Tennessee Alarm Systems Contractors Board 10/19/17

MINUTES of the Tennessee Alarm Systems Contractors Board Meeting, held August 17, 2017, in Nashville Tennessee.

Vivian Hixson, Chair

McKenzie C. Roberts, Vice Chair

Karen D. Jones, Secretary

William Scott Cockroft

John Keith Harvey

TENNESSEE ALARM SYSTEM CONTRACTORS

CONDENSED TRANSCRIPT

BOARD MEETING

August 17, 2017



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Jeannie Chaffin, LCR Associate Reporter

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			Page 3
1		1	* * *
2 3		2	PROCEEDINGS
		3	(WHEREUPON, with a quorum of the
4		4	Tennessee Alarm System Contractors Board, the
	TENNESSEE ALARM SYSTEM CONTRACTORS BOARD MEETING	5	following proceedings were had:)
5	August 17, 2017	6	lollowing proceedings were riad.)
6	august 17, 2017	7	MR. ROBERTS: All right. Welcome to the
7	500 JAMES ROBERTSON PARKWAY		
	NASHVILLE, TENNESSEE	8	our Alarm Systems Contractors Board meeting. We
8 9	A .	9	will call our meeting to order.
10		10	
11		11	, 1
12		12	approvide the programment of programment and the programment of the pr
14	TRANSCRIPT OF BOARD MEETING	13	
15	Commencing at 9:14 a.m.	14	MR. COCKROFT: Here.
16		15	MS. VEST: Keith Harvey.
17 18		16	MR. HARVEY: Here.
19		17	MS. VEST: Karen Jones.
20		18	
21		19	
22		20	
	Elite Reporting Services	21	MS. VEST: Let the record show that
23	www.elitereportingservices.com		
	Jeannie Chaffin, LCR, Associate Reporter	22	
24	Post Office Box 292382 Nashville, Tennessee 37229	23	
25	(615)595-0073	24	
	1	25	the agenda. I believe the agenda was set out in
		-	
	Page 2		Page 4
		1	advance. There should be a copy of it on your iPads.
3		2	We would at this point entertain a motion to approve
4		3	our agenda for the day.
5		4	MR. HARVEY: I make a motion to accept
6		5	the agenda as presented.
_	APPEARANCES	6	MR. COCKROFT: Second.
7		7	MR. ROBERTS: We have a motion and a
8	ATADM OVOMBN COMMENCEDO DONDE MEMBERG	_	
0	ALARM SYSTEM CONTRACTORS BOARD MEMBERS	8	second. If is there any discussion?
9 10	Ken Doherts Vice Chair	9	(No verbal response was heard.)
11	Ken Roberts, Vice Chair Karen Jones	10	MR. ROBERTS: All in favor, if you'll
12	Scott Cockroft	11	signify by saying "aye".
13	Keith Harvey	12	THE BOARD: Aye.
14	MARYOJ	13	MR. ROBERTS: The motion then passes.
15	ALSO PRESENT:	14	Thank you.
16	Cody Vest, Executive Director	15	Our next item of business would be the
17	Shauna Balaszi, Administrative Assistant	16	minutes from our previous board meeting. Again, they
18	A	17	
	Ashley Thomas, Staff Attorney		Were there any corrections or comments on the
19		19	minutes, or are you ready to approve those as they
	Lindsey Shepard, Staff Attorney		
20		20	were presented?
	Matthew Reddish, Staff Attorney	21	MS. JONES: I make a motion to approve
21			them as they were presented.
0.0		23	MR. ROBERTS: We have a motion. Do we
22			
22		24	
		24 25	have a second? MR. COCKROFT: Second.

Page 5 Page 7 1 MR. HARVEY: Second. THE BOARD: Aye. 1 2 MR. ROBERTS: Okay. We have a second on 2 MR. ROBERTS: Any opposed? (No verbal response was heard.) 3 the motion then. Is there any discussion? 3 (No verbal response was heard.) 4 MR. ROBERTS: Motion then passes 4 MR. ROBERTS: All in favor, if you'll 5 5 unanimously. Thank you. 6 MR. REDDISH: Case number two, case 6 signify by saying "aye". THE BOARD: Aye. 7 7 number 2017-038111. Summary is as follows: 8 MR. ROBERTS: And that motion then Complainant alleges Respondent violated their 9 passes. That was unanimously. contract when Respondent failed to refund prorated annual fees upon Complainant going out of business. 10 All right. Next item on our business would Respondent searched the contract; does not require 11 be our legal report. 12 MR. REDDISH: Good morning. My name is 12 this refund but is willing to refund the money 13 Matthew Reddish. I'm the new disciplinary counsel anyway. 13 14 for this board, taking over for Shelena Complainant in their rebuttal states that 14 15 (phonetically). This will actually be my only while contract does not require this refund, it was 15 16 meeting, though, because I'll be leaving the 16 promised to him orally. Department on the 25th of August. So this will be This is ultimately a contractual billing 17 17 18 the only time that we get to meet. dispute. Legal's recommendation is to close. 18 But most of these cases on the legal report MR. HARVEY: Is close what we normally do 19 19 20 are mine. So if you're ready, I'm happy to start. 20 there? 21 MR. ROBERTS: Yes, I think so. And let MR. ROBERTS: I'm not sure that I 21 22 me get to the legal report on my pad here. 22 understand. I'm still trying to find the notes here. 23 All right. If you'll proceed then. 23 MS. VEST: You need some assistance 24 MR. REDDISH: And this -- obviously this 24 there. Mr. Chair? 25 is my first time before you, so just let me know if 25 MR. ROBERTS: Thank you. Yeah. Page 6 Page 8 I'm not doing something the way that you generally 1 MS. VEST: There you go. 2 like it done. 2 MR. ROBERTS: I'm not sure that I 3 Case number one, 2017-030351. The summary on 3 understood your recommendation. Did I understand you 4 this case is as follows. Complainant alleges to say that the Respondent was offering to make a refund even though there was not a contractual Respondent engaged in deceptive acts when they misled 5 obligation they had? 6 her as to the fees they would have to pay in the 7 event of cancellation for complainant moving 7 MR. REDDISH: That's correct. residences. Respondent states all fees are agreed to 8 MR. ROBERTS: All right. Thank you for in the contract; however this time, Respondent will 9 that clarification. MR. REDDISH: You're welcome. 10 agree to allow Complainant to cancel the contract 10 MR. ROBERTS: Do we have a motion? 11 without penalty. 11 12 Legal spoke with the Complainant, who stated 12 MR. HARVEY: I make a motion to concur 13 they do not wish to pursue this claim further at this with Counsel's recommendation. 13 14 time and that Respondent has not attempted to collect MR. ROBERTS: All right. Second? 14 MR. COCKROFT: Second. any additional funds from her. 15 15 MR. ROBERTS: We have a motion and a 16 Recommendation is to close. 16 17 MR. HARVEY: I make a motion to concur 17 second. Any discussion? 18 with Counsel. 18 (No verbal response was heard.) MR. ROBERTS: All in favor, if you'll MR. COCKROFT: Second. 19 19 signify by saying "aye." 20 MR. ROBERTS: We have a motion to concur 20 21 THE BOARD: Aye. 21 with the recommendation of Counsel and there is a 22 second. Is there any discussion? 22 MR. ROBERTS: Motion then passes 23 (No verbal response was heard.) 23 unanimously. Thank you. MR. REDDISH: All right. Entry number 24 MR. ROBERTS: All right. All in favor of 24 25 the motion, if you'll signify by saying "aye." 25 three -- and I've misstated some things on this. I

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Page 9
1 reviewed it again this morning. So I need to make
2 some corrections. The case number is 2017-040171.
3 The summary on this case: The complaint was that
4 there was a van driving around town that did not have
5 a license number on the van. And when I originally
6 read the complaint and rebuttal, I thought it was an
7
  unlicensed activity complaint, not a violation of not
8 having a license number on the van. And from all the
9 photos attached, it does not appear that there was a
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11 And then the remaining part of my summary 12 will be as follows: Complainant took a picture of a 13 van with a sign that stated "SDI" and then the slogan 14 below, security/technology, but could not find a 15 license number to match the company named SDI 16 Security. A complaint for an unlicensed activity was filed. 17

10 proper license number on this van.

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18 In its response, Respondent SDI Presence 19 stated they recently acquired SDI Solutions and believed they could continue to operate under SDI Solutions' license. Respondent states that if they engaged in any unlicensed activity, it was 23 inadvertent.

Subsequent to complaint being opened, 25 Respondent went through all the proper steps to

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1 it being my first time.
2
          MS. THOMAS: If I can -- excuse me, if I
3 can address the Board. Typically in the failure to
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have a license number on a van, we typically do a 4 5 letter of warning, just by way of discussion.

6 MR. ROBERTS: So do we have a motion? 7 MR. HARVEY: Yeah, I would -- I don't

8 know. It couldn't hurt a thing. Let's go ahead and make a motion to issue a letter of warning to let

them know that there should be a license number on 11 the van.

MS. JONES: I second.

13 MR. ROBERTS: We have a motion and a 14 second then to issue a letter of warning. Is there 15 any further discussion?

16 (No verbal response was heard.) MR. ROBERTS: All right. If none, if --17 all in favor, if you'll signify by saying "aye." 18

THE BOARD: Ave.

20 MR. ROBERTS: That, I believe, passed 21 unanimously. Thank you very much.

22 MR. REDDISH: Entry number four, there 23 are two cases on entry number four. First case is

2017-042851; second case, 2017-042891. Summary is as

follows: Complainant alleges Respondent 2 was

Page 10

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- obtain licensure for SDI Presence and is now fully
- 2 licensed. A review of the license history of SDI
- 3 Solutions shows their license expired July 31st,
- 4 2017, and the SDI Presence license was approved July
- 5 31st, 2017, so it appears there was not any
- 6 unlicensed period. It appears the Complainant read
- 7 the slogan as part of the company name, leading to 8 some of the confusion.

So my original recommendation was to close. 10 But considering there was a violation for not having a license number on the van, I'd appreciate some discussion as to what penalties normally apply in 12 13 that situation.

MR. HARVEY: Just a quick clarification. 15 The van that did not have the license number, was that part of the van -- part of the company that was being bought or the company that was doing the buying?

19 MR. REDDISH: The company that was 20 purchased.

MR. HARVEY: Okay.

MR. REDDISH: I'm not sure if there's a consideration that maybe they're given a window of time when they need to come into compliance or not. 25 I'm not really sure how this is usually penalized, by

Page 12 attempting to sell alarm systems for Respondent 1

- without obtaining proper registration. A review of
- 3 the complaint shows the attempted sale occurred June
- 30th, 2017. Respondent 2 submitted paperwork to the 4
- Board, signed July 26th, 2017. According to the --
- 6 according to Respondents, the paperwork provided --
- 7 Respondent 2 began employment on May 22nd, 2017.
- From the information provided taken into account 8
- employees right to work for 30 days prior to 9
- 10 submitting an application, it appears that Respondent
- 2 worked approximately 34 days without proper 11
- 12 registration.

13 Recommendation against Respondent 1, which is the alarm system company, is authorization of a civil 14 penalty in the amount of \$100 to be settled by a consent order or formal hearing. Recommendation 17 against the individual employee is to close.

MR. HARVEY: We're just talking about 18 registered employees? We're not talking about QAs or 19 20 anything of that nature at this point, right?

21 MR. REDDISH: That's correct. This is a 22 duty to register an employee.

MR. ROBERTS: Respondent number 2 was an 23 24 employee of Respondent number 1, is that my understanding?

