

The Securities Division has a vacancy for the Chief of Broker-Dealer Registration, classified as a Securities Examiner Supervisor position. The position oversees securities industry regulation over securities firms, agents, investment advisers and their representatives under the Tennessee Securities Act of 1980. The Supervisor manages a staff of five (5) securities examiners and directs the operation of a field program devoted to the examination of state registered investment advisers. This is an executive service position that reports to the Assistant Commissioner for Securities. Salary range: \$3221 - \$5154/month. EOE

Requests for interview with the Assistant Commissioner should be directed to Ms. Carolyn Johnson at carolyn.a.johnson@tn.gov or (615) 253-5493.