>	<u>1,461,446</u>	<u>2,566,342</u>
Revenues:			
TennCare Capitation	176,516,030	533,451,435	1,004,367,498
Other Revenue - TennCare Bonuses	1	-	442,543
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	2	6,141,488	18,290,987
STAT Adjustments	6,288,143	7,783,019	(1,494,876)
Total Revenues	<u>188,945,661</u>	<u>559,525,442</u>	<u>1,019,754,071</u>
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	30,218,600	87,704,302	185,184,619
Inpatient Hospital Services	25,026,730	86,442,379	132,025,254
Outpatient Hospital Services	14,902,430	48,555,679	42,253,734
Emergency Room Services	7,937,649	25,036,856	53,328,418
Dental Services	-	-	-
Vision Services	758,996	2,014,882	3,087,057
Pharmacy Services	-	-	-
Home Health Services	617,093	1,519,643	17,229,323
Chiropractic Services	-	-	-
Radiology Services	2,421,431	6,621,352	17,955,440
Laboratory Services	4,223,670	11,911,334	19,198,794
Durable Medical Equipment Services	2,649,474	7,269,347	10,495,836
Transportation Services	3,772,942	8,111,528	17,865,836
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	26,011,671	76,819,555	145,589,700
HCBS Services	8,254,120	23,943,231	39,694,670
Other Medical and Hospital Services - Write-Ins	548,435	430,150	11,802,350
Subtotal Medical and Hospital	<u>127,343,241</u>	<u>386,380,237</u>	<u>695,711,032</u>
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	1,728,970	4,346,388	10,639,414
Inpatient Substance Abuse Treatment and Detox	348,647	995,296	2,810,204
Outpatient Mental Health Services	1,427,303	5,223,281	13,618,995
Outpatient Substance Abuse Treatment and Detox	223,831	643,668	174,724
Housing/Residential Treatment	1,017,991	2,681,425	6,202,182
Specialized Crisis Services	29,536	86,034	5,216,102
Psychiatric Rehab and Support Services	1,648,192	5,417,897	9,240,475
Case Management	2,464,522	9,823,136	28,816,241
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	6,423	10,459	1,748,679
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	488,598	384,757	2,876,549
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	1,419,741	4,396,470	-
Subtotal MH&SAS	<u>10,803,754</u>	<u>34,008,811</u>	<u>81,343,565</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>138,146,995</u>	<u>420,389,048</u>	<u>777,054,597</u>
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>138,146,995</u>	<u>420,389,048</u>	<u>777,054,597</u>
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	16,031,099	32,191,547	59,653,981
Occupancy, Depreciation, and Amortization	-	-	-
Insurer Fee	(310,583)	15,133,140	11,023,443
Other Administration - Write-Ins	15,328,981	44,147,515	79,474,132
Fines and Penalties - Other Write-Ins	75,395	164,699	336,952
Total Administration Expenses	<u>31,124,892</u>	<u>91,636,901</u>	<u>150,488,508</u>
Total Expenses	<u>169,271,887</u>	<u>512,025,950</u>	<u>927,543,105</u>
Extraordinary Item	-	-	-
Provision for Income Tax	2	6,777,117	21,921,421
Net Income (Loss)	<u>12,896,657</u>	<u>25,578,071</u>	<u>56,078,923</u>
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	546,793	409,581	11,251,750
Other Capitated Services	1,642	20,569	550,600
Total Other Medical and Hospital	<u>548,435</u>	<u>430,150</u>	<u>11,802,350</u>
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	11,110,595	32,845,510	61,382,744
Behaviorial Healthcare Services	1,518,739	4,330,857	7,401,001
Spectera Administration Fees	-	-	-
Other Miscellaneous	2,699,647	6,971,149	10,690,388
Total Other Administration	<u>15,328,981</u>	<u>44,147,515</u>	<u>79,474,132</u>

UnitedHealthcare Community Plan - West Tennessee
Report 2A - TennCare Income Statement
West Tennessee CRA 2.30.14.3.3 and 2.30.14.3.4