Page 16

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Page 13
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           MR. REDDISH: That is correct.
2
           MR. ROBERTS: And Respondent number 1,
 3 you are recommending a civil penalty based on not
   having submitted the employee application of
  Respondent number 2 within the 30-day timeframe?
6
           MR. REDDISH: That's correct. It's my
7
  understanding the general penalty for that is a
   hundred dollars per month. The employee was
9
   nonregistered, from speaking with Ashley and Cody and
10 others.
11
           MR. HARVEY: Although they were in
12
   compliance in 34 days?
13
           MS. JONES: In four days.
14
           MR. REDDISH: It took him --
15
           MS. JONES: He was just four days late.
16
           MR. REDDISH: Took him 64 days to come
17
    into compliance. So there's a -- that's why there's
    -- as stated there's a 34-day window where they
19
    should have been registered, but they were not.
20
           MS. JONES: Okav.
21
           MR. HARVEY: And the Respondents in this,
22 did they offer any explanation for why they have not
23 submitted the registered employee in a reasonable
24
    timeframe?
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           MR. REDDISH: Not particularly. They
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arises out of a consumer taking pictures of a car
   displaying a sign with the licensee's company name.
   The sign included a telephone number. The sign did
   not display the licensee's license number. The
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   licensee claimed they have no affiliation with the
   car photographed by the Complainant. The licensee
6
7
   also claimed it called the phone number displayed on
   the car's sign, explained the law, and requested that
   the owner remove the sign. The owner agreed. The
   complaint against the licensee was closed.
10
11
         Upon the Board's request, this complaint was
12 opened to determine the owner of the car and the type
13
    of business in which he or she is engaged. The
14
    investigation revealed that the car belongs to the
    licensee's former employee. The phone number on the
16
    sign is the former employee's personal number. The
17
    licensee's QA claims that the former employee made
18
    the sign without the company's permission. The
    former employee then threatened the QA with a
19
20
    lawsuit. The QA then terminated the car owner's
21
    employment.
22
         My recommendation is to close.
23
           MR. ROBERTS: Let me make sure I
24
   understand. The -- if I understand correctly, there
   was an employee who had a company sign with their
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Page 14

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didn't give a particularly detailed response as to
 2 why. When I contacted them, they gave me all the
 3 information I requested, as far as start dates. I
 4 think perhaps they just didn't know they were
 5 violating, is kind of the feeling I got from their
 6
   response.
 7
           MR. HARVEY: I'd make a motion to concur
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   with the recommendation of Counsel in the amount of
9
   civil contempt a hundred dollars.
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           MR. COCKROFT: Second.
           MR. ROBERTS: We have a motion then and a
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12
    second. Any further discussion?
13
           (No verbal response was heard.)
14
           MR. ROBERTS: All in favor, if you'll
15
    signify by saying "aye."
16
           THE BOARD: Aye.
17
           MR. ROBERTS: I believe that passes then
18
    unanimously. Thank you.
19
           MS. SHEPHERD: All right. And then I
20
    have the next case. Lindsey Shepherd.
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         I will actually still be staying with you as
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MR. ROBERTS: Congratulations.

MS. SHEPHERD: It's a big honor.

Case number five is 2017-025101. This case

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Disciplinary Counsel, so.

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1
   personal phone number on it.
2
           MS. SHEPHERD: And so we -- I originally
3
   presented a case against the licensee at the last
4
   Board meeting. Yeah, so it was -- it was a similar
   name. So it -- without -- without destroying
   anonymity, an example is, like, Security Works
7
   Company versus, like, Security Workings. So it was
8
   similar but not quite exact.
9
          MR. ROBERTS: Okay. So this was a -- was
10
    this -- was that an employee at the time or a former
11
    employee at the time? Or do we know?
12
           MS. SHEPHERD: He was an employee at the
13
    time --
           MR. ROBERTS: Employee at the time.
14
15
           MS. SHEPHERD: -- the sign was
16
   discovered, yes.
17
           MR. ROBERTS: Okav.
           MR. COCKROFT: So we think the sign is
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19
    not in use any longer?
20
           MS. SHEPHERD: Right.
21
           MR. COCKROFT: I think our concern -- or
    my concern, when this came before us, was if that
23
    person was still trying to engage in the alarm
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business, aside from the license company. I don't

25 think we wanted any action against the license

Page 20

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Page 17
1 company. We just wanted to know if that individual
2 that was driving the car around, were they still
3 trying to engage in the alarm business. So it sounds
4 like they're not.
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MS. SHEPHERD: Well, they -- I mean, they were hired by a different alarm company. But that --I mean, they're a registered employee of a different company, and that sign is no longer in use.

MR. ROBERTS: Okay.

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MR. HARVEY: I make a motion to concur with Counsel's recommendation.

MR. ROBERTS: We have a motion to concur. Do we have a second?

14 MR. COCKROFT: Second.

MR. ROBERTS: We have a motion and a second. Any further discussion?

(No verbal response was heard.)

18 MR. ROBERTS: If not, all in favor, if

you'll signify by saying "aye." 19

THE BOARD: Aye.

21 MR. ROBERTS: I believe that motion

22 passes unanimously then. Thank you. 23 MS. SHEPHERD: And we have a couple

re-presents. Case number six is -- we have two cases there. It's 2014-024291 and 2014-009181. This

1 of the employment of the individual and the date of 2 licensure.

New recommendation is to close.

3

17

MR. COCKROFT: So why did we originally 4 5 think that they weren't licensed? Was there some 6

confusion on name, or did we not know the name? 7 MS. VEST: That's what the bottom part 8 said about the Board opening again. Two very similar companies. One, for instance, was an LLC; the other one was an Inc. We opened up a complaint against one. We did find a second complaint when we closed the first one. Opened it up against the second one. And it was an error on our part. Both companies are 14 actually licensed.

15 MR. COCKROFT: So the recommendation now 16 is to close?

MS. SHEPHERD: Correct.

18 MR. ROBERTS: I would guess that's part 19 of the reluctance we have here, is that the alleged 20 activity by the so-called whistleblower is -- would seem to be especially repugnant within the alarm industry, where someone is sent out to fraudulently 23 take over a competitor's alarm system.

24 Again, I don't want to speak for the entire Board, but that certainly is a feeling that I would 25

Page 18

- complaint was referred to the Board by the Attorney
- 2 General's office. The Metropolitan Nashville Police
- 3 Department was contacted by an employee of the
- 4 Respondent who claimed to be a, quote, whistleblower.
- 5 He said he was moved by the Respondent to Nashville
- 6 to learn a takeover technique whereby representatives
- make contact with customers with existing security
- contracts and tell them that they are to upgrade
- 9 their system and, in actuality, change the system 10 over to the Respondent.

The Board investigators spent a great deal of 12 time trying to locate the whistleblower employee, but 13 they were unable to do so. The only violation that 14 the investigation could definitely substantiate was 15 that the Respondent had conducted alarm-sales 16 activity before they had properly registered and

17 licensed. Previous recommendation was to authorize 18 19 formal and specific consent order with a civil

penalty in the amount of \$2,000 for engaging in the business of an alarm systems contractor without the 21 proper certification, in violation of 62-32-304(a).

23 Updated information is that the Respondent provided information showing the company had been licensed and provided documentary proof of the date

1 hold. That is just a completely reprehensible

behavior pattern. And even though it was not -- we

3 were not able to find evidence to substantiate that,

4 that is certainly a business model that we see more

of than we would really like. And the fact we -- our

guidelines for the way in which companies are to

7 operate their business address that in particular.

8 But sounds like with the lack of evidence. unable to find the employee that came forward with 9 that behavior pattern, and in light of the other situations, I guess that's where we stand at this 11 12 point.

All right. Board, do we have a motion? 13 MR. HARVEY: I make a motion to concur 14 15 with Counsel's recommendation.

MR. ROBERTS: Okay. We have a motion. 16 Do we have a second?

17

MR. COCKROFT: Second.

MR. ROBERTS: A motion and a second. Any 19 20 further discussion?

21 MR. COCKROFT: I would just say that I 22 agree with you fully about the activity that was 23 alleged. It's just that what we have here is about

24 an unlicensed company, which obviously is not the

25 case now. I mean, we know that's not the case. I

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Page 21
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1 wish we did have more information about the other. 2 But I don't see where we can move forward without a 3 consumer complaint or something else.

4 MR. ROBERTS: Okay. Any further 5 discussion?

6 (No verbal response was heard.)

MR. ROBERTS: If not, are you ready to

vote? All in favor, signify by saving "aye." 8

9 THE BOARD: Aye.

> MR. ROBERTS: I believe that motion then passes unanimously. Thank you.

> > MS. SHEPHERD: Case number seven,

13 2016-021671. Background, this case was presented at

14 the June 26th Board meeting. A complaint was

15 administratively opened after -- against Respondent

16 for failing to notify the Board of the transfer or

17 termination of its designated qualifying agent within

18 ten days. Respondent did not respond to the

19 complaint.

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Previous decision was a \$350 civil penalty 21 for violation of TCA 62-32-04(c), failure to notify the Board within ten days of termination of the DQA's employment; and Rule 0090-01-.0714, failure to

24 respond in writing to the Board's request within 20 25 days.

1 report.

2 MS. THOMAS: I do have an addendum -while we're on the legal report -- to a case that was 3 submitted earlier this year, case number 2016-060001. I did give you-all a paper copy of that.

6 This anonymous complaint was presented to the 7 Board at an earlier meeting, alleging unlicensed

activity by Respondent. Respondent company

represented itself as a specialist in video

surveillance. Respondent states that the equipment

sold is used by a client for detecting and signalling

12 an event. In the included letter, the Respondent

indicated that the customer would own both the 13

14 cameras and access to the videos produced from

Respondent's equipment. The proposal included with

the complaint does detail itemization for labor,

which includes installation, wiring, and 17

18 configuration.

19 Respondent was found to be in violation of 20 Tennessee Code Annotated 62-32-304(a), for engaging

21 in the business of an alarm systems contractor

without first being certified. The Board issues a

civil penalty in accordance with Tennessee Code 23

Annotated 62-32-320(b), being \$1,000 and a six-month 24

period of ineligibility from applying for

Page 22

New information is that Respondent has been 2 out of business for approximately a year, since 3 September 16th, 2016. Respondent's license is now expired.

My recommendation is now to close.

MR. ROBERTS: Thank you.

Board, do you have questions, comments?

(No verbal response was heard.)

MR. ROBERTS: Do we have a motion?

MR. HARVEY: Probably about all we can do in this situation.

12 Yeah, I make a motion to concur with

13 Counsel's recommendation.

MR. ROBERTS: Okay. We have a motion.

15 Do we have a second?

MR. COCKROFT: Second.

MR. ROBERTS: We have a motion and a second. All in favor, if you'll signify by saying

19 "aye."

THE BOARD: Ave.

MR. ROBERTS: I believe that passes 21

22 unanimously then. Thank you.

23 MS. SHEPHERD: And that concludes the

24 legal report. 25

MR. ROBERTS: Thank you for your legal

1 certification.

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For new information subsequent to this

decision, Respondent has been in negotiation with 3 legal to settle the complaint. Respondent has 4

requested that the Board authorize a waiver of the 5

six-month period of ineligibility to allow the

7 company to obtain certification and continue in its

operation. 8

And so my revised recommendation is to 10 authorize the formal and send the consent order with

the civil penalty in the amount of \$1,000 for

12 violation of Tennessee Code Annotated 62-32-304(a)

and that the Board will waive the six-month 13

14 ineligibility period to allow Respondent to apply for certification. 15

MR. ROBERTS: Thank you, Ashley.

Let me add to that just a bit. And I

cannot -- I will have to recuse myself from any 18

19 voting on this. We had an informal meeting with this

company yesterday. They pointed out that they have 20

currently a number of customers that are depending 21

upon them for their service, and that is the reason

23 for the request for the -- that we waive the

24 six-month ineligibility for -- to be able to continue

25 in business.

