

500 James Robertson Parkway Nashville, Tennessee 37243 615-741-3805

Protected Cell Captive Insurance Company Individual Cell Application

Submit to assigned analyst, unless submitted with new captive application, then submit to captive.insurance@tn.gov.

A. GENERAL INFORMATION:

- 1. Name of Protected Cell Captive Insurance Company the cell is associated with:
- 2. Name of Proposed Protected Cell:
- 3. Parent or Sponsor: *

Name:

Street Address:

City, State and Zip:

Phone Number:

E-Mail Address:

- **4.** Type of Business Proposed:
 - Incorporated (Requires Charter or Articles of Incorporation approval)
 - (Requires Articles of Organization approval)
- Unincorporated (Non-Series, requires no organization document)

(Unincorporated, requires no organizational document)

Series

- 5. Principal Place of Bness of Proposed Cell:
- 6. Resident Registered Agent:
- 7. Location of Books and Records:

* If Beneficial Owner is publicly traded, current Annual Report (10-k) must be included with application



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B. COVERAGE/LIMITS/REINSURANCE

Coverage	Direct or Reinsurance	Policy Limits per Occ./Agg.	Claims Made or Occurrence	Amount Reinsured	Reinsured By
	_				
	_				

Program Aggregate Limit: \$ _____

Are Policies assessable?	Yes 🗌	No 🗌
Parental Guarantee in place?	Yes 🗌	No 🗌
Loan to Parent requested?	Yes 🗌	No 🗌
Loss Discounted?	Yes 🗌	No 🗌

If so, proposed rate? _____



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C. SERVICE PROVIDER INFORMATION

MANAGEMENT COMPANY*	CAPTIVE MANA
Company Name:	Company Name:
Contact Name:	Contact Name:
Street Address:	Street Address:
City, State, Zip:	City, State, Zip:
Phone Number:	Phone Number:
E-Mail Address:	E-Mail Address:
Website:	Website:

CAPTIVE MANAGER

CPA*

Company Name:				
Contact Name:				
Street Address:				
City, State, Zip:				
Phone Number:				
E-Mail Address:				
Website:				

THIRD PARTY ADMINISTRATOR

Company Name: **Contact Name:** Street Address: City, State, Zip: Phone Number:

E-Mail Address:

Website:

ACTUARY* **INSURANCE OR REINSURANCE BROKER** Company Name: **Company Name:** Contact Name: Contact Name: Street Address: Street Address: City, State, Zip: City, State, Zip: Phone Number: Phone Number: E-Mail Address: E-Mail Address: Website: Website:

*Captive Manager, CPA, and Actuary must be approved by the Department. See how to form a Captive on our website for additional information



I CERTIFY THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL OF THE INFORMATION GIVEN IN THIS APPLICATION IS TRUE AND CORRECT AND THAT ALL ESTIMATES GIVEN ARE TRUE ESTIMATES BASED UPON FACTS WHICH HAVE BEEN CAREFULLY CONSIDERED AND ASSESSED.

I ACKNOWLEDGE AND AGREE THAT ANY COST ASSOCIATED WITH ACTUARIAL REVIEWS PREPARED AT THE REQUEST OF THE CAPTIVE INSURANCE SECTION OF THE TENNESSEE DEPARTMENT OF COMMERCE AND INSURANCE ASSOCIATED WITH THE REVIEW OF THIS APPLICATION IS THE SOLE RESPONSIBILITY OF THE APPLICANT.

Officer, Director, or Attorney-in-Fact for a Reciprocal*	Captive Manager*
Date:	Date:
Name:	Name:
Signature:	Signature:

*These signatures must be two separate individuals.