	Member Months	Current Qtr YTD Total as of 09/30/2015	YTD Total as of 09/30/2015	Prior Year as of 12/31/2014
		417,943	1,236,814	2,216,780
Revenues:				
TennCare Capitation		142,400,536	436,185,754	772,126,627
Other Revenue - TennCare Bonuses	1	-	-	364,798
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	2	4,914,366	14,636,291	13,154,273
STAT Adjustments		(20,290,407)	(19,208,198)	(1,082,209)
Total Revenues		<u>127,024,495</u>	<u>431,613,847</u>	<u>784,563,489</u>
Estimated Expenses:				
Hospital and Medical (w/o Mental Health)				
Capitated Physician Services		-	-	-
Fee-for Service Physician Services		17,627,074	55,330,821	125,568,988
Inpatient Hospital Services		21,394,138	70,410,956	111,525,029
Outpatient Hospital Services		11,955,774	37,341,150	27,412,829
Emergency Room Services		6,230,161	21,529,535	39,371,899
Dental Services		-	-	-
Vision Services		757,352	2,215,664	3,392,564
Pharmacy Services		-	-	-
Home Health Services		443,873	1,199,605	14,640,508
Chiropractic Services		-	-	-
Radiology Services		1,156,534	3,672,059	9,955,822
Laboratory Services		2,114,194	5,909,384	8,892,110
Durable Medical Equipment Services		1,659,479	5,128,483	6,904,050
Transportation Services		3,390,800	6,996,018	16,009,938
Outside Referrals		-	-	-
Medical Incentive Pool and Withhold Adj		-	-	-
Occupancy, Depreciation and Amortization		-	-	-
Nursing Facility Care		23,691,053	72,710,371	137,664,669
HCBS Services		8,019,950	23,880,536	36,690,947
Other Medical and Hospital Services - Write-Ins		(439,867)	(2,075,377)	9,182,192
Subtotal Medical and Hospital		<u>98,000,513</u>	<u>304,249,207</u>	<u>547,211,547</u>
Mental Health and Substance Abuse Services				
Inpatient Psychiatric Facility Services		2,241,646	7,038,229	12,070,213
Inpatient Substance Abuse Treatment and Detox		138,328	463,982	694,084
Outpatient Mental Health Services		323,289	1,246,010	2,735,380
Outpatient Substance Abuse Treatment and Detox		114,840	375,915	127,285
Housing/Residential Treatment		879,721	3,702,185	6,602,159
Specialized Crisis Services		7,316	57,101	2,433,544
Psychiatric Rehab and Support Services		1,800,415	6,362,422	9,901,180
Case Management		1,133,985	4,935,005	14,254,066
Forensics		-	-	-
Other Judicial		-	-	-
Pharmacy		-	-	-
Lab Services		-	-	-
Transportation		13,907	46,482	1,542,411
Medical Incentive Pool and Withhold Adjustments		-	-	-
Occupancy, Depreciation and Amortization		-	-	-
Other Mental Health and Substance Abuse Services		140,677	1,125,595	1,037,597
PCP and Specialist Services		-	-	-
Other Mental Health Services - Write-Ins		995,329	2,387,315	-
Subtotal MH&SAS		<u>7,789,453</u>	<u>27,740,241</u>	<u>51,397,917</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES		<u>105,789,966</u>	<u>331,989,448</u>	<u>598,609,464</u>
LESS:				
Net Reinsurance Recoveries Incurred		-	-	-
Copayments		-	-	-
Subrogation and Coordination of Benefits		-	-	-
Subtotal Reinsurance, Copay, Subrogation		<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S		<u>105,789,966</u>	<u>331,989,448</u>	<u>598,609,464</u>
Administration:				
Compensation		-	-	-
Direct and Allocated Admin expenses		-	-	-
Marketing		-	-	-
Interest Expense		-	-	-
Premium Tax Expense		12,053,462	25,190,668	45,911,189
Occupancy, Depreciation, and Amortization		-	-	-
Insurer Fee		223,388	10,998,544	7,465,687
Other Administration - Write-Ins		10,569,788	33,243,885	59,331,931
Fines and Penalties - Other Write-Ins		35,668	98,961	185,933
Total Administration Expenses		<u>22,882,306</u>	<u>69,532,058</u>	<u>112,894,740</u>
Total Expenses		<u>128,672,272</u>	<u>401,521,506</u>	<u>711,504,204</u>
Extraordinary Item		-	-	-
Provision for Income Tax	2	(498,536)	14,381,809	28,183,740
Net Income (Loss)		<u>(1,149,241)</u>	<u>15,710,531</u>	<u>44,875,545</u>
Write-Ins for Other Revenues:				
Total Other Revenues		<u>-</u>	<u>-</u>	<u>-</u>
Write-Ins for Other Medical and Hospital:				
Other Miscellaneous		(439,867)	(2,075,377)	8,211,345
Other Capitated Services		-	-	970,847
Total Other Medical and Hospital		<u>(439,867)</u>	<u>(2,075,377)</u>	<u>9,182,192</u>
Detail of Other MH and SAS:				
Total Other MH and SAS		<u>-</u>	<u>-</u>	<u>-</u>
Write-Ins for Other Administration:				
Administrative Services Fee		7,469,397	25,344,352	47,268,390
Behaviorial Healthcare Services		1,199,029	2,641,673	3,855,210
Spectera Administration Fees		-	-	-
Other Miscellaneous		1,901,362	5,257,860	8,208,330
Total Other Administration		<u>10,569,788</u>	<u>33,243,885</u>	<u>59,331,931</u>