Page 25 Page 27 So with that, are there any questions? Or 1 MR. ROBERTS: All right. The Board will 2 are you ready for a motion? come to order. If we are now ready on our agenda to 2 3 MR. COCKROFT: Well, our goal is always 3 deal with appearances. 4 to get people into compliance. And we have waived Cody, would you like to be in charge of that, 4 this in the past. What's the -- where does the six 5 please, ma'am? months come from? Is that in the --6 MS. VEST: Yes, sir. Thank you very 7 MS. THOMAS: Uh-huh. 7 much. 8 MR. COCKROFT: Is that a rule or in the 8 If you'd turn to your iPads, we're going to 9 discuss Mr. Roy. And Mr. Roy is with us this law? Is it a statute or --9 MS. THOMAS: It's part of the statute. 10 morning. 11 MR. COCKROFT: Part of the statute. If you would, sir, please come up and sign 11 12 MS. THOMAS: Uh-huh. 12 in. 13 MR. COCKROFT: Because it does seem like MR. HARVEY: Which one is that? 13 14 MR. ROBERTS: Mr. Marcus Roy. 14 it's against the good of the public to not let them 15 go ahead and get licensed at this point. 15 MR. HARVEY: A or B? 16 MS. JONES: So it's not that they just MS. VEST: He should be A. Isn't it A? 16 17 didn't have just a CCTV license, they didn't have an 17 MS. THOMAS: Uh-huh. 18 alarm contractor's license at all? 18 MS. VEST: Now, Mr. Roy, they have not 19 MS. THOMAS: That's correct. seen any of this information vet. So if you would 19 20 MR. COCKROFT: But they also weren't give the Board members a few minutes to be able to 20 read over the material. 21 doing anything other than CCTV? 21 22 MS. THOMAS: As far as --22 MR. ROY: Okav. 23 MR. COCKROFT: We know. 23 MR. ROBERTS: Mr. Roy, if you would --24 MS. THOMAS: -- I can tell from the 24 well, it looks like you're signing in. I wanted to 25 complaint file. make sure that you were doing that. Good. Page 26 Page 28 MR. COCKROFT: I make a motion to agree 1 MS. VEST: Mr. Roy is here for you to 1 2 review his application -- employee registration with the recommendations from Counsel to waive the 3 six-month waiting period. 3 application. He is with Sentry Fire Protection. 4 Apparently there was a misunderstanding and his 4 MR. ROBERTS: All right. We have a 5 5 qualifying agent did not come with him this morning. motion. Do we have a second? 6 MR. HARVEY: I second. 6 But if it's all right, we'll go ahead and talk to 7 MR. ROBERTS: Okay. We have a motion and Mr. Roy since he's here. 7 MR. ROBERTS: I think we're still going a second. Any further discussion? 8 (No verbal response was heard.) through the documents that have been presented here. 9 9 10 MR. ROBERTS: If not, I assume you're 10 MS. VEST: Well, let me help you a little ready to vote. All in favor, if you'll signify by 11 bit, because it was somewhat quite confusing to me as 11 12 saying "aye." 12 well. 13 THE BOARD: Ave. 13 What we have is a 3 -- a March of '87 14 assault, which was probation violation. And also in MR. ROBERTS: I believe that passes 14 '87 he was guilty of burglary. Forgery was 15 unanimously then. Thank you. All right. Does that deal with all of our 16 dismissed. Bad check was dismissed. Concealed 16 legal actions? 17 weapon was found guilty. In '87 -- now, this is just 17 18 18 off the information that I received. Mr. Roy, of MS. VEST: Yes, sir. 19 I'd like to, if it's at all possible, take 19 course, will explain it. 20 In April of '87, it was a larceny, guilty. 20 about a five-minute break. MR. ROBERTS: Take a brief break? All 21 Burglary was nullied. There was also some probation 21 22 right. Well, why don't we make that a ten-minute 22 violation. '89 was forgery. It said ten checks, break. We will come back at -- make it quarter of --23 received three years. Also in '89 it was breaking 24 quarter of the hour then. Thank you. 24 and entering. That was dismissed. Then at the --(Short recess.) 25 25 November of '89, it was theft, for two years, but he

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1 was sentenced for eight months.

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In '95 it was burglary, violation of 3 probation, three years for daytime housebreaking. Of course, these are all old, and that's the reason 5 we're discussing them.

1999 was theft under \$30 -- \$300. That was three years. In 2000 burglary in the first-degree, it says three years. So I do believe some of this 9 has probably -- has been combined together. And in 10 '05 it was trespassing and arrest and a burglary. 11 Got probation for a year.

Now, you will see other charges on there, but 13 you need to ignore those. Those are either, you 14 know, dismissed or nullied.

MR. ROBERTS: All right. Board, have you 16 had an opportunity to review the documents?

(No verbal response was heard.)

MR. ROBERTS: All right. Mr. Roy, let's 19 -- since we have a rather extensive record here, let's give you an opportunity to make a statement and 21 then perhaps the Board members would have some 22 questions for you. If you would like to make a 23 comment?

MR. ROY: Well, I made some mistakes in 25 the past. I haven't made them since 2005. And I've 1 that time, and I think that's what kind of woke me

2 up. I didn't want my kids to take the same road I

took. So I figured I had to set an example for them, 4 so. I just decided to change, and that's what I did.

It's worked out well. My three oldest kids,

6 I raised them from their teenager years 'til they

7 graduated in -- in Georgia. I was born and raised in Baltimore. Tough city, you know. And I'm not using

that as an excuse. Everything bad I did was my

decision. It wasn't because of the way I was raised.

I had a great mom. I just -- I just was -- I thought I was having fun, but apparently I wasn't, so. 12

13 I mean, I made some mistakes. But the past 12 years, my record speaks for itself. Again, I have 14 a great job, make a lot of money, more than I ever thought I would make. And I don't know, it's been

good. The past 12 years have been good, so. You 17

know, whatever happens today is God's will, so I'm 18 19 good with it.

20 MR. COCKROFT: So how long have you been 21 doing -- you said fire alarms. And I guess y'all do 22 some of it in Georgia as well or something?

23 MR. ROY: Well, we pretty much work all 24 over. Our company has several offices in several states, including Texas. I work with special

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been doing fire alarms since -- well, basically, I've been doing special hazards since 2005. Clean agent 3 systems. Really just got into fire alarm about six years ago.

I just -- I made some mistakes, and I cleaned it up. I am happily married, got six kids, one on the way. Homeowner. My wife's a family law attorney. I got a son in the Navy, one graduating college this year. So it's been good. God's been 10 good. So all the past is the past and the future's 11 been good.

MR. COCKROFT: This license allows you to 13 also install burglar alarms. There's a lot of the 14 concern with some of the older charges -- and even 15 the 2005. I mean, that's -- I guess it's still 16 pretty old, but it doesn't -- if that's -- if we were 17 just looking at the '87, '89 stuff, that's a long 18 time ago. But we do have -- I've got concerns, I 19 guess, that it still continued on past that.

I mean, what's -- what -- I guess, what's different today than what happened in some of those other vears?

23 MR. ROY: I'm more mature. There was a point in my life where I just -- I guess I just -- I 24 really didn't care very much. And I had children at

Page 32 hazards. I put in clean agent systems. Are y'all 2 maybe familiar with FM-200?

MR. COCKROFT: Huh-uh.

MR. ROY: And a few other type systems.

5 Fire alarm is something I started doing, I guess,

6 about five, six years ago just to add more 7

qualifications to my resumé. I'm a project

superintendent now, so I don't do as much hands-on. 8

9 But a lot of times with a difficult system,

10 especially when you're dealing with gas systems, I

have to do hands-on. Because I have the license to 11

12 do it, and my guys are qualified. But they don't

13 have the license, so. You have to have a special

license to do FM-200. And that's what I have. And 14

I'm probably best at it. I mean, talking about just 15

16 in the State of Georgia.

17 But I'm really good at what I do. Really good at what I do, so. And it's paid dividends. You 19 know, it's paid dividends. It's given me a good 20 life.

21 MR. COCKROFT: Do y'all have any other 22 questions?

23 MR. ROBERTS: Basically you've been doing 24 fire suppression, then --25 MR. ROY: Yes, sir.

Page 33 Page 35 1 MR. ROBERTS: And I hope that you'll MR. ROBERTS: -- up until recently? 1 2 continue on the path that you present to us at this 2 And now, of course, Sentry does fire 3 protection, as well as moving into the alarm systems 3 point. 4 and installing fire alarm systems. And so if I were MR. ROY: Absolutely. 5 to understand correctly, that -- you want to be able MR. ROBERTS: Great. to expand your ability to work with the alarm 6 MR. ROY: Thank you. 7 systems, as well as the suppression systems you've 7 MR. ROBERTS: Congratulations. 8 been doing thus far? 8 MR. ROY: All right. 9 MR. ROY: Yes, sir, absolutely. 9 MS. VEST: Mr. Roy, you'll be hearing 10 MR. ROBERTS: Is that a correct 10 from us later. 11 understanding? MR. ROY: Thank you very much. I 11 12 MR. ROY: Yes, sir. 12 appreciate it. 13 MR. ROBERTS: Okay. 13 MS. VEST: Thank you. 14 MR. ROY: And I have -- it's interesting 14 Okay. All right. I think the next one on my 15 because I do a lot of work for a lot of secured 15 appearance is Mr. Nichols. 16 places like Delta and places like that. And I'm -- I Mr. Nichols, would you come in and -- come up 16 17 mean, I went through the same process that I'm going 17 and sign up? 18 through now to get there. And I'm able to get in 18 This case is somewhat different. You do have 19 anywhere, in any secured location in Delta. I have a 19 -- I believe this is on your iPad. You will notice 20 badge that will get me pretty much anywhere at that you have two correspondence -- pieces of 21 Department of Justice and places like that. I've correspondence from the FBI. Mr. Nichols' company 22 been allowed to work after having the same type of 22 and himself as the qualifying agent have renewed. 23 You will see in your packet that there are registered 23 meeting I'm having now. 24 I know my past is going to always be one of 24 employees; some of them have been renewed and some of 25 them have not. And that's why he's here today. They 25 those things when I'm trying to -- when the company Page 34 Page 36 is trying to get me into a place like this, that I'll 1 -- the registered employees expired in March. They 2 only have three months, which is April, May, and 2 have to have this type of meeting. And I'm okay with 3 June, to renew. 3 that. 4 MR. ROBERTS: We don't have the 4 And he is actually asking us, under the circumstances which he's going to explain, to go back 5 opportunity to go back and change our past. 5 and reopen up those registered employees. By 6 MR. ROY: I know. 7 7 statute, you're the only ones that's going to be able MR. ROBERTS: All right. Board, do you 8 have further questions? Where do you feel like we 8 to let me override the three months of late fees and should go with this then? 9 9 give me permission to go back and open up the 10 MR. HARVEY: I make a motion for approval 10 applications. 11 So if you would, Mr. Nichols, you need to 11 for employee registration. MR. COCKROFT: Second. 12 explain the circumstances. 12 13 MR. NICHOLS: Good morning. 13 MR. ROBERTS: All right. We have a Where to start? When we received our renewal 14 motion and a second. Is there any further 14 15 discussion? paperwork in the mail for our registered employees earlier in the year, it was my understanding that 16 (No verbal response was heard.) 16 everything was filled out completely and sent in. I MR. ROBERTS: Are you ready to vote? All 17 17 know shortly thereafter we were receiving a lot of 18 in favor, signify by saying "aye." 18 19 THE BOARD: Aye. 19 letters from the Board -- or the Alarm Contractors MR. ROBERTS: I believe that passes Board, requiring additional "thises and thats." It 20 20 was passed along to an individual in my office that 21 unanimously then. Thank you very much. 21 22 was my bookkeeper, human resources person that took Mr. Roy --23 MR. ROY: Appreciate it. 23 care of all of my licensing renewals and so forth. MR. ROBERTS: -- best of luck to you. 24 24 My understanding was that everything had been

25 submitted, taken care of. Shortly thereafter I was

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MR. ROY: Thank you.

