



Board	34 - TN Auctioneer Commission
License Type	3401 - TN Auctioneer Commission
Trans. Code	1010 - Initial Affiliate
Entity	_____
File Number	_____
Payment Amount	_____
Check Number	_____

Auctioneer Commission

Initial Affiliate Auctioneer

All information must be typed or legibly printed and **all questions must be answered**. Submit application and a \$225 non-refundable application fee (payable to State of Tennessee) to the following address: *Tennessee Auctioneer Commission, 500 James Robertson Pkwy, Nashville, TN 37243.* **Application must include:**

1. Completed Application with license fee: \$225;
2. A photocopy of your driver's license or passport;
3. Submit 50 hour approved Auctioneer qualifying education course; and,
4. A passing PSI Affiliate Auctioneer exam score sheet.

Section 1: Applicant Identification and Eligibility Verification

Full Name: _____

First Name

Middle Name

Last Name

Social Security #: _____ Birth Date: _____

Home Address: _____

City

County

State

Country

Zip Code

Home Phone: _____ Business Phone: _____

Tennessee Auction Firm Affiliation Name: _____

E-mail: _____

Mailing Address: _____

City

County

State

Country

Zip Code

Website: _____

Eligibility Verification for Entitlements Act Attestation Instructions

INSTRUCTIONS: If you are a natural person applying for a license, registration, certification or other benefit you must:

1. Attest, under penalty of perjury, to your status as either a United States citizen, a qualified alien as defined in Tennessee's Eligibility Verification for Entitlements Act, or a foreign national not physically present in the United States, by selecting your status in Part A below signing on the line labeled "Applicant's Signature," printing your name on the line labeled "Printed Name" and putting the current date on the line labeled "Date."

AND

Do one (1) of the following:

2. If you are claiming United States citizenship, present one (1) of the forms of identification provided for in Part B below. If you provided your Social Security Number as part of your application for licensure, registration, certificate or other benefit, no additional documentation is required; however, please be aware that efforts may be made to verify any such number.
3. If you are claiming qualified alien status, present two (2) forms of documentation of identity and immigration status, as determined by the United States Department of Homeland Security to be acceptable for verification through the SAVE program, as provided in Part C below.
4. If you are claiming qualified alien status but you are unable to present two (2) forms of documentation provided for in Part C of this form, then you shall present at least one (1) such document that shall then be verified through the SAVE program.

or

5. If you are claiming you are foreign national not physically present in the United States, contact the program issuing the license, registration, certification or other benefit for which you are applying to provide such documentation as may be required to verify such status.



Eligibility Verification for Entitlements Act Attestation

Part A. Eligibility Verification for Entitlements Act Attestation

I hereby attest under penalty of perjury that I am (select one):

_____ A United States citizen;

_____ A qualified alien as defined in Tenn. Code Ann. § 4-58-102;¹

_____ A foreign national not physically present in the United States. Further, I understand that should I ever become physically present in the United States while I hold this license, registration, certification or other benefit I agree to immediately contact the issuing agency and provide documentation to confirm my status as a qualified alien.

Printed Name

Applicant's Signature

Date

Submitting false information or omitting pertinent or material information in connection with this application or any violation of the Eligibility Verification for Entitlements Act may result in the revocation of any license, registration, certification or other benefit issued to the applicant. A person who willfully makes a false, fictitious or fraudulent statement or representation of United States citizenship may be prosecuted under 18 U.S.C. § 911 and/or the False Claims Act, T.C.A. §§ 4-18-101, et seq.

¹ Qualified alien means "A qualified alien as defined by 8 U.S.C. § 1641(b)" or "An alien or nonimmigrant eligible to receive state or local public benefits under 8 U.S.C. § 1621(a)." Pursuant to those statutes, this includes, but is not necessarily limited to:

- An alien who is lawfully admitted for permanent residence under the Immigration and Nationality Act [8 U.S.C. § 1101 et seq.];
- An alien who is granted asylum under section 208 of the Immigration and Nationality Act [8 U.S.C. § 1158];
- A refugee who is admitted to the United States under section 207 of the Immigration and Nationality Act [8 U.S.C.A. § 1157];
- An alien who is paroled into the United States under section 212(d)(5) of the Immigration and Nationality Act [8 U.S.C. § 1182(d)(5)] for a period of at least 1 year;
- An alien whose deportation is being withheld under section 243(h) of the Immigration and Nationality Act [8 U.S.C. § 1253] (as in effect immediately before the effective date of section 307 of division C of Public Law 104-208) or section 241(b)(3) of the Immigration and Nationality Act [8 U.S.C. § 1231(b)(3)] (as amended by section 305(a) of division C of Public Law 104-208);
- An alien who is granted conditional entry pursuant to section 203(a)(7) of the Immigration and Nationality Act [8 U.S.C. § 1153(a)(7)] as in effect prior to April 1, 1980;
- An alien who is a Cuban and Haitian entrant (as defined in section 501(e) of the Refugee Education Assistance Act of 1980);
- A nonimmigrant under the Immigration and Nationality Act [8 U.S.C. §§ 1101, et seq.];
- An alien who is paroled into the United States under section 212(d)(5) of the Immigration and Nationality Act [8 U.S.C. § 1182(d)(5)] for less than one year.