**UnitedHealthcare Community Plan - Tennessee
Reconciliation Between 2A and MLR Report
As of September 30, 2015**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	1,510,926,514
STAT Adjustment/Other Revenue	25,386,141
	1,536,312,655
TennCare Capitation per 2A	1,524,739,460
Less: Restated revenue for prior months	11,573,195
	1,536,312,655
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	1,169,900,016
	1,169,900,016
Incurred Claims per the MLR for the reporting period	1,203,340,233
Restated prior year incurred claims	(33,440,217)
Adjusted MLR	1,169,900,016
Difference (rounding)	0

**UnitedHealthcare Community Plan - East Tennessee
Reconciliation Between 2A and MLR Report
As of September 30, 2015**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	535,883,634
STAT Adjustment/Other Revenue	3,884,042
	539,767,676
TennCare Capitation per 2A	533,600,171
Less: Restated revenue for prior months	6,167,505
	539,767,676
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	417,521,520
Incurred Claims per the MLR for the reporting period	428,991,356
Restated prior year incurred claims	(11,469,836)
Adjusted MLR	417,521,520
Difference (rounding)	0

**UnitedHealthcare Community Plan - Middle Tennessee
Reconciliation Between 2A and MLR Report
As of September 30, 2015**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	536,186,707
STAT Adjustment/Other Revenue	26,074,007
	562,260,714
TennCare Capitation per 2A	559,525,442
Less: Restated revenue for prior months	2,735,272
	562,260,714
Difference	0
<u>Claims & Reserve</u>	
	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	420,389,048
	420,389,048
Incurred Claims per the MLR for the reporting period	435,681,412
Restated prior year incurred claims	(15,292,363)
Adjusted MLR	420,389,048
Difference (rounding)	0

**UnitedHealthcare Community Plan - West Tennessee
Reconciliation Between 2A and MLR Report
As of September 30, 2015**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	438,856,172
STAT Adjustment/Other Revenue	(4,571,907)
	434,284,266
TennCare Capitation per 2A	431,613,847
Less: Restated revenue for prior months	2,670,419
	434,284,266
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	331,989,448
Incurred Claims per the MLR for the reporting period	338,667,465
Restated prior year incurred claims	(6,678,017)
Adjusted MLR	331,989,448
Difference (rounding)	0