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- 1 made aware of some situations. The situation was
- 2 this employee that had worked for me for
- 3 two-and-a-half years had been embezzling money from
- 4 the company. I let this employee go on May the 2nd.
- 5 Again, prior to May the 2nd my understanding was, not
- only had all the paperwork been submitted but any
- requests from the Alarm Contractors Board that may 7
- have been needed -- additional pictures, whatever --
- 9 had all been sent in.

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About a week after me letting this person go on May the 2nd, I had two people show up at my home and -- anyway, my -- to my surprise, it was two FBI 13 agents. They came to my house, wanted to speak to me privately about the employee that I let go. They, at 15 that time, did not know I had let her go. They 16 thought she was still in my employment.

They had been investigating her for about 18 nine months, and they were coming to me asking for 19 help. They wanted to do an undercover thing while she was still working for me. But during our 21 three-hour conversation, you know. I let her -- let 22 them know that I'd already let her go; that I'd found 23 out that she was embezzling from me. Well, they'd 24 known about it for about nine months.

There's also other things and other people

From what I understand, some of it was taking 1 2 place in Georgia and other places. And she was 3 traveling during these times where she was getting 4 chemotherapy and things.

So anyway, a lot of time I devoted to assist the FBI with recordkeeping; when she was in our 7 office working; when she wasn't; computers; you know, reports on different things; records -- phone 8 9 records. You name it.

10 So there was all this extensive stuff going on, as well as meeting with the bank to document the 11 12 \$313,000 she had stolen from the company over the 13 past two-and-a-half years. So needless to say, I just -- my time was very short. I didn't have much 14 15 time with all this going on.

16 Several weeks ago I found out that several of 17 my employees, their registered licenses, never came back. They were expired. I didn't understand. I 19 thought everything had been taken care of; they'd all 20 come back.

21 So I reached out to Beth Bell, trying to say: Beth, what's going on? You know, why aren't these --22 23 you know, and I got the e-mail back. She was on medical leave. Finally got through and come to find 25 out, I guess, a number of my employees, due to some

Page 38

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- 1 that she was conning and embezzling from and
- 2 extortion and all kinds of other things. She has
- destroyed someone else's life. But, of course, the
- 4 FBI can't give me all these details. They just said,
- look, she's a bad person. She's a manipulator.
- She's doing all these bad things. You've already let
- 7 her go. We understand. Would you help us? Most definitely. 8

So from that point forward, the FBI was in my 10 office three days a week. They were going through computer files. I mean, her computer and our server. 11

12 Come to find out, she was impersonating a federal

agent. They found all kinds of paperwork on her

computer where she manipulated documents showing that 14 she worked for the FBI as a forensic accountant and 15

16 other things.

My understanding is, she was using this information to somehow or another blackmail people

19 into getting money. She was using my company funds,

gas cards to -- during her two-and-a-half years, she 20

had had cancer twice, her son had had a heart 21

surgery, all these things had taken place. Well, no, 22

it was just an opportunity for her to leave the 23

24 company in little time bits to go and do her

25 extortion around the southeast part of the country.

Page 40 things that maybe were asked, never got sent back 2 like I thought they did.

So, I mean, at that point in time, it's -- my only point was, what do I need to do to get these registered employees registered properly.

6 Yes, it would be nice if some fees were 7 waived. I'm not even arguing that point. You know, I'll pay the fees. I just need to make sure that 9 everything is done correctly; that all my employees 10 are registered properly.

And, you know, I was asked to come here 12 today, so I'm here. The special agent in charge, from what I understand, has written a letter and maybe made a phone call. 14

Again, you know, whatever the Board can do, 15 16 that's great. I'm not asking for, you know -- again, 17 like I said, I'm not even asking to waive the fees. If they do get waived, that's great. But I just need 18 some direction on what I can do to make everybody to 19 20 be in compliance.

21 MS. THOMAS: And as Cody said, the registered employees -- those licenses are currently 22 23 expired. And so we would need the Board's authority to go back and to allow him to go back and renew 25 those, rather than have those people reapply.

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           MS. VEST: Our records show that there's
 1
 2 21 employees, and 12 of them are expired. So what
3 that means is, he'd have to pay the renewal fee of
 4 $75, the late fee of 7.50 apiece or 22.50 for three,
 5 unless you're going to waive the late fee. If you
6 waive the late fee, I will reopen the file, you'll
   still have to pay the $75 for the renewal.
7
           MR. COCKROFT: When was the company
8
   license renewed? Because this would have been the
10 first renewal with the new employee renewal, wouldn't
11 it?
12
           MS. VEST: No, it would have been March
13 17th.
14
           MR. NICHOLS: This was on paper. It
15 wasn't on the online thing.
           MR. COCKROFT: Well, I was referring to
16
17 the fact where the employees are renewed separately
18
    instead of --
19
           MS. VEST: Yes --
20
           MR. COCKROFT: Used to be we just renewed
21 them with the main company license.
           MS. VEST: Yeah. Well, you used to be
23 able -- yeah, they would send a roster of their
24 employees in, and that's -- yeah.
25
           MS. JONES: So there was 20-some
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MS. VEST: It was for -- yes, for one
2
   month. It would add up to 22.50 for the three
3
4
   months.
5
          MR. ROBERTS: For the three months. And
   that would be times the 12 expired employees?
7
          MS. VEST: Uh-huh.
8
          MR. ROBERTS: Okav.
9
          MS. VEST: Well, I think that's where
10
    we're at. We're looking at 12 employees at $75 each,
    if you agree to override the -- for me to override
11
    the late fees. And those employees would be
12
    registered. They would not have to reapply at all.
13
14
           MR. ROBERTS: The reapplication process
    becomes involved, and there are a number of fees
15
    involved and fingerprints and photographs and...
16
17
           MS. VEST: Yes, sir. The fee would be
    $100 then. And then they're going to have to spend
18
19
    the time to have the prints taken, do the photos, and
20
    be processed, yes.
21
           MR. ROBERTS: All right. I just wanted
   to make sure I understood what we were -- we're
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23
    dealing with here.
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         Board, do you have any questions? Have some
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were -- you indicated were \$7.50?

25 action you would like to see taken?

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employees, and she -- whoever "she" is -- only
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   renewed --
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           MS. THOMAS: Nine.
4
           MS. JONES: -- nine of them?
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           MS. THOMAS: Uh-huh.
6
           MS. VEST: I know. We didn't see any
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   sense in that either, but that's the way it happened.
8
           MR. ROBERTS: Some things don't make
9
   sense, vep.
         All right. So basically the issue here --
10
   let me make sure I understand. I'm slow to
12 understand sometimes. The variety of circumstances
13 that you've outlined -- and, of course, we do have a
14 letter from the FBI here, which certainly, in my
15 mind, carries some weight. Basically the issue is,
16 he has 12 employees whose licenses -- whose
   registrations have expired. And if we're in a
18 position to waive the renewal, then he could go -- or
19 to waive the circumstances, he could renew these.
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20 And you'd still have to pay the fee to renew them.

22 reregistration process with fingerprints and

MS. THOMAS: Correct.

photographs and all this stuff.

But they wouldn't have to go back through a complete

MR. ROBERTS: And the late fees involved

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MR. COCKROFT: I would make a motion that
1
2 we allow the renewal of the 12 employees without
   reapplication and without late fees, due to the
   circumstances.
4
          MR. ROBERTS: Okay. We have a motion.
5
          MR. HARVEY: I second.
6
7
          MR. ROBERTS: We have a motion and a
   second. Is there any further discussion?
8
9
          MR. HARVEY: Aye.
        I was ready to vote. You didn't even call
10
    for it.
11
12
           (Laughter.)
13
           MR. ROBERTS: All right. All in favor,
    if you'll signify by saying "aye."
14
15
           THE BOARD: Aye.
           MR. ROBERTS: I believe that passes
16
    unanimously then. Thank you very much.
17
        Mr. Nichols, I'm sorry you had so much
18
19
   difficulty.
20
           MR. NICHOLS: Thank you.
           MR. ROBERTS: I'm sure you're not
21
   thrilled with that prospect having happened either.
22
23
           MR. NICHOLS: Right.
24
           MR. ROBERTS: But maybe this will let you
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25 move forward in a reasonable fashion.

Page 45 Page 47 1 MR. NICHOLS: Thank you, sir. 1 I'm sure they can listen and read at the same 2 time. They're an intelligent bunch here. MR. ROBERTS: Thank you for your 2 3 3 MR. CAMPBELL: I can see that. appearance today. 4 MS. VEST: Mr. Nichols, I'm going to --4 MR. ROBERTS: Yes. it will take us a while to work all this up. So 5 MR. CAMPBELL: So thank you for the 5 6 we'll be calling you --6 opportunity to come here this morning and petition 7 MR. NICHOLS: Okav. 7 for an appeal. 8 MS. VEST: -- and getting it all 8 One of the things that we have realized is 9 straightened out with you. 9 just the dynamic change in technology that we're 10 MR. NICHOLS: Okay. Thank you. 10 involved in and how we kind of backed into this thing MS. VEST: All right. Thank you. under the jurisdiction of the Alarm Board. 11 11 12 MS. THOMAS: Thank you. 12 Our company is only interested in cameras and 13 MR. ROBERTS: Was that one of her -- one camera technology. We're not interested in 13 of the -- the lady that got fired, was that one of connecting with the -- any alarm signals that would 14 15 the registered employees? Should we --15 be -- that would require resources from an emergency 16 MS. THOMAS: No. 16 response. 17 MR. ROBERTS: That wasn't one of the 12. Okay. If I may, I'd like to just read some 17 was it? 18 18 of the letter from May 3rd, so. 19 MS. VEST: I'm not in position to say. Please consider this an appeal for an 19 20 exception to be made in acceptance of my experience MR. ROBERTS: Okay. 20 21 MS. VEST: Wow, that was rough. as qualifications met to be the QA for EveOn 21 22 Okay. We do have one more appearance that --Technologies Corporation. During my time at Auburn 23 you do have the handout. It's not on your iPad. 23 University getting my Bachelor of Industrial Design This -- you've already heard the -- well, 24 in '96, with a Business Minor, I developed a mantra: 25 Mr. Campbell, if you'd come in and sign in, please, Be a champion for the consumer at the beginning of Page 46 Page 48 1 the product development process or design process. with your qualifying agent -- I mean, with your 1 2 company owner as well. 2 With over 21 years of professional practice, 3 3 this guiding principle has proven its value, Mr. Campbell is here for you to review his resulting in millions of sales, as well as subsequent 4 application to be a qualifying agent. I believe that 4 satisfied consumers, as well as over 38 patents. 5 application is in CCTV. MR. ROBERTS: To renew his application? 6 Since 2011 I have been engaged with 6 7 MS. VEST: I'm sorry. Did I say -- it is 7 Britt Summers as a business partner in developing 8 IT-related consumer solutions. My substantial 8 a new application --MR. ROBERTS: New application. experience in corporate user needs, research, and 9 9 10 technical product development knowledge has been 10 MS. VEST: -- talking about his uniquely valuable in our business partnership. I 11 experience. strive for excellence, which enables me to deliver 12 12 It is somewhat outside the lines of what the statute says. So we did ask him to come today to 13 above expectations usually. 13 14 Over our 13-year friendship, there's no explain his education and his qualifications. 14 15 friend that has had my trust more than Britt Summers. So if you'd just give them a few minutes, 15 And I get into this -- the concept of trust. And 16 Mr. Campbell, to look at the information that they this is a kind of a family kind of a group. We've have before we --17 17 had several of our relatives work with us. So we're MR. CAMPBELL: Okav. 18 18 very close on some of these ideas. Very 19 MS. VEST: -- start talking. 19 20 (The Board reviews documents.) 20 trust-oriented, which translates into consumer 21 advocacy and consumer values, which as I understand, 21 MS. VEST: Okay. Mr. Campbell, did you 22 that's the primary function of the legislation and 22 want to review some of this letter that you sent to 23 the Board of Consumer Protection and Advocacy. And 23 us on May the 3rd --MR. CAMPBELL: Sure. 24 we're very much engaged in that. We have lots of 24 25 MS. VEST: -- with the Board? 25 recommendations from our -- everything we do is by

Page 49 1 word of mouth. That's the way we develop our 2 business. We have a lot of people who really 3 appreciate what we bring to the market, with respect 4 to trust.

