

STATEMENT AS OF SEPTEMBER 30, 2012 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

Tennessee	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Medicare	Medicaid	Other
Total Members at end of:										
1. Prior Year	658,047	-	30,049	-	-	-	XXX	59,932	568,066	-
2. First Quarter	656,750	-	27,478	-	-	-	XXX	62,242	567,030	-
3. Second Quarter	657,600	-	26,264	-	-	-	XXX	64,644	566,692	-
4. Third Quarter	663,522	-	25,220	-	-	-	XXX	67,230	571,072	-
5. Current Year	-	-	-	-	-	-	XXX	-	-	-
6. Current Year Member Months	5,946,145	-	238,363	-	-	-	XXX	576,042	5,131,740	-
Total Member Ambulatory Encounters for Year:										
7. Physician	3,425,329	-	117,128	-	-	-	XXX	893,522	2,414,678	-
8. Non-Physician	996,294	-	19,814	-	-	-	XXX	329,085	647,396	-
9. Total	4,421,623	-	136,942	-	-	-	XXX	1,222,607	3,062,074	-
10. Hospital Patient Days Incurred	3,624,296	-	4,752	-	-	-	XXX	203,089	3,416,455	-
11. Number of Inpatient Admissions	245,269	-	1,100	-	-	-	XXX	26,535	217,634	-
12. Health Premiums Written	2,506,958,456	-	84,887,235	-	-	-	XXX	541,104,665	1,880,966,556	-
13. Life Premiums Direct	-	-	-	-	-	-	XXX	-	-	-
14. Property/Casualty Premiums Written	-	-	-	-	-	-	XXX	-	-	-
15. Health Premiums Earned	2,503,348,061	-	84,887,235	-	-	-	XXX	537,494,270	1,880,966,556	-
16. Property/Casualty Premiums Earned	-	-	-	-	-	-	XXX	-	-	-
17. Amount Paid for Provision of Health Care Services	2,031,375,245	-	67,233,850	-	-	-	XXX	432,062,632	1,532,078,763	-
18. Amount Incurred for Provision of Health Care Services	2,008,439,793	-	64,972,059	-	-	-	XXX	426,572,513	1,516,895,220	-

STATEMENT AS OF SEPTEMBER 30, 2012 FOR
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

EXHIBIT 2 - ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

Individually list all debtors with account balances the greater of 10% of gross Premiums Receivable or \$10,000.

Name of Debtor	1-30 Days	31-60 Days	61-90 Days	Over 90 Days	Non Admitted	Admitted
Total Individuals	-	-	-	-	-	-
Group subscribers:						
Group subscriber subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Premiums due and unpaid not individually listed	\$ 2,593,347	\$ 152,368	\$ -	\$ 45,447	\$ 45,447	\$ 2,745,715
Total group	\$ 2,593,347	\$ 152,368	\$ -	\$ 45,447	\$ 45,447	\$ 2,745,715
Premiums due and unpaid from Medicare entities	\$ 5,037,715	\$ 28,745	\$ 14,400	\$ 24,314	\$ 351,710	\$ 4,753,464
Premiums due and unpaid from Medicaid entities	\$ 43,014,580	\$ 432,563	\$ 448,676	\$ 2,093,831	\$ -	\$ 45,989,650
Accident and health premiums due and unpaid (Page 2, Line 15)	\$ 50,645,642	\$ 613,676	\$ 463,076	2,163,592	\$ 397,157	\$ 53,488,829

STATEMENT AS OF September 30, 2012 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 3 - Health Care Receivables

	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 Over 90 Days	6 Nonadmitted	7 Admitted
OptumRx	16,859,530	651,471	432,663	1,203,187	2,496,902	16,649,948
0199998 Aggregate Pharmaceutical Rebate Receivables Not Individually Listed						
0199999 Total Pharmaceutical Rebate Receivables	16,859,530	651,471	432,663	1,203,187	2,496,902	16,649,948
0299998 Aggregate Claim Overpayment Receivables Not Individually Listed	267,811	206,685	0	(0)	474,496	0
0299999 Total Claim Overpayment Receivables	267,811	206,685	0	(0)	474,496	0
0399998 Aggregate Loans and Advances to Providers Not Individually Listed						
0399999 Total Loans and Advances to Providers	0	0	0	0	0	0
0499998 Aggregate Capitation Arrangement Receivables Not Individually Listed						
0499999 Total Capitation Arrangement Receivables	0	0	0	0	0	0
0599998 Aggregate Risk Sharing Receivables Not Individually Listed	0	0	0	57,414	57,414	0
0599999 Total Risk Sharing Receivables	0	0	0	57,414	57,414	0
Members Share Cost of Receivables	0	0	0	8,743	8,743	0
0699998 Aggregate Other Receivables Not Individually Listed						
0699999 Total Other Receivables	0	0	0	8,744	8,743	0
0799999 Gross Health Care Receivables	17,127,341	858,156	432,663	1,269,344	3,037,556	16,649,948

