Submit by Email

TN Department of Financial Institutions

TENNESSEE MORTGAGE LICENSE RENEWAL APPLICATION SUPPLEMENTAL REQUIREMENTS

Part I: Annual Report

_____ _____

Company Name **NMLS No.**

Required pursuant to Tenn. Code Ann. § 45-13-208(b) - (c) and Tenn. Comp. R. & Regs. 0180-17-.16. Unless otherwise provided, all terms used herein shall have the meaning ascribed to them in the Tennessee Residential Lending, Brokerage and Servicing Act, Chapter 499 of the Public Acts of 2009.

1. List the names of all directors, officers, general partners, and stockholders owning or controlling 25% or more of the outstanding capital stock of the licensee:

   a. _____

   b. _____

   c. _____

   d. _____

2. List the names of any limited partners owning more than 25% of the partnership interest in the licensee:

   a. _____

   b. _____

   c. _____

   d. _____

3. List any changes among officers, directors, or general partners within the preceding year:

   a. _____

   b. _____

   c. _____

4. List any change in principal place of business of the licensee:

Part II: Residential Mortgage Loan Volume Survey

Please mark the appropriate category, based on a reasonable estimate to the best of your knowledge and belief, of the dollar amount of Tennessee residential mortgage loans originated for calendar year 2014. If you were issued a license in calendar year 2014 or calendar year 2015, please mark category "(d)."

Loan Origination Amount:	(a) Less than $10,000,000 in loans (b) $10,000,000 or more in loans, but less than $50,000,000 (c) $50,000,000 or more in loans (d) Not Applicable (License was issued in calendar year 2014 or calendar year 2015)

Part III: Residential Mortgage Activities

Please indicate your mortgage operations in mortgage activities listed below:

- ☐ Mortgage Brokering
- ☐ Mortgage Lending
- ☐ Mortgage Servicing
- ☐ Third Party Processor/Underwriter

Please refer to Bulletin C-10-1, for bond calculation, that can be found in the following link:
http://tn.gov/assets/entities/tdfi/attachments/C-10-1.pdf

Please select one of the following bond options in which your company falls under:

Amount Originated	Broker Surety Bond Amount	Lender Surety Bond Amount	Other Surety Bond Amount
Less than $10,000,000	☐ $45,000	☐ $100,000	☐ Processor/Underwriter $90,000
More than $10,000,000 but less than $50,000,000	☐ $90,000	☐ $200,000	☐ Servicer Only $200,000
$50,000,000 or more	☐ $135,000	☐ $300,000	

*For a mortgage servicer filing a renewal application, the rule requires, at a minimum, a fixed surety bond in the amount of $200,000. For a mortgage lender filing a renewal application in a calendar year in which the license was issued, or in a calendar year in which the license was issued in the immediately preceding calendar year, the rule requires a fixed surety bond in the amount of $200,000. For a mortgage loan broker filing a renewal application in a calendar year in which the license was issued, or in a calendar year in which the license was issued in the immediately preceding calendar year, the rule requires a fixed surety bond in the amount of $90,000.

AFFIRMATION

I _____ , _____ of
Authorized Person Name Title

_____ (Licensee) on this date _____ make oath
Company's Name Date

and say as follows, that I executed this form on behalf, and with the authority, of said Applicant and said Applicant agrees to and represents the following:

(1) That the information and statements contained herein, including exhibits attached hereto, and other information filed herewith, all of which are made a part of this form, are current, true and complete and are made under the penalty of perjury, or un-sworn falsification to authorities, or similar provisions as provided by law;

(2) To the extent any information previously submitted is not amended, such information remains accurate and complete;

(5) To comply with the provisions of law, including the maintenance of accurate books and records, pertaining to the conduct of business upon which the applicant is basing the data on this form.

I verify that I am the named person above and that I am authorized to attest to and submit this filing on behalf of the Applicant.

NOTE: Please submit the **original surety bond, rider or continuation certification** with an expiration date of **12/31/2016 or a later date**. If this requirement is not received by December 31, 2015, your renewal application will be marked "**Renewal Rejected**" and your license status will reflect "**Terminated-Expired**" as of 1/1/2016.

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