5 So I have been involved, to some degree, in 6 every aspect of EyeOn since 2011, including our brand 7 identity and values. Defining our values and 8 bringing those to market. For sales I've built 9 compelling tools to creatively engage potential 10 clients in an understanding not only of what they say 11 they need to see, but also allow them to understand. 12 to see, to get insights in ways that they never 13 imagined, given the current state of technology and 14 tools available.

15 I have closely worked to build business 16 partnerships in the US, Asia, and European technology partners, that have resulted in innovations awards 18 recognized by a German manufacturer with the intent 19 to understand how to solve or avoid consumer 20 problems. Given the strong mechanical aptitude and 21 focus on the importance of consumer experience, I am 22 keenly aware of execution details and am competent to 23 use a glow rod, terminate CAT6, and know the 24 difference between plenium and exterior cable and 25 when they are appropriate.

1 curve of technology changes, and understanding market 2 dynamics, that we have only recently understood how 3 we fit under the jurisdiction of the Alarm Systems Contractors Board. That said, we also understand 5 that ignorance of the law is without excuse and are eager to comply. 6

7 To date we have submitted all of the known requirements for licensing and have paid the dues. 8 9 We have -- so the only question -- or the big questions remaining, to our knowledge, is acceptance of my academic credentials with a four-year degree from Auburn University and a technical degree in 12 Industrial Design and -- and the experience that I 13 14 have.

15 So these are the two things -- the two 16 primary considerations for the QA that we're asking for. Then there's the exam, of course, that needs to 17 be passed as well. And if I do not meet the -- if I 18 do not meet muster with respect to the academic credentials and experience, then we are -- we need to 21 find a QA that is qualified and just hire them 22 outright.

23 So, you know, the question is a petition for 24 acceptance of my academic credentials and experience. 25 Ultimately we want to be able to offer

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There's lots of good business practices, best 1 2 practice kind of approaches to problem solving from 3 the mechanical side that we've gained over this experience. Managing resources to meet quality 5 expectations and ensuring customer satisfaction have 6 been my primary responsibilities.

7 Our initial EyeOn business interests formed 8 around realizing the dynamic changes in the 9 technologies that enable solving problems in novel 10 ways. We developed solutions for -- ranging from remote viewing of time lapse images for construction 12 progress documentation to customized mechanical 13 solutions specially designed for pharmaceutical clean room compounding boxes, as well as using video 15 analytics devices to capture demographic data to gain 16 insight into consumer behaviors, marketing, and product placement planning. 17

18 EyeOn has not taken anything like a 19 traditional route into the Alarm Systems Contractors Board jurisdiction. But -- so we find ourselves here today, particularly since we had no business 21 22 interests in sending signals to trigger a response 23 from emergency personnel. 24 So we have been keenly focused on

25 understanding consumer needs, overcoming the learning

1 competitive solutions utilizing video-enabled devices 2 to address a broad range of consumer needs, and that 3 includes what is covered in the current legislation of the CCTV category. It is our intent to operate in 5 compliance with the law and be in good standing with 6 the Alarm Systems Contractors Board of Tennessee.

7 I appreciate the opportunity to have my experience considered as an exception to meet the qualifications for assignment as a QA for EyeOn 10 Technologies. 11

So that's the gist of it.

12 MR. ROBERTS: Okay. Mr. Campbell, I 13 appreciate that.

14 Board, what -- do you have some questions? 15 What are your thoughts?

16 MR. COCKROFT: My concern is all the 17 unlicensed activity in the past. Basically your letter just told me that you've been doing this since 19 2011. And it's all unlicensed activity. It doesn't 20 matter if it's called something else. Everything you 21 described requires a license.

22 MS. THOMAS: And to that point, I will 23 say that there was -- so the company came to our 24 knowledge through an unlicensed activity complaint, which has been presented and settled before the

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Page 53

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  Board.
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2 MR. SUMMERS: Ignorance is no excuse, 3 sir. But as you guys know, technology has changed. We had no clue about the existence even of the

Tennessee Alarm Systems Contractors Board. So --5 6 MR. COCKROFT: I would think you --

MR. SUMMERS: -- I beg your pardon.

8 MR. COCKROFT: One thing you reference is

9 saying that technology's changed. I think you would 10 be hard-pressed to find any qualifying agent

11 installing the stuff that you list as "old

12 technology." Yes, it's changed. But the new

13 technology you're talking about is standard in the

14 industry, and it definitely is a violation of the

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MR. SUMMERS: Well, we're not disputing 16

17 that. That's why we're here --

MR. COCKROFT: I -- but it sounds like

the letter's trying to sell us on that, more so than 19

20 to address the issue of the --

21 MR. CAMPBELL: QA application.

MR. COCKROFT: -- QA application.

23 MR. CAMPBELL: Yeah.

24 MR. COCKROFT: I wanted to make sure we'd

25 addressed the unlicensed activity. So that's already

authority to deviate from that.

2 MR. ROBERTS: Let me ask -- and, of 3 course, this was really the first time I've seen the credentials presented. 4

What exactly do you do, and what exactly has 5 your been -- has been your experience in the 6

7 installation and -- for the closed circuit

television? I say closed circuit television. The 8 9 television industry.

MR. CAMPBELL: Just cameras.

MR. COCKROFT: Video surveillance.

MR. ROBERTS: Yeah, video surveillance --

MR. CAMPBELL: Yeah, it's -

MR. ROBERTS: -- is probably a better way 14

15 to --

10

11

12

13

MR. CAMPBELL: Yeah, it's video 16 17 surveillance, which is what we were -- what we're -our only interest. We're not interested in burglary

and fire alarms. It's just cameras and camera work. 19 So my experience has been a broad range. 20

Everything from climbing ladders and installing wire 21 and doing all the details for a quality installation, 22

giving best practices and working with other 23

24 contractors, subcontractors to, you know, learn how

25 they install.

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been addressed and settled?
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2 MS. THOMAS: Yes.

MR. ROBERTS: Scott, that has been

4 addressed --

MR. COCKROFT: Okay.

MR. ROBERTS: -- and appropriately dealt

7 with, I believe --

MR. COCKROFT: Okay.

9 MR. ROBERTS: -- so.

MR. COCKROFT: I mean, we don't know. 10

11 When we see some of these, we don't know who --12

MR. ROBERTS: Who they are.

MR. COCKROFT: -- the actions are against, so.

14 15

MR. ROBERTS: But that has been --

16 MR. COCKROFT: Okay.

MR. ROBERTS: -- reasonably dealt with. 17

MR. COCKROFT: Okay.

MR. ROBERTS: So really the question 19 20 we're dealing with at this point is Mr. Campbell's qualifications and his application as a QA. 21

MS. THOMAS: Specifically -- specifically 23 the education and experience. Because they don't

squarely fit within the statutory requirements. And,

of course, the Board is the only one with the

1 So on the installation side, to the IT

2 configuration, you know, setting up all the network

3 stuff and understanding computer components and speck

components, as well as working with manufacturers to

understand how to use the technology and the tools

embedded in the software to deliver customer

experiences, such as demographic data and 7

demographic -- you know, heat mapping and lots of 8

9 different things.

So it's a broad spectrum. Everything from 10 sales to installation, or from working with 11

12 manufacturers to the enduser.

MR. SUMMERS: If I may say something? 13 14 We, unlike most traditional companies in the States,

have no trucks and ladders. In fact, I can -- you

know, we obviously have installation services, but we 16

rely on subcontractors. 17

MR. CAMPBELL: Yeah.

18 MR. SUMMERS: Furthermore, a lot of what 19 20 we do is integration work. You know, all of these

being IT-enabled devices, they're all dependent on 21 22 software function.

23 So maybe that's further context on the kind 24 of experience you could expect from Mr. Campbell.

MR. COCKROFT: Are the contractors that

Page 60

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1 you're using licensed?
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MR. SUMMERS: They meet local -- you 3 know, permits are pulled, Dixie-certified technicians are used.

MR. COCKROFT: Actually, that wasn't my 6 question. Are they licensed by this -- by the Alarm Contractors Board?

8 MR. SUMMERS: In some cases.

MR. COCKROFT: It's required that they 9 10 would be.

11 MR. SUMMERS: Okay. In some cases, yes; 12 in some cases, probably not.

MR. COCKROFT: Just for your information, 13 14 if you do get licensed, your subcontractors have to be licensed as well, or you and that person are in 16 violation.

MR. SUMMERS: Understood.

MR. ROBERTS: Now, it's my understanding, 18 19

Mr. Campbell, that you have not yet taken the examination for a qualified agent? 20

21

MR. CAMPBELL: That's correct.

MR. ROBERTS: That's correct.

23 Okav. So basically the -- in order to be

24 issued a license as a qualifying agent, we would have 25 to accept your academic credentials, your experience,

1 a pressing moment, okay?

2 MR. COCKROFT: It just concerns me that 3 you keep trying to say things -- it sounds to me like

you're trying to say, well, we don't think we're in

5 -- violating the law, but we're getting licensed

anyway. Because you're saving things that -- like

7 those wouldn't matter. But everything you're doing

8 requires a license, aside from all these things

9 you're saying you're not doing --

10 MR. CAMPBELL: Sir, I just -- I submit that we are here because we acknowledge I need to be 11 12 under the jurisdiction of this Board.

MR. COCKROFT: Okay.

MS. JONES: And if you take the CCTV and 14

you pass, you still have an alarm license classified 15

16 in not only burglar alarms but CCTV also, which means

you could go back and do burglar alarms with no 17 18 experience.

19 MR. SUMMERS: We have no burglar

20 alarms --

13

25

4

7

21 MR. CAMPBELL: Yeah, we have no interest

in that at all. 22

23 MS. JONES: You have no interest in it

24 today, I understand.

MR. COCKROFT: They'd have to get a

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1 and then it would still be dependent upon taking the appropriate examination.

MR. CAMPBELL: That's correct. And I 3 4 hope you take our intent to comply as well.

5 MR. ROBERTS: Well, like I say, at this point, we -- we're looking at the -- basically the 7 question that we have before us at this point is your 8 qualifications.

MR. CAMPBELL: Yes, sir.

10 MR. COCKROFT: And I understand that's 11 what we're looking at. What concerns me is, you keep 12 going back to trying to say that you're not doing 13 alarms and you're not doing monitoring. But CCTV, 14 which is video monitoring --

MR. SUMMERS: We're not doing monitoring, 15 16 sir.

MR, COCKROFT: It does -- it's 17

18 irrelevant. What you're doing, aside from those

things, is a violation of the law. That's -- it's 19

20 like you keep going --

21 MR. SUMMERS: That's why we're here, is 22 to comply.

23 MR. CAMPBELL: Yeah, right.

24 MR. COCKROFT: You keep confusing --25

MR. SUMMERS: Well, forgive us. This is

1 separate QA for fire, burglar, and --

MS. JONES: Well, they'd have to have an 2

3 alarm license, period --

MR. COCKROFT: Right.

MS. JONES: -- and then have the CCTV 5 6 specialization.

MR. COCKROFT: Right.

MR. CAMPBELL: We just want to specialize 8

in cameras. If you -- you know, surveillance

solution specialists is what -- is what we're more 10

interested in than anything that -- I -- we don't 11

12 want to send alarm signals. So how do we get

qualified for that? Is -- all we're interested in is 13

hanging cameras and configuring user needs. 14

MR. SUMMERS: And being complaint with 15 16 the law, of course.

MR. ROBERTS: I believe the basic 17 question we're dealing with is the credentials that

Mr. Campbell would bring forward, whether they meet

the Board's willingness to accept. 20

21 He has a BS in Industrial Design?

22 MR. CAMPBELL: Yes, sir.

MR. ROBERTS: And so they presented a 23 24 copy of your diploma from that.