STATEMENT AS OF SEPTEMBER 30, 2012 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 5 - Amounts Due From Parent, Subsidiaries and Affiliates

1 Name of Affiliate	2 1 - 30 Days	3 31 -60 Days	4 61 - 90 Days	5 Over 90 Days	6 Nonadmitted	7 Current	8 Non-Current
0100001 United HealthCare Services, Inc.	37,117,893	-	-	-	-	37,117,893	-
0199999 Individually listed receivables	37,117,893	-	-	-	-	37,117,893	-
0299999 Receivables not individually listed							
0399999 Total gross amounts receivable	37,117,893	-	-	-	-	37,117,893	-

STATEMENT AS OF SEPTEMBER 30, 2012 OF UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

Exhibit 6 - Amounts Due To Parent, Subsidiaries and Affiliates

1 Affiliate	2 Description	3 Amount	4 Current	5 Non-Current
010001 United HealthCare Services, Inc.	Intercompany payable	(95,244,132)	(95,244,132)	-
019999 Individually listed payables		(95,244,132)	(95,244,132)	-
029999 Payables not individually listed		-	-	-
039999 Total gross payables		(95,244,132)	(95,244,132)	-

UnitedHealthcare Community Plan - East Tennessee
 Report 2A - TennCare Income Statement
 CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 9/30/2012	YTD Total as of 9/30/2012	Prior Year as of 12/31/2011
	<u>585,663</u>	<u>1,752,153</u>	<u>2,319,567</u>
Revenues:			
TennCare Capitation	217,142,539	645,946,226	876,253,140
Investment	-	-	-
Other Revenues	(73,000)	-	678
Total Revenues	<u>217,069,539</u>	<u>645,946,226</u>	<u>876,253,819</u>
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	34,917,264	109,303,009	137,837,103
Inpatient Hospital Services	24,733,621	78,026,818	117,475,266
Outpatient Hospital Services	12,819,693	37,957,712	48,852,459
Emergency Room Services	15,411,523	46,838,919	56,377,598
Dental Services	-	-	-
Vision Services	531,783	1,279,476	1,868,328
Pharmacy Services	-	-	-
Home Health Services	2,679,405	6,952,095	10,600,148
Chiropractic Services	-	-	-
Radiology Services	4,242,435	13,485,202	29,940,038
Laboratory Services	3,737,569	11,778,012	16,076,815
Durable Medical Equipment Services	2,919,389	8,125,280	9,461,072
Transportation Services	3,338,003	9,301,927	12,839,591
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	50,295,497	146,367,844	206,374,626
HCBS Services	8,516,426	24,431,809	25,262,887
Other Medical and Hospital Services - Write-Ins	551,784	598,684	246,553
Subtotal Medical and Hospital	<u>164,694,391</u>	<u>494,446,787</u>	<u>673,212,484</u>
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	2,671,505	5,741,681	8,087,054
Inpatient Substance Abuse Treatment and Detox	326,758	969,234	1,699,592
Outpatient Mental Health Services	1,440,955	3,981,372	5,254,374
Outpatient Substance Abuse Treatment and Detox	43,353	174,025	286,530
Housing/Residential Treatment	1,946,681	4,328,000	5,560,498
Specialized Crisis Services	835,516	2,239,210	2,861,688
Psychiatric Rehab and Support Services	1,227,080	3,337,563	4,592,793
Case Management	4,211,886	13,718,834	16,730,630
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	381,378	1,122,195	1,445,559
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	252,199	671,841	1,398,831
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	<u>13,337,312</u>	<u>36,283,964</u>	<u>47,917,549</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>178,031,703</u>	<u>530,730,742</u>	<u>721,130,033</u>
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>178,031,703</u>	<u>530,730,742</u>	<u>721,130,033</u>
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	12,278,362	35,691,661	44,279,729
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	19,464,097	58,084,552	79,355,025
Fines and Penalties - Other Write-Ins	142,833	265,933	(297,276)
Total Administration Expenses	<u>31,885,293</u>	<u>94,042,146</u>	<u>123,337,478</u>
Total Expenses	<u>209,916,996</u>	<u>624,772,888</u>	<u>844,467,511</u>
Extraordinary Item	-	-	-
Provision for Income Tax	2,503,390	7,410,668	11,125,208
Net Income (Loss)	<u>4,649,153</u>	<u>13,762,669</u>	<u>20,661,100</u>
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	217,598	(404,127)	(1,127,342)
Other Capitated Services	334,186	1,002,812	1,373,895
Total Other Medical and Hospital	<u>551,784</u>	<u>598,684</u>	<u>246,553</u>
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	17,374,043	51,679,458	70,100,251
Behavioral Healthcare Services	1,381,700	4,129,646	5,475,516
Spectera Administration Fees	16,114	227,972	539,693
Other Miscellaneous	835,074	2,313,409	3,239,565
Total Other Administration	<u>19,606,931</u>	<u>58,350,485</u>	<u>79,355,025</u>

