

Agency Name Here

ROLLING STOCK VEHICLE PROCUREMENT

Post-Delivery Audit Report

Vehicle Type:

Purchase Order:

Manufacturer:

Vendor:

Submitted By:

Name:

Title:

Date:

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1.1 EXECUTIVE SUMMARY

Provide description of report including manufacture, number, and type of vehicles and references to key FTA guidelines.

Provide statement about whether rolling stock vehicle manufacturer is compliant with both (or all three) certifications reference FTA regulations (49 CFR parts 661 and 663).

Provide description how report is divided into two (or three) major sections in accordance with the regulation's requirements namely:

- i) Post-Delivery Buy America Compliance Certification
- ii) Post-Delivery Purchaser's Requirements Certification
- iii) Post-Delivery FMVSS Certification (if applicable)

Include statement about the appendices of this report containing the relevant attachments to support the two (or three) major sections.

Provide statement about if Rolling Stock vehicle manufacturer meets requirements of 49 CFR part 663.

The Post Delivery Audit was performed on the _____ delivered by _____ . Post-delivery Purchaser's Requirements certification, Post-delivery Buy America Compliance certification, and Post-delivery FMVSS Compliance certification were completed by XXXXX and will be kept on file, along with the manufacturer's Buy America Certification, the Buy America Content Certification, and other relevant documents.

Documentation provided by the manufacturer was reviewed to determine that Buy America requirements were met for the rolling stock, including component and subcomponent parts. Reviewed were manufacturer compliance, domestic content, and final assembly location. Also reviewed were Buy America compliance certifications found in the bid documents for the listed vehicle(s).

The review found sufficient evidence that the manufacturer/vehicle meets the Buy America requirements.

Appendices to be added by the sub recipient and included with this report:

Appendix A – Post Delivery Certifications - XXXXX

Appendix B – Buy America Domestic Content Certification and Summary

Appendix C – FMVSS Documentation

Appendix D – Additional Documentation

2.0 POST-DELIVERY AUDIT PROCEDURES

Provide brief description of the procedures used to perform overall audit including if in-house staff or consultants were used. Include brief statement about Post-Delivery Buy America documentation received from the rolling stock vehicle manufacturer and provide brief overview of the review and analysis performed to determine compliance with Buy America Requirements including any onsite visits to the rolling stock manufacturer or component supplier sites. Include brief statement about procedures used to verify Post-Delivery Purchaser's Requirements Certification including the presence of a resident inspector and confirmation of receipt and review of resident inspectors report to determine compliance with Post-Delivery Purchaser's Requirements Certification.

XXXXX staff performed a post-delivery audit on the _____
from _____, manufactured by _____.

The documents reviewed included the Post Delivery Buy America certification, the manufacturer's documentation and certificate of compliance, listing of the vehicle components that included domestic content, and certification of final assembly site location.

XXXXX visually inspected and road tested the delivered vehicle to assure vehicle complies with bid specifications.

3.0 POST-DELIVERY BUY AMERICA COMPLIANCE CERTIFICATION

Provide brief summary addressing the rolling stock vehicle manufacturer's Post- Delivery Buy America documentation and whether the manufacturer meets or does not meet the domestic content and final assembly requirements. Provide brief summary of documentation provided by the rolling stock vehicle manufacturer and reviewed by the recipient or its designated auditor.

3.1 Verification of Domestic Content and Final Assembly cost

Provide a detailed summary about auditor reviewing rolling stock vehicle manufacturer's Buy America worksheet and if it includes all the typical components of the rolling stock being purchased per the 49 CFR § 661.11. State the total % domestic content claimed by the rolling stock vehicle manufacturer.

Include information about the information and documentation reviewed by the auditor to verify the domestic content, including, but not limited to, whether information about the breakdown of components, subcomponents, manufacture of origin, percent cost or actual cost of components and percent cost or actual cost of corresponding subcomponents.

Provide brief summary about whether manufacturer has signed certificates from all component suppliers certifying U.S. content and a statement about the sampling of the components supplier's certifications.

Include any back up analysis of manufacturer's Buy America worksheet in the Appendices section of report and briefly summarize the analysis performed.

Provide summary statement affirming manufacturer's claimed domestic content based on the independent analysis. Provide final statement about whether the Rolling Stock Manufacturer's Buy America Worksheet is accurate and documented.

Domestic content documents were examined and were consistent with Pre Award data.

Domestic content claimed is _____ percent. Document summaries include components, subcomponents, manufacture of origin, percent domestic, and manufacturing location. The majority of the domestic content is the vehicle chassis, which is consistent with industry practices and pre-award data. See Appendix A and B.

3.2 Verification of U.S. Final Assembly Location and Activities

Provide brief summary confirming U.S. final assembly location and how final assembly activities correspond to minimum final assembly activities in 49 CFR § 661.11. Make reference to the Resident Inspector's report that should detail this information (if applicable).