25 MR. CAMPBELL: Yes, sir.

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          MR. ROBERTS: So that's pretty clearly
2 set. Whether or not we would require or allow the
3 Industrial Design degree to -- as a -- to reasonably
4 meet the Board's -- the statutory requirements and
5 the experience -- basically what you're saying is,
6 from 2011 you've -- since 2011, you worked with
   EyeOn; is that correct? Or --
7
8
          MR. CAMPBELL: Yes, sir.
9
          MR. ROBERTS: Have you worked with any
10 other companies in the past that have any sort of
11
    closed-circuit television alarm-related experience?
12
           MR. CAMPBELL: I worked with another
13 company called Community Security that was -- and I
    did more marketing and branding for them, which is an
15
    alarm company. But I did not --
16
           MR. ROBERTS: When was that,
17
   Mr. Campbell?
           MR. CAMPBELL: That was in --
18
19
           MR. ROBERTS: Approximately.
20
           MR. CAMPBELL: Yeah, 2011. 2010, 2011.
21
           MR. ROBERTS: And that was basically a
22
    sales position?
23
           MR. CAMPBELL: Sales and marketing, yep.
24
           MR. SUMMERS: Not sales in the
25 traditional sense, though, right?
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2
           MS. JONES: The degree and the
3
   experience.
           MR. COCKROFT: I would accept experience.
4
5
   It sounds like he's pretty well testified here that
6
   he's been doing this since 2011.
        I would -- not to -- and I think we're going
7
   to be getting off track, but we could delve into that
   sales job, because it sounds again like you're saying
9
10
   it wasn't -- wouldn't need to be licensed. But
    anyone selling any kind of video surveillance, to --
    whether it's to a nonprofit or any -- it has to be
12
    licensed.
13
14
           MR. CAMPBELL: No, it wasn't video
15
    surveillance.
16
           MR. ROBERTS: Well, we're --
17
           MR. CAMPBELL: That was --
18
           MR. ROBERTS: But anyway, we're getting
19
    off track there. It was alarm systems.
20
           MR. COCKROFT: And it would have to have
21
    been licensed.
22
           MR. CAMPBELL: No, I was helping with the
23
    marketing --
           MR. COCKROFT: So were --
24
25
           MR. CAMPBELL: -- with alarm systems.
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1

experience.

Page 62 1 MR. CAMPBELL: Yeah. I mean -- yeah. 2 MR. SUMMERS: Alliance -- their go-to market was alliance-driven through nonprofit entities in the Memphis Metro area. 4 5 MR. ROBERTS: Okay. 6 MR. SUMMERS: And trying to influence --7 MR. ROBERTS: Basically --MR. SUMMERS: He's not going door-to-door 8 9 selling contracts --10 MR. ROBERTS: Okay. 11 MR. SUMMERS: -- or to commercial 12 entities. I just think that's important to clarify. Because he would not have been required to have been 13 14 registered. MR. ROBERTS: Basically it was a 15 sales/marketing position with that company? 16 17 MR. CAMPBELL: I'd say more marketing, 18 but... 19 MR. ROBERTS: Okay. MR. CAMPBELL: Yeah, you're correct. 20 MR. ROBERTS: What's the Board say --21 22 what do you think, Board? 23 MR. COCKROFT: What's your feeling on the -- the main thing is the degree? 24

MR. ROBERTS: The degree and the

25

Page 64 MR. COCKROFT: So were you registered 1 2 with the Alarm Board? MR. CAMPBELL: I was not. 3 MR. COCKROFT: Yeah. And that would have 4 been the company at fault there --5 MR. CAMPBELL: Yeah. 6 7 MR. COCKROFT: -- not you. 8 But you should have been registered in that 9 position. 10 MR. CAMPBELL: I'm beginning to realize that. 11 MR. ROBERTS: I think it's become clear 12 that these folks have come forward. 13 14 MR. COCKROFT: They're wanting to comply. 15 MR. ROBERTS: Basically -- a little bit 16 of the history. Basically, there was a complaint. At that point they realized they needed to be 18 licensed. That is -- the complaint has been settled, 19 and now they're trying to move forward in a status 20 that would be compliant with our Board and the 21 statutes. So that's kind of where we go. 22 Now, the question is whether or not we're willing to accept Mr. Campbell's academic credentials 23 and experience as meeting the statutory requirements.

25 If we accept that, then he can take the exam and then

Page 65 Page 67 licensed or waiting on the QA to be licensed? 1 move forward as their qualifying agent. If we do not 2 accept that, then they've got to make some 2 MS. VEST: They're waiting on the arrangements quickly to obtain a qualifying agent so 3 qualifying agent, yes, sir --4 they can continue moving their business forward. 4 MR. COCKROFT: Okay. MS. VEST: -- to be licensed. MR. CAMPBELL: Thank you, Mr. Roberts. 5 5 MR. ROBERTS: So basically the entire 6 MR. ROBERTS: Do you have any comments, 6 7 7 operation is depending on his qualifying agent. thoughts, suggestions? 8 Okay. Are you ready to make a decision on 8 MR. HARVEY: I tend to agree with everything you're saying to this point. I think 9 9 this? 10 obviously the experience is there. I agree with 10 MR. HARVEY: Uh-huh. 11 Scott that there has been a lot of activity going on MR. ROBERTS: Do we have a motion? Or 11 you want to delve into this a bit further? What's --12 that should have been recognized before now. But I 12 13 think you've done a good job of pointing that out. 13 are y'all going to flip a coin to see who makes the Have -- just curious. Have you seen the motion? 14 14 15 little book that says "Alarm Licensing Law" on the MR. COCKROFT: I'll make a motion to 15 16 front of it? Have you read that thing yet? 16 accept the degree. 17 MR. SUMMERS: Say -- say it one more 17 MR. ROBERTS: Mr. Campbell's academic credentials, as well as his experience, as meeting 18 time, sir. 18 19 MR. COCKROFT: It may not be printed 19 the requirements for a qualifying agent? MR. COCKROFT: Yes. 20 anymore. 20 21 MS. VEST: No, there is no book. You got 21 And then we also need to add a -- the ability 22 the red book. He didn't get the red book. But he 22 for an extension, if necessary, for the company. MR. ROBERTS: A 90-day extension. has been on the Internet. 23 24 MR. CAMPBELL: No, we have not. 24 MS. VEST: Yes. 25 MS. VEST: He's been on the Internet and MR. ROBERTS: All right. Do we have a 25 Page 66 Page 68 1 saw and filed applications. second? MR. HARVEY: Second. 2 MR. ROBERTS: We've gone paperless. 2 MR. ROBERTS: We have a motion and a 3 3 High-tech society. second. I hope you got all that down over there. 4 MR. CAMPBELL: Right. Right. 4 MR. ROBERTS: And quite honestly, I think 5 THE REPORTER: Yes, sir, I did. 5 Mr. Campbell is a part of that society. 6 MR. ROBERTS: Then all in favor of the 6 motion, if you'll signify by saying "aye." 7 MR. SUMMERS: What's the red book called? 7 8 THE BOARD: Ave. 8 MR. HARVEY: Well, I was being facetious. 9 MR. ROBERTS: Any opposed? 9 We -- used to be a little red book. It had the law. (No verbal response was heard.) 10 MS. VEST: It is no longer available. 10 MR. ROBERTS: Motion then passes. MR. ROBERTS: It was a listing -- it was 11 11 MR. CAMPBELL: Thank you. 12 a printed edition of the statutes. 12 MR. ROBERTS: Very good. MR. COCKROFT: You can now find it 13 13 Mr. Campbell, congratulations. Best of luck 14 14 online. when preparing for the exam and taking that. And if 15 MS. VEST: The only thing I want to 16 interject here is that -- perhaps if Mr. Campbell did you overcome that hurdle, then you're good to go. 16 MR. CAMPBELL: Thank you, sir. 17 not pass the CCTV exam, that they -- I think they did 17 MR. ROBERTS: Thank you for your time and 18 make a statement that they would go out and get a 18 19 qualifying agent in that classification to give 19 effort. 20 Mr. Campbell time. If not, Mr. Campbell would need 20 MR. CAMPBELL: Thank you. MR. SUMMERS: Thank you. 21 to take the exam again and then need to have your 21 MS. VEST: Mr. Campbell, when you're permission to go ahead and give them an extension 22

23

24

finished with that exam, call me.

25 you way before then.

MR. CAMPBELL: Okay. Oh, I'll be calling

23 through our office if that's necessary, so I don't

MR. COCKROFT: The company is currently

24 have to bring it back again.

Page 69 Page 71 MS. BALASZI: Well, there's four MS. VEST: Okay. Thank you. 1 1 MR. CAMPBELL: Thank you. different ones. There's criminal history, Exhibit A. 2 2 3 MS. VEST: You're welcome. 3 MR. ROBERTS: Okay. We've got A, B, C, 4 MR. ROBERTS: All right. Cody, are we 4 and D, it would appear. ready to move forward? MS. VEST: All right. 5 5 6 MS. VEST: Yes, sir. I believe we're on 6 MR. ROBERTS: Would it be appropriate to 7 administrative matters now, which usually moves 7 note that our staff doesn't have to deal with the pretty quickly. Because you've already been given iPads but has real paper to wave in our... 8 that information. MS. VEST: No, sir. See, I do have an 9 9 10 We'll go ahead and take the monthly report. 10 iPad, and I do use my iPad. It's just -- I've made 11 We just have June and July. Again, for the record, I some -- it's hard. When they give me an apparatus to 11 12 will say that we do have two Board members that have use it. I can make notes on and go back to my office. 12 13 expired. That is Karen Jones and Mr. Roberts. 13 I won't be using the paper. 14 That's what you'll see on both the monthly reports. MR. ROBERTS: I understand. All right. 14 15 If there's no questions on that, we'll just MS. VEST: All right. So I think we're 15 16 move right ahead on that. Like I said, you've been on A, which is -- yes. 16 17 given this information ahead of time. 17 MR. ROBERTS: Mr. Aaron Bobbick? MS. VEST: Bobbick. What we have here is 18 We'll look at the budget review now, if 18 19 you've got that on your iPad, rather quickly. As you a DUI from 2002. We have a 2002 grand theft, 19 20 can see, it is the end-of-the-year budget. We ended convicted, three years of probation; 2004 burglary, 20 21 up with a total of two thousand, fifty-six thousand. one count was dismissed; petty theft -- you have 21 22 two hundred and sixty-nine dollars (sic). The Alarm petty theft, one count, two count, three count. He 22 23 Board did. got three years' probation; had to pay restitution. 23 24 And again, he was found guilty of a DUI in 2004. We are still -- and will still be -- paying 24 25 in this guarter -- from what I understand, in this 25 MR. HARVEY: No letters in here on his Page 70 Page 72 1 quarter some more for our computer database as well. 1 behalf? 2 You will see that's under Edison expenditures. Some 2 MS. VEST: No, sir. 3 months it was a good bit; some months it were not. MR. COCKROFT: In his letter he 3 4 But we are -- we did end in the black, like we references that it wasn't on the original 4 5 usually do. So there is no fear of being up for 5 application. Is that why it's before us? Would you have approved this? Or is it because of the charges? sunset. But I will say, if we do get called to the 7 MS. VEST: It's before you because of the 7 Hill, I will ask the Board to appear as a witness. 8 MR. ROBERTS: That's to share in the 8 charges. 9 blame: is it not? 9 MS. JONES: He said he didn't realize he 10 MS. VEST: No, that's to share in the 10 had to report charges over ten years ago, but our "how you doing, good job." The Hill does like to application says --11 11 12 know that the Board appreciates that. And they do 12 MR. COCKROFT: Right. 13 like to meet you; see that you enjoy coming up to the MS. JONES: -- "have you ever." 13 MS. VEST: Yes. 14 Hill as much as we do. 14 MR. COCKROFT: I just thought they were 15 All right. See the next one -- there's 15 on the application that I'm looking at. But was it 16 legislative update. We don't have any legislation 16 update. 17 not on the --17 MS. JONES: It's on the original. 18 Application reviews, we did one this morning, 18 but I did it as an appearance, for Mr. Roy. So we'll 19 MR. COCKROFT: -- the original? 19 MS. JONES: Yeah. 20 move past that. 20 MR. COCKROFT: Well, that's what I mean. 21 Oh, we have no requests for an extension. 21 We'll just move right on down to the criminal It was on this application, but he -- sounds like he 22 22

23

24

25 ever" --

had another one that it must not have been on.

