

2021 Lobbyist Registration Audit of Lisa Sherman-Nikolaus

FINDING(S)

- 1. Lisa Sherman-Nikolaus failed to timely register for one employer.**

EMPLOYER(S)

- TIRRC Votes

REGISTRATION(S)

Lisa Sherman-Nikolaus registered as a lobbyist for one employer during 2021. Based on the audit documentation, Lisa Sherman-Nikolaus failed to timely register the lobbying relationship with the employer. Based on the records provided, Lisa Sherman-Nikolaus was employed by TIRRC Votes. Both the lobbyist and employer were registered in 2020 and appear to have a continuing relationship in 2021. It appears the lobbying relationship requiring registration commenced in the prior years and has continued, and no documentation was provided to indicate otherwise for the audit. Therefore, Lisa Sherman-Nikolaus was required to register by January 8, 2021, to be within the seven days as required by statute. The lobbyist did not register until January 11, 2021, 3 days late.

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Lisa Sherman-Nikolaus paid all registration fees

LOBBYING AGREEMENT(S)

Based on a review of the audit documentation provided by Lisa Sherman-Nikolaus, was a direct employee of the employer. The employer had no employment contract with the lobbyist employee. However, per statements of the lobbyist and review of the job description, it appears the terms of employment were within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS

Lisa Sherman-Nikolaus reported no business or familial relationships with persons who were officials in the legislative branch or officials in the executive branch.

TRAINING

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Lisa Sherman-Nikolaus completed the required lobbyist training for the 2021 registration year and paid all training fees.