



STATE OF TENNESSEE
DEPARTMENT OF COMMERCE & INSURANCE
AUCTIONEER COMMISSION

500 JAMES ROBERTSON PARKWAY
NASHVILLE, TN 37243-1152
Phone: (615) 741-3600 Fax: (615) 741-1245

www.tn.gov/commerce/boards/auction

(Questions 1 through 10 must be answered or application will be returned)
APPLICATION FOR GALLERY LICENSE

1. Business name in which license will be issued and advertising will be conducted.

a) **Mailing** address _____
(Street & Number) (City) (State) (Zip Code)
b) **Physical** address _____
(Street & Number) (City) (State) (Zip Code)
c) **Business** address _____
(Street & Number) (City) (State) (Zip Code)
d) Phone _____ Fax _____ E-Mail _____
2. Is applicant a corporation ____, partnership ____, association ____, company ____, or proprietorship ____ (individual)
If corporation, indicate state in which incorporated _____ (attach charter)
(State)
3. Branch office(s) address(es), if any _____
4. Will gallery be engaged in auction business exclusively? **NO** ____ **YES** ____
5. State name of all persons interested in the business as principals, officers, directors, or managing agents. State the capacity (title) of each and show whether they are an active or non-active participant in the auction business as defined in Section 62-19-101 of the Tennessee Auctioneer License Law. (If sole owner, state).

Name	Capacity (title)	Ownership	Active Participant	Yes___	No ____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
6. Has any partner, officer, or director ever been indicted or convicted on charges involving embezzlement, obtaining money under false pretenses, larceny, or extortion? _____
(If **YES**, attach all court documentation and a letter of explanation).
7. Are there any civil suits or judgments pending against any partner, officer or director at this time? _____ (If **YES**, attach all court documentation and a letter of explanation).

8. Has applicant ever engage in auction activities in this state or any other state? Yes ___ or No ___ If Yes, Where? _____ When? _____
9. Has any partner, officer, or director ever had an auctioneer's license, real estate broker's or any other type of occupational license suspended, revoked, refused or disciplined by this State or any other State? NO ___ YES ___ (If YES, attach a letter of explanation). Where? _____ Give reasons for such _____
10. Does the gallery owner understand and accept that he/she will be held accountable for all professional actions of all members and employees of the gallery when operating within the scope of their professional activities? _____

**AFFIDAVIT
(Read Carefully)**

The undersigned by submitting this application to the Tennessee Auctioneer Commission for a license to carry on the business under the provisions of the Auctioneer's License Act of 1967, as amended, swears (or affirms) that he or she has read and is thoroughly familiar with the provisions of the aforementioned Act, and agrees to fully comply with them. The undersigned further swears (or affirms) that all of the information given in this application is true to the best of his or her knowledge and belief.

Signature: Owner(s) of Business

Print Name(s)

Subscribed and sworn to before me this ____ of _____, _____
Day Month Year

(SEAL)

My Commission expires _____, _____
Month Day Year

Notary Public

County

State

SEAL IS MANDATORY

IN-0413



STATE OF TENNESSEE
DEPARTMENT OF COMMERCE & INSURANCE
AUCTIONEER COMMISSION

500 JAMES ROBERTSON PARKWAY
NASHVILLE, TN 37243-1152

Phone: (615) 741-3600 Fax: (615) 741-1245

www.tn.gov/commerce/boards/auction

Pursuant to Tennessee Code Annotated §62-19-112(b)(4), the Tennessee Auctioneer Commission may suspend, revoke or refuse to renew any license issued by the Commission for failure to keep or maintain any money belonging to others in an escrow or trustee account. Accordingly, please complete the following:

_____ The undersigned does handle funds belonging to others or intends to do so in the future.

PLEASE HAVE YOUR BANK COMPLETE THE FOLLOWING AND RETURN TO THIS OFFICE.

Firm/Auctioneer or Gallery Name and Address: _____

Escrow Account Number: _____

Bank name / address / telephone number: _____

Bank Officer Signature

Date

I hereby certify that the foregoing information is true and correct to the best of my knowledge. I further understand that I have a continuing duty to notify the Commission in the event that any of the information contained herein changes. The Tennessee Auctioneer Commission, or its agent/designee, is hereby authorized to audit any escrow account(s) reference above.

Auction Firm/Gallery Owner and Escrow Account Holder

(Please Print)

By:

(Authorized Signature)

(Printed Name)

(Date)

Notary Public: _____

(SEAL)

My Commission Expires: _____

TENNESSEE AUCTIONEER COMMISSION
Reciprocal License Fees

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Alabama	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 285.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Arkansas	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 350.00	225.00
	Firm Fee	\$ 350.00	200.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Florida	Apprentice Fee	\$ 255.00	175.00
	Auctioneer Fee	\$ 280.00	225.00
	Firm Fee	\$ 255.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Georgia	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 400.00	225.00
	Firm Fee	\$ 400.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Illinois	Apprentice Fee	\$ 225.00	250.00
	Auctioneer Fee	\$ 275.00	450.00
	Firm Fee	\$ 225.00	250.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Indiana	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Kentucky	Apprentice Fee	\$ 280.00	260.00
	Auctioneer Fee	\$ 280.00	260.00
	Firm Fee	\$ 280.00	260.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Louisiana	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 350.00	300.00
	Firm Fee	\$ 350.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Massachusetts	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ N/A	N/A
	Firm Branch	\$ N/A	N/A
	Gallery	\$ N/A	N/A
	Gallery Branch	\$ N/A	N/A
Mississippi	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 550.00	450.00
	Firm Fee	\$ 550.00	450.00
	Firm Branch	\$ N/A	N/A
	Gallery	\$ N/A	N/A
	Gallery Branch	\$ N/A	N/A
North Carolina	Apprentice Fee	\$ 238.00	200.00
	Auctioneer Fee	\$ 288.00	300.00
	Firm Fee	\$ 288.00	300.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Ohio	Apprentice Fee	\$ 225.00	200.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	200.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Pennsylvania	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	200.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
South Carolina	Apprentice Fee	\$ 225.00	300.00
	Auctioneer Fee	\$ 275.00	300.00
	Firm Fee	\$ 225.00	300.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Tennessee	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Public Automobile Auctioneer	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
	Public Automobile Auction Firm	\$ 275.00	225.00
Texas	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 300.00	250.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Virginia	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
West Virginia	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Wisconsin	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

--- If other state fees are less than Tennessee, then apply Tennessee's fees ---

Revised 09/08/11