MS. JONES: It's right here. "Have you

23

24

25

history. And that should be on your iPads as well.

MR. ROBERTS: Is that Exhibit A?

MS. VEST: I thought it was C.

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Page 73
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           MS. VEST: Yes, it's a material
2
   misstatement.
3
           MR. COCKROFT: Okay. I see. He didn't
4
   list it on this one.
5
           MS. JONES: Yeah.
6
           MR. COCKROFT: But then this next page
7
   has it listed. See, the charges are listed on Page 3
   in this application, but his letter says that he
   didn't list them.
9
10
           MS. JONES: On his first one.
11
           MS. VEST: The first -- yeah, this is a
12 reapply.
13
           MS. JONES: This is his second
14 application?
15
           MS. VEST: This is his second
16 application.
17
           MS. JONES: We didn't see the first one.
18
           MR. ROBERTS: I couldn't help but notice
19 with some interest, he was charged and apparently
20 convicted of grand theft, and he got ten days in
    jail. A year later -- little over a year later, he
22 was convicted of petty theft and got 20 days in iail.
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Page 75 1 domestic assault that was reduced to battery and it 2 was dropped. But then we have a new December 2016 domestic assault that he's guilty of -- or the record shows he's quilty. 5 Okay. I think what I'm going to need to do with this one here is to re-call this back. If you 6 would see the agreed order of retirement, it does 7 have a date of May the 12th of this year. I have no more correspondence, so I don't know if it has been 10 retired or not.

11 So I probably -- I feel like I do need to go 12 back to this gentleman and say, you need to provide me with more court documents, if that's okay with the Board. Or you can make your decision based on that. MR. ROBERTS: What's the Board's 15 16 pleasure? 17 MR. HARVEY: I guess any additional

18 information helps, but it's not looking good at this point, is my opinion. 19 20

Any other opinions?

21 MR. COCKROFT: What are you saving, Cody? That you -- some of this information might -- should 22 23 not have been presented to us or something? Or it 24 shouldn't --25

MS. THOMAS: It's not that it shouldn't

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  burglary involved.
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MR. COCKROFT: I agree.

23 That just seems inconsistent to me.

MR. HARVEY: I make a motion to deny at this point. And if he would like to appear and speak 4 with us, we'd welcome that.

I personally have a great deal of reluctance

25 to approve an application where there's been theft or

6 MS. VEST: All right. I'll make a note 7 of that.

8 MR. ROBERTS: Okay. So we have a motion to deny. And, of course, he would have the 9 opportunity to appeal that. And Cody indicated that she would indicate that in a reply to him. 11

12 Do we have a second to the motion for a 13 denial?

MR. COCKROFT: Second.

15 MR. ROBERTS: Motion and a second. Any 16 further discussion?

17

(No verbal response was heard.)

MR. ROBERTS: All in favor, if you'll 18 signify by saying "aye." 19

20

THE BOARD: Ave.

MR. ROBERTS: I believe that's passes unanimously then. Thank you very much.

23 MS. VEST: All right. We'll go to the 24 next one, which is Exhibit B, Briscoe. This is a

relatively new charge. But he did have a 2005 25

Page 76 1 have been presented. With the agreed order of

2 retirement, if this applicant did go back to court

3 and ask for this to be dismissed after he met all of those conditions, this may not have even been a

charge that Cody would have presented to you, but...

She would have presented it, but she would 6 have also stated that it was dismissed. And so I 7 think she's asking for the opportunity to go back to the applicant and request to see if he asked for this to be dismissed or if this is still a current quilty

charge on his record. 11

12 MR. COCKROFT: So do we need to make a motion, or do you -- are you just going to pull it or -- what are we doing? 14

MS. VEST: I don't think we need a 15 motion. I can just pull it back out and just tell 16 you that Exhibit B is going to be removed from the 17 18 agenda.

19 MR. ROBERTS: I think that would be perfectly in order, if that's what you feel like 21 would be appropriate.

22 All right. Then let's move on to the next 23 one.

24 MS. VEST: All right. I believe that's 25 going to be Exhibit C.

Page 77 MR. ROBERTS: Teresa Call? Is that property. It was 16 months. Guilty. He does have a 1 1 letter there with an explanation. 2 correct? 2 3 MS. VEST: Yes, sir, it is. It's a wrong 3 MR. ROBERTS: Do I understand from the 4 4 application that Mr. Lyles is a resident of Alabama? MS. VEST: Yes. Uh-huh. Yes. That's 5 MR. ROBERTS: Wrong application. 5 6 MS. VEST: It's a wrong application. 6 what he says on his application. 7 This was a test, and you passed. 7 MR. ROBERTS: Montgomery, Alabama. MS. JONES: We're awake. 8 MS. VEST: Yes, sir. That's where the 8 MS. VEST: Yeah. 9 company's located as well. No. is that -- the 9 10 MR. ROBERTS: Does that mean we can be company is in Alabama as well. 10 11 QAs? 11 MR. COCKROFT: Appears he's been in the 12 MS. VEST: No, there might be a little 12 industry because he has a --13 bit more. 13 MS. JONES: Yeah, back in '09. 14 MR. ROBERTS: All right. So we've moving 14 MR. COCKROFT: Right. He's got a Level 1 15 on to --15 class in '09. 16 MS. VEST: Let's move -- Exhibit D --16 MR. ROBERTS: So is this a monitoring 17 MR. ROBERTS: Exhibit D? 17 company that he would be working for? Or is this an 18 MS. VEST: -- yeah. 18 installation company? Or do we know? 19 MR. ROBERTS: Dewayne Lyles 19 MS. VEST: I did not look that up. No. 20 (phonetically). 20 sir, I didn't. 21 MS. VEST: Yes, sir. 21 MR. ROBERTS: Okay. 22 22 What I have there, usually what transpires in MS. VEST: It's called Capital Business 23 the Navy, the -- or in the military, we do the 23 Equipment. 24 DD-214. Whatever has happened, they've already --24 This company is listed as a burg and CCTV 25 they've restricted his leave and they charged him 25 company. Page 78 Page 80 1 with some loss of pay. But we do have the 2000 --MR. ROBERTS: So I would guess from that 1 2 1999, excuse me, possession of marijuana, terrorist then they are -- they would be planning on using him 3 threats, and brandishing a firearm, which he got as an installing technician of some sort, probably 4 probation but his probation was revoked. But it's working up into the Tennessee area. Okav. 5 been a long time ago. But I felt like it still 5 All right. What's the Board's pleasure in needed to come back because the year 2000, he was 6 this issue? 7 7 found guilty of burglary and vehicle theft; got 16 MS. JONES: I was reading what they do. 8 months in prison. 8 MR. COCKROFT: It does say field service 9 MR. ROBERTS: We've got a '95, it would 9 tech. And it says he's been employed since 2005 --10 MS. VEST: 2005. 10 appear... MS. VEST: That was the military one; is 11 MR. COCKROFT: -- with that company. 11 12 MR. ROBERTS: Presumably working in 12 it not? MR. ROBERTS: Yeah, it says --13 Alabama. 13 14 MS. VEST: In Alabama. 14 MS. VEST: Yeah. MR. ROBERTS: -- he was charged with 15 MR. COCKROFT: Right. I'm not saving it 15 theft. was unlicensed or anything. 16 16 MR. ROBERTS: We don't have any letter of 17 MS. VEST: Uh-huh. 17 recommendation from the QA, anything like that? 18 MR. ROBERTS: Okay. And then possession. 18 And then in 2000, vehicle theft, it would appear. MS. VEST: No, sir. All you have is his 19 19 20 Stolen property. 20 explanation. 21 MS. VEST: Uh-huh. 21 MR. ROBERTS: What do you think? 22 MR. ROBERTS: Several charges there. 22 MR. COCKROFT: I don't feel like I really 23 That was in 2000. 23 entirely understand what happened or what was done. 24 MS. VEST: Yes, sir. That's the one that It doesn't really say what the theft was. It is 25 25 you'll see further down that said received the stolen one -- it's -- basically all happened at one time,

Page 81 Page 83 reasonable approach? Allow -- give him some right? All of those charges were one --2 MS. VEST: In 2000, yes. reasonable period of time to appear before the Board, perhaps at the next Board meeting. And if he does 3 MR. ROBERTS: In '95 there was some sort not do so, then his application would be denied. 4 of problem while --Does that sound like a reasonable -- would that. 5 MR. COCKROFT: In the military --6 MR. ROBERTS: -- presumably while he was like, be the motion that you would seek? 7 MR. COCKROFT: Yes. 7 in the Navy. 8 MR. ROBERTS: All right. Then he would 8 Basically I would focus more on the 2000 9 arrest. And it's certainly not clear what was --9 be invited to attend the next Board meeting to appear before the Board. And if he chooses not to do so. 10 what was taking place there. MR. COCKROFT: The theft, I guess, is the then we would deny his application. 11 11 All right. Then that would be a motion. Do 12 most troubling for me. But it does -- it's not real 12 we have a second? 13 clear what this was. 13 14 MS. JONES: Second. 14 MS. VEST: Well, you know --MR. ROBERTS: We have a motion and a 15 MR. ROBERTS: Let's see --15 16 MS. VEST: -- we can always ask him to 16 second. Any further discussion? 17 come, in if you want to, at the next Board meeting. 17 (No verbal response was heard.) MR. ROBERTS: All right. Are you ready 18 MR. ROBERTS: -- looks like there are 18 to vote? All in favor, signify by saving "ave." 19 three charges here, if I understand correctly. 19 20 MS. VEST: Yes. 20 THE BOARD: Aye. MR. ROBERTS: Riverside, California, MR. ROBERTS: I believe that would be 21 21 22 there was a charge of burglary, receiving stolen 22 unanimous, and the motion then passes. property, and vehicle theft. So there are three 23 MR. COCKROFT: Did we do something 24 charges there. 24 different earlier on one of them? We denied someone 25 MS. VEST: Uh-huh. -- I mean, I'm never intending for them to have to Page 82 MR. ROBERTS: And he has not disclaimed reapply or pay fees, if they want to come to appear. 1 MS. VEST: Yes, sir. You denied the very those in his letter. 2 3 So do you feel like that you want him to 3 first one. 4 appear before the Board? 4 MR. COCKROFT: So that would -- they MR. COCKROFT: That would make me feel would have to pay fees or reapply? 5 MS. VEST: (Nods head affirmatively.) 6 6 better. 7 I'll make a motion to deny with the -- I 7 Unless you want that one to come before the Board, too. I don't -- let me see where the individual mean, we don't have to add anything else to that, do 8 we? They basically have the option to appeal. 9 9 actually is. MS. VEST: Well, if that's what you want, MR. ROBERTS: That was the one with a 10 10 pretty significant record, isn't it? I'll ask him to appear. 11 11 MS. VEST: This one's in --12 MR. COCKROFT: Okay. 12 MS. THOMAS: Yeah. MS. VEST: But if you're going to vote to 13 13 MS. VEST: -- Washington State. It's a deny his application, then he'll need to reapply --14 14 15 MR. ROBERTS: Let's --15 Utah company. MR. COCKROFT: I was just asking. I'll 16 MS. VEST: -- and appear. 16 MR. ROBERTS: Yeah. try to remember that in the future. 17 17 18 MS. VEST: Or if he wants to appear to 18 MR. ROBERTS: Well, do you want to revisit that? 19 discuss this one. 19 MR. COCKROFT: I don't think I made that 20 MR. ROBERTS: Let's -- if you want him to 20 motion, did I? I seconded the motion, I believe. appear, let's invite him to appear before the Board. 21 And if he chooses not to do so, then perhaps we could MR. ROBERTS: Well, it was a unanimous 22 go ahead and deny the application. vote in favor, so if anybody wanted to revisit that, 23 23 MR. HARVEY: Yes. we could certainly do so. 24 24 25 MR. ROBERTS: Does that sound like a 25 (No verbal response was heard.)