Eligibility Verification for Entitlements Act Additional Required Documentation

Part B. If you are claiming United States citizenship, you must present one (1) of the following:

- A valid Tennessee driver license or photo identification license issued by the Department of Safety;
- A valid driver license or photo identification license from another state where the issuance requirements are at least as strict as those in Tennessee, as determined by the Department of Safety;
- An official birth certificate issued by a state, jurisdiction or territory of the United States, including Puerto Rico, United States Virgin Islands, Northern Mariana Islands, American Samoa, Swains Island, or Guam; provided that Puerto Rican birth certificates issued before July 1, 2010, shall not be recognized;
- A United States government-issued certified birth certificate;
- A valid, unexpired United States passport;
- A United States certificate of birth abroad (DS-1350 or FS-545);
- A report of birth abroad of a citizen of the United States (FS-240);
- A certificate of citizenship (N560 or N561);
- A certificate of naturalization (N550, N570 or N578);
- A United States citizen identification card (I-197, I-179);
- Any successor document of those listed at Tenn. Code Ann. §§ 4-58-103(c)(4)-(9); or
- A social security number that may be verified with the Social Security Administration in accordance with federal law (if you provided your social security number as part of your application for licensure, no additional documentation is required; however, please be aware that efforts may be made to verify any such number).

Part C. If you are claiming qualified alien status, you must present two (2) forms of documentation of identity and immigration status, as determined by the United States Department of Homeland Security to be acceptable for verification through the SAVE program. Such forms of identification may include:

- I-327 (Reentry Permit);
- I-551 (Permanent Resident Card);
- I-571 (Refugee Travel Document);
- I-766 (Employment Authorization Card);
- Certificate of Citizenship;
- Naturalization Certificate;
- Machine Readable Immigrant Visa (with Temporary I-551 Language);
- Temporary I-551 Stamp (on passport or I-94);
- Unexpired Foreign Passport;
- WT/WB Admission Stamp in Unexpired Foreign Passport
- I-20 (Certificate of Eligibility for Nonimmigrant (F-1) Student Status);
- DS-2019 (Certificate of Eligibility for Exchange Visitor (J-1) Status);
- Any other document determined by the U.S. Department of Homeland Security to be acceptable through the Systematic Alien Verification for Entitlements (SAVE) program created pursuant to the federal Immigration Reform and Control Act of 1986.

Part D. If you are claiming qualified alien status, but you are unable to present two (2) forms of documentation as described in Part C, then you shall present at least one (1) such document as described in Part C, which shall then be verified through the SAVE program.

Part E. If you are claiming that you are a foreign national not physically present in the United States, please contact the program issuing the license, registration, certification or other benefit for which you are applying to provide such documentation as may be required to verify such status.

Section 2: Personal History & Qualifications

Education:

Applicants must be at least eighteen (18) years of age and have obtained a high school diploma or general equivalency diploma (GED(R)). Select the document you will be submitting with your application:

High School Diploma High School Transcript (must indicate graduation)

GED Certificate College Diploma College Transcript

Have you graduated from an approved auction school? Yes No

If Yes, Please provide the name of the school and the date graduated:

Name of school	Date
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Have you completed six (6) months as an affiliate auctioneer: ____ Yes ____ No

If Yes, Please provide the name sponsoring auctioneer: _____

Sponsor's Phone: _____ Sponsor's License Number: _____

Sponsor's E-mail: _____

Do you have a license to engage in real estate sales? ____ Yes ____ No

If Yes, Please provide the type of license and license number: _____
Type Number

Licensure History

Do you hold or have you previously held an auctioneer license? ____ Yes ____ No

1. State: _____ License # _____ 2. State: _____ License # _____

3. State: _____ License # _____ 4. State: _____ License # _____

Section 3: Ethical Conduct and Legal History

Have you ever had a real estate, an auctioneer, or an apprentice auctioneer license revoked, suspended, or been disciplined in this or any other State?

____ Yes ____ No If "yes" give details and attach any records:

Has anyone ever obtained a judgement against the applicant, involving personal property or real estate, in any court, or have you ever been convicted of any criminal offense other than a minor traffic violation? _____ Yes _____ No

If "yes" give details and attach all court records including signed final disposition:

Have you been convicted of any felony offense(s)? _____ Yes _____ No If "yes" give details and attach all court records including signed final disposition:

Section 4: Affidavit

Applicant Affidavit:

I have fully read and understand this application and the information given herein is true, correct and complete to the best of my knowledge. I agree to provide the Commission complete copies of any and all documents upon which any "yes" answer is based. I will furnish all additional information or documentation as may be deemed necessary for the verification of the information given here. I acknowledge that this application may be denied for cause and that any license obtained may be revoked for supplying false, incomplete or misleading information to the Commission. I agree to comply with the standards set forth in T.C.A, Title 62, Chapter 19, and I understand that violations of this chapter and the rules of the Tennessee Auctioneer Commission shall be grounds for disciplinary proceedings against me.

Printed Name of Applicant

Signature of Applicant

Date

SPONSORING AUCTIONEER / APPLICANT AFFIDAVIT

(Required for Affiliate Licensure Only)

I do hereby swear or affirm that the above named applicant will be employed by me as an Affiliate Auctioneer. As a Tennessee Auctioneer holding License No. _____, I am familiar with the provisions of the Tennessee Auctioneer Act of 1967 as amended and assume full responsibility for any business transaction conducted by the above mentioned applicant pertaining to the Auction business, and reasonable, required supervision of his/her auction activities.

(An Auctioneer must be licensed for 1 year to be a sponsor)

By submitting this application to the Tennessee Auctioneer Commission for a license to conduct auction business under the provisions of the Tennessee Auctioneer's License Act of 1967, as amended, the applicant swears (or affirms) that he or she has read and is thoroughly familiar with the provisions of the aforementioned Act, and agrees to fully comply. The applicant further swears (or affirms) that all of the information given in this application is true to the best of his or her knowledge and belief.

Signature of Sponsoring Auctioneer

Signature of Applicant

Print Name (Sponsoring Auctioneer)

Print Name (Applicant)

Subscribed and sworn to before me the _____ day of _____ the year of _____
My Commission expires _____

Notary Public County and State

(SEAL)

IN-0411

SEAL IS MANDATORY