



REGULATORY BOARDS AND COMMISSIONS DISCIPLINARY ACTION FORM

Date	January 2004	Board/Commission	Division of Regulatory Boards
Contact Name	Betty Lassiter		Phone 741-3449

January 2004 Disciplinary Actions

Name	Address	Action/Penalty	Statute/Rule
BOARD OF ACCOUNTANCY			
Alan Derrick Dishner, CPA #12787	4813 Idaho Avenue Nashville, TN 37209-3507	\$300.00 Civil Penalty	Tenn. Code Ann. § 62-1-108(a) – failure of firm to register in Tennessee. Licensee also failed to comply with Statements of Standards for Accounting and Review Services and Generally Accepted Accounting Principals.
Kenneth W. Tillman, CPA #10718	89 Wellington Drive Jackson, TN 38305	\$2,000.00 Civil Penalty. Licensee also prohibited from offering attest and accounting services to the public.	Rule 0020-4.03(c) - licensees should comply with professional standards as to attest experience requirement for those who supervise attest engagements and/or sign reports on financial standards. Rule 0020-3-.07(1)(c) - licensees to exercise due professional care in the performance of professional services.
Joel W. Greene, CPA #3610	127 Donelson Pike Nashville, TN 37214	\$450.00 Civil Penalty	Tenn. Code Ann. § 62-1-108(a) – failure of firm to register in Tennessee. Licensee also offered attest services without being properly qualified to do so.
Tom D. Copeland, CPA #10635	153 Ponderosa Circle Martin, TN 38237	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-1-108(a) - failure of firm to register in Tennessee. Tenn. Code Ann. § 62-1-113(d)(1) and (2) prohibits firms from offering attest services unless they are properly registered.
Steven G. Mahan	4794 East Broomtown Road Trion, GA 30753	\$1,000.00 Civil Penalty	Tenn. Code Ann. § 62-1-113(a), (b), (d)(2) and (h)(2) prohibits non-licensees from offering attest services unless they are properly registered. Once licensed, this section requires licensees to comply with statements on standards relating to attest services.
Andrew L. French, Corporate Assistance	478 Craighead Street Suite 202 Nashville, TN 37204	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-1-113(d) and (h)(2) - prohibits non-licensees from offering attest services unless they are properly registered. Rule 0020-4-.06 allows non-licensees to utilize Safe Harbor Language when issuing reports.
Zachery Armour, CPA	3313 Melodywood Dr.	\$450.00 Civil Penalty	Tenn. Code Ann. § 62-1-113(d) and (h)(2) - prohibits non-licensees from offering attest services unless they are properly

#14827	Memphis, TN 38118		registered. Once licensed, this section requires licensees to comply with statements on standards relating to attest services.
Harvey E. Malone, Jr. , Retired CPA #10656	235 Downermeade Dr. Nashville, TN 37214	\$200.00 Civil Penalty	Tenn. Code Ann. § 62-1-113(a), (d) and (h)(2) - prohibits non-licensees from offering attest services unless they are properly registered.
W.T. Oden	3809 Jim Warren Road Spring Hill, TN 37174-2824	Cease and Desist Order	Tenn. Code Ann. § 62-1-108(a) requires firm to register in Tennessee. Tenn. Code Ann. § 62-1-113(a), (d) and (h)(2) prohibits non-licensees from offering holding out as accountants and offering attest services unless they are properly registered. Rule 0020-4-.06 allows non-licensees to utilize Safe Harbor Language when issuing reports.
Floyd Edward Miller, CPA #8337	132 Legion Street Clarksville, TN 37040-3442	\$1,000.00 Civil Penalty	Tenn. Code Ann. § 62-1-111(1) and (3) requires licensees to provide proof of meeting licensing requirements. Rule 0020-4-.03(1)(c) failure to satisfy continuing education requirements.
Linde S. Hanes, #5625	118 W. Main Street Kingsport, TN 37660-4214	\$1,225.00 Civil Penalty	Tenn. Code Ann. § 62-1-108(a) requires firm to register in Tennessee. Tenn. Code Ann. § 62-1-113(a), (c), (d) and (h)(2) prohibits non-licensees from offering holding out as accountants and offering attest services unless they are properly registered.
BOARD OF ARCHITECTURAL AND ENGINEERING EXAMINERS			
Tom Jannetides, R. A. #100859	A/E Technologies 6216 E. Washington St. Indianapolis, IN 46219	Surrender of Certificate of Registration	Tenn. Code Ann. §62-2-306 and Rules: 0120-2-.02, 0120-2-.03, 0120-2-.07, and 0120-2-.08 – affixed architectural seal to plans and specifications for which he lacked competency, and to plans and specifications not prepared by him or under his responsible charge.
Allen D. Jean, Non-registrant	2330 Stone Hollow Road Cumberland Furnace, TN 37051	\$1,500.00 Civil Penalty	Tenn. Code Ann. §§62-2-101 and 62-2-105 – unlicensed practice of engineering.
David A. Lee, P. E. (Expired #100525)	4112 Stump St. East Ridge, TN 37412	\$1,500.00 Civil Penalty	Tenn. Code Ann. §§62-2-101, 62-2-105, and 62-2-306(b) – practiced engineering on expired certificate of registration.
C. Crawford Murphy, R. A. (Expired # 9174)	10 North Spruce Street Asheville, NC 28801	\$4,000.00 Civil Penalty	Tenn. Code Ann. §§62-2-101, 62-2-105, and 62-2-306(b) – practiced architecture on expired certificate of registration.
John Zona, Non-registrant	Zona & Associates 1890 South 14 th St., Suite 306 Fernandina Beach, FL 32034	\$2,000.00 Civil Penalty	Tenn. Code Ann. §§62-2-101 and 62-2-105 – unlicensed practice of architecture.
BOARD OF PHARMACY			
Barry Bates, DPH License #463 Crain's Pharmacy, Inc.	251 S. Washington Ripley, TN 38063	\$250.00 Civil Penalty	Rule 1140-2-.01(1) – a pharmacist shall hold the health and safety of patients to be the first consideration.
William H. Cave, DPH License #2659	1328-B S. John B. Dennis Hwy	\$250.00 Civil Penalty	Rule 1140-3-.11 – outdated and deteriorated drugs on the shelf.