Page 85 Page 87 MR. ROBERTS: I don't sense that you want 1 David Turner, and Jonathan Lim, L-I-M, is how I pronounce that. It's not for their courses. It's 2 to do that; is that correct? 3 MS. VEST: Okay. What I've done -- I for the instructors. 4 have denied the application. He does have a right to 4 MR. ROBERTS: To approve them as 5 5 appeal that -instructors? 6 MR. ROBERTS: Yeah. 6 MS. VEST: Yes, sir. 7 MS. VEST: -- if he wishes to do so. 7 MR. ROBERTS: Were you comfortable with 8 I'll send him a letter, and it has the appeal process 8 that? in it. And he can come back -- he can come before 9 MR. COCKROFT: Yes. 10 the Board, if needed. 10 MR. ROBERTS: Okay. Then the -- what was MR. ROBERTS: All right. Very good. 11 next? I have four courses that were submitted for my 11 12 All right. Are we ready to move on --12 MR. COCKROFT: Uh-huh. 13 13 MS. VEST: Yes, sir. You had the 14 MR. ROBERTS: -- Cody? Electronic Security Association. 14 MS. VEST: Yes, sir. I believe we're on MR. ROBERTS: Okay. And I had four 15 15 16 -- the last thing that I have actually on the agenda courses. Let me read those. One was the national 16 17 is the course approvals for review. I believe we electric code as it applies to fire alarm systems, 17 sent some out to Mr. Roberts and Mr. Harvey and 18 for one hour of continuing education. The second one 19 Mr. Cockroft there. was general session, Innovate or Else, for one hour 20 I believe, Ken, you probably got the first of continuing education. Third course was public 21 four that are Electronic Security Association, the safety luncheon, Video Surveillance: Focusing on the 22 national training school. They have asked us for Evidence, one hour of continuing education. The 23 continuing education, one hour for each one of those fourth course was opening keynote lessons in 23 24 classes. 24 leadership, for one hour of continuing education. 25 25 And I would recommend that we approve all MR. COCKROFT: I got some of the ESA Page 86 Page 88 1 classes. 1 four of those as requested. 2 MS. VEST: Okay. 2 Were there other courses as well? 3 MR. COCKROFT: As well as the --3 MR. COCKROFT: There's some Nortek on 4 MS. VEST: No. You -- I think what 4 there. 5 you've got was the new instructors for the Hikvision. 5 MS. ROBERTS: Okay. 6 MR. COCKROFT: Right. 6 MR. COCKROFT: I didn't get those. I 7 MS. VEST: And they -- all that -guess Keith got those. they've all -- the classes have already been MR. HARVEY: I was trying to get back to 8 8 approved, but they want to have these instructors 9 9 the dang library. I lost it. approved, is what you had, I believe. 10 10 MS. VEST: Okay. You had the Nortek MR. COCKROFT: I think I had both, Security and Control Linear ProControl certified 11 11 12 actually. 12 technician for the six hours of continuing education? MS. VEST: Okay. 13 MR. HARVEY: Yes. 13 MR. COCKROFT: But they both look fine to 14 14 MS. VEST: Then you had the 2GIG 15 certified technician. They requested four hours of 15 me. But the instructors for the existing courses --MR. ROBERTS: Do you recall what those continuing education. Then it was, again, four hours 16 16 courses were? Let's read that into the record, if of education for the GC2 certified technician. We 17 17 you have that information readily available. 18 also had the E3 series certified technician for six 19 MR. COCKROFT: Let's see if I can find 19 hours. The 2GIG GC3 certified technician for four 20 it. hours. And I believe the last one was the 2GIG GC2 MS. VEST: I can -- this is from and GC3 certified technician. All of these with 21 21 22 Allen Schwartz at the learning development management 22 Nortek -at Hikvision USA. "We wish to submit the resumés of 23 MR. HARVEY: Yes. 24 three individual instructors to be authorized to 24 MS. VEST: -- for six hours. 25 teach their classes," is what it is for. Tim Sawyer, 25 MR. HARVEY: I approve all of those for

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MS. VEST: Yes, sir. That's what they asked for, was all continuing ed.

MR. ROBERTS: Okay. Good. Then that would be your recommendation.

Were there other courses?

MS. VEST: No. sir. I believe that's covered them.

MR. ROBERTS: Okay. We have three sets 10 of recommendations. Is the Board willing to accept 11 those? Just put it -- put that in the form of a 12 motion. We would have a motion then that the 13 recommendations made by individual reviewers -- the 14 courses that we just listed would be accepted for the 15 continuing education as they've requested.

MR. COCKROFT: I'll make that motion.

MR. ROBERTS: Oh, we've got a motion, hot

dog. Do we have a second to that motion? 18

MR. HARVEY: Second.

20 MR. ROBERTS: So we have a motion and a 21 second. All in favor, signify by saying "aye."

22 THE BOARD: Ave.

23 MR. ROBERTS: I believe that passes

24 unanimously, so then we can move on.

25 MS. VEST: All right. I think the next

1 MS. THOMAS: Yes. That was the question 2 posed at the last Board meeting. And so I've -- I had done some research about the authorized dealer relationship. And it really comes down to a question of vicarious liability and apparent authority.

6 The relationship between the authorized 7 dealer, the monitoring company, and the responding company, it does not obligate the monitoring company 8 for their actions because they aren't necessarily acting on behalf of that monitoring company.

So the short answer is, the monitoring company had no liability for the actions of that 12 company.

I did prepare a memo to give you-all. I'm treating it as attorney/client communication, which 15 is why it's not on the iPad for others to see. But I did want to give you that answer on the record.

18 MR. ROBERTS: All right. Let me make 19 sure I understand. Apparently -- and I don't recall 20 from the previous Board meeting, the situation. Apparently some question as to whether or not the 21 monitoring company had a liability with regards to 22 23 actions of the --

24 MS. THOMAS: Respondent company. 25 MR. ROBERTS: -- Respondent company.

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thing on the agenda is some unfinished business. And I'll turn that over to our staff attorney.

MS. THOMAS: Okay. At our last Board meeting, one of the complaints, complaint number 2017-020591, I believe was presented by Lindsey. And 6 there was a question posed at the end of her presentation.

And Lindsey, you know, correct me where I'm wrong in the facts of the case. The Respondent was an authorized dealer for a licensed alarm monitoring 11 company. There was some dispute about the contract 12 and who was responsible. And I believe the 13 Respondent submitted the contract for collections: is 14 that correct?

15 MS. SHEPHERD: Right. So Respondent's 16 sales rep -- remember talking about this? Respondent's sales rep goes into a home. And the 17 18 Respondent is an electronics company. And then they are an authorized dealer of an alarm monitoring company. But the actual contract is between -- that 20 21 was negotiated by Respondent's sales rep, is actually between the monitoring company and the consumer. 22

So the question was, what's the liability -potential liability for the monitoring company.

Is that right, Ashley?

1 MS. THOMAS: Correct.

> MR. ROBERTS: And I would guess from 2

that, the Respondent company had done something inappropriate and the -- presumably the complainant

had a complaint back against the monitoring company

and said, well, they were an authorized agent;

7 therefore, the monitoring company was responsible in 8 some fashion. Was that the question?

MS. SHEPHERD: It was that -- their 9 complaint was actually against the electronics 10

company. So the monitoring company wasn't mentioned 11

12 within the complaint, and there was never a complaint 13 opened against them.

MR. ROBERTS: Okay.

15 MS. THOMAS: And part of the reason for 16 that is, I think the monitoring company actually did

release the complainant from the contact, and then 17

that electronics company apparently sent that 18

contract for collections. And I think that's how the 19

20 Board sought to question whether or not the

monitoring company had any liability. 21

22 MR. ROBERTS: Okav.

23 MS. THOMAS: That was all I had.

24 MR. ROBERTS: All right. I don't see

25 that there's any particular action that the Board

Page 93 Page 95 needs to take; that that was a report you made for 1 MS. JONES: If you go, you get ten CEUs 2 our information then. 2 with them. 3 MS. THOMAS: Right. Based on the 3 MR. ROBERTS: You'll be socially 4 question that you-all had posed at the last meeting. 4 ostracized. 5 MR. ROBERTS: Good. All right. 5 MR. COCKROFT: I think I was a little 6 MS. VEST: Okay. I believe the next 6 late coming in one time, and I got pointed out. 7 thing on the agenda is new business. And the only 7 MS. VEST: Did I? Oh, I am so bad. See. thing I have is that Mark Amick (phonetically) and 8 that's the way they do me at these meetings. Phyllis Patrick will be attending the -- what is it? 9 MR. ROBERTS: All right. Is there any 10 TNESA? 10 further business for our Board before we adjourn? MR. COCKROFT: ESA. 11 11 (No verbal response was heard.) 12 MS. VEST: Because we'll be -- Shauna and MR. ROBERTS: All right. If there's 12 13 I will be preparing for another Board meeting. 13 none, then we will declare ourselves adjourned. 14 And then we do have -- on September the 15th 14 Thank you for your participation. 15 in Pigeon Forge would be the seminar that we invited 15 (WHEREUPON, the foregoing proceedings 16 all the alarm contractors, locksmiths, private 16 were concluded at 11:31 a.m.) 17 investigators, and security personnel to attend. It 17 18 will be two sessions, one in the morning and one in 18 19 the afternoon. There are some -- still some rooms 19 20 available if anybody is interested. It will be given 20 21 at the State rate as well. 21 22 It will be the -- like I said, the last 22 23 session for this year. I don't know when we will 23 24 have another one. It is going to be actually 24 25 on-boarding, and we will be focusing on alarm 25 Page 96 CERTIFICATE applications at this meeting. All applications are 1 2 STATE OF TENNESSEE 2 the same when you're on-boarding, but we -- actually 3 COUNTY OF SUMNER 3 they're demonstrating how to do this for your 4 I, JEANNIE CHAFFIN, Licensed Court Reporter 4 registered employees. 5 with offices in Portland, Tennessee, hereby certify 5 And then we'll be talking about other things 7 that I reported the foregoing proceedings of the that have been going on. But it will be all MEETING OF THE TENNESSEE ALARM SYSTEMS CONTRACTORS 8 7 professions that we do regulate. Hope everybody can BOARD by machine shorthand to the best of my skills 9 and abilities, and thereafter the same was reduced to attend. 8 11 typewritten form by me. 9 MR. ROBERTS: Okay. Does that -- is that 12 I further certify that I am not related to 10 all of our new business then? any of the parties named herein, nor their counsel, 13 and have no interest, financial or otherwise, in the MS. VEST: Yes, sir. That's all I have. 11 15 outcome of the proceedings. 12 MR. ROBERTS: Basically was an I further certify that in order for this 13 announcement. document to be considered a true and correct copy, it 17 must bear my original signature and that any 14 Is there any other business that the Board unauthorized reproduction in whole or in part and/or 15 needs to conduct? 18 transfer of this document is not authorized, will not 16 MR. HARVEY: Are we supposed to attend be considered authentic, and will be in violation of

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the TNESA show for the portion of it that involves

20 attend, you'll be given continuing education. It's

just going to be -- actually the highlight also of

MS. VEST: The State Board. If you do

MR. COCKROFT: She'll just point out that

MS. VEST: I wouldn't do that, Scott.

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the State Board?

22 the on-boarding.

24 you're not there on the record.

Tennessee Code Annotated 39-14-104, Theft of

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