Final assembly of vehicle was in _____. Major activities undertaken at the final assembly were reviewed and found to correspond to minimum final assembly activities in 49 CFR § 661.11.

See Appendix A and B.

Resident Inspector's report not applicable.

Address of Final Assembly:

4.0 POST-DELIVERY PURCHASER'S REQUIREMENTS CERTIFICATION

Provide summary that this section addresses the manufacturers Post-Delivery Purchaser's Requirements Certification. Provide brief summary on methods of review and analysis to determine if the requirements were satisfied including presence of resident inspector, resident inspectors report and verification and results of visual inspection and test sheets.

Provide summary statement on if the Rolling Stock Vehicle Manufacturer meets the Post-Delivery Purchaser's Requirements as stated in 49 CFR Part 663 Pre-Award and Post-Delivery Audits of Rolling Stock Purchases.

4.1 Assignment of Resident Inspector to Final Assembly Location Verification of Manufacturer's Capability and Capacity (if applicable)

Provide brief summary of Resident Inspector's report and how it demonstrates Rolling Stock Manufacturer's Capability and Capacity. Include statements such if full time or part time for resident inspector and number of resident inspectors at final assembly plant and type of inspections performed on vehicles as summarized in the resident inspector's report (if applicable). Provide summary of quality assurance and control processes and if they comply with the appropriate FTA elements.

4.2 Inspection Testing and Commissioning to Show Vehicles Fulfill Contract Specifications

Provide brief summary of key inspection, testing, test witnessing and commissioning activities as summarized in the resident inspector's report making reference to sample inspection check sheets, testing procedures and reports and examples of how the results inspection and testing meet the technical specification requirements

XXXXX staff inspected and road tested delivered vehicle(s) to assure vehicle complies with bid specifications.

Resident inspector to final assembly location report is not applicable.

See Appendix A and D.

5.0 FEDERAL MOTOR VEHICLE SAFETY STANDARDS (FMVSS CERTIFICATION) (IF APPLICABLE)

Provide summary statement that the rolling stock vehicle manufacturer's actual FMVSS self-certification sticker information was obtained and reviewed against sample FMVSS sticker and was found to include the content required for the Post-Delivery Audit.

A Post-Delivery FMVSS Compliance Certification is signed by the manufacturer,
_____ and attached.

A visual inspection and road test of the vehicle was performed by SWHRA staff. At this time, the FMVSS sticker was located on the vehicle and found to include the content required for the Post-Delivery Audit.

See Appendix C.

6.0 POST-DELIVERY AUDIT CONCLUSION

Provide summary regarding how the procedures used, analysis performed and results found on Post-Delivery Audit of the rolling stock manufacturer indicate that the Buy America Compliance and the Purchaser's requirements certification have been satisfied.

Buy America certifications were signed by the manufacturer, _____.
Domestic content documents, final assembly location, and the FMVSS compliance certification sticker were reviewed and found to be compliant with the Buy America requirements.

XXXXX staff inspected and road tested delivered vehicle(s) to assure vehicle complies with bid specifications.

Following the review of the documents, _____ from _____ is in compliance with the solicitation requirements and is in compliance with Buy America requirements.

Name

Title

Date

Appendix A

Post Delivery Certifications – XXXXX

Manufacturer: _____

Vendor: _____

Vehicle Type: _____

Purchase Order: _____ PO Quantity: _____

Post-Delivery Buy America Compliance Certification

As required by 49 CFR Part 663-Subpart C, XXXXX certifies that it is satisfied that the vehicles it received from the manufacturer meet the requirements of Section 165(b)(3) of the Surface Transportation Assistance Act of 1982, as amended. XXXXX has reviewed documentation provided by the manufacturer, which lists (1) the actual component and subcomponent parts of the vehicles identified by manufacturer, country of origin, and cost; and (2) the actual location of the final assembly point for the vehicles, including a description of the activities that will take place at the final assembly point and the cost of final assembly.

Signature _____ Name _____ Date _____

Post-Delivery Purchaser's Requirements Certification

As required by Title 49 of the CFR, Part 663 – Subpart C, after visually inspecting and road testing the contract vehicles, XXXXX certifies that the vehicles described above meet the contract specifications.

Signature _____ Name _____ Date _____

Post-Delivery FMVSS Compliance Certification

As required by Title 49 of the CFR, Part 663 – Subpart D, XXXXX certifies that it received, at the post-delivery stage, a copy of the manufacturer's self-certification information stating that the vehicles comply with the relevant Federal Motor Vehicle Safety Standards issued by the National Highway Traffic Safety Administration in Title 49 of the Code of Federal Regulations, Part 571.

Signature _____ Name _____ Date _____

Appendix B

Buy America Domestic Content Certification and Summary

Appendix C

FMVSS Documentation

Appendix D

Additional Documentation