1. Hospital and Medical (w/o Mental Health) estimated expenses has a variance of \$158.08 compared to the Statutory filing and will be adjusted in November 2012. It is within the immateriality threshold.

2. Other Administration - Write-Ins has a variance of \$2k compared to the Statutory filing and will be adjusted in November 2012. It is within the immateriality threshold.

UnitedHealthcare Community Plan - Middle Tennessee
 Report 2A - TennCare Income Statement
 CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 9/30/2012	YTD Total as of 9/30/2012	Prior Year as of 12/31/2011
	603,193	1,806,375	2,419,816
Revenues:			
TennCare Capitation	227,713,049	686,816,785	942,991,556
Investment	-	-	-
Other Revenues	(48,000)	-	604,922
Total Revenues	<u>227,665,049</u>	<u>686,816,785</u>	<u>943,596,478</u>
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for-Service Physician Services	39,420,830	123,211,844	149,302,501
Inpatient Hospital Services	31,535,220	101,594,781	134,767,254
Outpatient Hospital Services	10,898,114	31,816,591	42,335,880
Emergency Room Services	12,008,373	36,243,455	43,441,637
Dental Services	-	-	-
Vision Services	597,828	1,869,239	2,704,227
Pharmacy Services	-	-	-
Home Health Services	4,633,469	12,661,979	16,889,677
Chiropractic Services	-	-	-
Radiology Services	4,409,941	13,796,129	27,575,620
Laboratory Services	4,232,295	13,514,198	17,709,914
Durable Medical Equipment Services	3,172,554	8,554,030	10,407,468
Transportation Services	5,524,923	15,499,405	19,903,549
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	41,062,656	118,184,267	166,663,361
HCBS Services	10,009,307	28,875,323	29,079,152
Other Medical and Hospital Services - Write-Ins	416,636	1,756,150	2,810,605
Subtotal Medical and Hospital	<u>167,922,145</u>	<u>507,577,391</u>	<u>663,590,846</u>
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	2,259,027	6,643,878	10,566,015
Inpatient Substance Abuse Treatment and Detox	466,701	1,172,655	2,158,535
Outpatient Mental Health Services	2,398,134	7,156,916	9,246,813
Outpatient Substance Abuse Treatment and Detox	25,136	65,413	377,271
Housing/Residential Treatment	2,024,736	4,986,464	6,698,310
Specialized Crisis Services	998,053	3,130,419	4,358,985
Psychiatric Rehab and Support Services	2,315,582	6,786,784	7,881,109
Case Management	6,661,583	23,275,678	29,752,552
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	368,828	1,146,738	1,593,978
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	302,123	795,301	2,186,302
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	<u>17,819,903</u>	<u>55,160,245</u>	<u>74,819,869</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>185,742,048</u>	<u>562,737,636</u>	<u>738,410,715</u>
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>185,742,048</u>	<u>562,737,636</u>	<u>738,410,715</u>
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	12,922,532	38,911,359	46,849,063
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	20,826,265	63,371,203	85,622,648
Fines and Penalties - Other Write-Ins	76,333	331,805	(291,567)
Total Administration Expenses	<u>33,825,130</u>	<u>102,614,368</u>	<u>132,180,144</u>
Total Expenses	<u>219,567,178</u>	<u>665,352,004</u>	<u>870,590,859</u>
Extraordinary Item	-	-	-
Provision for Income Tax	2,834,255	7,512,673	25,551,966
Net Income (Loss)	<u>5,263,616</u>	<u>13,952,108</u>	<u>47,453,652</u>
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	(159,501)	52,670	978,035
Other Capitated Services	576,137	1,703,479	1,832,569
Total Other Medical and Hospital	<u>416,636</u>	<u>1,756,150</u>	<u>2,810,605</u>
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	17,991,306	54,261,449	74,496,333
Behavioral Healthcare Services	1,742,826	5,232,966	6,997,048
Spectera Administration Fees	18,225	251,089	426,383
Other Miscellaneous	1,150,241	3,957,505	3,702,884
Total Other Administration	<u>20,902,598</u>	<u>63,703,009</u>	<u>85,622,648</u>

1. Hospital and Medical (w/o Mental Health) estimated expenses has a variance of \$158.08 compared to the Statutory filing and will be adjusted in November 2012. It is within the immateriality threshold.