Cave's Drug Store	Kingsport, TN 37660		
Jeffrey Lauder milk, DPH License #10135	4113 Old Niles Ferry Rd. Maryville, TN 37801	\$100.00 Civil Penalty	Rule 1140-3-.01(1)(a) – failure to provide patient counseling.
Ronald McCurdy, DPH License #3273	970 E. Valley Drive Rossville, GA 30741	Placed license in inactive status	Tenn. Code Ann. § 63-10-505(4), (6) – unlawful and unprofessional conduct.
Samuel H. Smith, DPH License #3060	809 Coventry Road Knoxville, TN 37923	Revocation	Tenn. Code Ann. § 63-10-505(3) – addicted to the use of alcohol, narcotics or other drugs.
Jennifer G. Spivey, DPH License #9426	380 Holly Hills Lane McKenzie, TN 38201	Revocation	Tenn. Code Ann. § 63-10-505(3) – addicted to the use of alcohol, narcotics or other drugs.
Christy S. Thompson, DPH License #9126	107 Jones Street Martin, TN 38237	Revocation	Tenn. Code Ann. § 63-10-505(3) – addicted to the use of alcohol, narcotics or other drugs.
AUCTIONEER COMMISSION			
Nashville Powersport Auction	5776 East Division Mt. Juliet, TN 37122	\$500.00 Civil Penalty	Rule 0160-1-.20(2)(b) [Adverting Violations] – false, deceptive, misleading or untruthful advertising; making only partial disclosure of relevant facts.
BOARD FOR LICENSING CONTRACTORS			
Sorey Const. (#35672)	P.O. Box 142 Jefferson City, TN 37760	\$1,000.00 Civil Penalty	Tenn. Code Ann. § 62-6-118 – exceeding license limit.
TQ Constructors, Inc. (Unlicensed)	P.O. Box 79 911 Second Street Dayton, KY 41074	\$5,000.00 Civil Penalty	Tenn. Code Ann. § 62-6-118 – unlicensed activity.
Nicholson Const. (Unlicensed)	Highway 68, Box 3681 Turtletown, TN 37391	\$500.00 Civil Penalty	Tenn. Code Ann. § 62-6-118 – unlicensed activity.
Evon Const., Inc. (#34970)	1919 Branner Avenue Jefferson City, TN 37760	\$1,000.00 Civil Penalty	Tenn. Code Ann. § 62-6-118 – exceeding license limit.
Fussell Construction (#37940)	449 Mobley Lane Erin, TN 37061	\$1,000.00 Civil Penalty (Citation)	Tenn. Code Ann. § 62-6-118 – working out of class.
Don Green Const. Co. (#26742)	108 Lonnie Holt Road Medina, TN 38355	Consent Order for Relinquishment of License	Tenn. Code Ann. § 62-6-118 – failure to provide audited financial statement as requested.
Walters Group, Inc. (#36862)	1012 Cliff White Road Columbia, TN 38401	Consent Order for Relinquishment of License	Tenn. Code Ann. § 62-6-118 - failure to make repairs on two complaint cases.
MP Builders, LLC (#42165)	11130 Kingston Pike, Suite 1 Knoxville, TN 37922	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-6-118 - failure to respond.
Oss, Jerome DBA Jerome History Homes (Unlicensed)	3121 Carl Road Franklin, TN 37064	\$150.00 Civil Penalty (Citation)	Tenn. Code Ann. § 62-6-118 - unlicensed activity.
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BOARD OF EXAMINERS FOR LAND SURVEYORS			
Everett E. Campbell, TLS #1488	3046 Old Columbia Road Lewisburg, TN 37091	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-18-124(d) - failure to notify an adjoining landowner when he had reason to believe that there was an apparent discrepancy between the deed descriptions and actual use.
Jeff Shipley	d/b/a GPS of TN. 174 Overlook Lane Clinton, TN 37716	\$1,000.00 Civil Penalty	Tenn. Code Ann. § 62-18-101 – unlicensed practice of land surveying.
BOARD OF FUNERAL DIRECTORS AND EMBALMERS			
Douglas Funeral Home, Inc. c/o Betty Beard	623 N. Monroe St. Newbern, TN 38056	Cease and Desist	Tenn. Code Ann. §§ 62-5-303 and 62-5-313 - licensing requirements and public safety.
Cornersville Funeral Home # 435	P.O. Box 37 Cornersville, TN 37047	\$1,000.00 Civil Penalty	Tenn. Code Ann. §62-5-317(5) and (13) - unlicensed personnel and prearrangement and/or prefinancing of a funeral.
MOTOR VEHICLE COMMISSION			
Peggy’s Auto Sales	1803 Buchanan St. Nashville, TN 37208	\$15, 600.00 Civil Penalty	Tenn. Code Ann. §§ 55-17-109, 55-17-110 and 55-17-114 - failure to supervise and off-site sales.
Patriot Motors West, Inc.	10515 Kingston Pike Knoxville, TN	\$500.00 Civil Penalty	Tenn. Code Ann. §55-17-109 and 55-17-110 - off-site sales and unlicensed salesperson.
Wholesale Auto	3307 Nolensville Rd. Nashville, TN 37211	\$1,000.00 Civil Penalty	Tenn. Code Ann. § 55-17-114- misuse of special license plates.
Dobbs Nissan	6535 Winchester Memphis, TN 38115	\$4,000.00 Civil Penalty	Tenn. Code Ann. § 55-17-114 and Rule 0900-1-.12 - false and misleading advertising.
ABC Nashville	631 Burnette Rd. Old Hickory, TN	\$3,800.00 Civil Penalty	Tenn. Code Ann. § 55-17-114- permitted unlicensed salesperson to engage in auction sales activity.
PRIVATE INVESTIGATION AND POLYGRAPH COMMISSION			
Loren M. Griswold (PI-4438)	2864 Johnson Ferry Road Suite #100 Marietta, GA 30062-5635	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-26-225(a) – failure to obtain continuing professional education.
Amy Denise Jones (PI-4490)	910 Paverstone Drive Raleigh, NC 27615	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-26-225(a) – failure to obtain continuing professional education.
William L. Gregory (PI-3570)	495-D Arbor Hill Road Kernersville, NC 27284-3374	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-26-225(a) – failure to obtain continuing professional education.
PRIVATE PROTECTIVE SERVICES PROGRAM			
Action Security Services, Inc. (CSC 10507)	Post Office Box 112087 Nashville, TN 37222	\$500.00 Civil Penalty	Tenn. Code Ann. § 62-35-134(a) – employing unregistered security guards

