



**State of Tennessee
Department of Commerce and Insurance
Tennessee State Board of Accountancy
Davy Crockett Tower
500 James Robertson Parkway
Nashville, TN 37243
615-741-2550 or 888-453-6150**

Committee Meetings Minutes

Probable Cause Committee—May 2, 2012

Board Members present were Stan Sawyer, Chair; Don Royston, Vice-Chair; Shannone Raybon and Bill Blaufuss.

Staff members present were Mark Crocker, Executive Director; Don Mills, Investigator; Ray Butler, Investigator; Chris Whittaker, Staff Attorney; and Karen Condon.

Chris Whittaker presented the Legal Report, which consisted of twenty-one cases with recommendations as follows:

- Nine Civil Penalties
- Six Letters of Warning
- Four Dismissals
- Two Formal Hearings

After reviewing the Report, the Committee discussed penalty guidelines for violations. Ms. Raybon moved to ask the Board to approve the recommendations in the Legal Report. Mr. Blaufuss seconded and the motion passed unanimously.

Mr. Mills was then called upon to present the Status of Open Cases. The Committee discussed the 180-day goal in closing complaints. Mr. Whittaker revealed that we are currently meeting this goal, in which legal action of some sort must be taken within 180 days, at a rate of 83.75%.

With no further business before the Committee, the meeting was adjourned.



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Licensing Committee—May 2, 2012

Board Members present were Stan Sawyer, Chair; Don Royston, Vice-Chair; Casey Stuart; Trey Watkins; Troy Brewer and Jennifer Brundige (via phone).

Staff members present were Mark Crocker, Executive Director; Chris Whittaker, Staff Attorney; Kathy Riggs and Karen Condon.

Others present were: Brad Floyd, TSCPA President and Wendy Garvin, TSCPA Professional Services Manager.

The first item was a request from Bryan Todd for an extension of time to complete the CPA Exam due to illness. Mr. Stuart move to recommend to the Board an extension through the third quarter on 2012 to pass the remaining part of the exam. Mr. Watkins seconded and the motion passed unanimously.

The Committee then reviewed for approval a two-hour State-Specific Ethics course presented by Western CPE. Mr. Watkins moved to recommend approval of the course. Mr. Stuart seconded and the motion passed unanimously.

The next item concerned the new State Ethics education requirement for licensees renewing in 2012. Mr. Watkins moved to recommend that licensees be permitted to comply with either the old or the new requirements for the 2012 renewal year. Mr. Stuart seconded and the motion passed unanimously.

The Committee then reviewed the matter of the Texas Board's acceptance of Community College credits to satisfy the Upper Division education requirement. The Committee decided that the courses are substantially equivalent to Upper Division courses even if not numbered as such, and will recommend approval to the Board.

Dr. Riggs reported that there were 199 new CPA licensees issued January-April, 2012.

There being no further business before the Committee, the meeting was adjourned.



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Committee Meetings Minutes

Executive Committee—May 2, 2012

Board Members present were Stan Sawyer, Chair; Don Royston, Vice-Chair and Lisa Stickel, Secretary.

Staff members present were Mark Crocker, Executive Director; Don Mills, Investigator; Ray Butler, Investigator; Chris Whittaker, Staff Attorney; and Karen Condon.

Others present were: Brad Floyd, TSCPA President and Wendy Garvin, TSCPA Professional Services Manager.

Mr. Sawyer called the meeting to order at 3:05 pm.

The first item on the agenda was the Evaluation of the Executive Director. The meeting briefly adjourned to allow Committee members to complete the evaluation form and reconvened to discuss the evaluation results.

The Committee then asked Mr. Crocker to review the financial information. After discussion, Mr. Royston moved to recommend approval of the financial report to the Board. Ms. Stickel seconded and the motion passed unanimously.

The last item on the agenda concerned fee structure. The Committee discussed the possibility of reducing fees and the possibility of pursuing semi-independence. Mr. Floyd informed the Board of a Senate study regarding certain Regulatory Boards and their operations. Committee wishes to get more information regarding the costs and feasibility of semi-independence.

With no further business before the Committee, the meeting was adjourned.