2. Other Administration - Write-Ins has a variance of \$2k compared to the Statutory filing and will be adjusted in November 2012. It is within the immateriality threshold.

UnitedHealthcare Community Plan - West Tennessee
 Report 2A - TennCare Income Statement
 West Tennessee CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 9/30/2012	YTD Total as of 9/30/2012	Prior Year as of 12/31/2011
	<u>526,002</u>	<u>1,568,962</u>	<u>2,075,390</u>
Revenues:			
TennCare Capitation	180,130,897	548,203,545	734,068,106
Investment	-	-	-
Other Revenues	(79,000)	-	-
Total Revenues	<u>180,051,897</u>	<u>548,203,545</u>	<u>734,068,106</u>
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	26,964,113	80,038,350	96,191,465
Inpatient Hospital Services	31,010,752	85,159,059	123,175,859
Outpatient Hospital Services	6,692,645	19,499,293	25,312,923
Emergency Room Services	9,016,435	25,040,035	32,723,179
Dental Services	-	-	-
Vision Services	640,212	1,434,303	1,940,916
Pharmacy Services	-	-	-
Home Health Services	3,776,145	8,962,921	11,511,184
Chiropractic Services	-	-	-
Radiology Services	2,813,254	7,928,747	17,781,256
Laboratory Services	2,240,809	6,843,228	9,146,039
Durable Medical Equipment Services	1,893,571	5,009,857	5,967,215
Transportation Services	4,149,184	10,902,611	14,421,699
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	36,261,134	107,701,574	153,832,875
HCBS Services	8,105,872	24,052,205	22,660,462
Other Medical and Hospital Services - Write-Ins	1,568,300	4,085,884	5,104,657
Subtotal Medical and Hospital	<u>135,132,426</u>	<u>386,658,068</u>	<u>519,769,729</u>
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	3,325,305	8,637,397	11,360,260
Inpatient Substance Abuse Treatment and Detox	218,312	515,623	624,637
Outpatient Mental Health Services	731,737	2,402,827	2,823,138
Outpatient Substance Abuse Treatment and Detox	32,492	86,952	90,627
Housing/Residential Treatment	1,847,088	5,037,433	4,918,715
Specialized Crisis Services	523,284	1,585,443	2,102,687
Psychiatric Rehab and Support Services	1,803,949	4,776,442	6,107,487
Case Management	4,089,002	12,182,623	16,462,660
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	335,113	1,054,237	1,313,908
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	195,378	489,644	933,201
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	<u>13,101,661</u>	<u>36,768,622</u>	<u>46,737,319</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>148,234,088</u>	<u>423,426,690</u>	<u>566,507,048</u>
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>148,234,088</u>	<u>423,426,690</u>	<u>566,507,048</u>
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	10,528,007	30,581,877	37,259,256
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	16,235,522	49,797,656	67,008,075
Fines and Penalties - Other Write-Ins	(47,867)	42,633	(342,167)
Total Administration Expenses	<u>26,715,662</u>	<u>80,422,166</u>	<u>103,925,164</u>
Total Expenses	<u>174,949,750</u>	<u>503,848,856</u>	<u>670,432,212</u>
Extraordinary Item	-	-	-
Provision for Income Tax	1,785,752	15,524,141	22,272,563
Net Income (Loss)	<u>3,316,396</u>	<u>28,890,548</u>	<u>41,363,331</u>
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	242,782	35,358	(779,495)
Other Capitated Services	1,325,519	4,050,526	5,884,152
Total Other Medical and Hospital	<u>1,568,300</u>	<u>4,085,884</u>	<u>5,104,657</u>
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	14,772,210	44,955,233	60,193,585
Behavioral Healthcare Services	915,459	2,733,845	3,609,198
Spectera Administration Fees	15,800	219,102	438,948
Other Miscellaneous	484,187	1,932,110	2,766,343
Total Other Administration	<u>16,187,655</u>	<u>49,840,289</u>	<u>67,008,075</u>

1. Hospital and Medical (w/o Mental Health) estimated expenses has a variance of \$158.08 compared to the Statutory filing and will be adjusted in November 2012. It is within the immateriality threshold.
 2. Other Administration - Write-Ins has a variance of \$2k compared to the Statutory filing and will be adjusted in November 2012. It is within the immateriality threshold.