REAL ESTATE COMMISSION			
Gerald P. Sakura	2508 Devon Valley Dr Nashville TN 37221	\$250.00 Civil Penalty	Tenn. Code Ann. § 62-13-312(b)(14),(16) and Rule 1260-2-.02(1) - failure to timely complete administrative measures.
Century 21 Property Place	204 Highway 51 South Ste B Covington TN 38019	\$500.00 Civil Penalty	Tenn. Code Ann. §§ 62-13-312(b)(14) and 62-13-405 – failure to document agency status.
Marsha A. Barr	215 Foothills Mall Dr Maryville TN 37801	\$250.00 Civil Penalty	Tenn. Code Ann. §62-13-312(b)(14),(16) and Rule 1260-2-.02(1) – failure to timely complete administrative measures.
Judy Spiller Property Management	185 Stevens Lane La Follette TN 37766	\$1,685.00 Civil Penalty (Agreed Citation)	Tenn. Code Ann. § 62-13-104 - engaging in Vacation Lodging activities requiring a license without being properly licensed to do so.
Arrowhead Realty Corp	510 Gay St Ste 108 Nashville TN 37219	\$250.00 Civil Penalty (Agreed Citation)	Tenn. Code Ann. §§ 62-13-312(b)(5),(14) and 62-13-403(6) and Commission Rule 1260-2-.09 – failure to timely deposit earnest money.
Phillip B. Poynor	3 Maryland Farms Ste 100 Brentwood TN 37027	\$250.00 Civil Penalty (Agreed Citation)	Tenn. Code Ann. §62-13-312(b)(14),(16) and Rule 1260-2-.02(1) - failure to timely complete administrative measures.
Deerfield Resort Rentals LLC	1235 Deerfield Way LaFollette TN 37766	\$10,000.00 Civil Penalty	Tenn. Code Ann. § 62-13-104 - engaging in vacation lodging activities requiring a license without being properly licensed to do so.
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